

Trees

## Graphs :

A *graph*  $G$  is a pair  $(V, E)$  :

- $V$  is a finite set called the *vertex set* of  $G$ . Its elements are called *vertices*.
- $E$  is a binary relation on  $V$  called the *edge set* of  $G$ . Its elements are called *edges*.

A graph can either be *directed* or *undirected*. In a *directed* graph, *edges* are represented by arrows. For example, the pair  $(u, v)$  represents an edge—arrow going from vertex  $u$  to vertex  $v$  which would be different then an edge going from  $v$  to  $u$ ,  $(v, u)$ . Note that *self-loops* – edges from a vertex to itself – are possible in directed graphs.

These self-loops are forbidden in *undirected* graphs, graphs where edges are *unordered* pairs of vertices rather than ordered pairs. In these  $(u, v)$  is the same edge as  $(v, u)$ .

If  $(u, v)$  is an edge in a directed graph  $G = (V, E)$ , we say the edge *leaves* the vertex  $u$  to *enter* the vertex  $v$ . Moreover, this vertex  $v$  is said to be *adjacent* to vertex  $u$ .

- The *degree* of a vertex in an undirected graph is the number of edges incident on it.
- A *path* of length  $k$ , from a vertex  $u$  to a vertex  $u'$  in a graph  $G = (V, E)$ , is a sequence  $\langle v_0, v_1, v_2, \dots, v_k \rangle$  of vertices such that  $u = v_0, u' = v_k$  and all edges  $(v_{i-1}, v_i)$  used are elements of  $E$ , the set of edges. The length of the path is the number of edges in the path.
- A path is *simple* if all the vertices in the path are distincts.
- A *cycle* is a path  $\langle v_0, v_1, v_2, \dots, v_k \rangle$  where  $v_0 = v_k$  that contains at least one edge.
- An undirected *cycle* is a simple path  $\langle v_0, v_1, v_2, \dots, v_k \rangle$  where  $v_0 = v_k$  that contains at least three edges.
- A graph with no *cycle* is called *acyclic*.
- An undirected graph is *connected* if every pair of vertices is connected by a path.

An acyclic, undirected graph is a *forest*. A connected *forest* is called a *free tree*. Since we will return to graphs later on, let us now concentrate on these *trees*

## Properties of trees :

Let  $G = (V, E)$  be an undirected graph. The following statements are equivalent.

1.  $G$  is a free tree.  
     $\Downarrow$
2. Any two vertices in  $G$  are connected by a unique simple path.  
     $\Downarrow$
3.  $G$  is connected, but if any edge is removed from  $E$ , the resulting graph is disconnected.  
     $\Downarrow$
4.  $G$  is connected, and  $|E| = |V| - 1$ .  
     $\Downarrow$
5.  $G$  is acyclic, and  $|E| = |V| - 1$ .  
     $\Downarrow$
6.  $G$  is acyclic, but if any edge is added to  $E$ , the resulting graph contains a cycle.

*Show  $6 \Rightarrow 1$*

## Rooted tree :

A *rooted tree* is a tree in which one of the vertices is distinguished from the others. This vertex is called the root. Vertices of a tree are usually called nodes.

Consider a node  $x$  in a rooted tree  $T$  with root  $r$ .

- A node  $y$  on the unique path from  $r$  to  $x$  is called an *ancestor* of  $x$ .
- $x$  is then called a descendant of  $y$ .
- The *subtree rooted at  $x$*  is the tree induced by the descendants of  $x$ .

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- If  $y$  is the direct ancestor of  $x$  such that  $(x, y)$  is an edge of  $G$ , then  $y$  is the *parent* of  $x$  and  $x$  is the child of  $y$ .
- The root is the only node in  $T$  with no parents.
- A node with no children is an *external node* or *leaf*. A nonleaf node is an *internal leaf*.
- The number of children of a node  $x$  in a rooted  $T$  is called the *degree* of  $x$ . Note that in a free tree or in an undirected graph, the *degree* of a node is the number of adjacent vertices. In a rooted tree, the *degree* is the number of children – the parent does not count.

- A *k*-ary tree is a tree in which each node has a maximum of *k* children, each identified uniquely from 1 to *k*. We say the *i*th child of a node is absent if no child is labeled with integer *i*.
- A *k*-ary tree of value  $k = 2$  is called a *binary tree*.
- A *complete k*-ary tree is a tree in which all leaves have the same depth and all internal nodes have degree *k*.

The number of internal nodes of a complete *k*-ary tree of height *h* is

$$\begin{aligned} 1 + k + k^2 + \dots + k^{h-1} &= \sum_{i=0}^{h-1} k^i \\ &= \frac{k^h - 1}{k - 1} \end{aligned}$$

Thus, a complete binary tree has  $2^h - 1$  internal nodes.

- The length of the path from the root *r* to a node *x* is the depth of *x* in *T*.
- The largest depth of any node in *T* is the *height* of *T*.