

COMP-330

Theory of Computation

Fall 2019 -- Prof. Claude Crépeau

Lec. 4 : DFAs, NFAs +
Kleene's theorem



TUTORIALS

Fri 13 sep, Mon 16 sep, Thu 19 sep

🕒 TUTORIALS Hours :

Pouriya : Friday 13:00–14:00 ARTS W-215 (cap 105)

Pierre-William : Monday 15:00–16:00 ARTS 150 (cap 88)

Anirudha : Monday 16:00–17:00 ENGTR 3090

Justin : Tuesday 15:00–16:00 ENGTR 3110

Yanjia : Friday 10:00–11:00 ENGTR 3110

Shiquan : Thursday 15:00–16:00 WILSON 105 (cap 70)

COMP-330 Fall 2019 — Weekly Schedule

Mon 10:00	Tue 10:00	Wed 10:00	Thu 10:00	Yanja TR-3110
Mon 10:30	Tue 10:30	Wed 10:30	Thu 10:30	
Mon 11:00	Tue 11:00	Wed 11:00	Thu 11:00	Fri 11:00
Mon 11:30	Tue 11:30	Wed 11:30	Thu 11:30	Fri 11:30
Mon 12:00	Tue 12:00	Wed 12:00	Thu 12:00	Fri 12:00
Mon 12:30	Tue 12:30	Wed 12:30	Thu 12:30	Fri 12:30
Mon 13:00	Claude MA-112 course	Claude MC-110N office hours	Claude MA-112 course	Pouriya ARTW-215
Mon 13:30				
Mon 14:00				Fri 14:00
Mon 14:30	Tue 14:30		Thu 14:30	Fri 14:30
Pierre-W. ARTS-150	Justin TR-3110		Shiquan WIL-105	Fri 15:00
				Fri 15:30
Anirudha TR-3090	Tue 16:00	Wed 16:00	TA meeting ?	Fri 16:00
	Tue 16:30	Wed 16:30		Fri 16:30

MC = MCENG = McConnell • TR = ENGTR = Trottier

COMP 330 Fall 2019:

Lectures Schedule

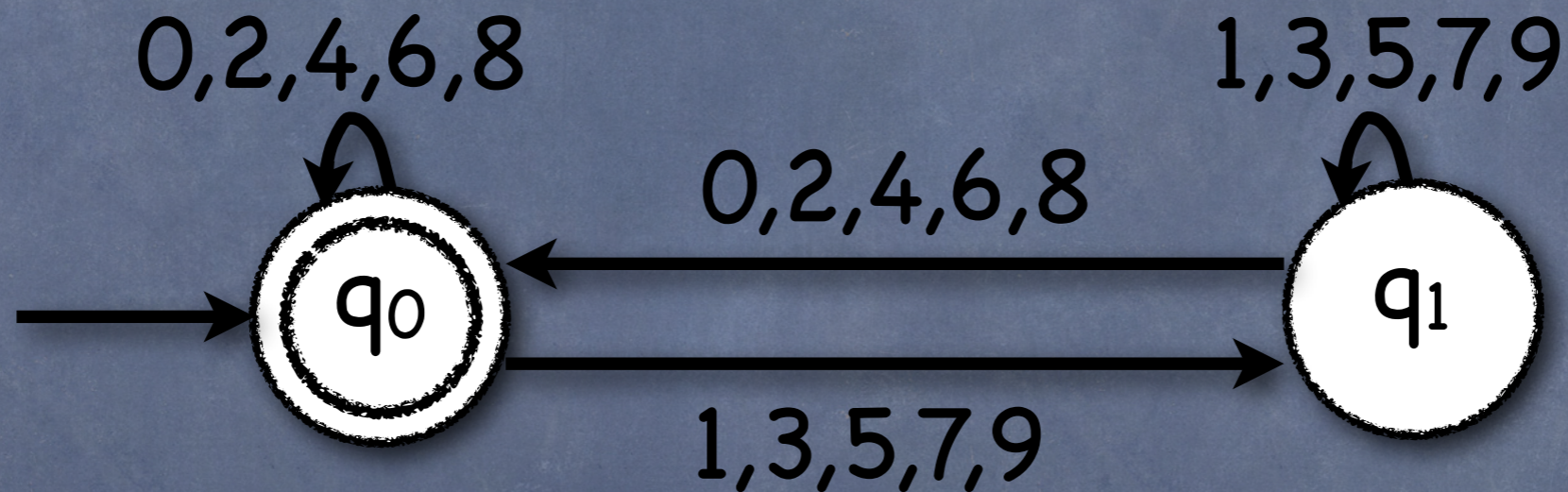
- 1-2. Introduction
 - 1.5. Some basic mathematics
- 2-3. Deterministic finite automata
 - +Closure properties.
- 4. Nondeterministic finite automata
- 5. Minimization+ Myhill-Nerode theorem
- 6. Determinization+Kleene's theorem
- 7. Regular Expressions+GNFA
- 8. Regular Expressions and Languages
- 9-10. The pumping lemma
- 11. Duality
- 12. Labelled transition systems
- 13. MIDTERM
- 14. Context-free languages
- 15. Pushdown automata
- 16. Parsing
- 17. The pumping lemma for CFLs
- 18. Introduction to computability
- 19. Models of computation
 - Basic computability theory
- 20. Reducibility, undecidability and Rice's theorem
- 21. Undecidable problems about CFGs
- 22. Post Correspondence Problem
- 23. Validity of FOL is RE / Gödel's and Tarski's thms
- 24. Universality / The recursion theorem
- 25. Degrees of undecidability
- 26. Introduction to complexity

Examples: automata for multiples of N base B

- automata for multiples of $N = 0 \pmod N$
- examples mod 2, mod 3, mod 7

0 MOD 2 (base 10)

$M_{2,10}$

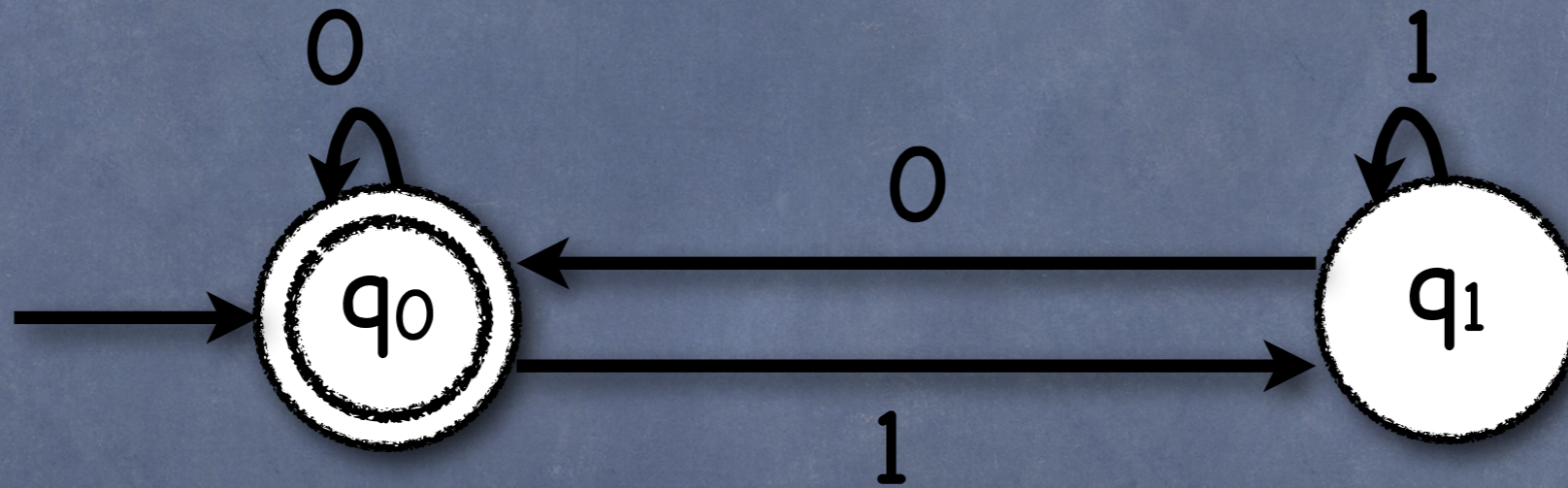


- Remember what you learned in elementary school: N is a multiple of 2 iff it ends by 0,2,4,6 or 8.

$M_{2,10}$ stops in state $q_r \iff w = r \pmod{2}$

0 MOD 2 (base 2)

$M_{2,2}$



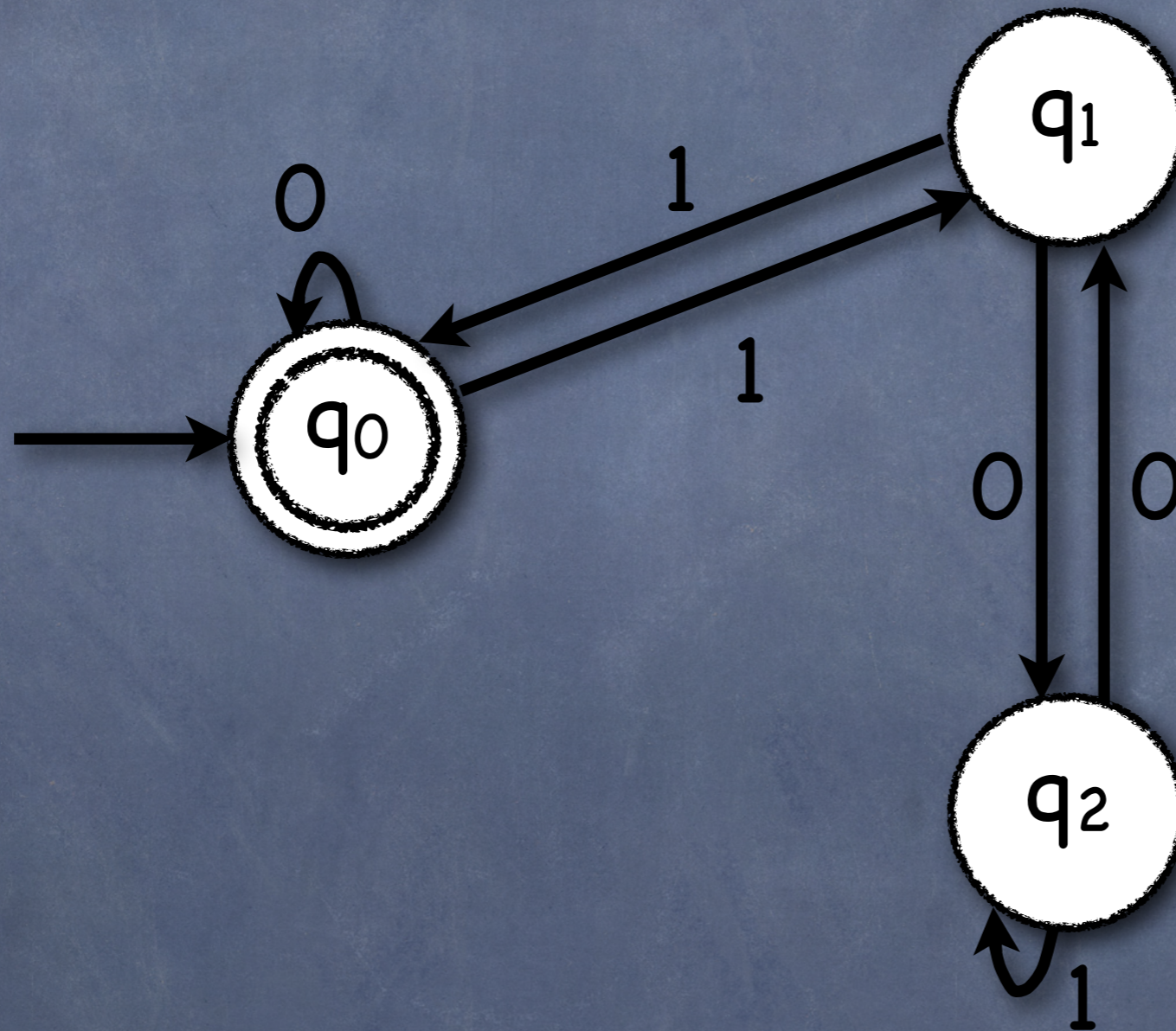
- Remember what you learned in school of CS:
N (in binary) is a multiple of 2 iff it ends by 0.

$M_{2,2}$ stops in state $q_r \iff w = r \pmod 2$

$$\gcd(B,N) = 1$$

0 MOD 3 (base 2)

$M_{3,2}$

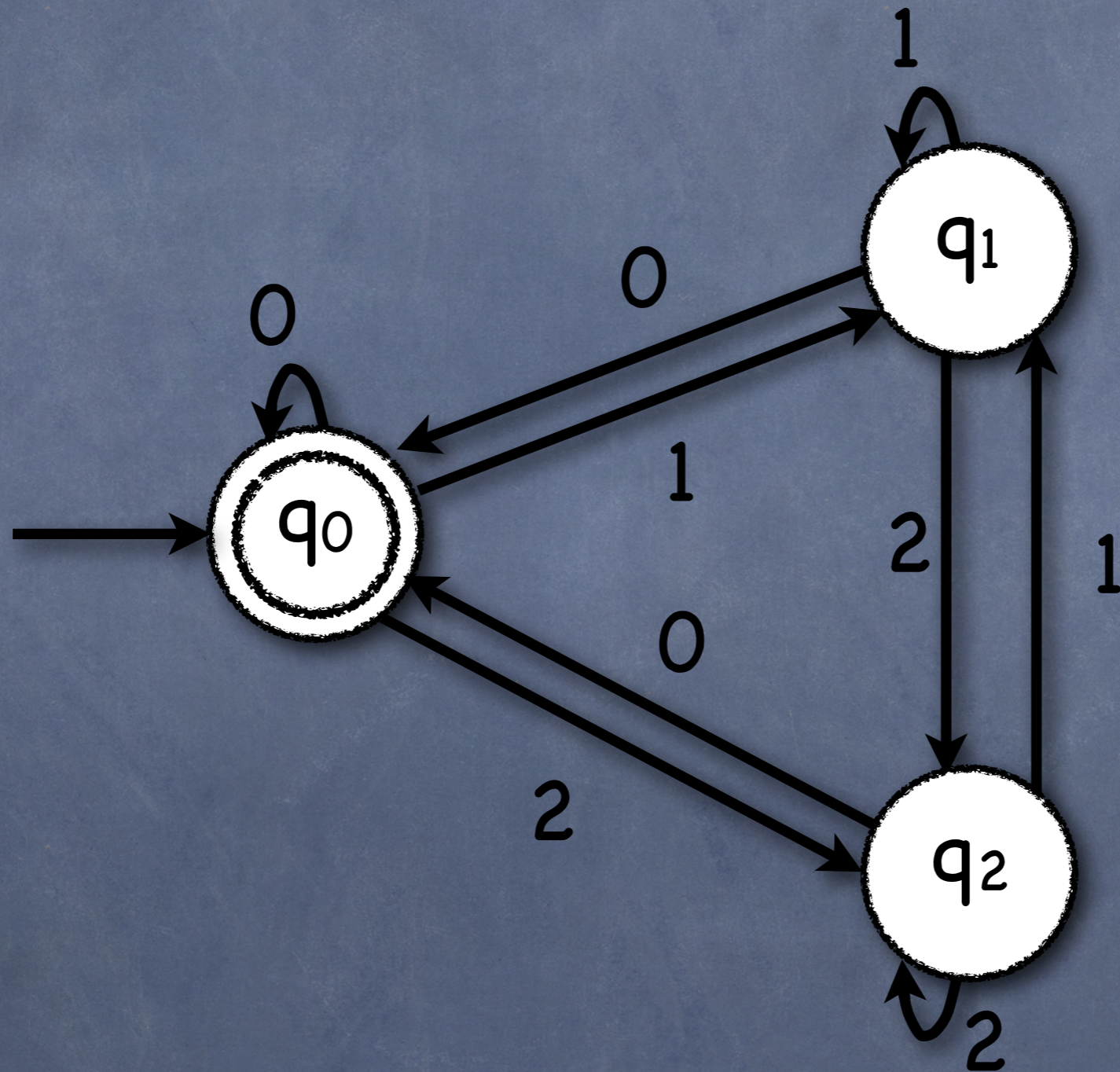


$M_{3,2}$ stops in state $q_r \iff w = r \pmod 3$

$$\gcd(B,N) > 1$$

0 MOD 3 (base 3)

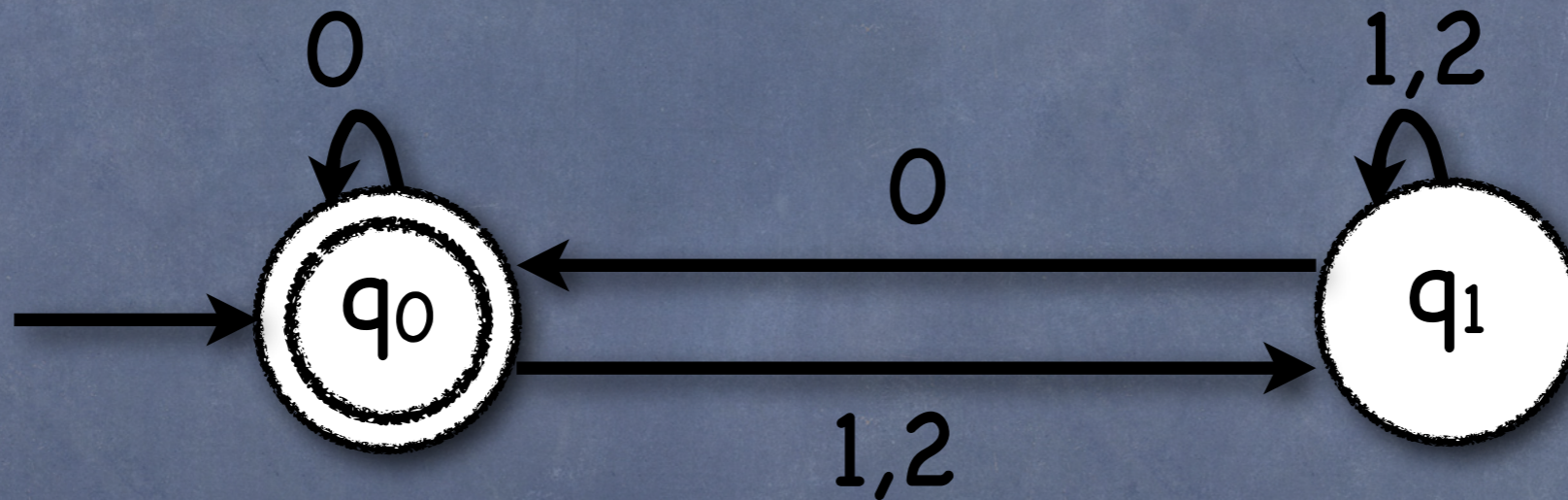
$M_{3,3}$



$M_{3,3}$ stops in state $q_r \iff w = r \pmod 3$

0 MOD 3 (base 3)

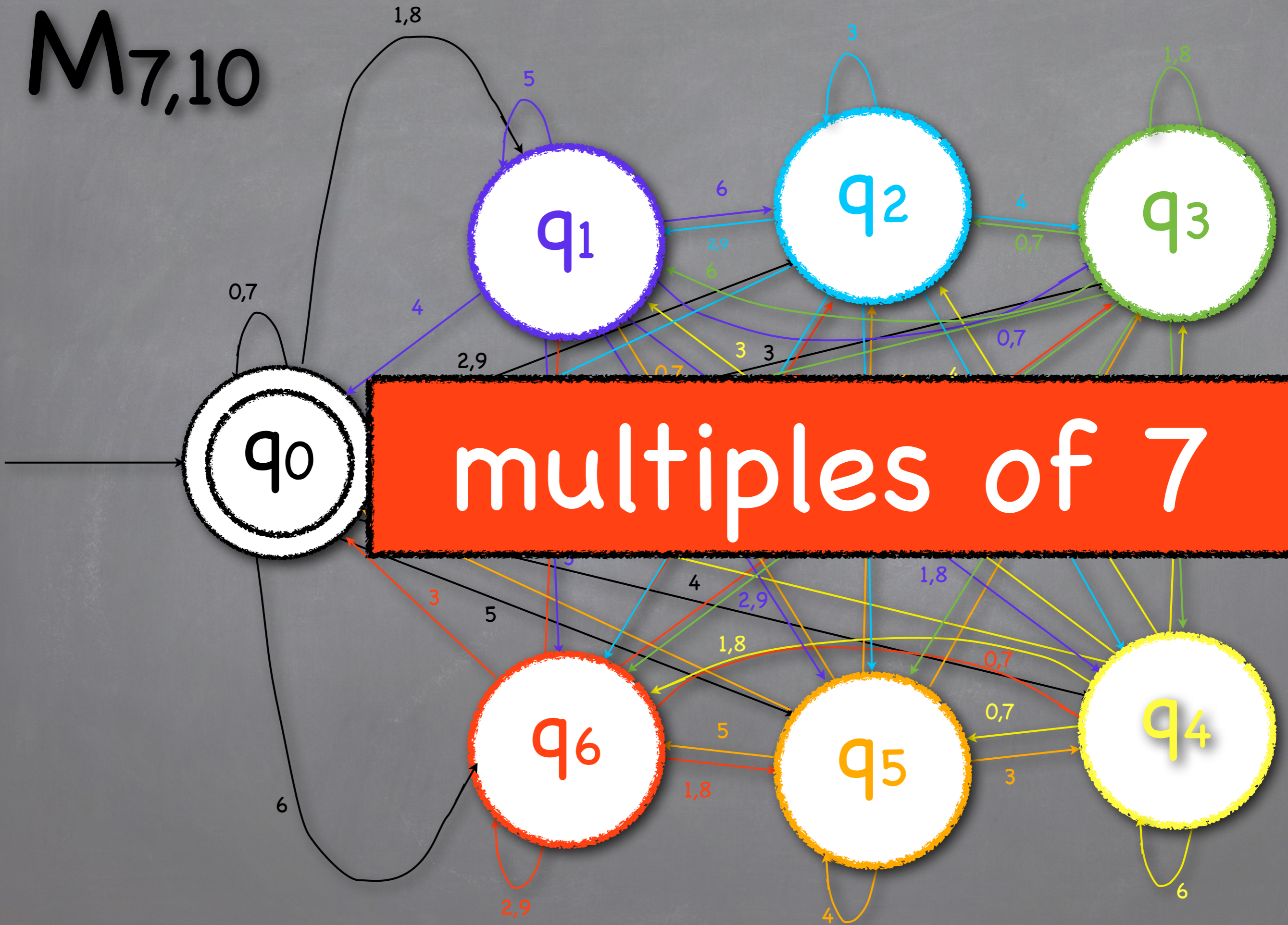
$M'_{3,3}$



- Remember what you learned in school of CS:
N (in ternary) is a multiple of 3 iff it ends by 0.

$M'_{3,3}$ stops in state $q_0 \iff w = 0 \pmod{3}$

M_{7,10}



Another example:
multiples of 7...

Another example: multiples of 7...

- Remember forever what you are learning in COMP-330 today : N is a multiple of 7 if $N \in L(M_{7,10})$.
- Example: 54705 is a multiple of 7 because
- $5 = (10 \times 0 + 5) = 5 = 5 \pmod{7}$,
- $54 = (10 \times 5 + 4) = 54 = 5 \pmod{7}$,
- $547 = (10 \times 5 + 7) = 57 = 1 \pmod{7}$,
- $5470 = (10 \times 1 + 0) = 10 = 3 \pmod{7}$ and
- $54705 = (10 \times 3 + 5) = 35 = 0 \pmod{7}$

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- Example: 54705 is a multiple of 7 because
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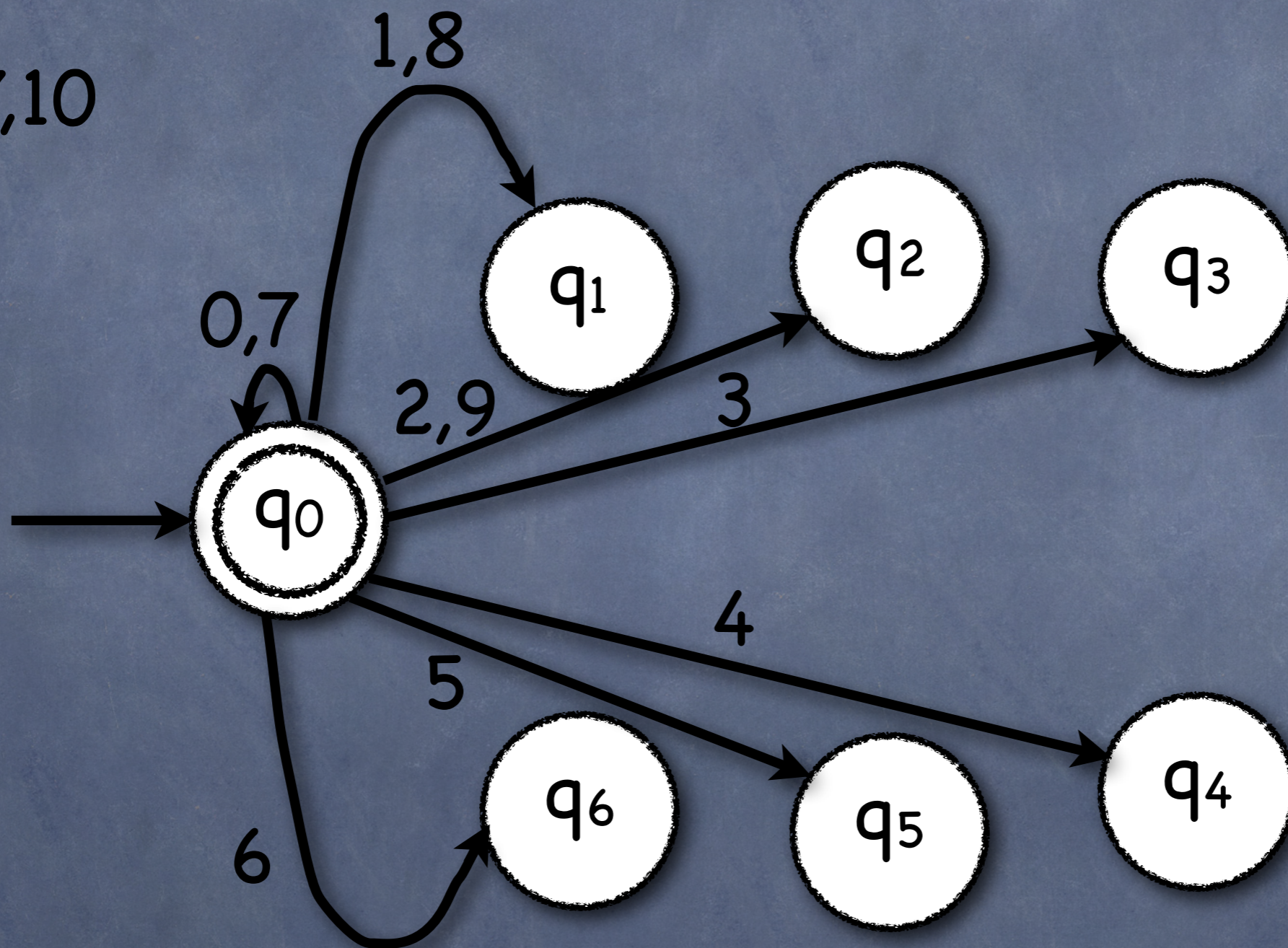
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$$\gcd(B,N) = 1$$

$0 \text{ MOD } 7$ (base 10)

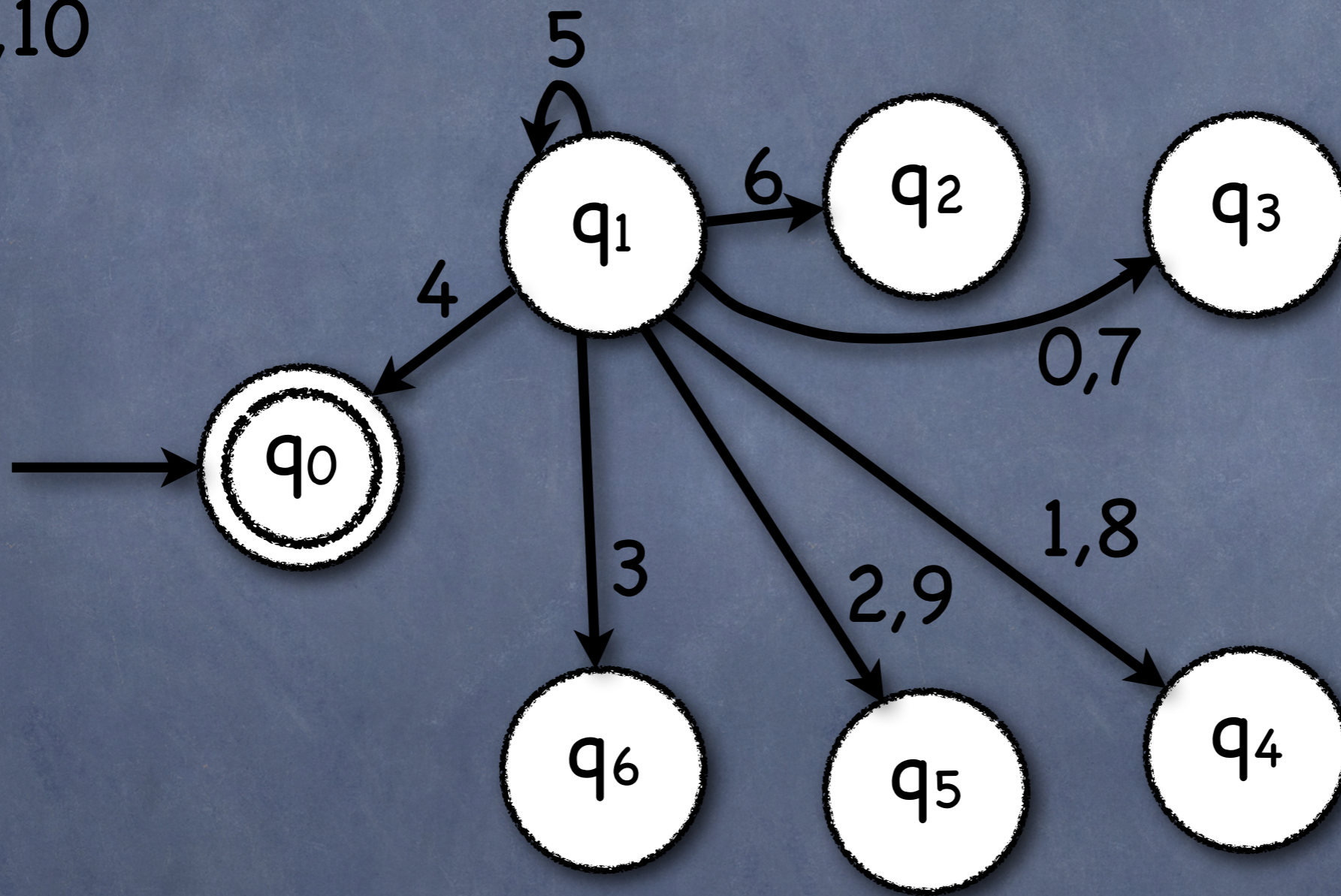
$M_{7,10}^0$



$M_{7,10}$ stops in state $q_r \iff w = r \text{ mod } 7$

1 MOD 7 (base 10)

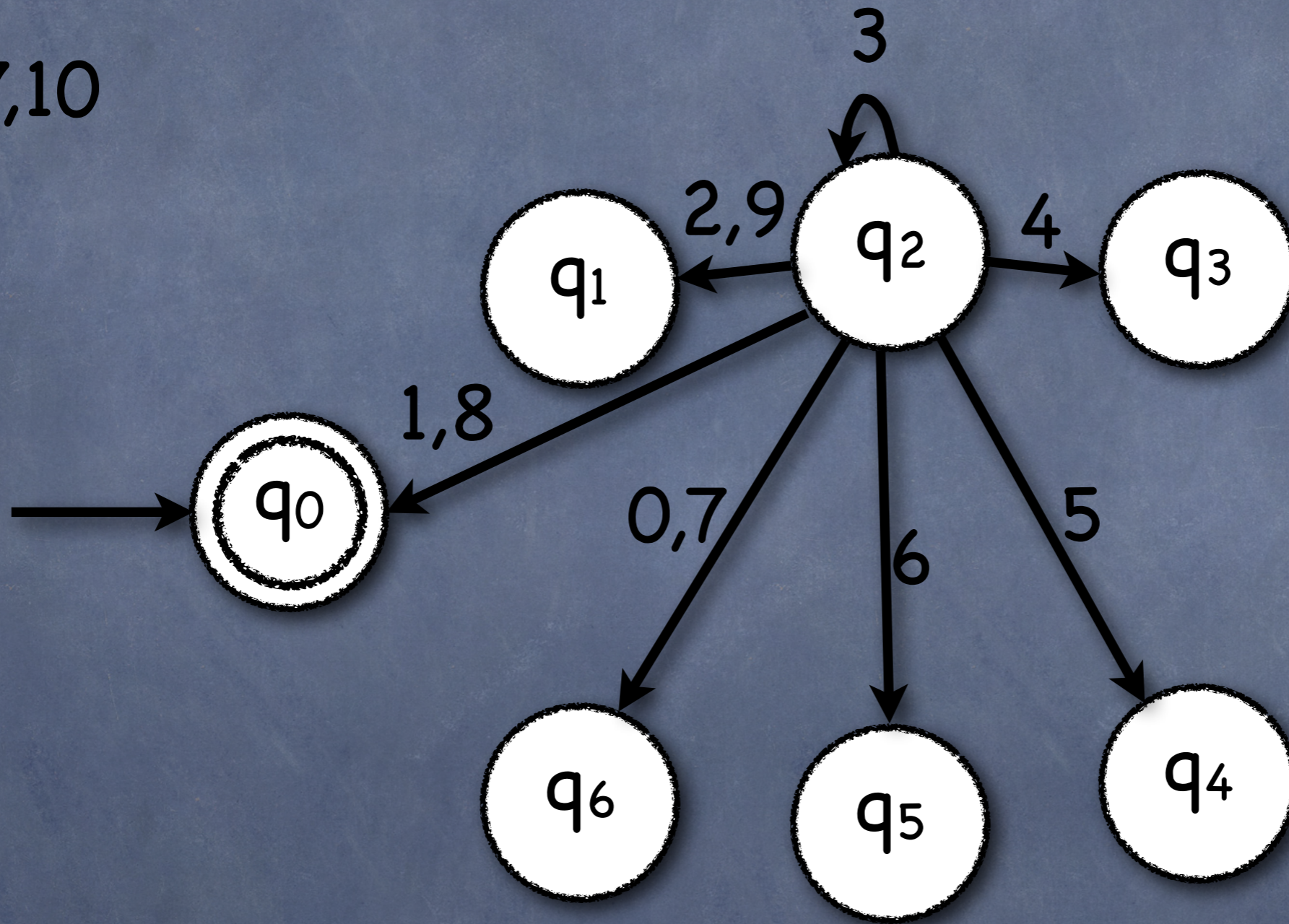
$M_{7,10}^1$



$M_{7,10}$ stops in state $q_r \iff w = r \pmod{7}$

2 MOD 7 (base 10)

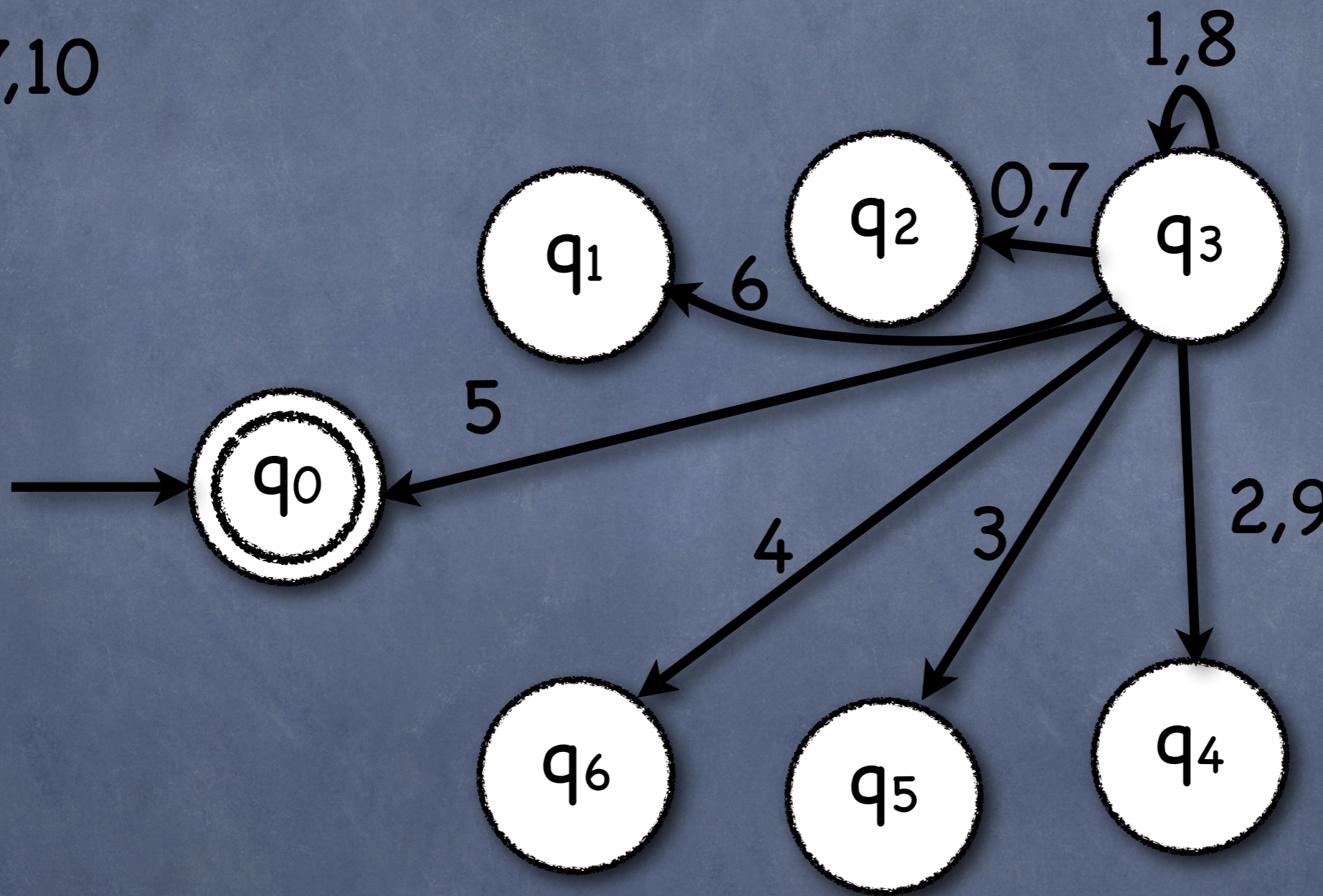
$M_{7,10}^2$



$M_{7,10}$ stops in state $q_r \iff w = r \pmod{7}$

3 MOD 7 (base 10)

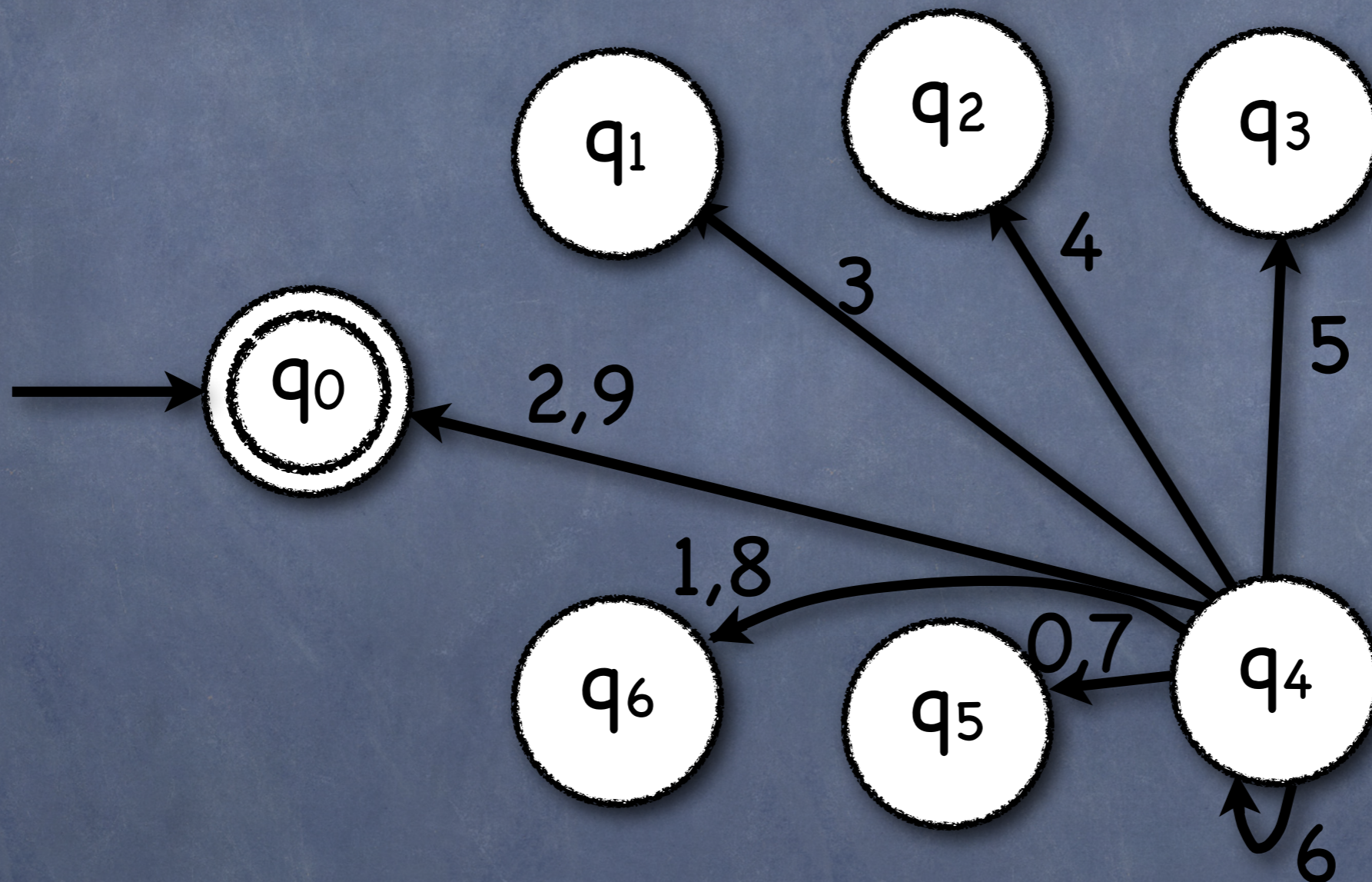
$M_{7,10}^3$



$M_{7,10}$ stops in state $q_r \iff w = r \pmod{7}$

4 MOD 7 (base 10)

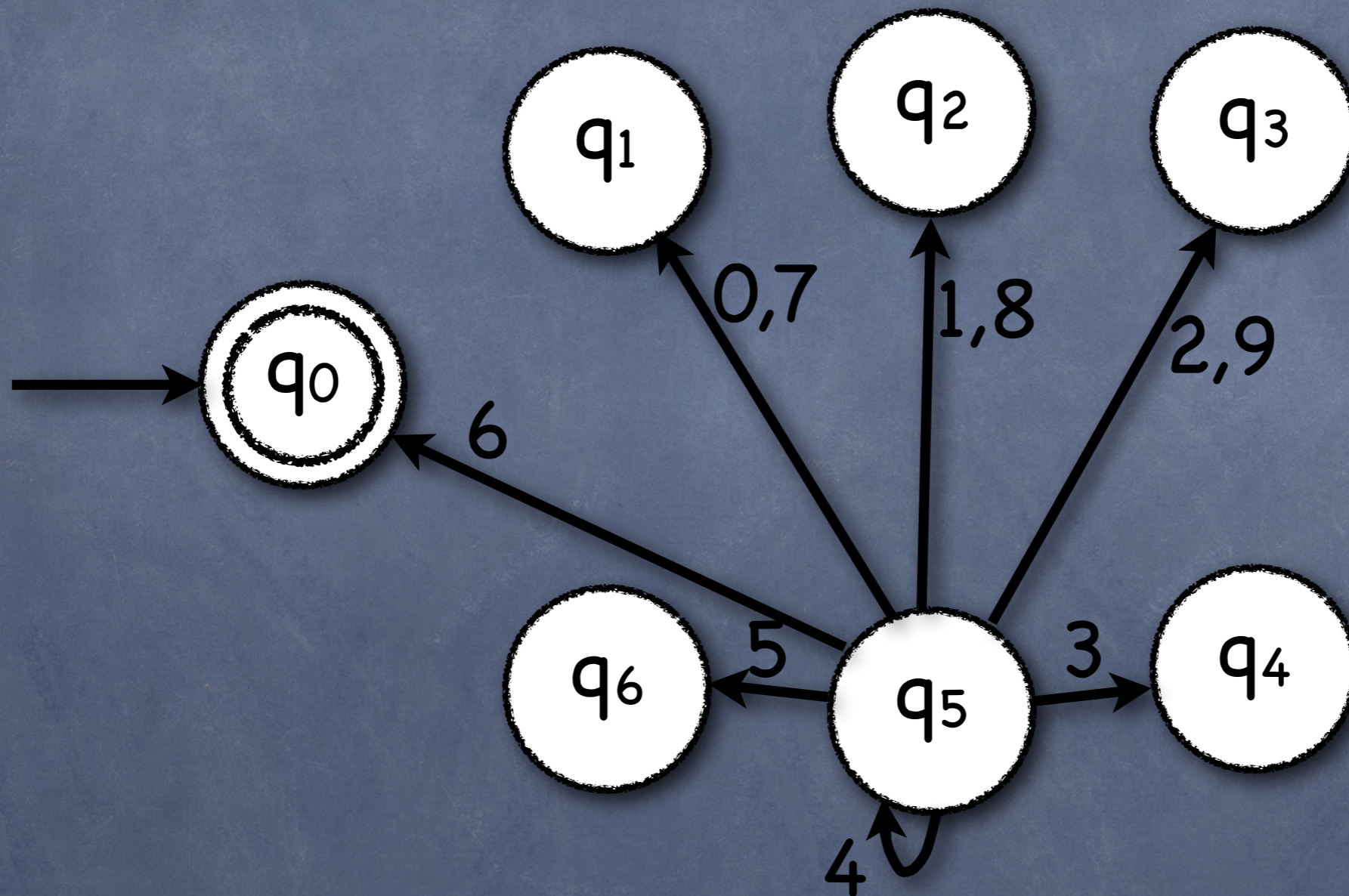
$M_{7,10}^4$



$M_{7,10}$ stops in state $q_r \iff w = r \pmod{7}$

5 MOD 7 (base 10)

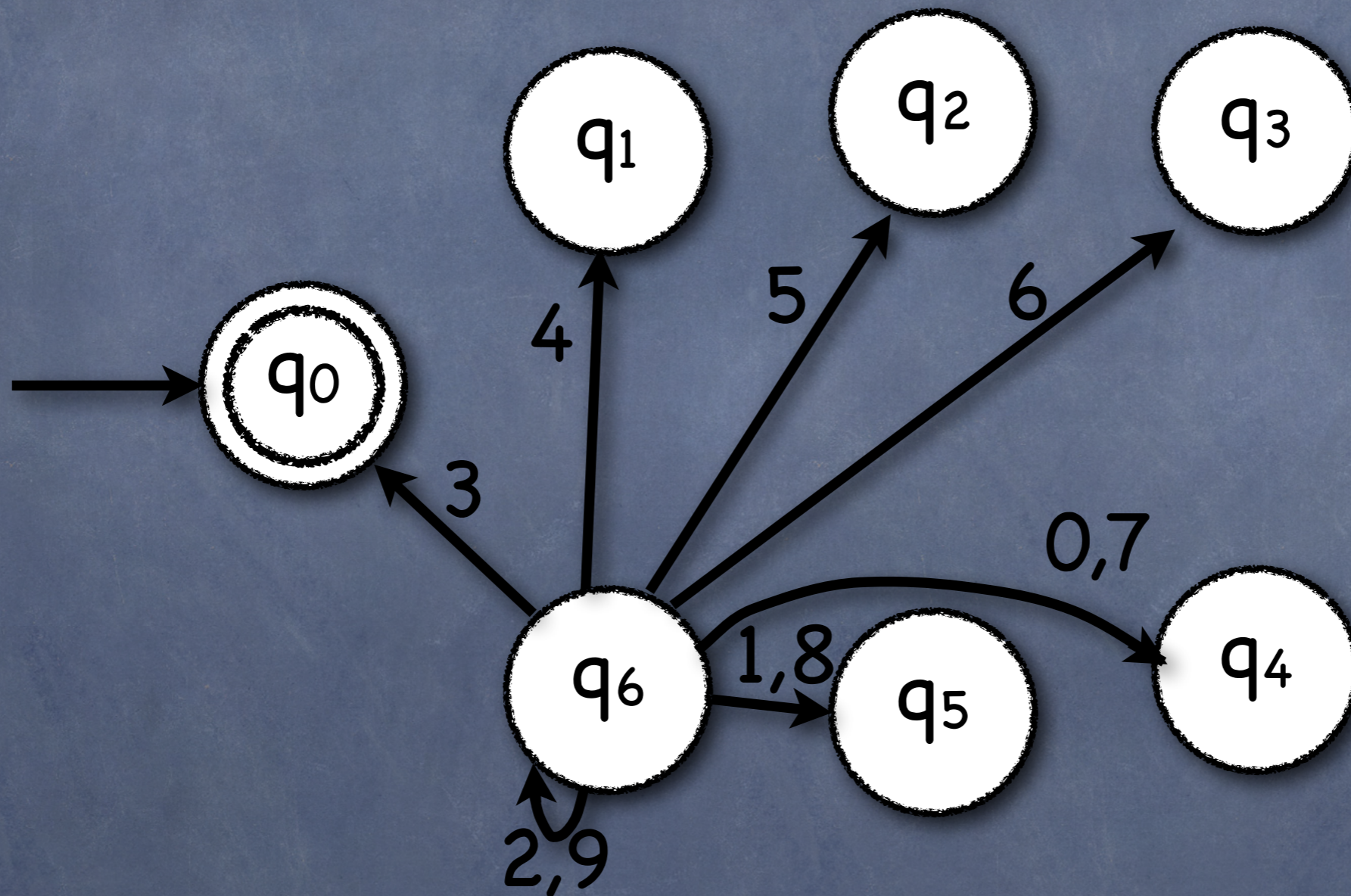
$M_{7,10}^5$



$M_{7,10}$ stops in state $q_r \iff w = r \pmod{7}$

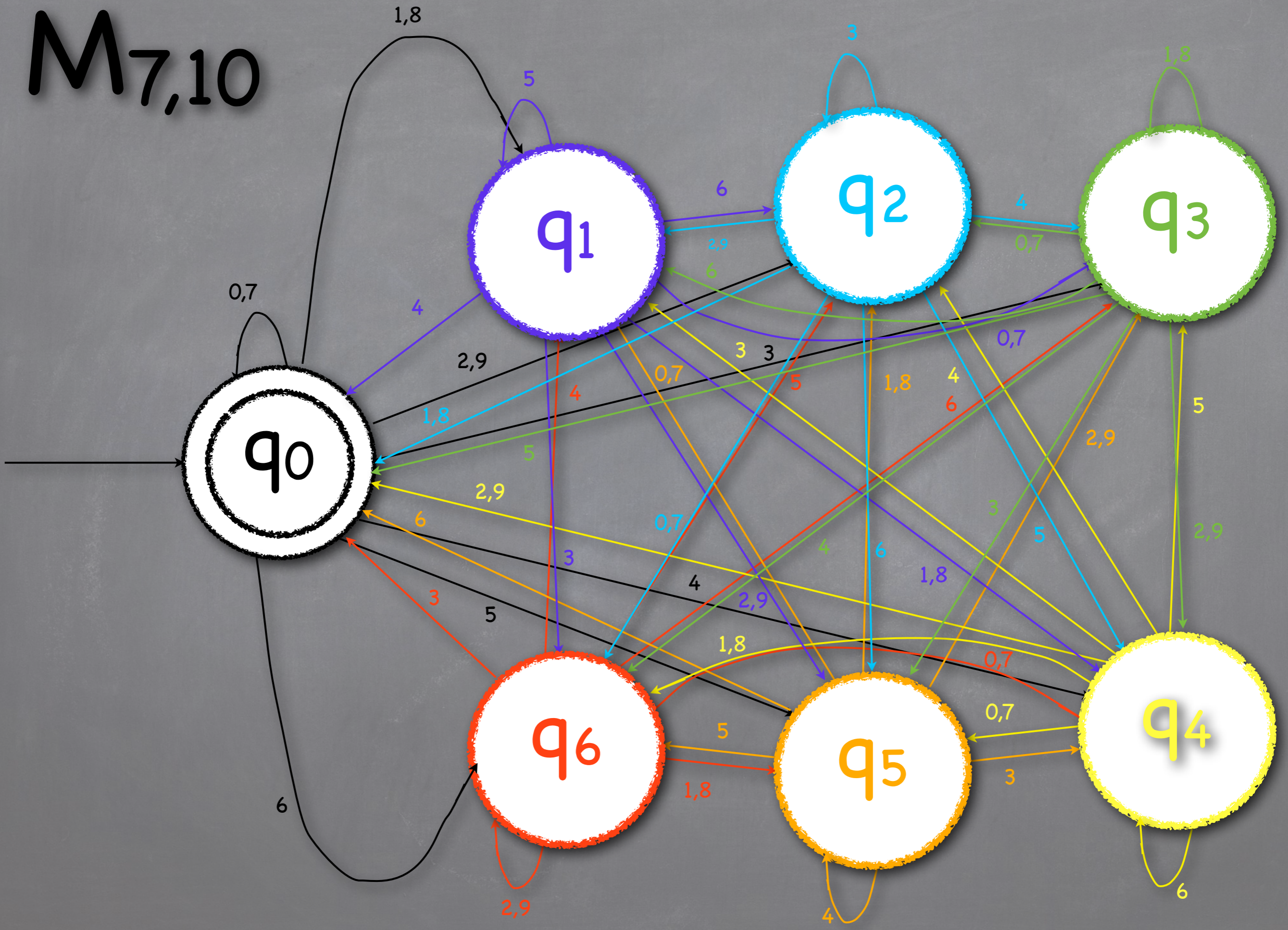
6 MOD 7 (base 10)

$M_{7,10}^6$



$M_{7,10}$ stops in state $q_r \iff w = r \pmod{7}$

M_{7,10}



Regular Operations

Regular Operations

DEFINITION 1.23

Let A and B be languages. We define the regular operations *union*, *concatenation*, and *star* as follows.

- **Union:** $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$.
- **Concatenation:** $A \circ B = \{xy \mid x \in A \text{ and } y \in B\}$.
- **Star:** $A^* = \{x_1x_2 \dots x_k \mid k \geq 0 \text{ and each } x_i \in A\}$.

Regular Operations

EXAMPLE 1.24

Let the alphabet Σ be the standard 26 letters $\{a, b, \dots, z\}$. If $A = \{\text{good}, \text{bad}\}$ and $B = \{\text{boy}, \text{girl}\}$, then

$$A \cup B = \{\text{good}, \text{bad}, \text{boy}, \text{girl}\},$$

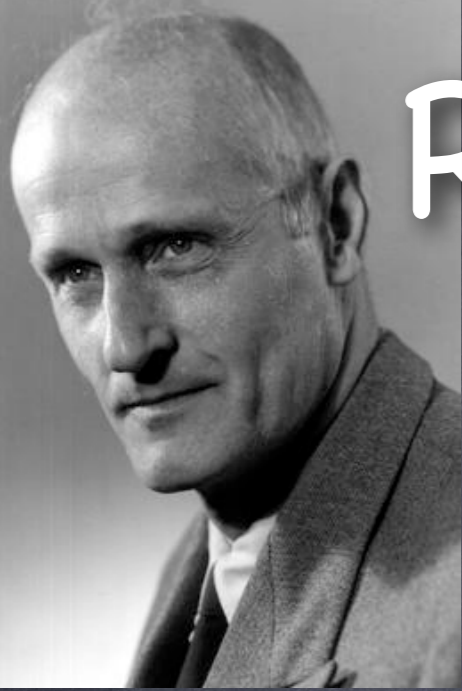
$$A \circ B = \{\text{goodboy}, \text{goodgirl}, \text{badboy}, \text{badgirl}\}, \text{ and}$$

$$A^* = \{\epsilon, \text{good}, \text{bad}, \text{goodgood}, \text{goodbad}, \text{badgood}, \text{badbad}, \\ \text{goodgoodgood}, \text{goodgoodbad}, \text{goodbadgood}, \text{goodbadbad}, \dots\}.$$



Stephen Kleene

Regular Operations : Kleene's theorem



Regular Operations : Kleene's theorem

THEOREM 1.25

The class of regular languages is closed under the union operation.

In other words, if A_1 and A_2 are regular languages, so is $A_1 \cup A_2$.

Regular Operations : Kleene's theorem

Regular Operations :

Kleene's theorem

- Let $M_A = (Q_A, \Sigma, \delta_A, q_{0A}, F_A)$ be a DFA accepting L_A and $M_B = (Q_B, \Sigma, \delta_B, q_{0B}, F_B)$ be a DFA accepting L_B .

Regular Operations :

Kleene's theorem

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- Consider $M_U = (Q_A \times Q_B, \Sigma, \delta_U, (q_{0A}, q_{0B}), F_U)$ where
$$\delta_U((q, q'), s) = (\delta_A(q, s), \delta_B(q', s))$$
 for all q, q', s and
$$F_U = \{ (q, q') \mid q \in F_A \text{ or } q' \in F_B \}.$$

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- $L_U = L_A \cup L_B$.

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- We can write it as $F_U = (F_A \times Q_B) \cup (Q_A \times F_B)$.
(Not the same as $F_A \times F_B$.)

- Let $M_A = (Q_A, \Sigma, \delta_A, q_{0A}, F_A)$ be a DFA accepting L_A and $M_B = (Q_B, \Sigma, \delta_B, q_{0B}, F_B)$ be a DFA accepting L_B .
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- The resulting language would be the **intersection** and not the **union**. This proves that the class of regular languages is closed under intersection.

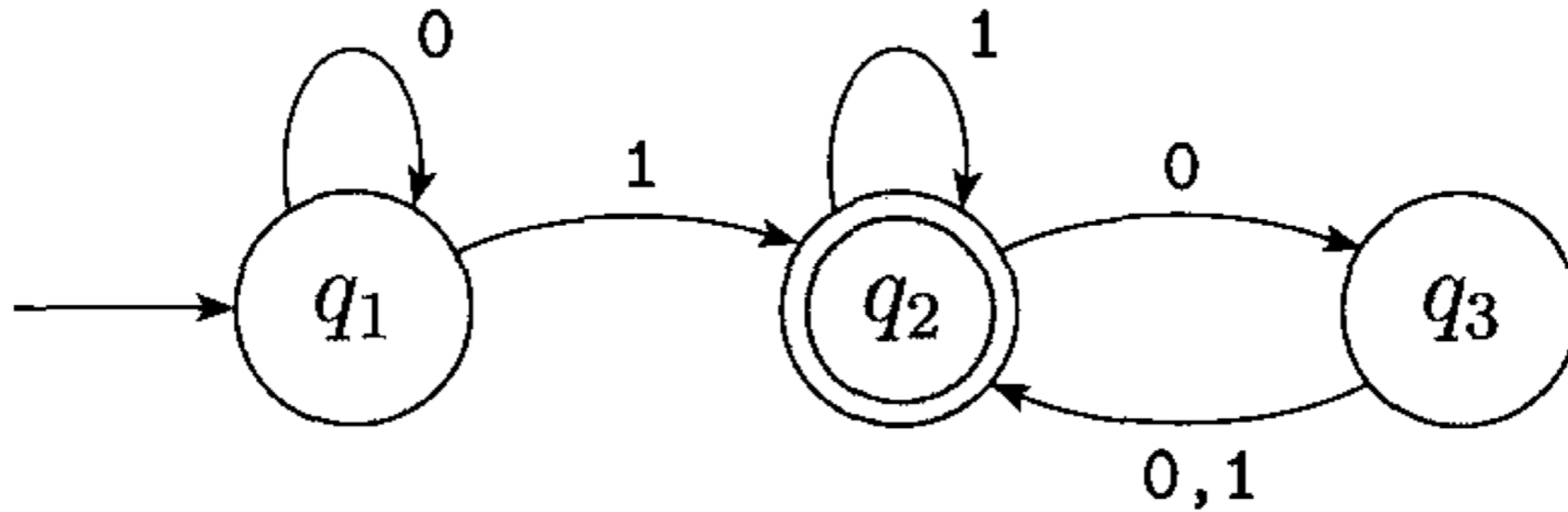
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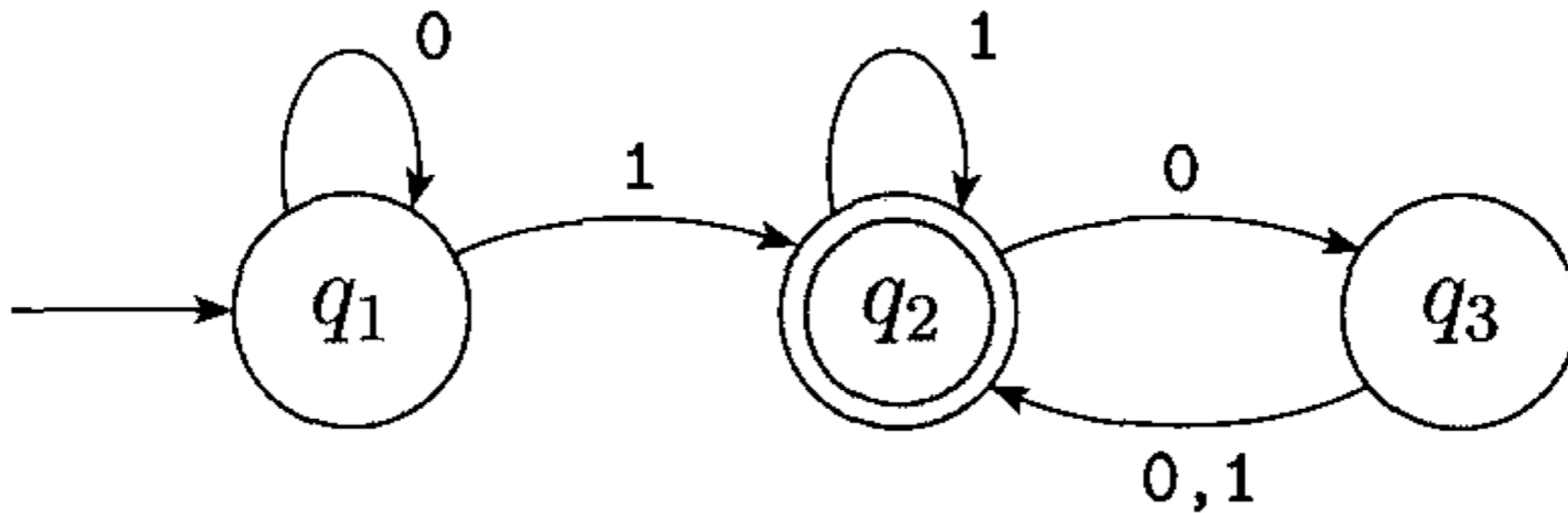
Example

M_1



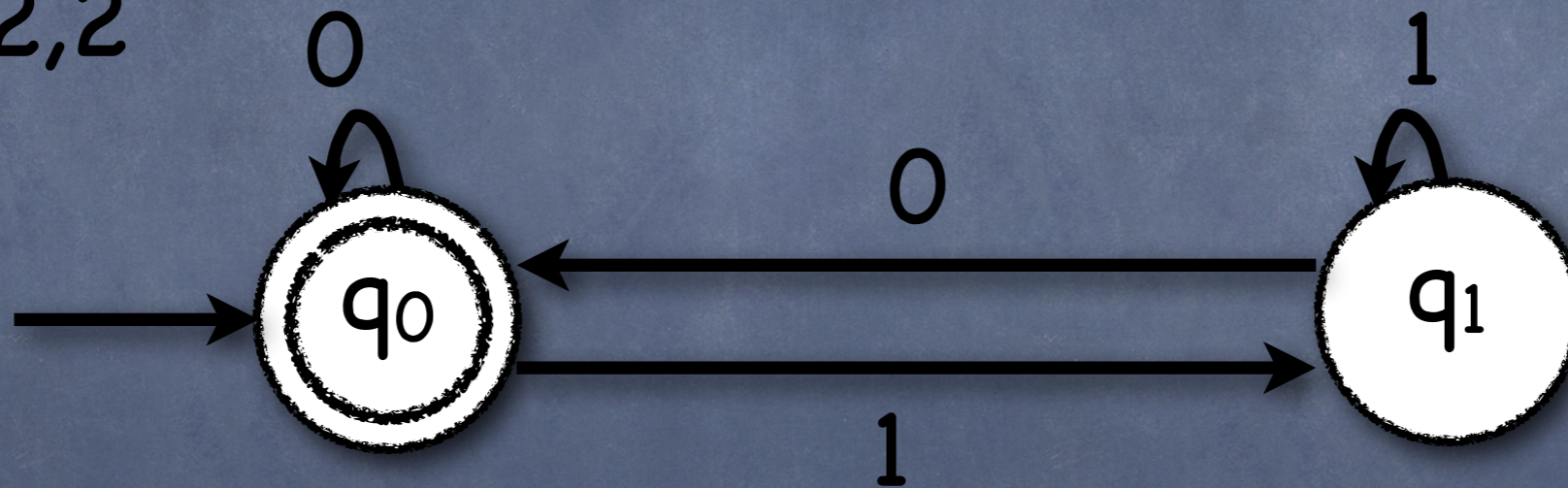
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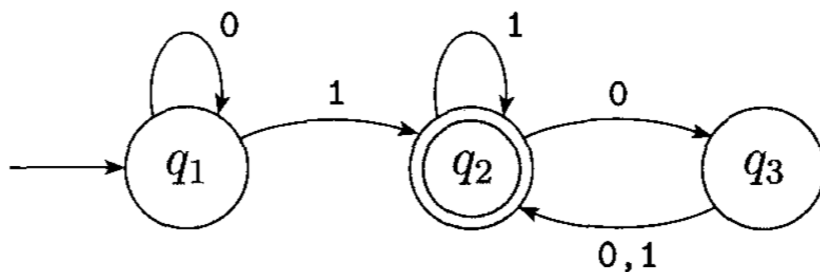
Example

$M_{2,2}$

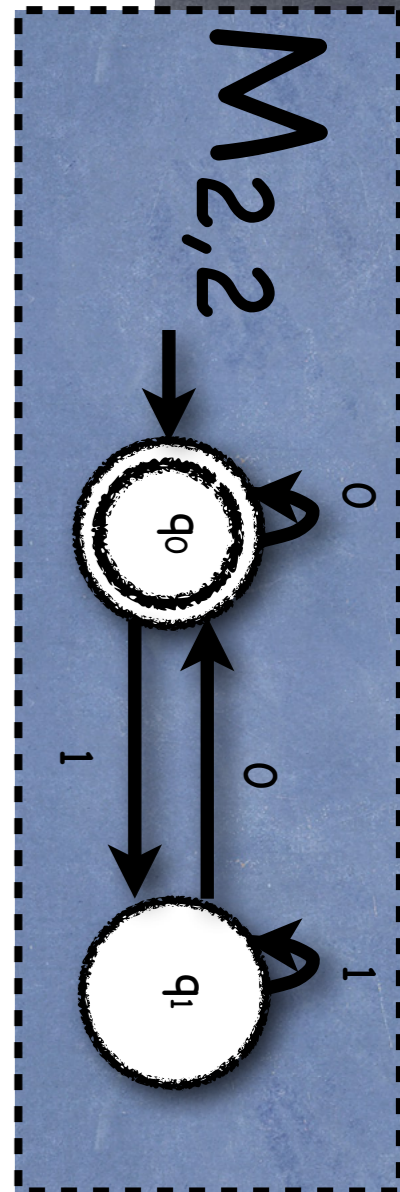
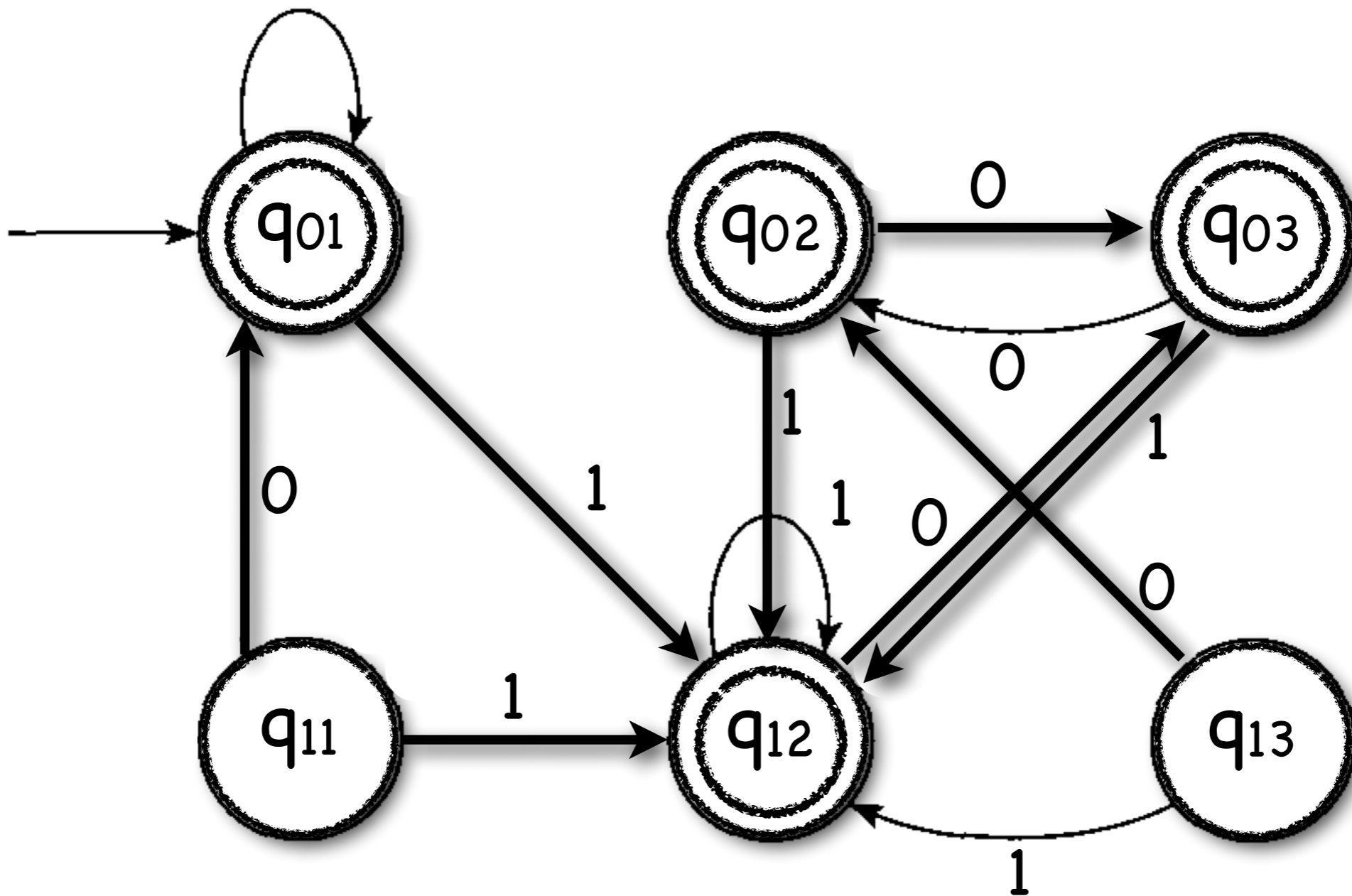


M_U

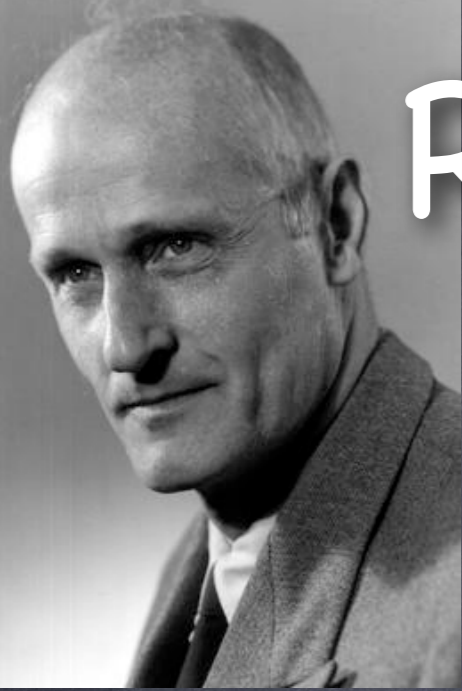
M_1



0



$$L(M_U) = L(M_1) \cup L(M_{2,2})$$



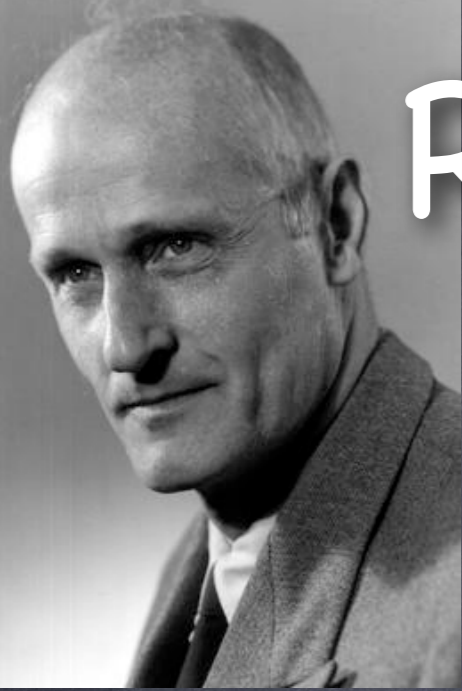
Regular Operations : Kleene's theorem (DFA)

THEOREM 1.26

The class of regular languages is closed under the concatenation operation.

In other words, if A_1 and A_2 are regular languages then so is $A_1 \circ A_2$.

(NFA)



Regular Operations : Kleene's theorem (DFA)

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THEOREM 1.47

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Regular Operations : Kleene's theorem (NFA)



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THEOREM 1.45

The class of regular languages is closed under the union operation.



Regular Operations : Kleene's theorem (NFA)

THEOREM 1.47

The class of regular languages is closed under the concatenation operation.

THEOREM 1.45

The class of regular languages is closed under the union operation.



Regular Operations : Kleene's theorem (NFA)

THEOREM 1.49

The class of regular languages is closed under the star operation.

THEOREM 1.47

The class of regular languages is closed under the concatenation operation.

THEOREM 1.45

The class of regular languages is closed under the union operation.

Non-Deterministic Finite Automata

Non-Deterministic Finite Automata

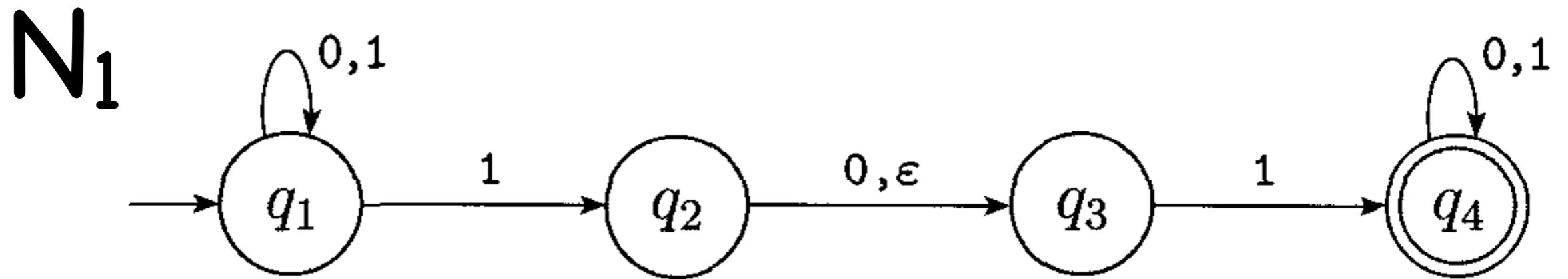


FIGURE 1.27

The nondeterministic finite automaton N_1

Non-Deterministic Finite Automata

Symbol read



Start



FIGURE 1.29

The computation of N_1 on input 010110

Non-Deterministic Finite Automata

Symbol read

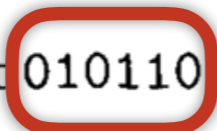


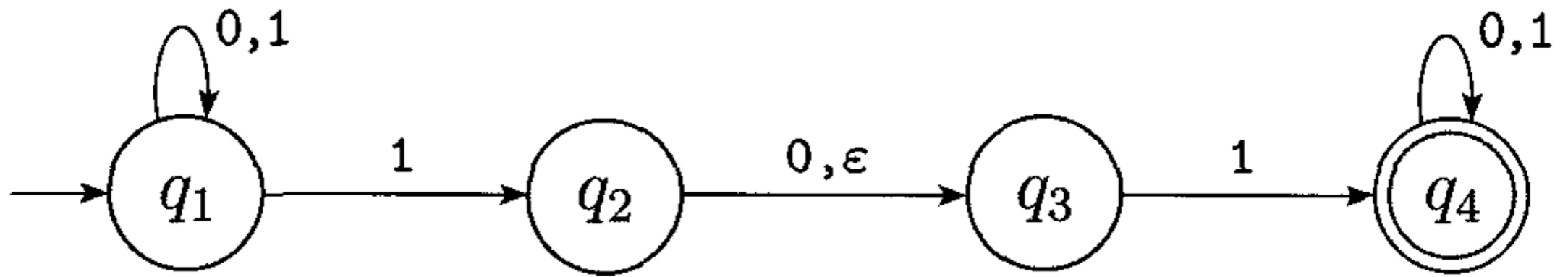
Start



FIGURE 1.29

The computation of N_1 on input 010110



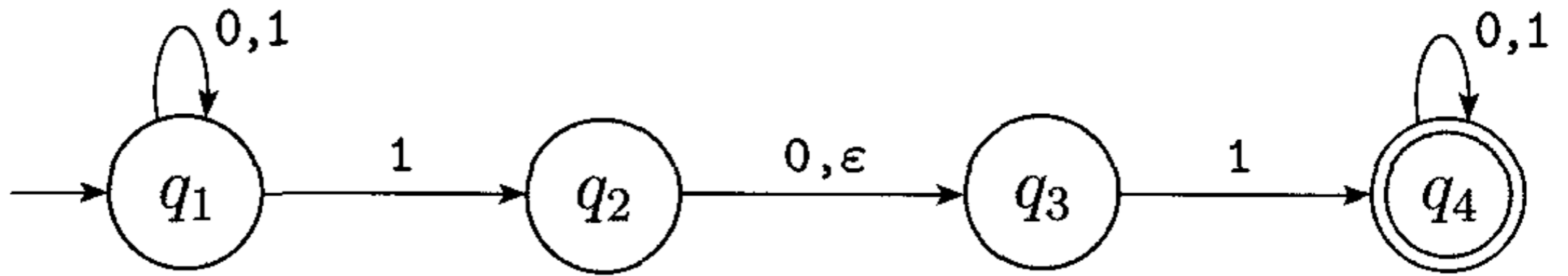


Symbol read

q_1 Start

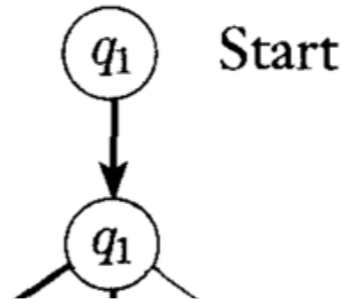
FIGURE 1.29

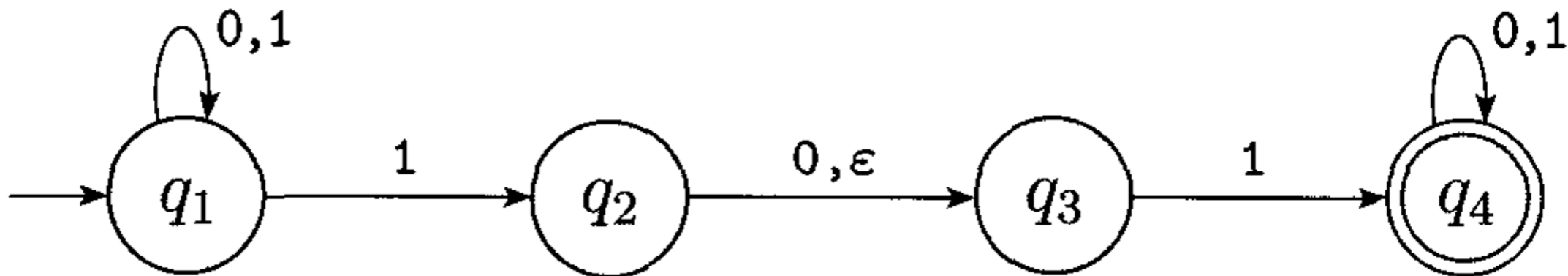
The computation of N_1 on input 010110



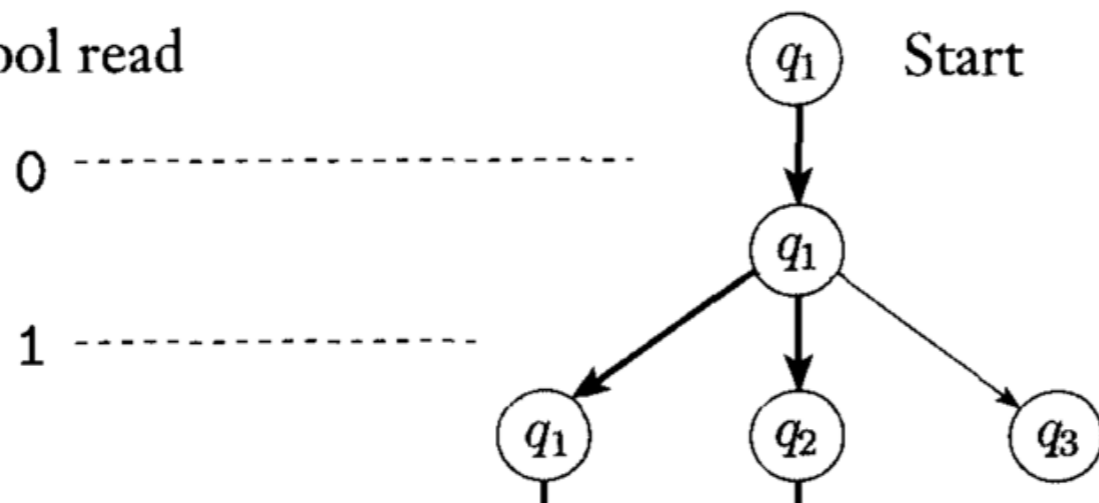
Symbol read

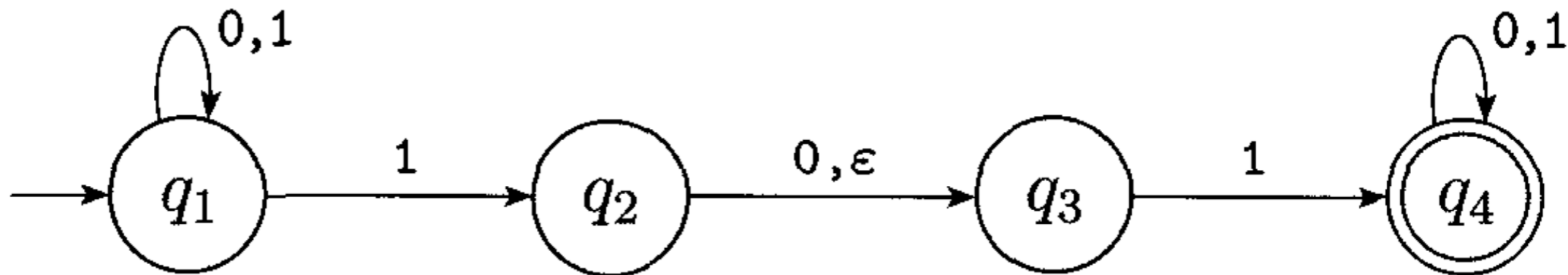
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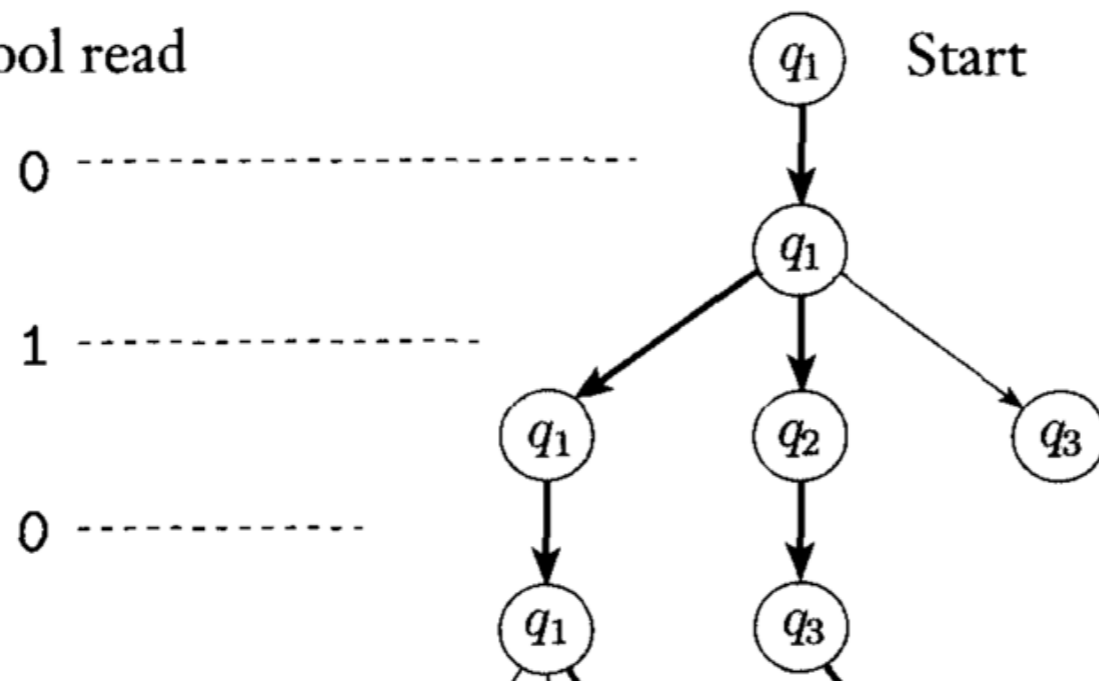


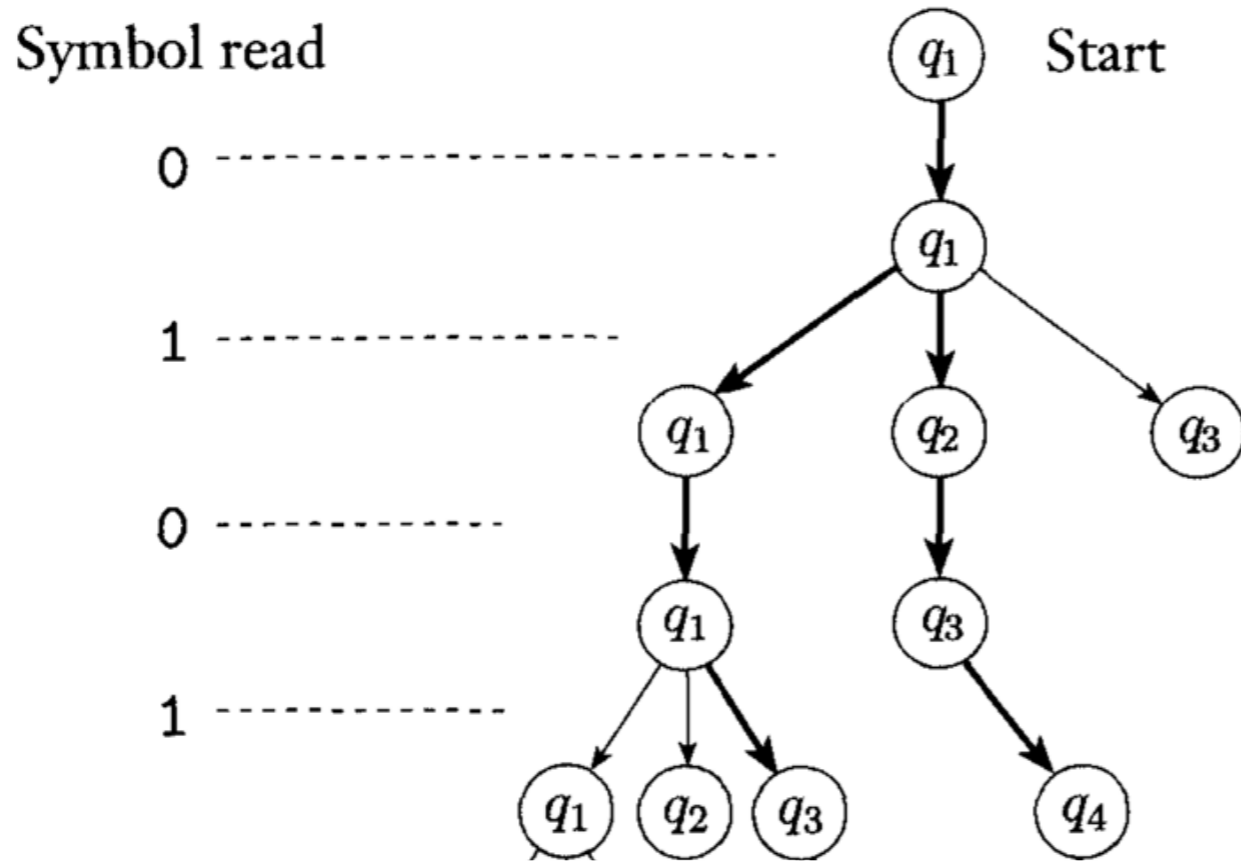
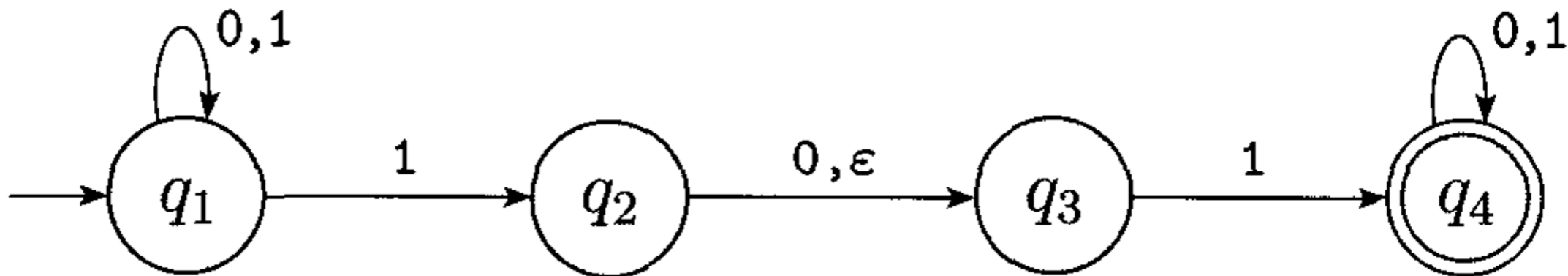
Symbol read





Symbol read





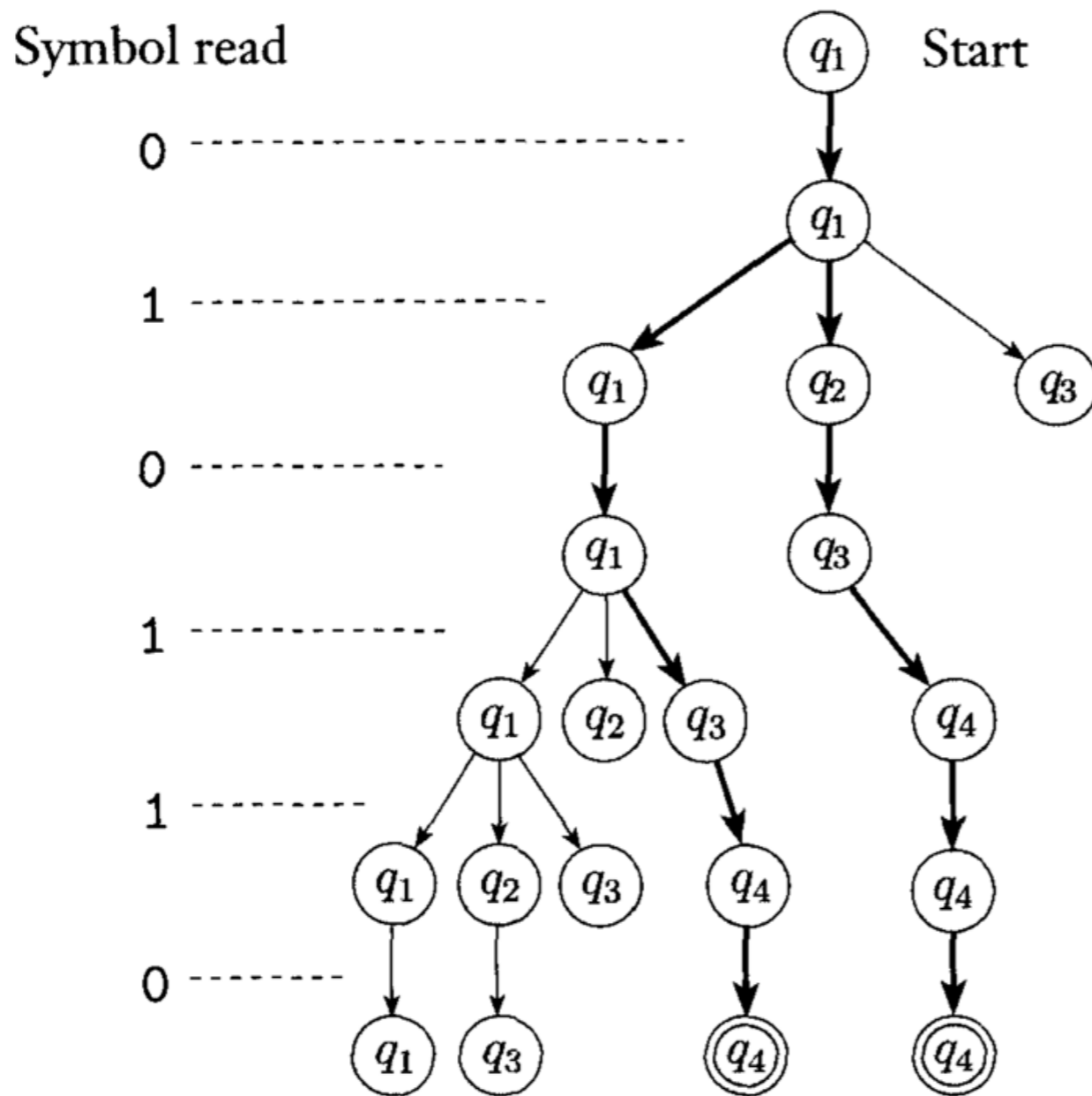
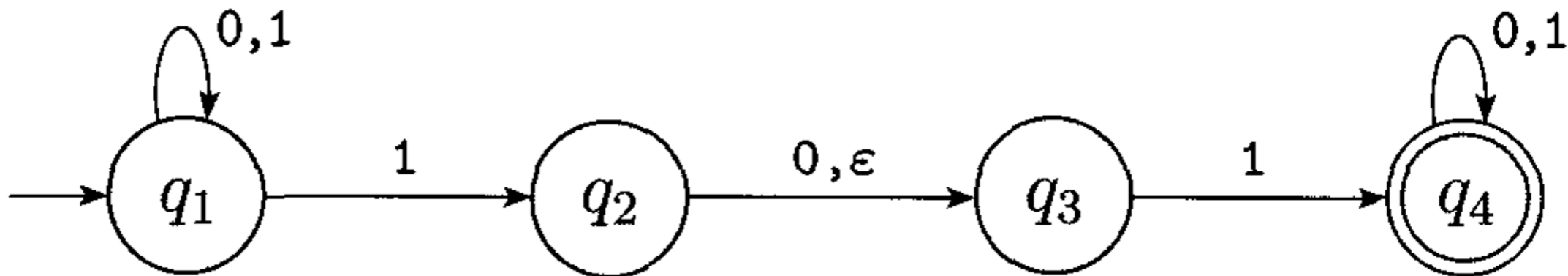
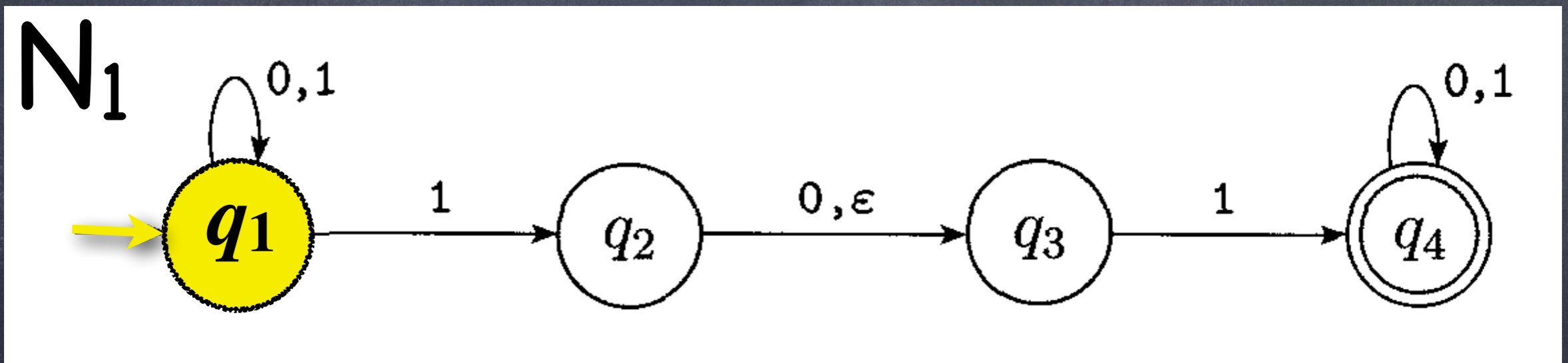


FIGURE 1.29

The computation of N_1 on input **010110**

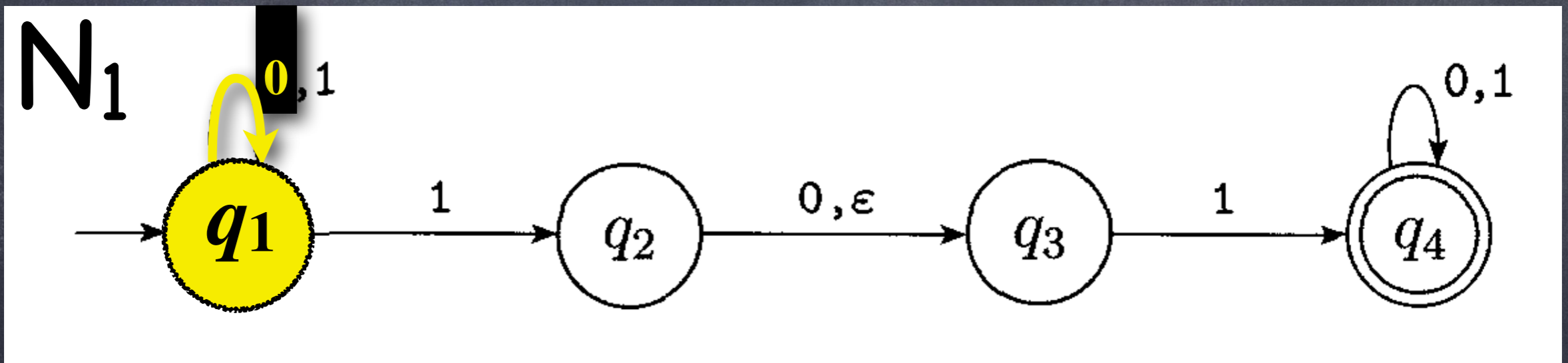
Non-Deterministic Finite Automata

010110



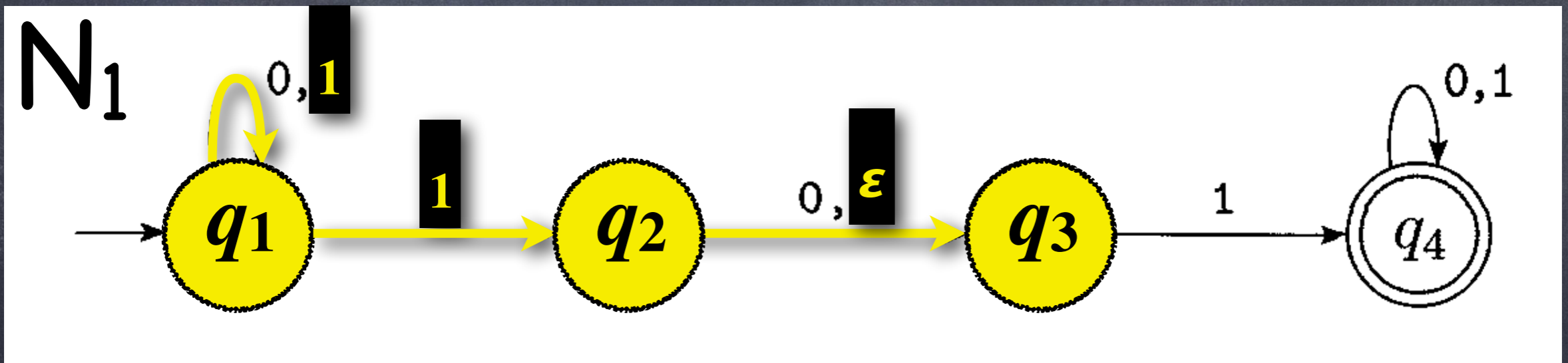
Non-Deterministic Finite Automata

010110



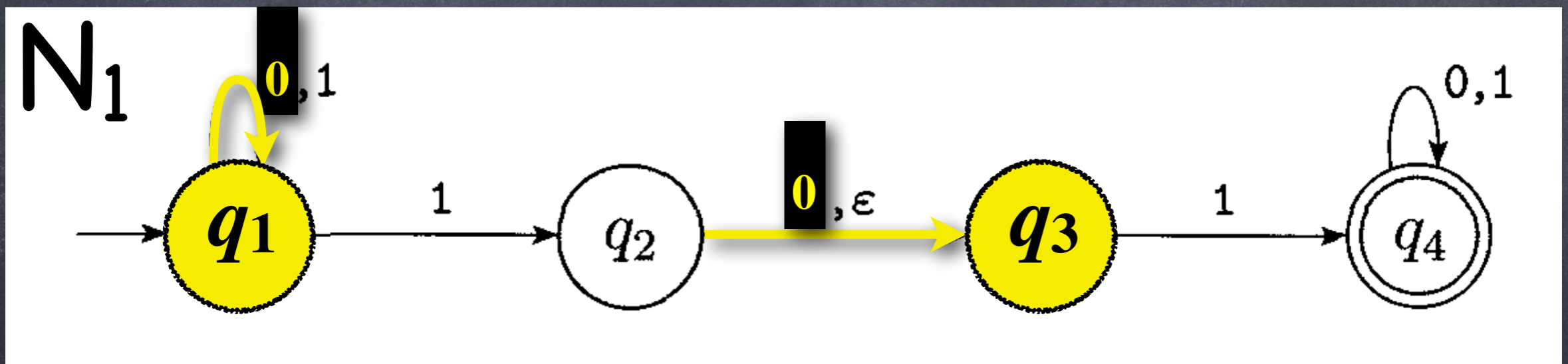
Non-Deterministic Finite Automata

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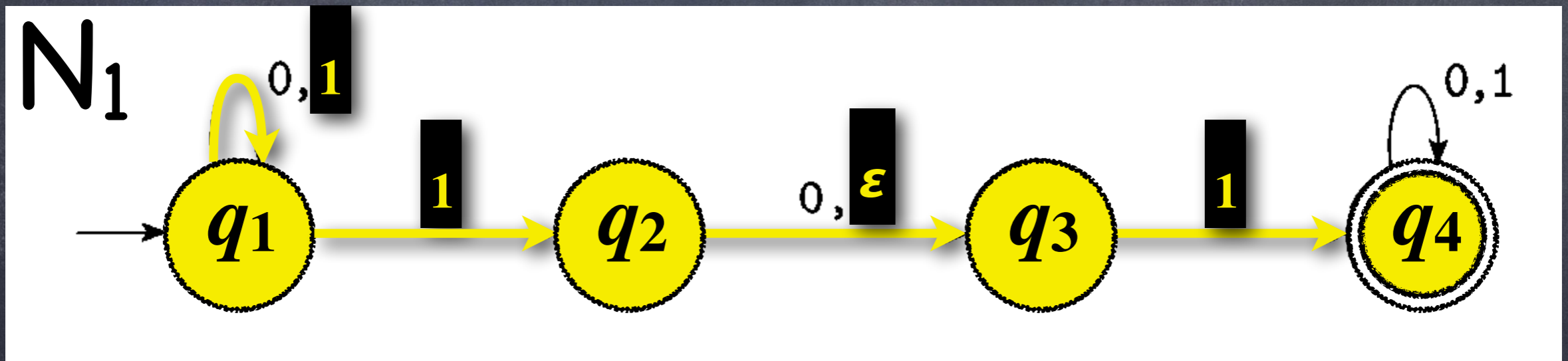
Non-Deterministic Finite Automata

010110



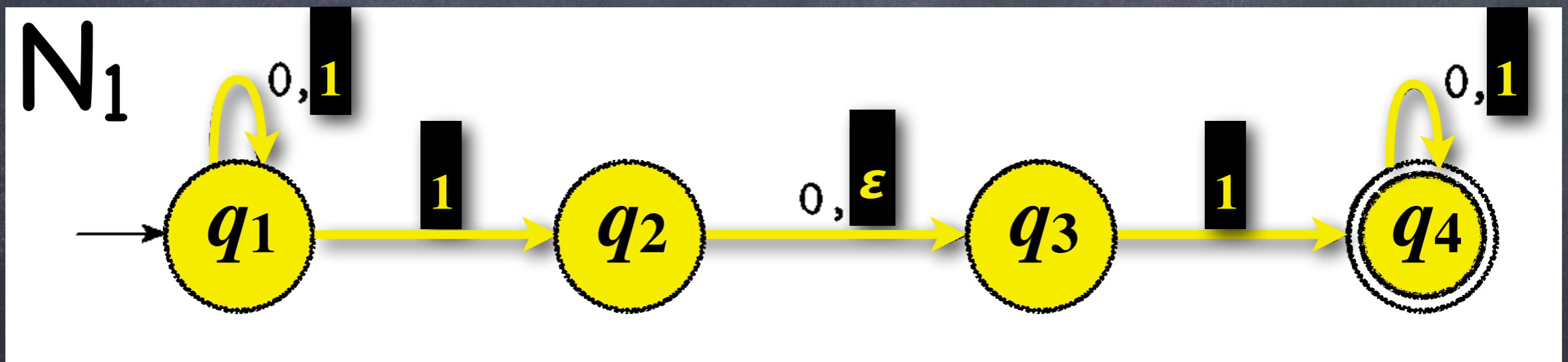
Non-Deterministic Finite Automata

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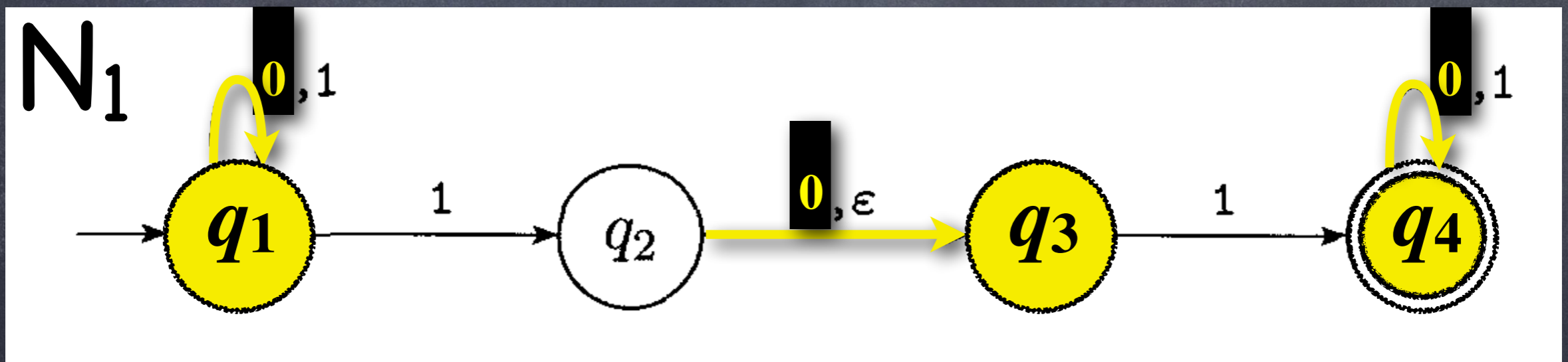
Non-Deterministic Finite Automata

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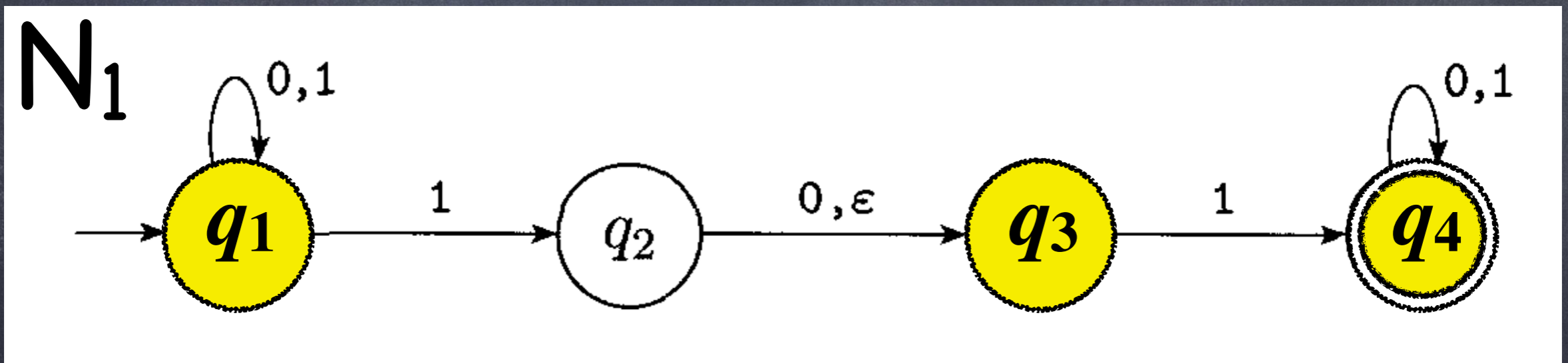
Non-Deterministic Finite Automata

010110



Nondeterministic Finite Automata

010110



$$010110 \in L_{N_1} \Leftrightarrow$$

$$\{q_1, q_3, q_4\} \cap F = \{q_4\} \neq \emptyset$$

Definition of NFA

DEFINITION 1.37

A *nondeterministic finite automaton* is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$, where

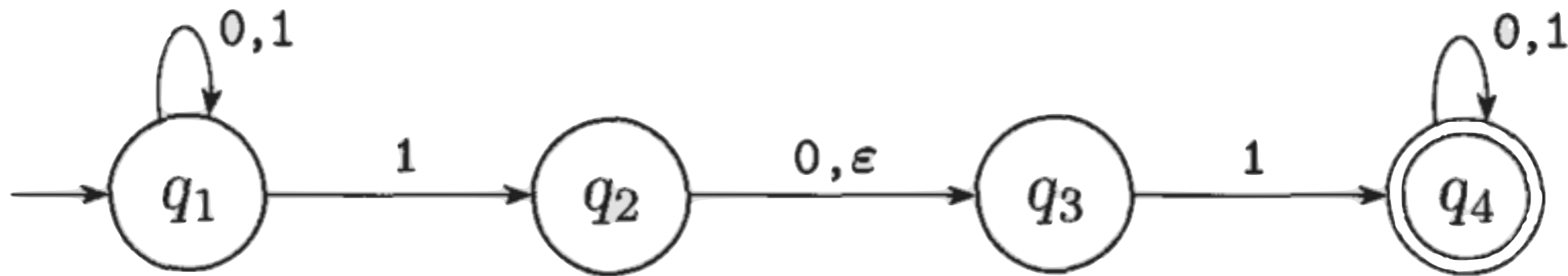
1. Q is a finite set of states,
2. Σ is a finite alphabet,
3. $\delta: Q \times \Sigma_\epsilon \longrightarrow \mathcal{P}(Q)$ is the transition function,
4. $q_0 \in Q$ is the start state, and
5. $F \subseteq Q$ is the set of accept states.

$$\Sigma_\epsilon = \Sigma \cup \{\epsilon\}$$

$$\mathcal{P}(Q) = \{S : S \subseteq Q\}$$

EXAMPLE 1.38

Recall the NFA N_1 :



The formal description of N_1 is $(Q, \Sigma, \delta, q_1, F)$, where

1. $Q = \{q_1, q_2, q_3, q_4\}$,
2. $\Sigma = \{0, 1\}$,
3. δ is given as

	0	1	ϵ
q_1	$\{q_1\}$	$\{q_1, q_2\}$	\emptyset
q_2	$\{q_3\}$	\emptyset	$\{q_3\}$,
q_3	\emptyset	$\{q_4\}$	\emptyset
q_4	$\{q_4\}$	$\{q_4\}$	\emptyset

4. q_1 is the start state, and
5. $F = \{q_4\}$.

Definition of NFA

- Let $N = (Q, \Sigma, \delta, q_0, F)$ be a nondeterministic finite state automaton and let $w = w_1 w_2 \dots w_n$ ($n \geq 0$) be a string where each symbol $w_i \in \Sigma$.
- N accepts w if $\exists m \geq n, \exists s_0, s_1, \dots, s_m$ and $\exists \gamma_1 \gamma_2 \dots \gamma_m = w$, with each $\gamma_i \in \Sigma_\epsilon$ s.t.
 - $s_0 = q_0$
 - $s_{i+1} \in \delta(s_i, \gamma_{i+1})$ for $i = 0 \dots m-1$, and
 - $s_m \in F$

COMP-330

Theory of Computation

Fall 2019 -- Prof. Claude Crépeau

Lec. 4 : DFAs, NFAs +
Kleene's theorem