

Master Method

Let $T(n)$ be a time function defined as a recurrence of the form

$$T(n) = \begin{cases} c_n & \text{for } n < n_0 \\ aT(n/b) + f(n) & \text{for } n \geq n_0 \end{cases}$$

for some constants $a \geq 1, b > 1, c_1, c_2, \dots, c_{n_0-1} \geq 0, T : \mathbb{N} \rightarrow \mathbb{R}^+$.

- 1.** if $f(n) \in O(n^k)$, such that $\underline{k < \log_b a}$, then $T(n) \in \Theta(n^{\log_b a})$
- 2s.** if $f(n) \in \Theta(n^k)$, such that $\underline{k = \log_b a}$ then $T(n) \in \Theta(n^k \log n)$
- 2g.** if $f(n) \in \Theta(n^k \log^m n)$, s.t. $\underline{k = \log_b a}$ & $m \geq 0$ then $T(n) \in \Theta(n^k \log^{m+1} n)$
- 3.** if $f(n) \in \Theta(n^k)$, such that $\underline{k > \log_b a}$,
and $\exists c > 0, \exists n_0 > 0, n \geq n_0 \Rightarrow af(n/b) \leq cf(n)$, then $T(n) \in \Theta(f(n))$.

Example: binary search

Let $n=j-i+1$ in the binary search algorithm and let $T(n)$ be a time function defined as a recurrence of the form

$$T(n) = \begin{cases} c_1 & \text{for } n=1 \\ T(n/2)+c_2 & \text{for } n \geq 2 \end{cases}$$

for some constants $c_1, c_2 \geq 0$, $T : \mathbb{N} \rightarrow \mathbb{R}^+$.

2. if $f(n) \in \mathcal{O}(n^{\log_2 1} \log^0 n)$, then $T(n) \in \mathcal{O}(n^0 \log^1 n) = \mathcal{O}(\log n)$

Example: Merge sort

Let n in the merge sort algorithm be the size to be sorted and let $T(n)$ be a time function defined as a recurrence of the form

$$T(n) = \begin{cases} c_1 & \text{for } n=1 \\ 2T(n/2)+c_2n+c_3 & \text{for } n \geq 2 \end{cases}$$

for some constants $c_1, c_2, c_3 \geq 0$, $T : \mathbb{N} \rightarrow \mathbb{R}^+$.

2. if $f(n) \in \mathcal{O}(n^{\log_2 2} \log^0 n)$, then $T(n) \in \mathcal{O}(n^1 \log^1 n) = \mathcal{O}(n \log n)$

Example: D&Q multiplication (I)

Let $T(n)$ be a time function associated to the divide and conquer multiplication algorithm defined as a recurrence of the form

$$T(n) = \begin{cases} c_1 & \text{for } n=1 \\ 4T(n/2)+c_2n+c_3 & \text{for } n \geq 2 \end{cases}$$

for some constants $c_1, c_2 \geq 0$, $T : \mathbb{N} \rightarrow \mathbb{R}^+$.

1. if $f(n) \in O(n^1)$, s. t. $1 < \log_2 4$, then $T(n) \in \Theta(n^{\log_2 4}) = \Theta(n^2)$

Example: D&Q multiplication (II)

Let $T(n)$ be a time function associated to the divide and conquer multiplication algorithm defined as a recurrence of the form

$$T(n) = \begin{cases} c_1 & \text{for } n=1 \\ 3T(n/2)+c_2n+c_3 & \text{for } n \geq 2 \end{cases}$$

for some constants $c_1, c_2 \geq 0$, $T : \mathbb{N} \rightarrow \mathbb{R}^+$.

1. if $f(n) \in O(n^1)$, s. t. $1 < \log_2 3$, then $T(n) \in \Theta(n^{\log_2 3}) \subseteq \Theta(n^{1.58})$

Example: binary search

Recall the recursive binary search algorithm presented earlier in the course . The running time of $\text{search}(a, \text{low}, \text{high}, \text{value})$, used to determine if one of $a[\text{low}]$, $a[\text{low}+1]$, ..., $a[\text{high}]$ is equal to value depends on the size of $\text{high}-\text{low}$. As $\text{high}-\text{low}$ increase, running time increases.

We use $T(n)$ to denote the number of steps used to execute $\text{search}(a, \text{high}, \text{low}, \text{value})$ where $n = \text{high}-\text{low}+1$. Calling $\text{search}(a, \text{low}, \text{high}, \text{value})$ could result in one of four possibilities:

1. $\text{low} > \text{high}$ so the algorithm returns -1.
2. $\text{low} \leq \text{high}$ and $\text{value} = a[\text{mid}]$ so the algorithm returns mid .
3. $\text{low} \leq \text{high}$ and $\text{value} > a[\text{mid}]$ so the algorithm returns $\text{search}(a, \text{mid}+1, \text{high}, \text{value})$.
4. $\text{low} \leq \text{high}$ and $\text{value} < a[\text{mid}]$ so the algorithm returns $\text{search}(a, \text{low}, \text{mid}-1, \text{value})$.
where $\text{mid} = \text{floor}((\text{high}+\text{low})/2)$

The first two possibilities each use some constant number of steps and the second two, by definition of $T(n)$, use $T(\text{high}-(\text{mid}+1)+1)$ and $T(\text{mid}-1-\text{low}+1)$, respectively. Thus, we see that:

$T(n) = c_1$	if $n < 1$;
$T(n) = c_2$	if $n \geq 1$ and $\text{value} = a[\text{mid}]$;
$T(n) = T(\text{high}-(\text{mid}+1)+1) + c_3$	if $n \geq 1$ and $\text{value} > a[\text{mid}]$; and
$T(n) = T(\text{mid}-1-\text{low}+1) + c_4$	if $n \geq 1$ and $\text{value} < a[\text{mid}]$

where c_1, c_2, c_3 and c_4 are constants.

Example: binary search

We can rewrite this equation in terms of n rather than using low and $high$:

$$\begin{aligned} high-(mid+1)+1 &= high-mid \\ &= high-floor((high+low)/2) \\ &= high+ceiling(-(high+low)/2) \text{ because } -floor(x) = ceiling(-x) \\ &= ceiling(high - (high+low)/2) \\ &= ceiling((high-low)/2) \\ &= ceiling((n-1)/2) \end{aligned}$$

$$\begin{aligned} mid-1-low+1 &= mid-low \\ &= floor((high+low)/2)-low \\ &= floor((high+low)/2 - low) \\ &= floor((high-low)/2) \\ &= floor((n-1)/2) \end{aligned}$$

Thus, we have:

$$\begin{aligned} T(n) &= c_1 && \text{if } n < 1; \\ T(n) &= c_2 && \text{if } n \geq 1 \text{ and value} = a[mid]; \\ T(n) &= T(ceiling((n-1)/2)) + c_3 && \text{if } n \geq 1 \text{ and value} > a[mid]; \text{ and} \\ T(n) &= T(floor((n-1)/2)) + c_4 && \text{if } n \geq 1 \text{ and value} < a[mid] \end{aligned}$$

Example: binary search

This is called a recurrence equation for $T(n)$. Unfortunately, recurrence equations do not tell us much about actual running so we need to derive a direct equation for $T(n)$. This will be difficult to do with the floor and ceiling functions so we obtain a recurrence inequality:

$$\begin{array}{ll} T(n) = c_1 & \text{if } n < 1; \\ T(n) \leq T(n/2) + k_1 & \text{otherwise (where } k_1 = \max(c_3, c_4)) \end{array}$$

This is true because binary search $n/2 \geq \text{ceil}((n-1)/2)$ and $\text{floor}((n-1)/2)$ and binary search uses the same or a larger number of steps when searching larger subsequences. We ignore the case when $\text{value} = a[\text{mid}]$ because we are interested in the worst case running time of binary search. Finding a match never gives a worst case running time because the search stops as soon as a match is found.

If instead we set a recurrence equality:

$$\begin{array}{ll} S(n) = c_1 & \text{if } n < 1; \\ S(n) = S(n/2) + k_1 & \text{otherwise (where } k_1 = \max(c_3, c_4)) \end{array}$$

we find by the Master Method that $S(n)$ is $\Theta(\log n)$. However since we can argue by mathematical induction that $T(n) \leq S(n)$ for all n . Thus we conclude $T(n)$ is $\Theta(\log n)$.