

COMP-330

# Theory of Computation

Fall 2019 -- Prof. Claude Crépeau

Lec. 9 :

the Pumping Lemma

# Pumping Lemma



Michael Rabin



Dana Scott

# NON-Regular Languages

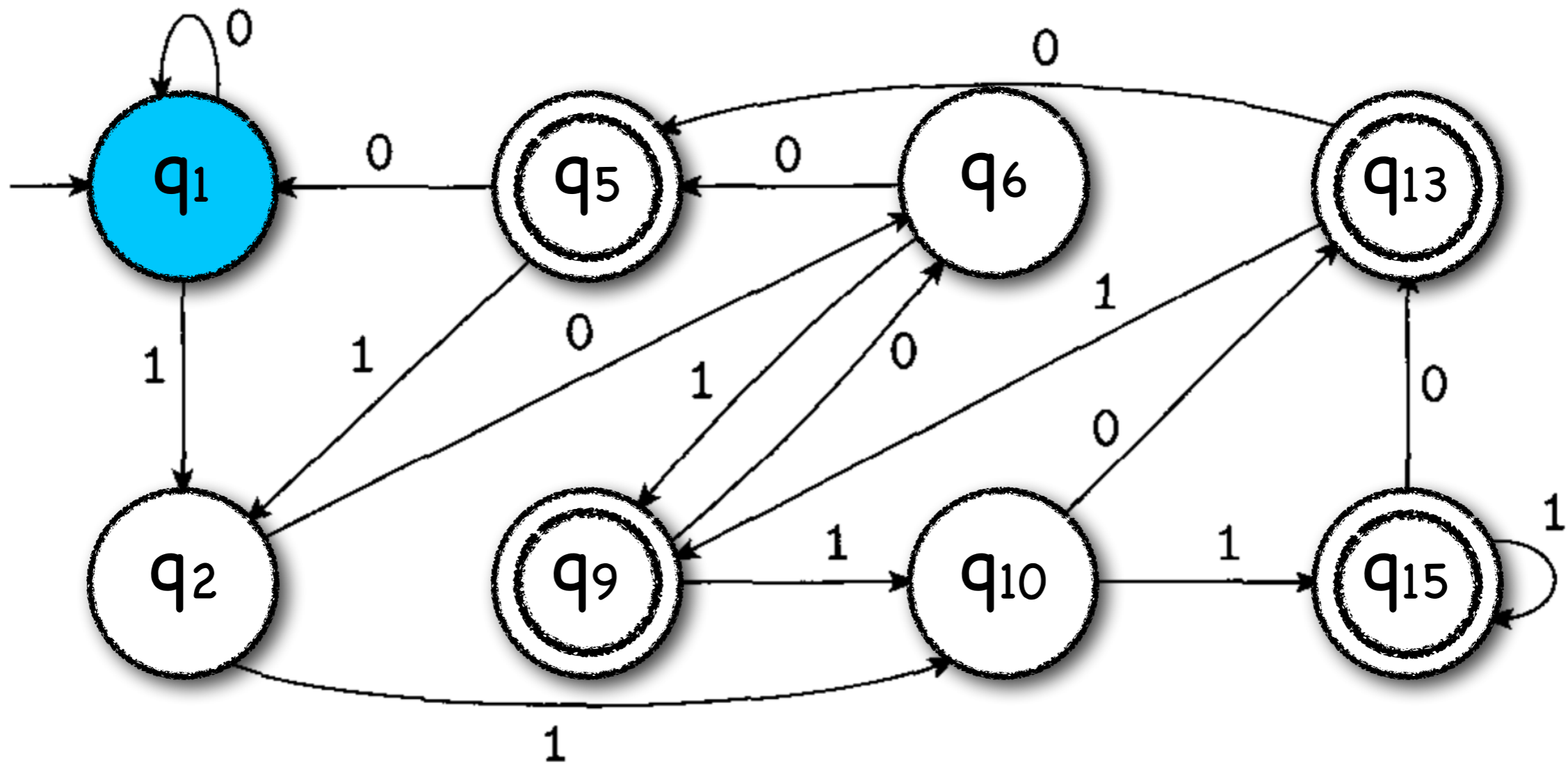
## THEOREM 1.70

**Pumping lemma** If  $A$  is a regular language, then there is a number  $p$  (the pumping length) where, if  $s$  is any string in  $A$  of length at least  $p$ , then  $s$  may be divided into three pieces,  $s = xyz$ , satisfying the following conditions:

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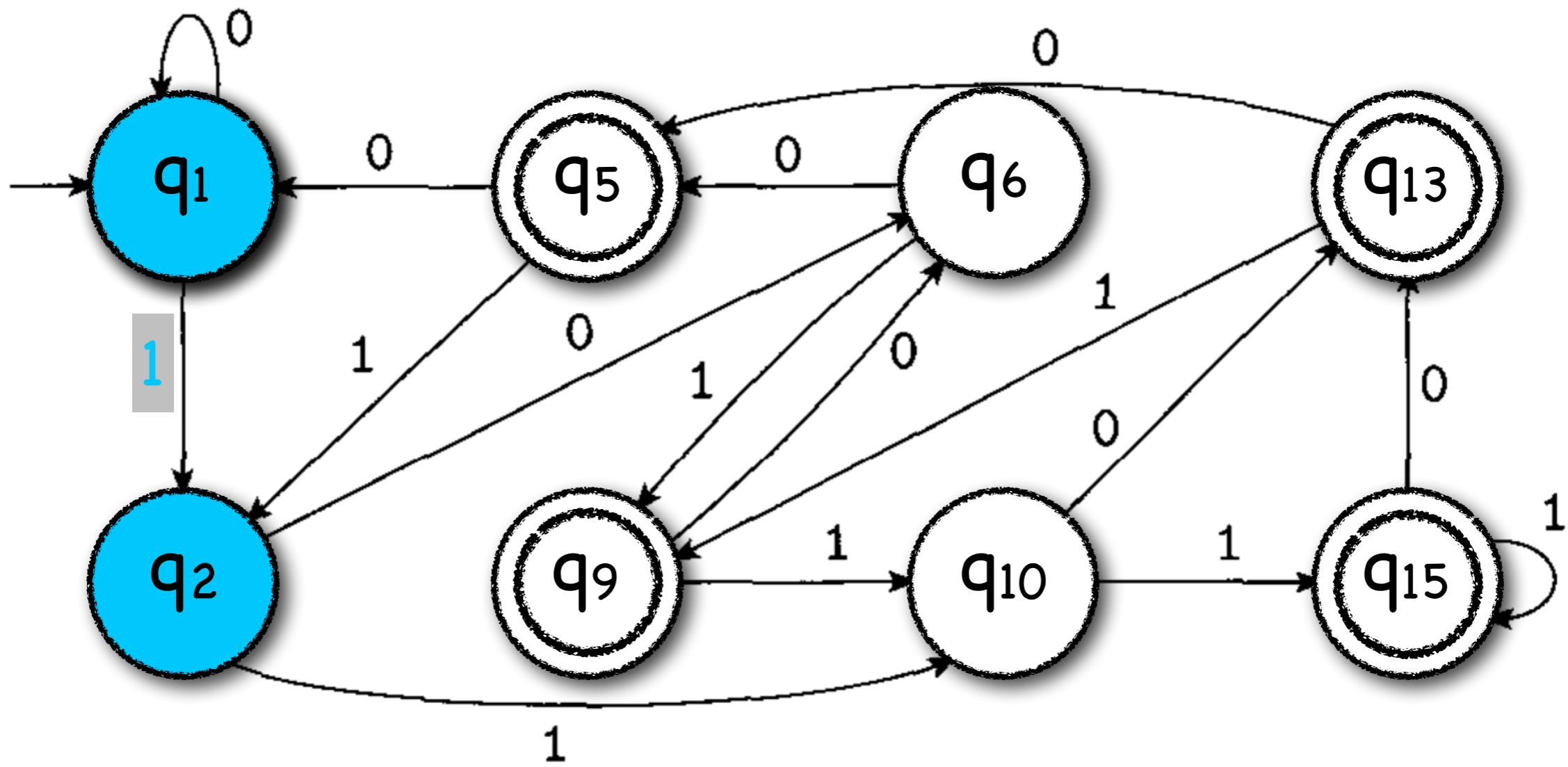
- Application: any language that does not satisfy the pumping lemma is non-regular.
- Note however that some non-regular languages DO satisfy the Pumping Lemma...

# Pumping Lemma



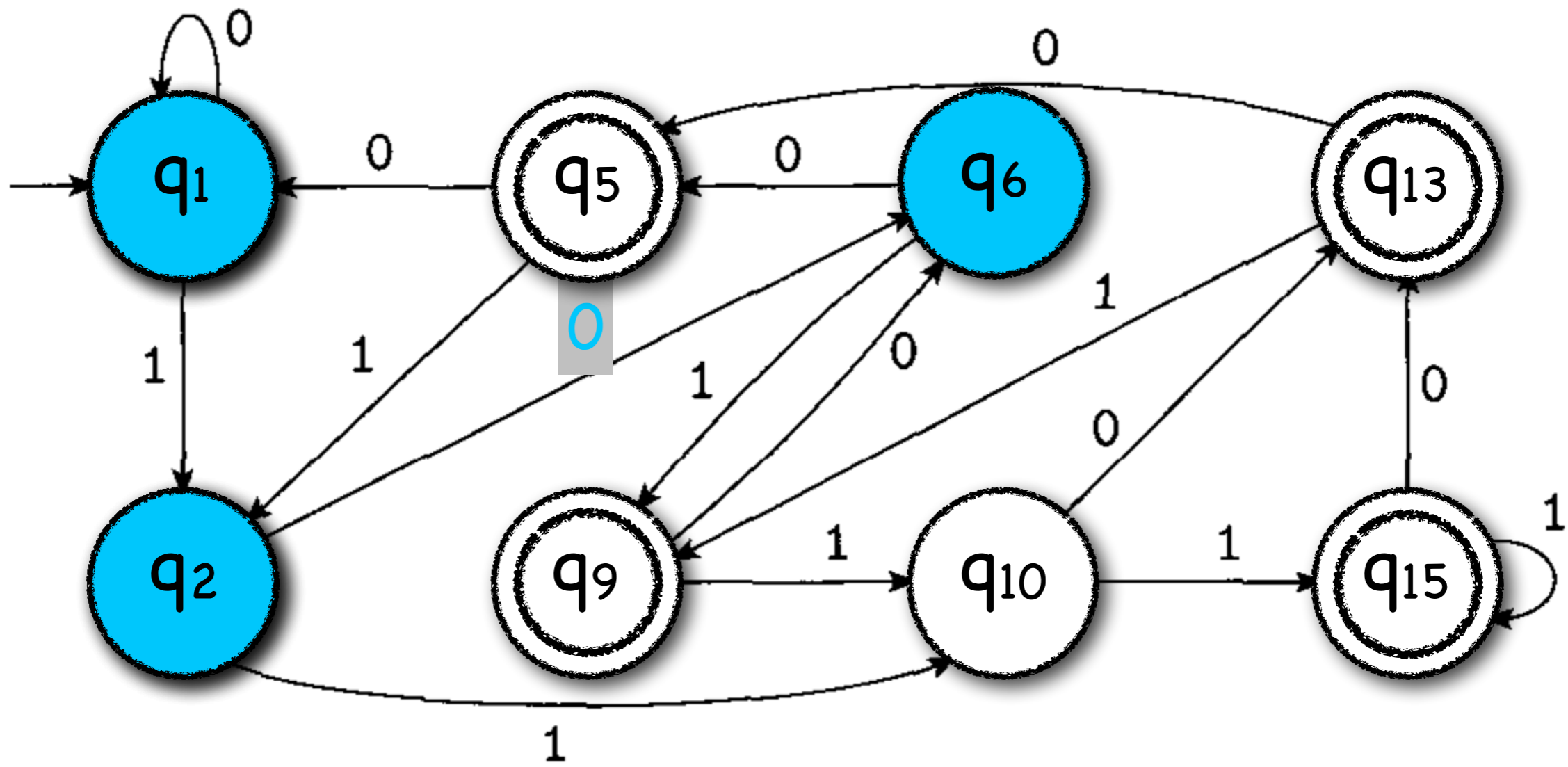
$xyz = 101101110$

# Pumping Lemma



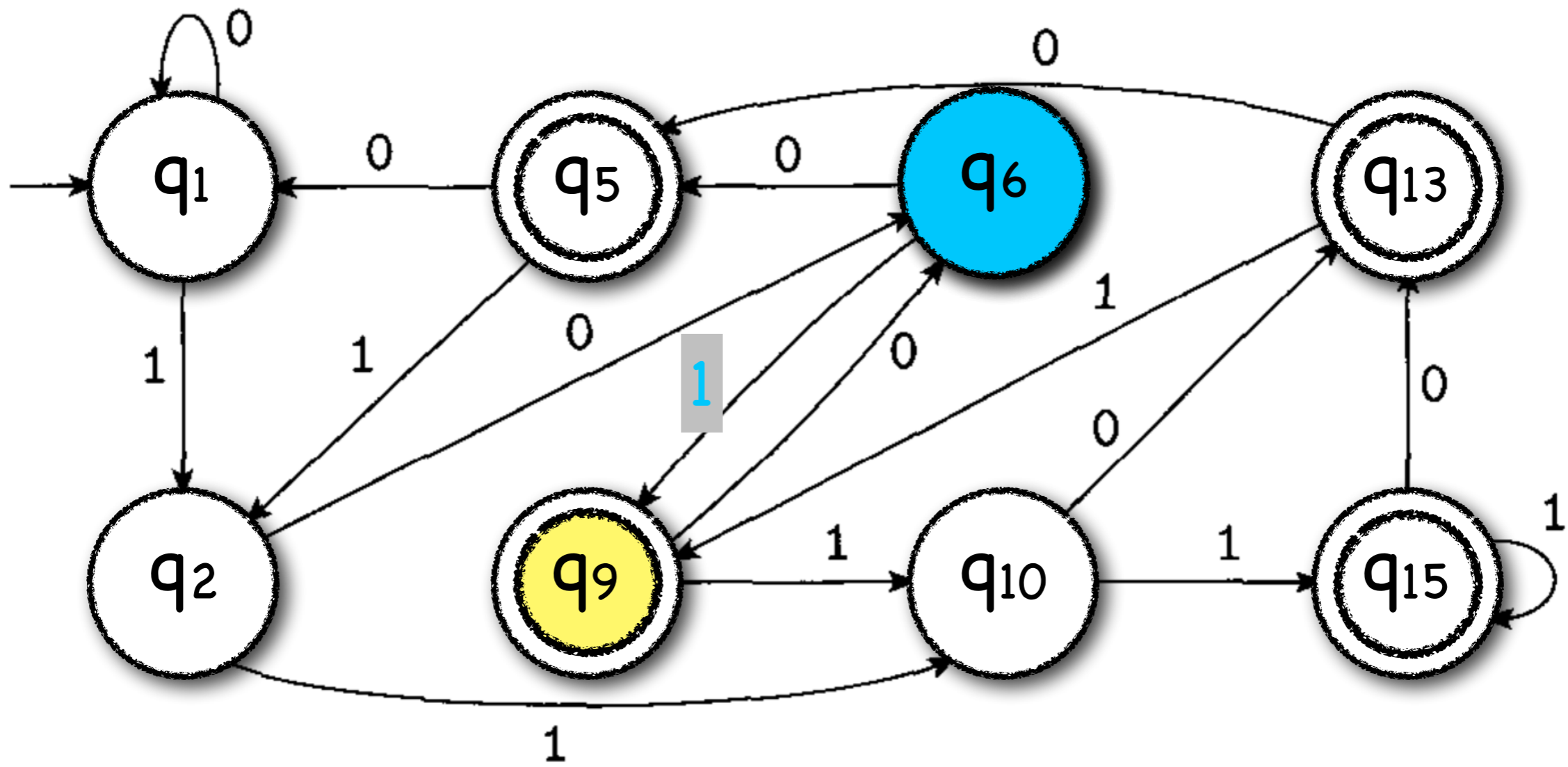
$xyz = \underline{1}01101110$

# Pumping Lemma



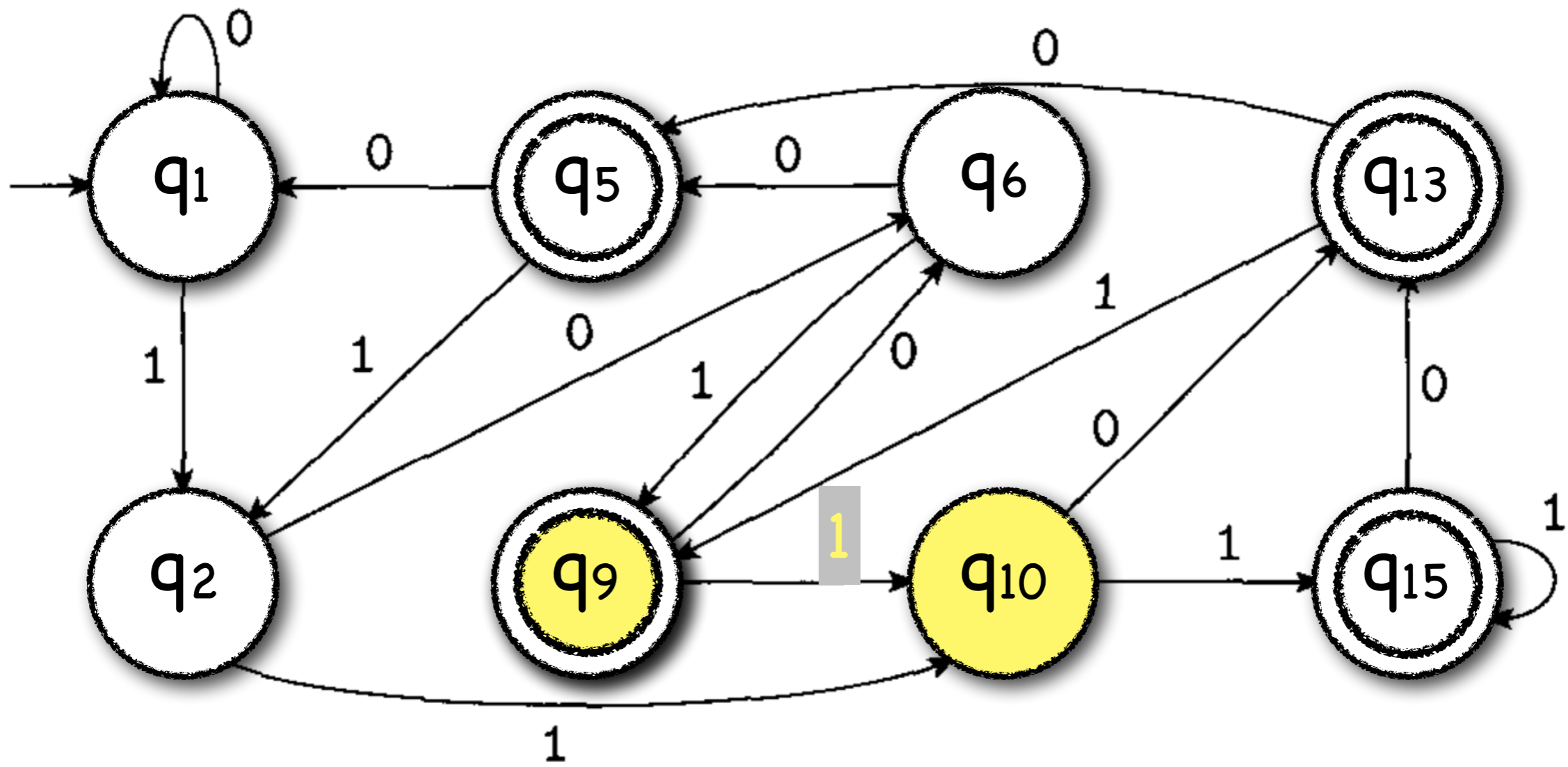
$xyz = 101101110$

# Pumping Lemma



$xyz = 10\underline{1}10\underline{1}110$

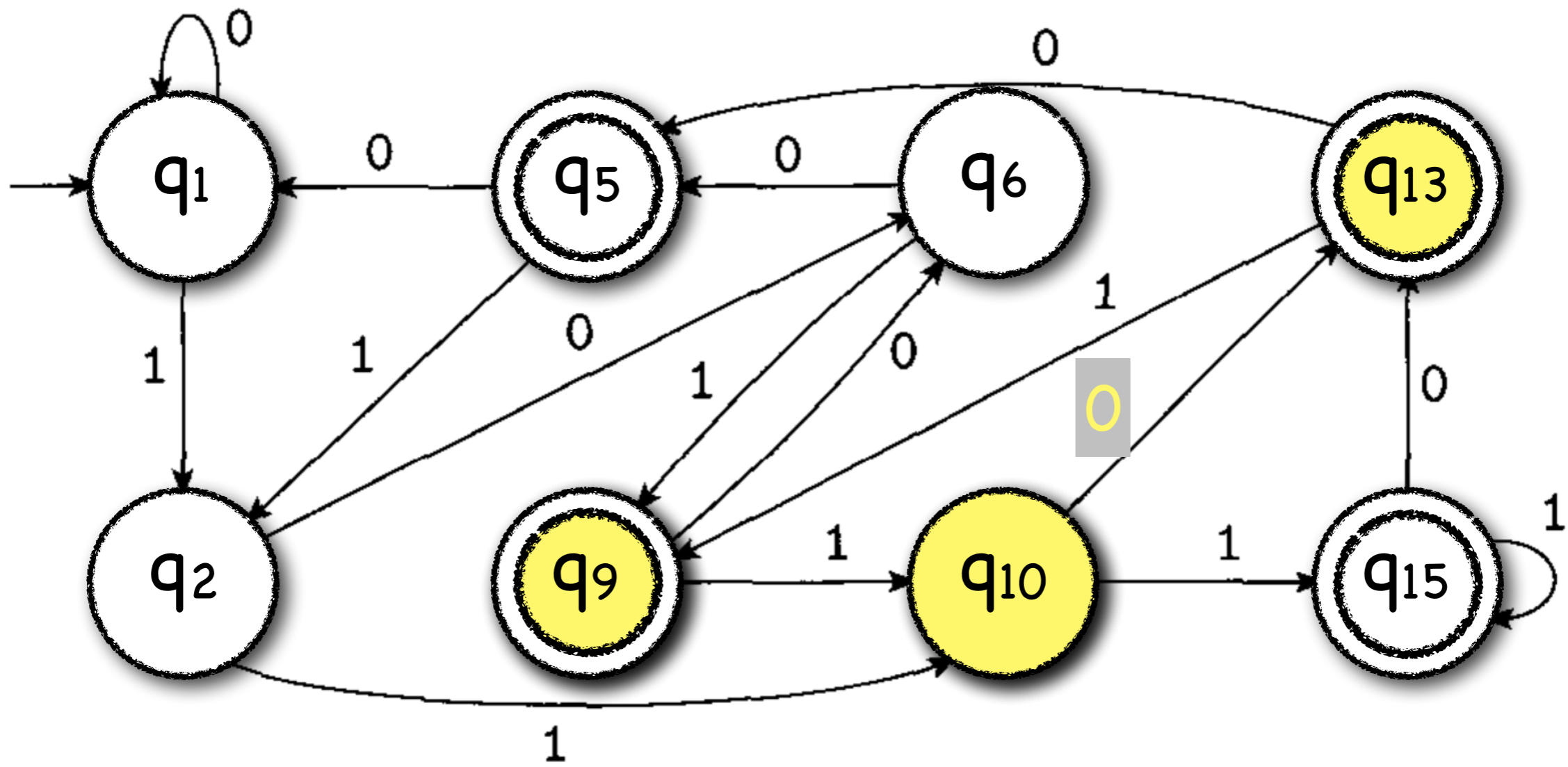
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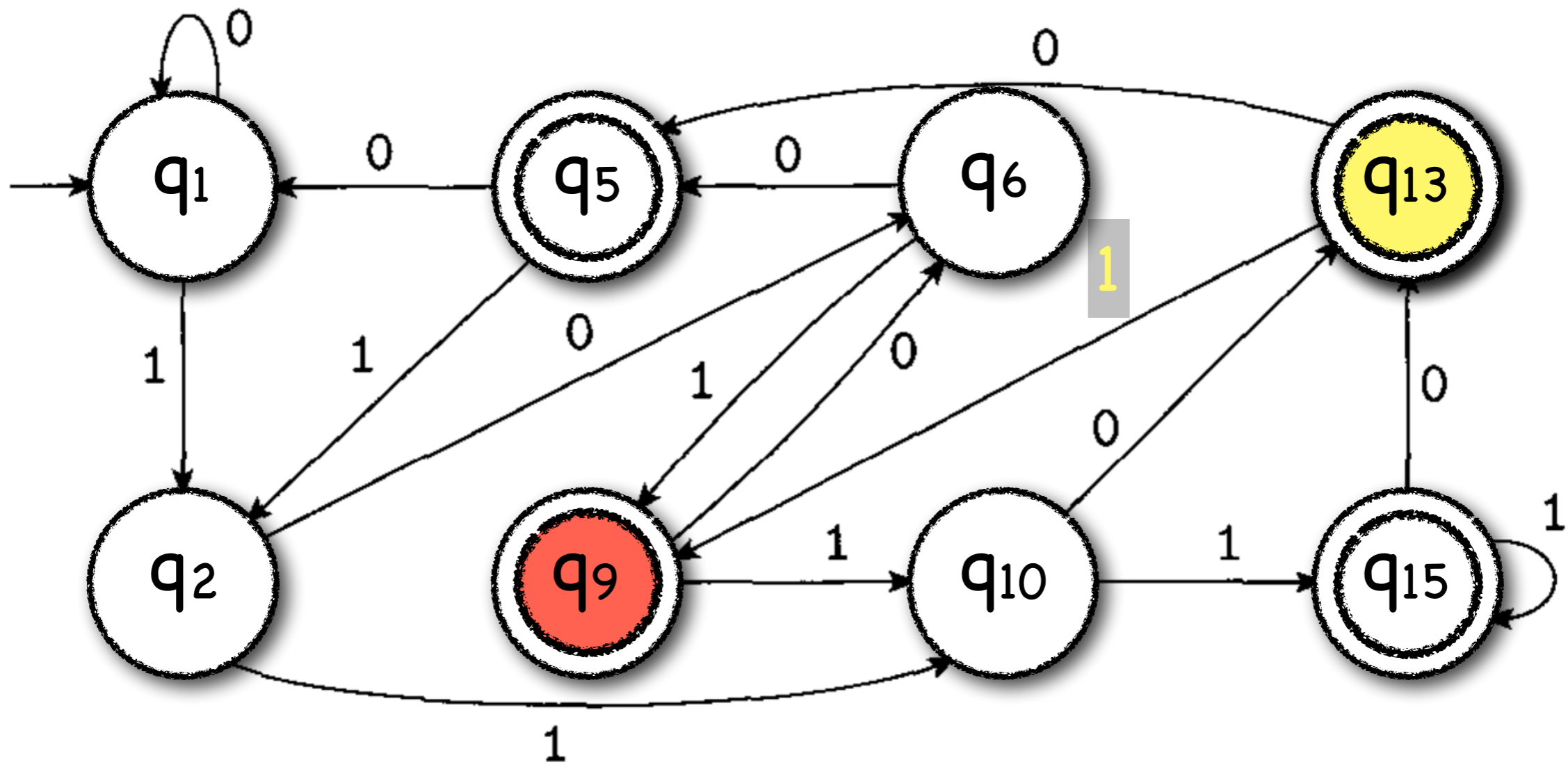


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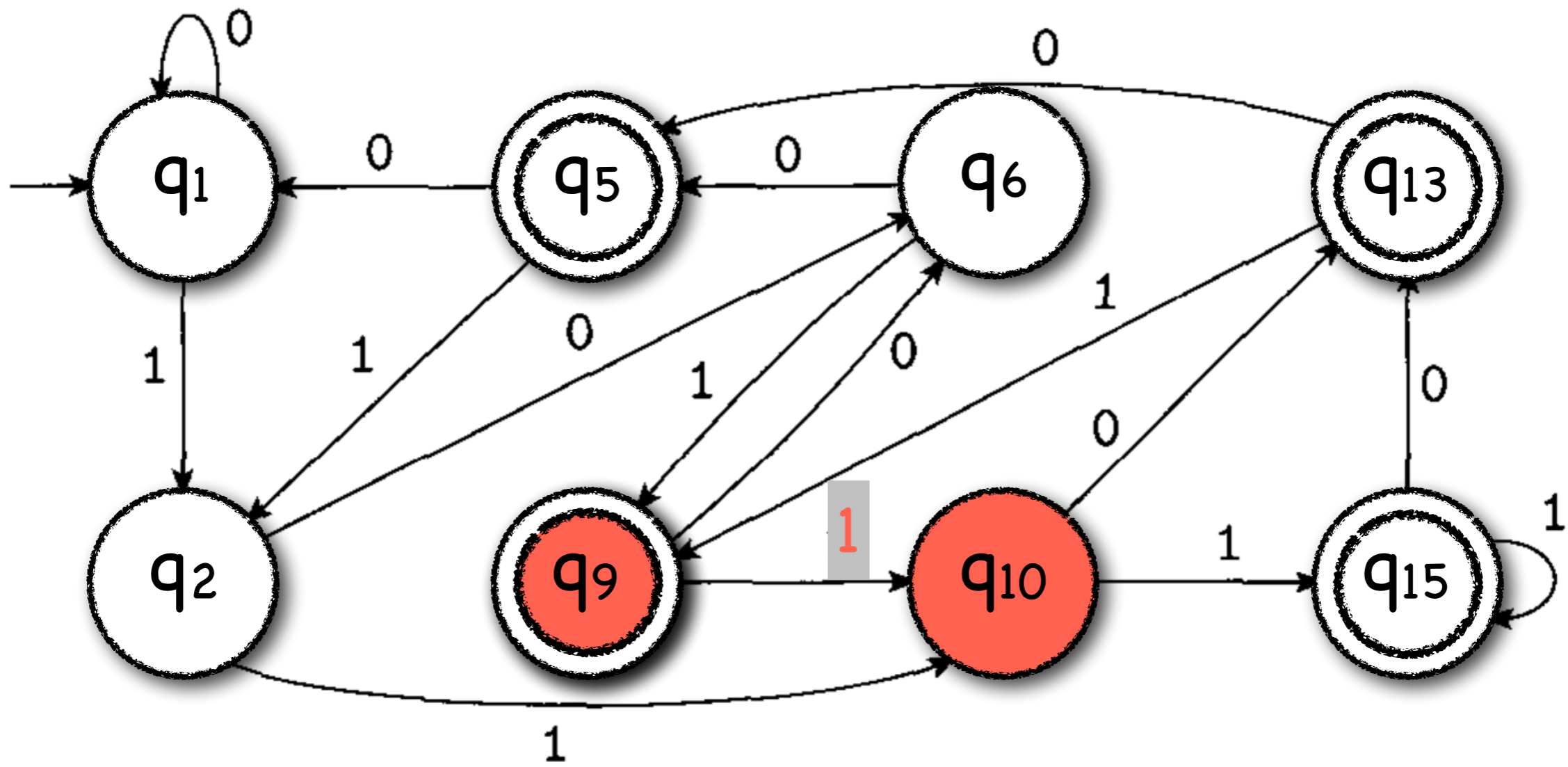
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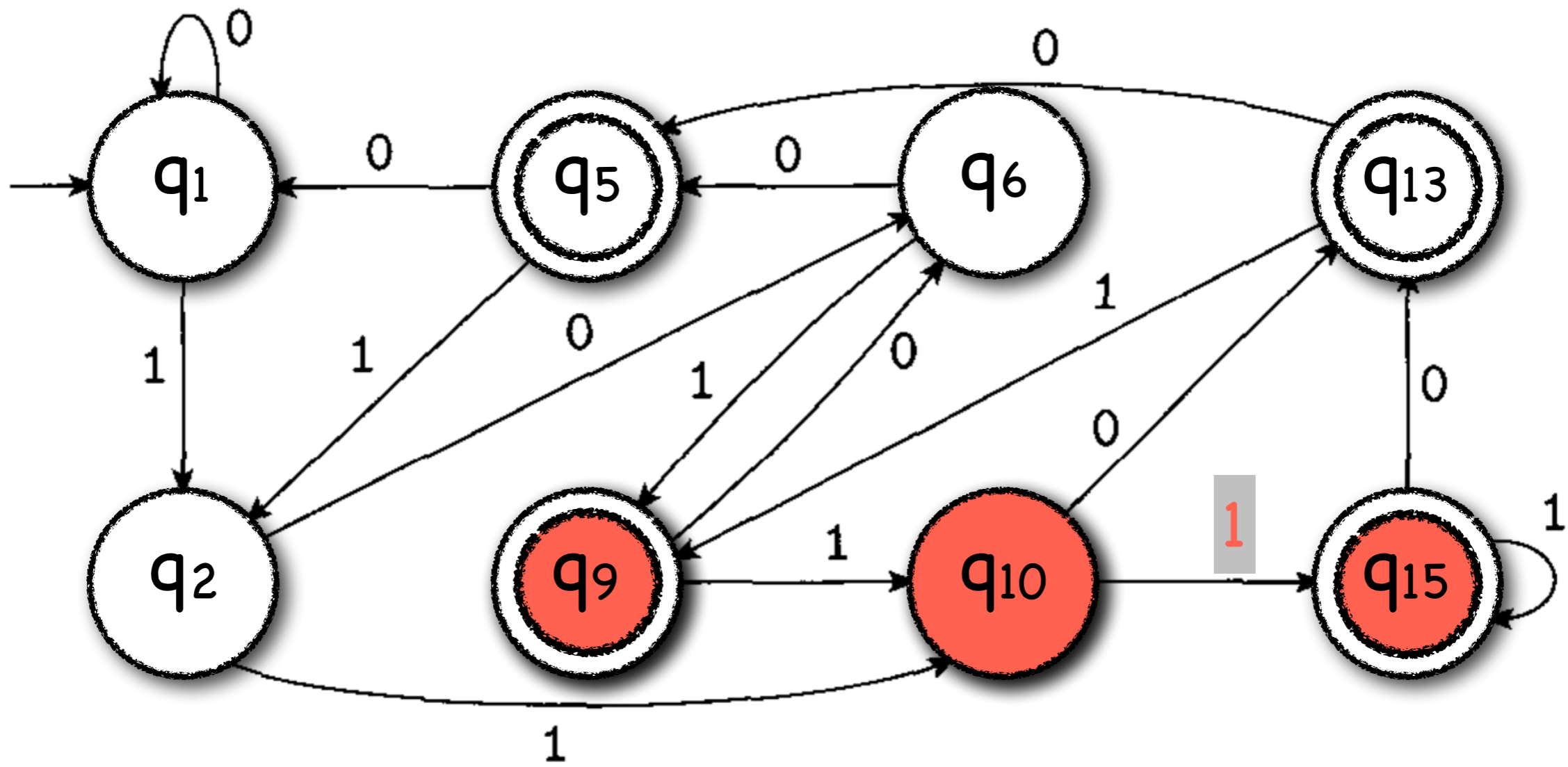
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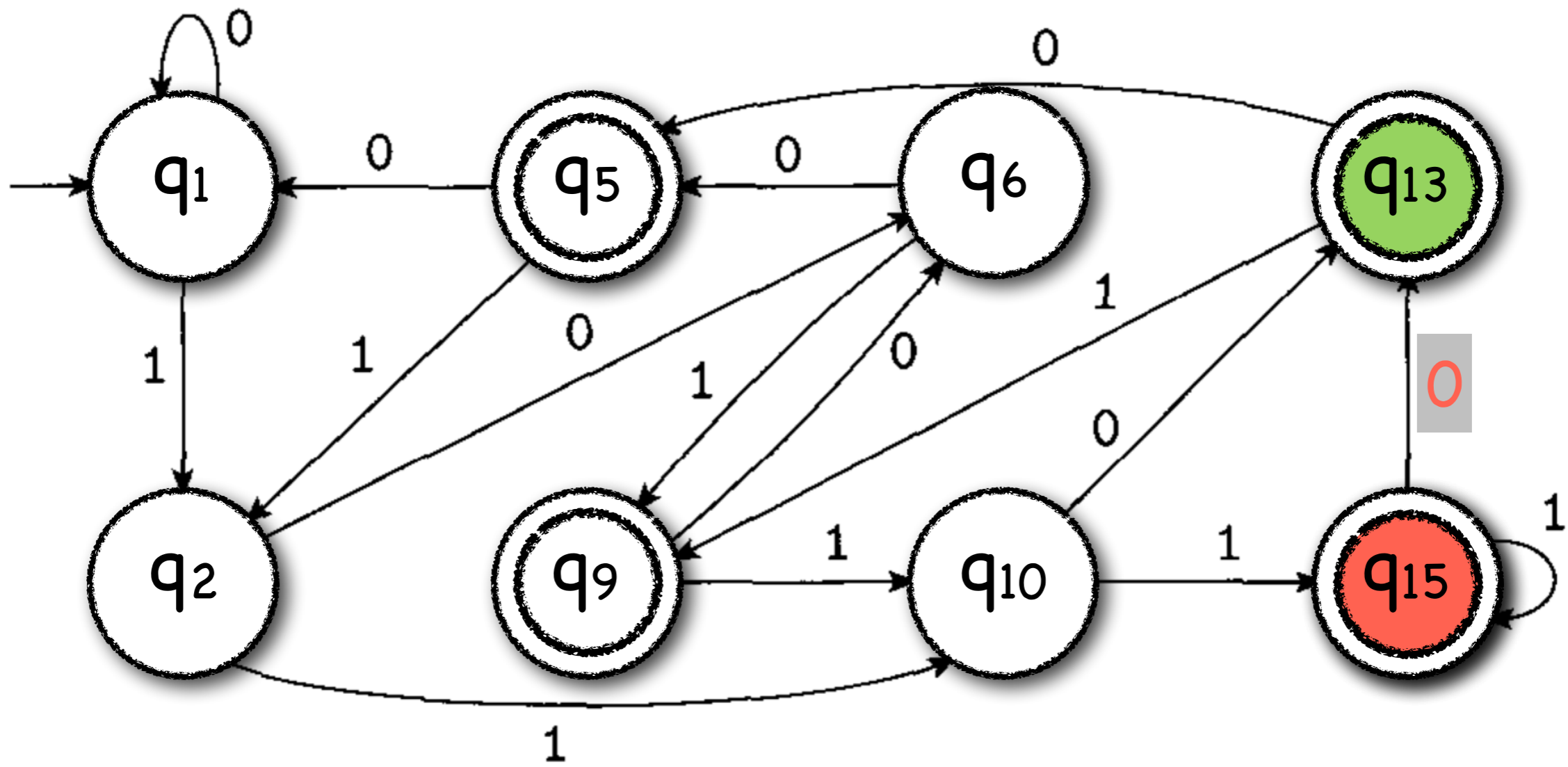
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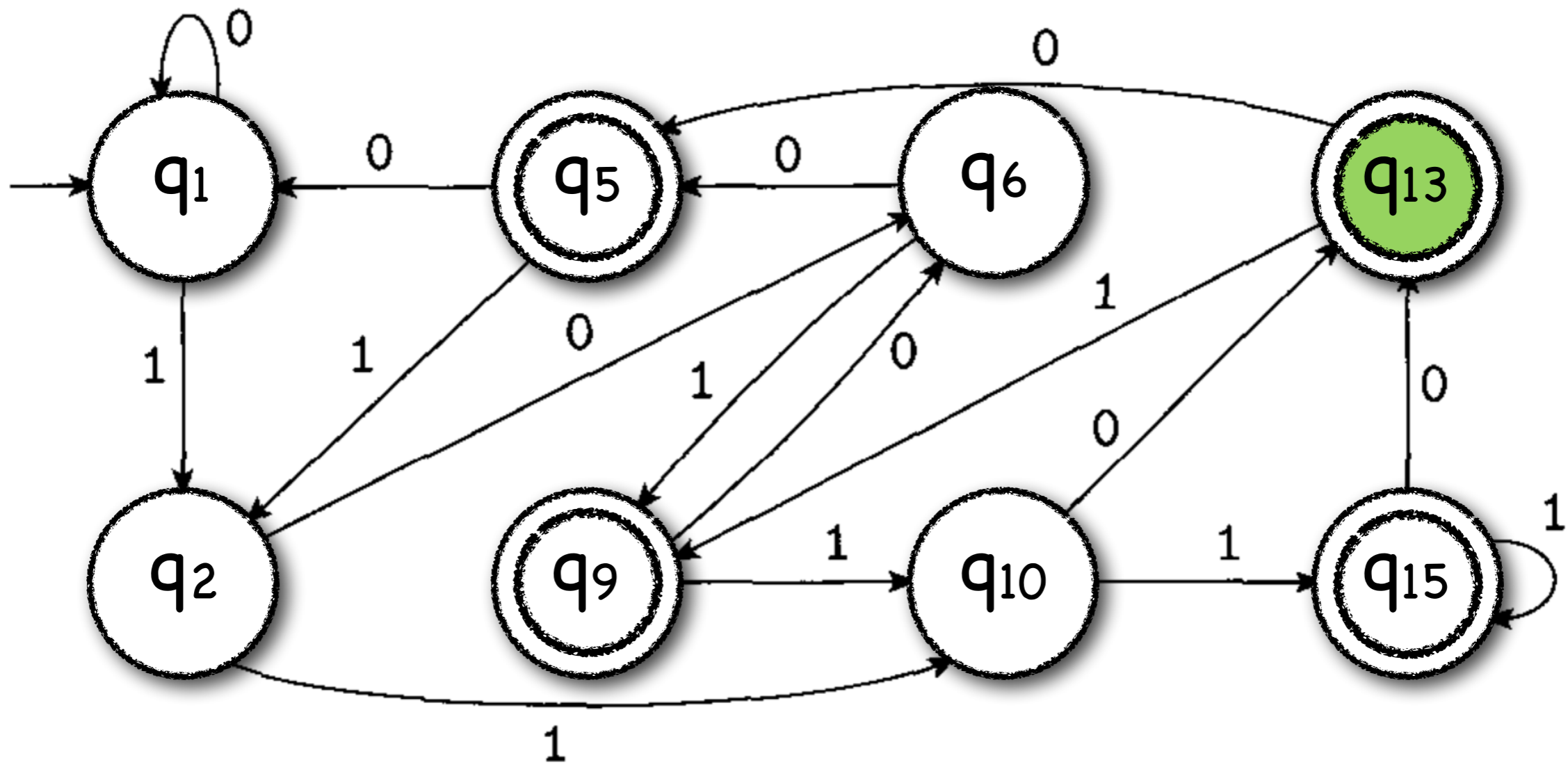
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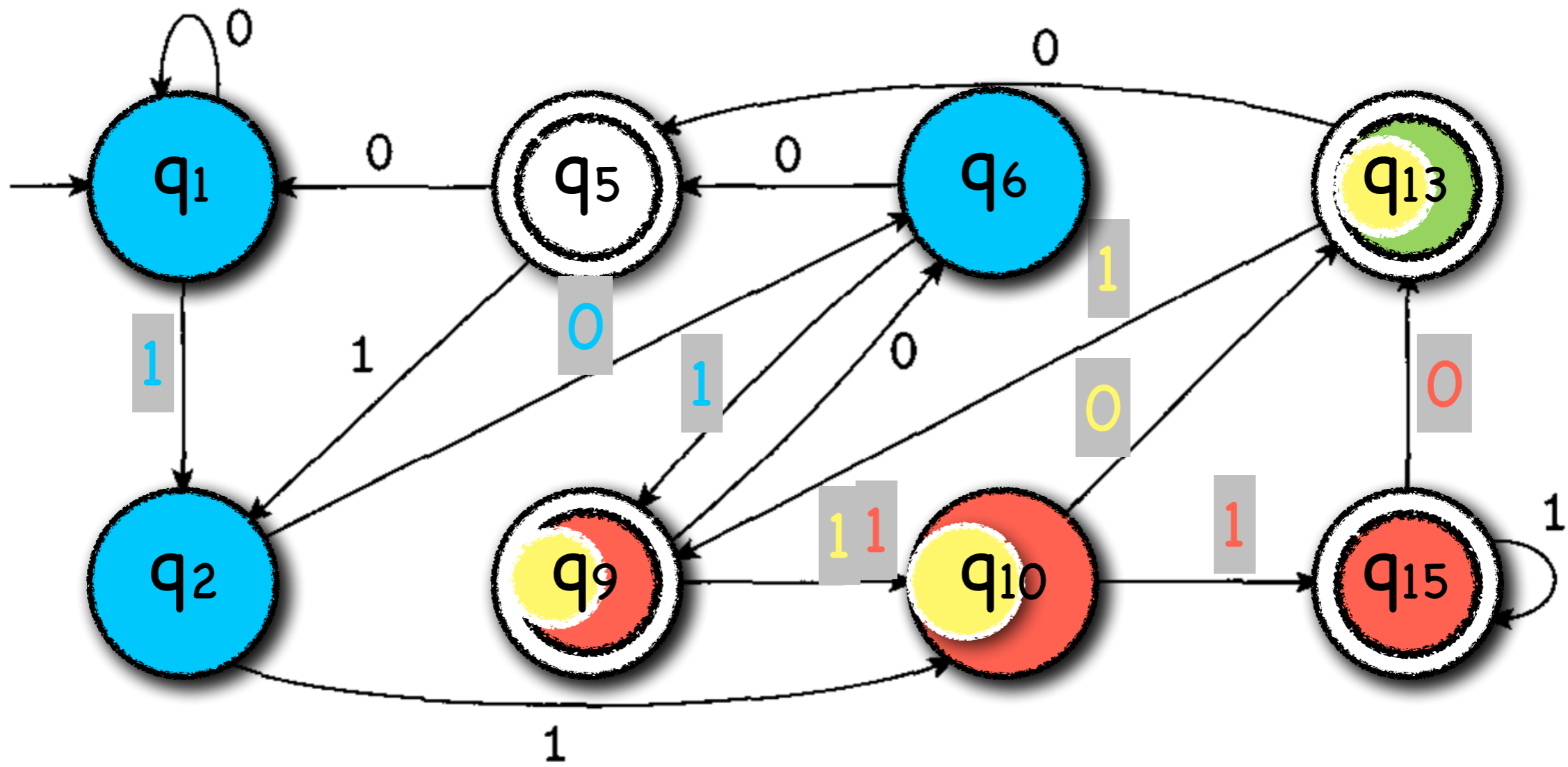
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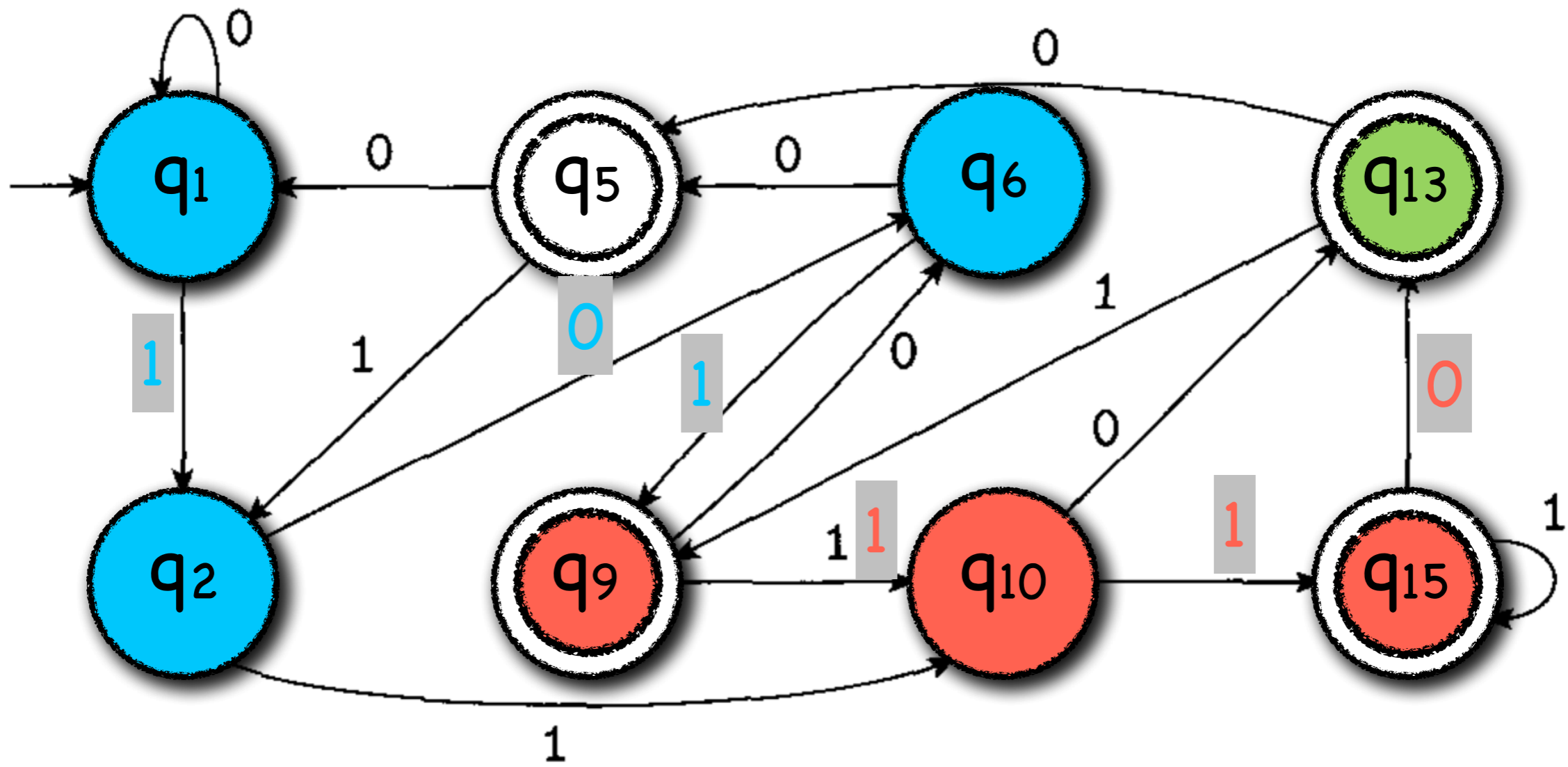
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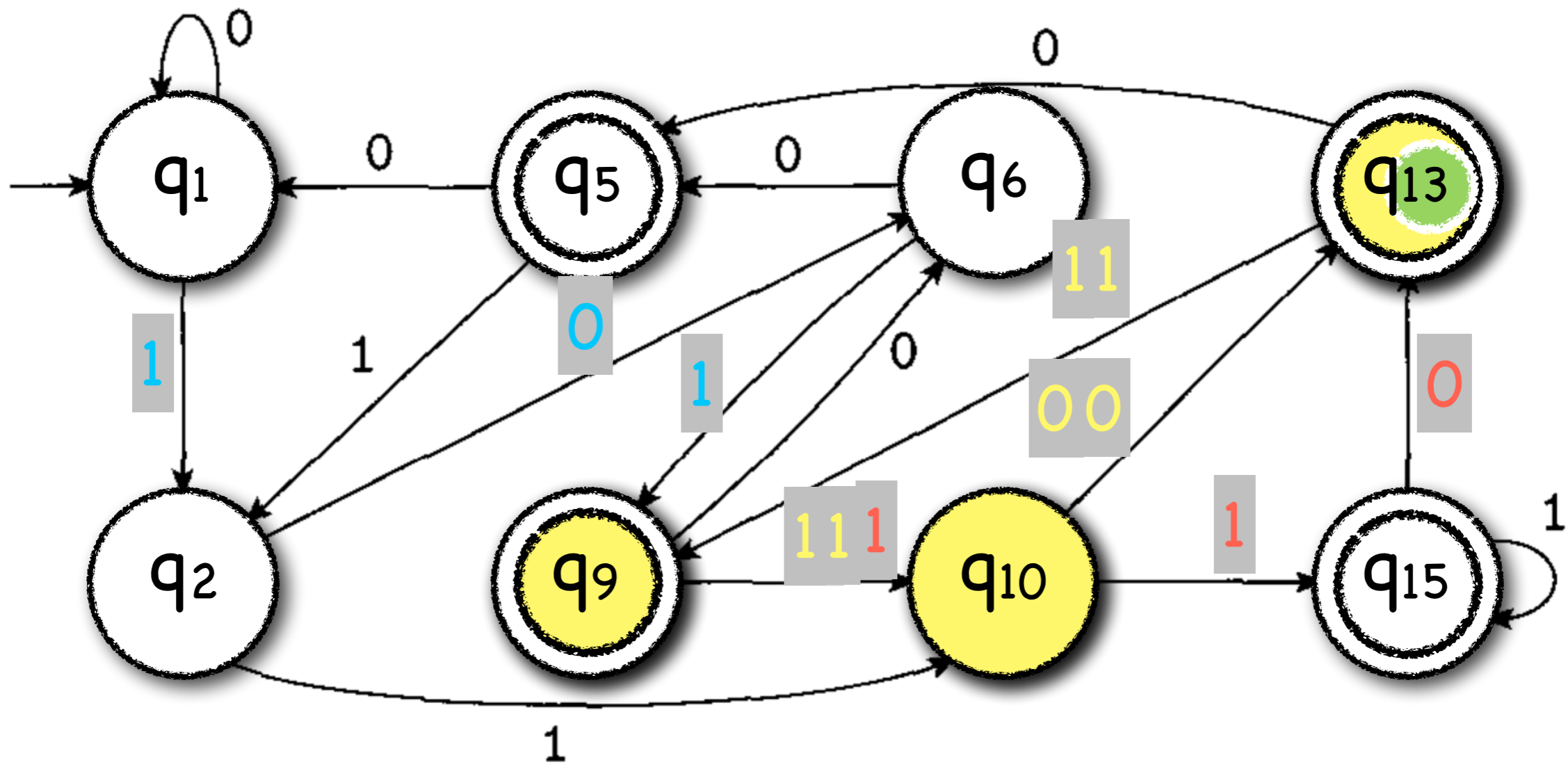
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$$xz = 101110$$

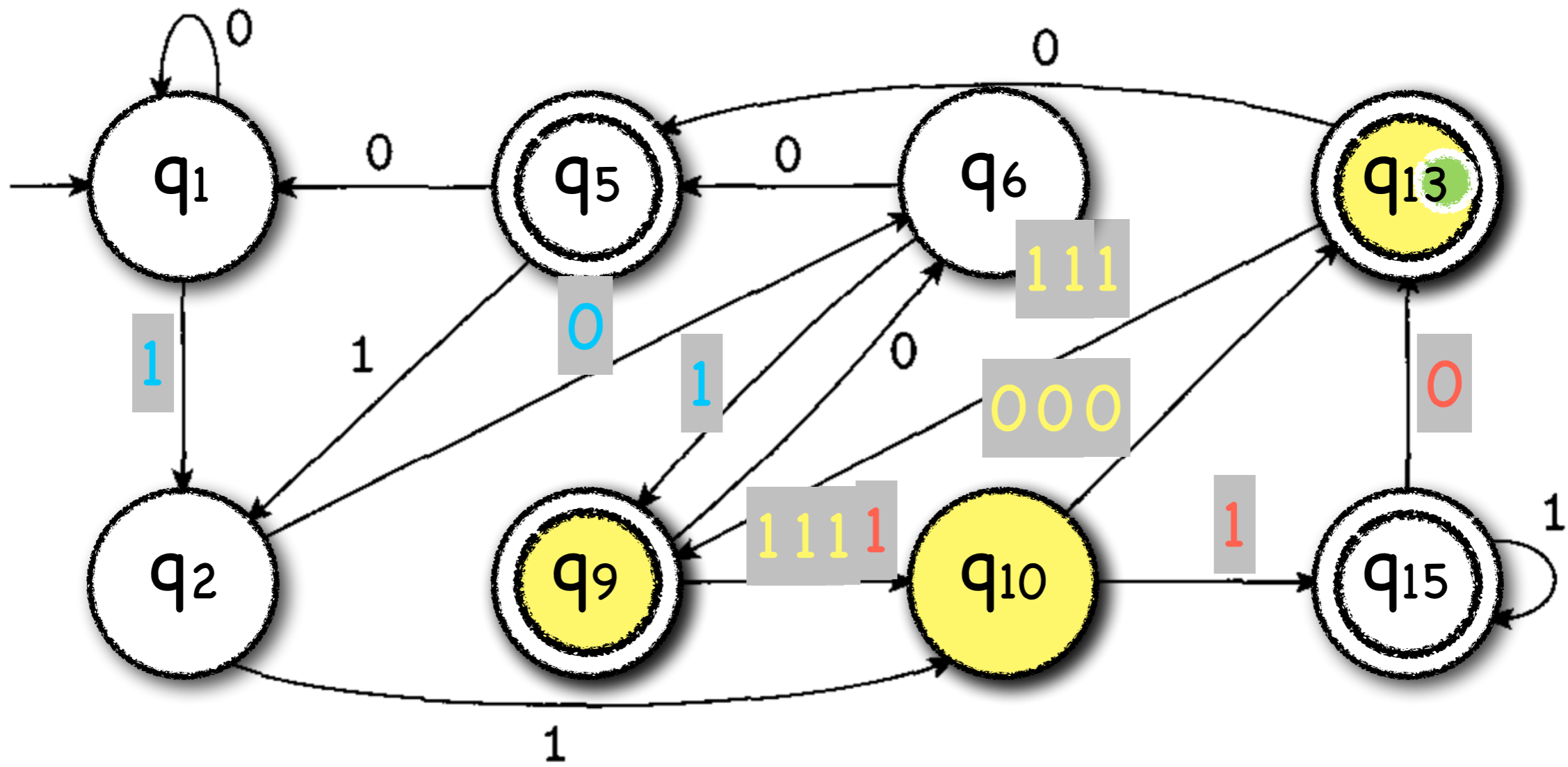


# Pumping Lemma



$$xyyz = 101101101110$$

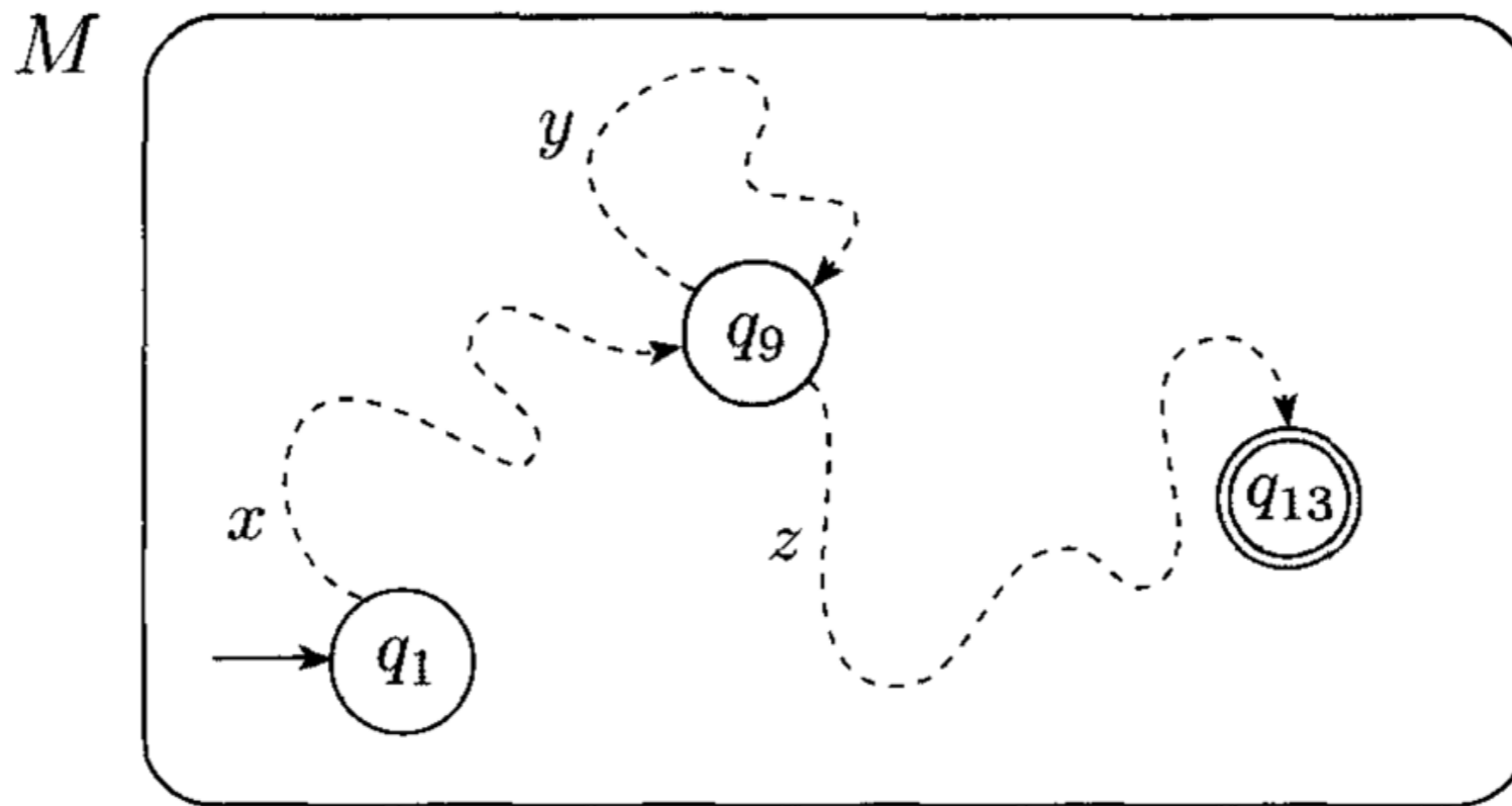
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$$xyyyz = 101101101101110$$

# Pumping Lemma

☞ If  $|xyz| > \text{number-of-states}$  then  $q_9$  exists...



**FIGURE 1.72**

Example showing how the strings  $x$ ,  $y$ , and  $z$  affect  $M$

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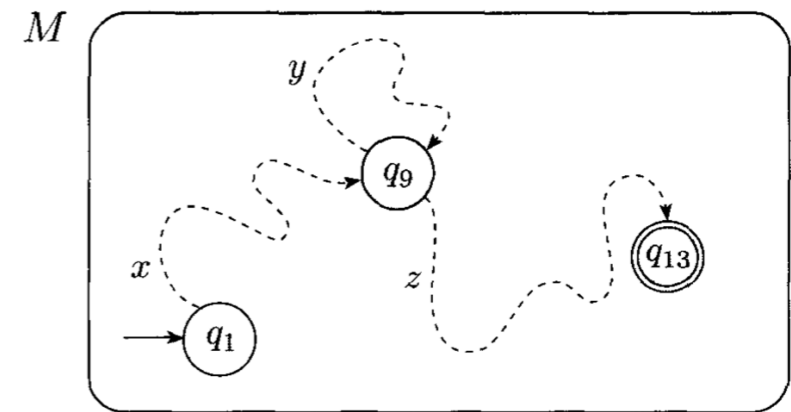


FIGURE 1.72

Example showing how the strings  $x$ ,  $y$ , and  $z$  affect  $M$

• Proof: Let  $M$  be an automaton accepting  $A$ .

Let  $n$  be the number of states of  $M$ .

Consider setting  $p=n+1$  as the pumping length. By the fact that  $p>n$ , any sequence of states  $s_0 \dots s_m$  accepting a string  $w$  of length  $m \geq p$  must contain two identical states  $s_i = s_j$  with  $j > i$ . Let  $j$  be the least index so that  $s_j = s_i$  for some  $i < j$  as above.

# Pumping Lemma

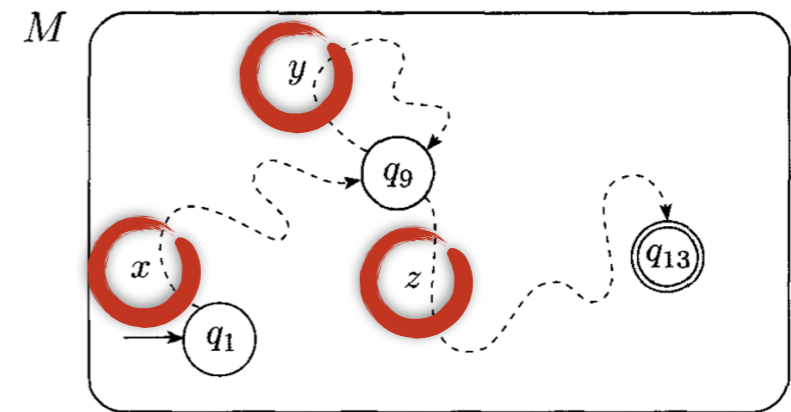


FIGURE 1.72

Example showing how the strings  $x$ ,  $y$ , and  $z$  affect  $M$

- Define  $x$  to be the string digested by  $M$  from  $s_0$  to  $s_i$ ,  $y$  be the string digested by  $M$  from  $s_i$  to  $s_j$  and  $z$  be the string digested by  $M$  from  $s_j$  to  $s_m$ .
- Since  $j > i$  we have  $|y| > 0$  (2.).
- Because our choice of  $y$  produces a closed loop it is clear that zero, one, or many repetitions of  $y$  will make no difference to being a member of  $A$  or not (1.).

# Pumping Lemma

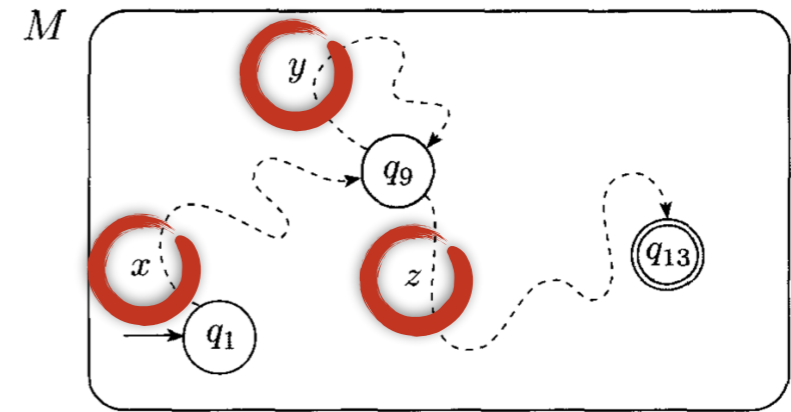


FIGURE 1.72 Example showing how the strings  $x$ ,  $y$ , and  $z$  affect  $M$

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- We obtain:

$$s_0 \ x_1 \ s_1 \ x_2 \ s_2 \dots s_{i-1} \ x_i \ s_i \ y_1 \ s_{i+1} \ y_2 \ s_{i+2} \dots s_{j-1} \ y_{j-i} \ s_j \ z_1 \dots$$

where all states upto  $s_{j-1}$  are distinct by the assumptions above. Thus  $|xy| = i+j-i = j \leq p$  (3.).

QED

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$\forall p \exists s \in A, |s| \geq p, \forall xyz = s$  [1 or 2 or 3 = false].

$\implies A \notin \text{REG}$

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$\forall p \exists s \in A, |s| \geq p, \forall xyz = s \text{ s.t. } |y| > 0, |xy| < p,$   
then  $\exists i \geq 0 \text{ s.t. } s' = xy^i z \notin A.$

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# Application of the Pumping Lemma

- $B = \{ 0^n 1^n \mid n \geq 0 \}$  is NON-Regular.
- Assume  $B$  is regular. Then by the pumping Lemma there exists a pumping length  $p$  with properties 1., 2. and 3. satisfied. Take  $n=p$  and set  $s = 0^p 1^p \in B$ . Then by 3.  $xy$  contains only zeros. Therefore if we pump even once to obtain  $s' = xy^2z = 0^q 1^p$  it will contain more zeros than ones ( $q > p$ ): a string  $s'$  not in  $B$ . Thus  $B$  is non-regular.

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# Application of the Pumping Lemma

- $F = \{ ww \mid w \in \Sigma^* \}$  is NON-Regular.
- Assume  $F$  is regular. Then by the pumping Lemma there exists a pumping length  $p$  with properties 1., 2. and 3. satisfied. Take  $s = 0^p 1 0^p 1 \in F$ . Then by 3.  $xy$  contains only zeros. Therefore if we pump even once to obtain  $s' = xy^2z$  it will contain more zeros before the first one than after the first one : a string  $s'$  not in  $F$ . Thus  $F$  is non-regular.

$\forall p \exists s \in F, |s| \geq p, \forall xyz = s \text{ s.t. } |y| > 0, |xy| < p,$   
then  $\exists i \geq 0 \text{ s.t. } s' = xy^iz \notin F.$   
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# Application of the Pumping Lemma

- $E = \{ 0^i 1^j \mid i > j \geq 0 \}$  is NON-Regular.
- Assume  $E$  is regular. Then by the pumping Lemma there exists a pumping length  $p$  with properties 1., 2. and 3. satisfied. Take  $i=p+1$ ,  $j=p$  and obtain  $s=0^{p+1}1^p \in E$ . Then by 3.  $xy$  contains only zeros.



$\forall p \exists s \in E, |s| \geq p, \forall xyz = s \text{ s.t. } |y| > 0, |xy| < p,$   
then  $\exists i \geq 0 \text{ s.t. } s' = xy^i z \notin E.$   
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# Application of the Pumping Lemma

- $E = \{ 0^i 1^j \mid i > j \geq 0 \}$  is NON-Regular.
- Therefore if we pump up to obtain  $s' = xyyz = 0^k 1^j$ ,  $k > i$  it will contain even more zeros than ones, which is still a string  $s'$  in  $E$ . If we pump down however  $s'' = xz$ , the number of zeros will become smaller or equal to the number of ones: an  $s''$  not in  $E$ . Thus  $E$  is non-regular.

$\forall p \exists s \in E, |s| \geq p, \forall xyz = s \text{ s.t. } |y| > 0, |xy| < p,$   
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# Application (?) of the Pumping Lemma

**1.54** Consider the language  $F = \{a^i b^j c^k \mid i, j, k \geq 0 \text{ and if } i = 1 \text{ then } j = k\}$ .

- a. Show that  $F$  is not regular.
- b. Show that  $F$  acts like a regular language in the pumping lemma. In other words, give a pumping length  $p$  and demonstrate that  $F$  satisfies the three conditions of the pumping lemma for this value of  $p$ .
- c. Explain why parts (a) and (b) do not contradict the pumping lemma.

• c. The Pumping Lemma says: if  $A$  is regular then 1., 2. and 3. are satisfied. It does not say: if  $A$  is not regular then 1., 2. or 3. is not satisfied... We can only conclude the opposite: if 1., 2. or 3. is not satisfied then  $A$  is not regular...

# Application of the Pumping Lemma

- $D = \{ 1^{n^2} \mid n \geq 0 \}$  is NON-Regular.
- Assume  $D$  is regular. Then by the pumping Lemma there exists a pumping length  $p$  with properties 1., 2. and 3. satisfied. Take  $n=p$  and obtain  $s=1^{p^2}$ . Let  $i=|y| \leq p$ . If we pump up we get  $s''=xyyz=1^{p^2+i}$ . Is it possible that both  $p^2$  and  $p^2+i$  be perfect squares ? No! The next square after  $p$  is
$$(p+1)^2 = p^2+2p+1 > p^2+p+1 > p^2+i$$
proving that  $s''$  is not in  $D$ . So  $D$  is non-regular.

$\forall p \exists s \in D, |s| \geq p, \forall xyz = s \text{ s.t. } |y| > 0, |xy| < p,$   
 then  $\exists i \geq 0 \text{ s.t. } s'' = xy^i z \notin D.$   
 $\implies D \notin \text{REG}$

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• Assume  $D$  is regular. Then by the pumping Lemma there exists a pumping length  $p$  with properties 1., 2. and 3. satisfied. Take  $n=p$  and obtain  $s=1^{p^2}$ .

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Is it possible that both  $p^2$  and  $p^2+i$  be perfect squares ? No! The next square after  $p$  is

$$(p+1)^2 = p^2 + 2p + 1 > p^2 + p + 1 > p^2 + i$$

proving that  $s''$  is not in  $D$ . So  $D$  is non-regular.

All languages

# Computability Theory

Languages we can describe

Decidable Languages

Context-free Languages

Regular Languages

NON-Regular Languages

NON-Regular Languages  
via Pumping Lemma

via Reductions

COMP-330

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