COMP-330 Theory of Computation

Fall 2019 -- Prof. Claude Crépeau

Lec. 16-17:

Turing Machines & Church-Turing Thesis

Computability Theory

Languages/we can describe

Decidable Languages

Context-free Languages

<u>Regular</u> <u>Languages</u>

NON-Regular Languages via Pumping Lemma NON-Regular Languages via Reductions

Computability Theory

Languages we can describe

Decidable Languages

Context-free

Languages

Regular Languages

NON-CFLs

via Pumping Lemma

NON-CFLs via Reductions

Computability Theory

Languages we can describe

Decidable Languages

CFLs

DCFLs

Regular Languages

Computability Theory

Languages

we can

describe

<u>Decidable</u> <u>Languages</u>

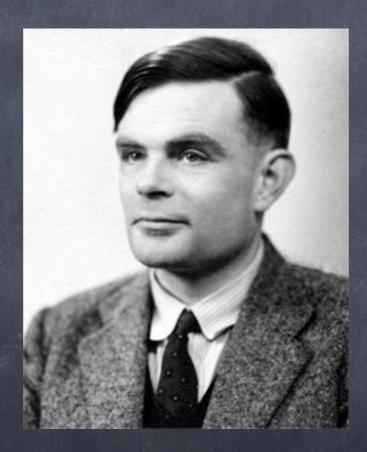
Context-free Languages

Regular Languages

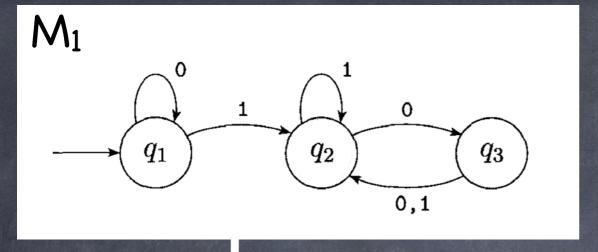
NON-decidable via Diagonalization

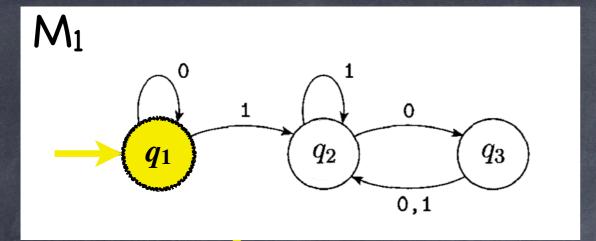
NON-decidable via <u>Reductions</u>

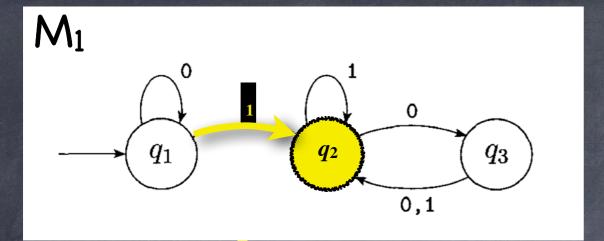
Turing MACHINES

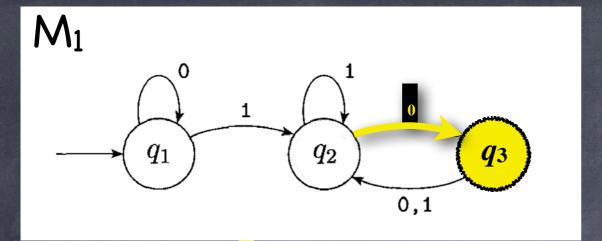


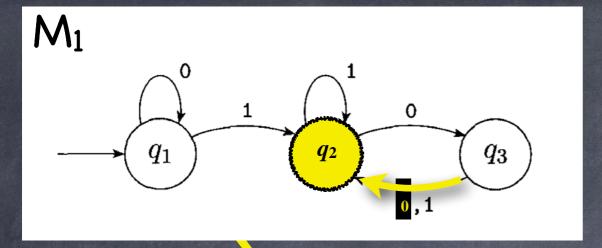
Alan Turing



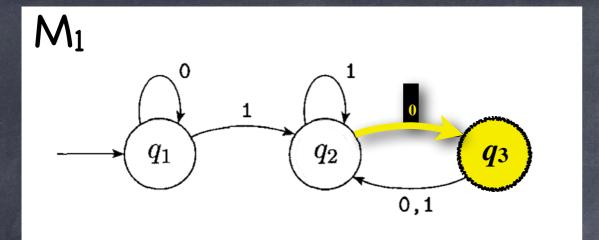








10110101
read and write!
moves Right and Left!



Turing Machines

The following list summarizes the differences between finite automata and Turing machines.

- 1. A Turing machine can both write on the tape and read from it.
- 2. The read-write head can move both to the left and to the right.
- 3. The tape is infinite.
- 4. The special states for rejecting and accepting take effect immediately.

TM Example

M_1 = "On input string w:

- 1. Zig-zag across the tape to corresponding positions on either side of the # symbol to check whether these positions contain the same symbol. If they do not, or if no # is found, reject. Cross off symbols as they are checked to keep track of which symbols correspond.
- 2. When all symbols to the left of the # have been crossed off, check for any remaining symbols to the right of the #. If any symbols remain, reject; otherwise, accept."

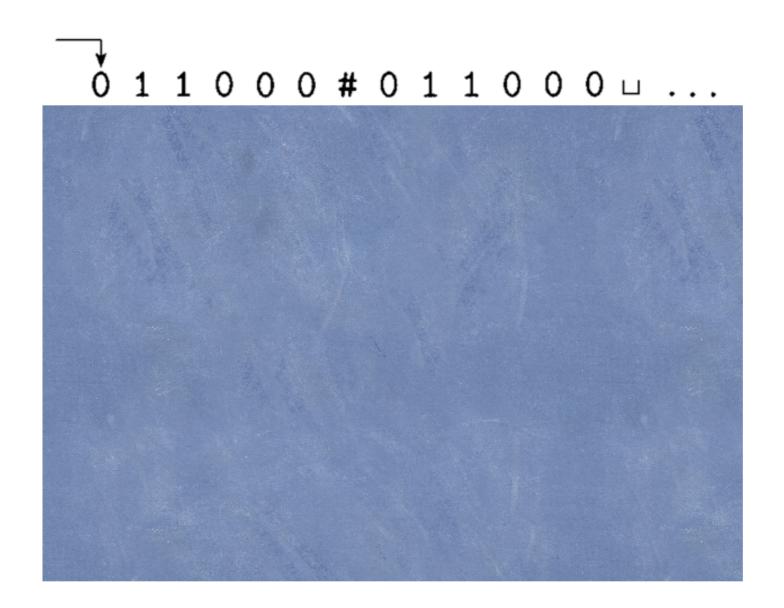


FIGURE 3.2 Snapshots of Turing machine M_1 computing on input 011000#011000

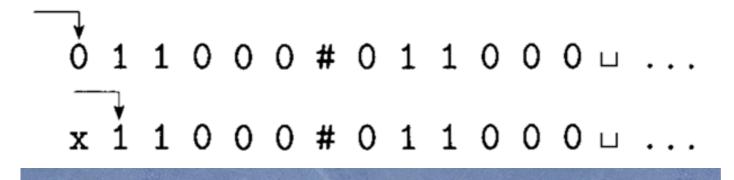
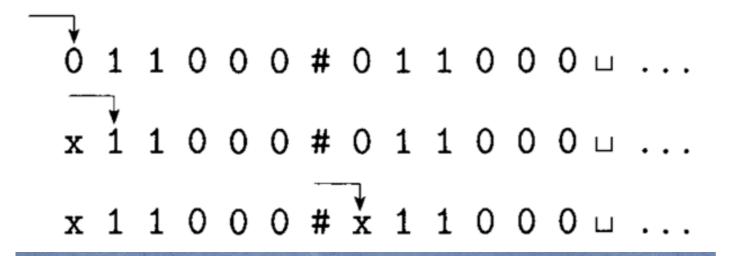
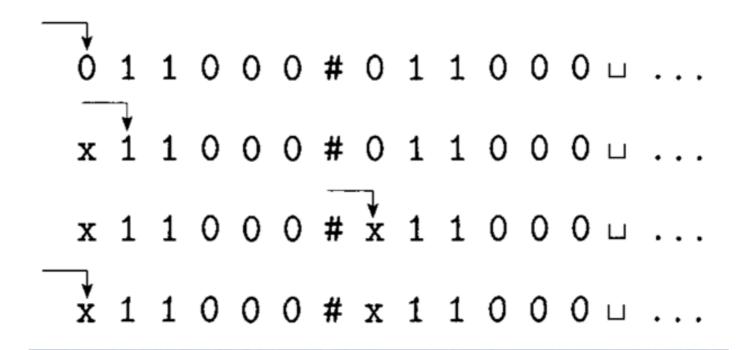
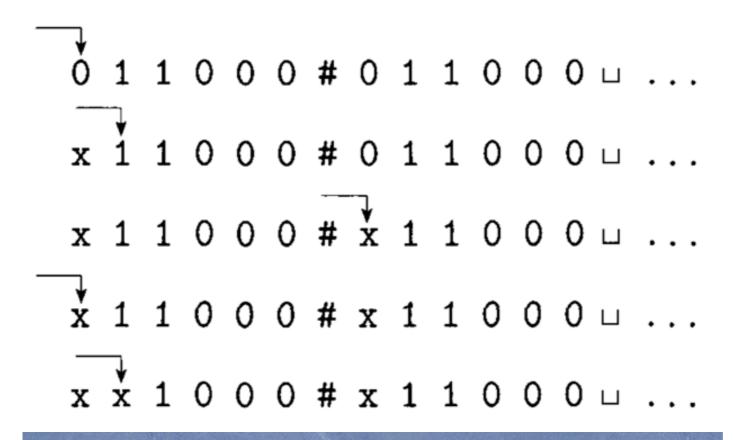


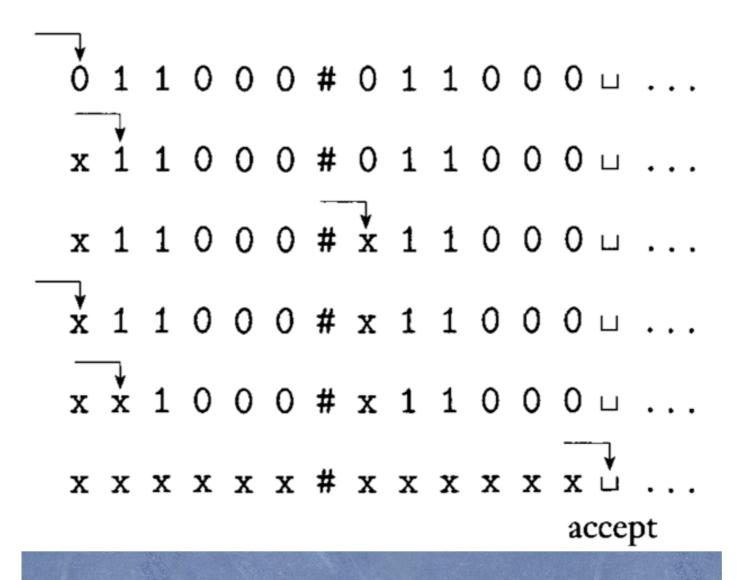
FIGURE 3.2

Snapshots of Turing macnine M1 computing on input 011000#011000









- States
- Input Alphabet
- Tape Alphabet
- Transition function
- Start state
- Accept state
- Reject state

States

- **9**1
- q₂
- **q**₃

- Input Alphabet
- Tape Alphabet
- Transition function
- Start state
- Accept state
- Reject state

States

 q_1

- q₂
- **q**₃

- Input Alphabet a,b,c
- Tape Alphabet
- Transition function
- Start state
- Accept state
- Reject state

States

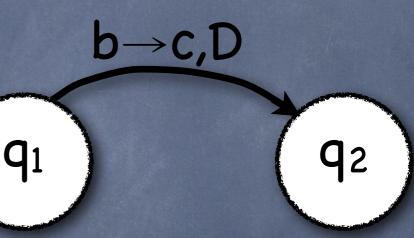
 q_1

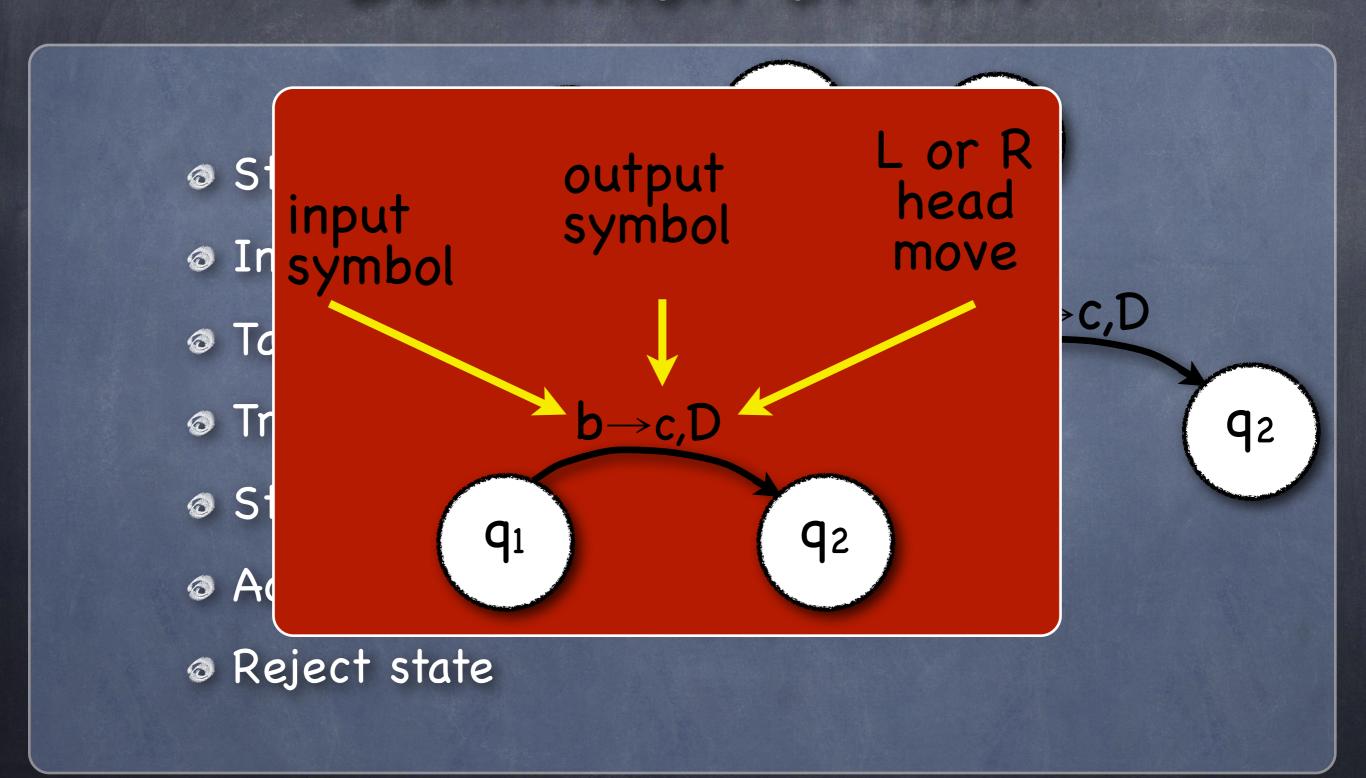
- q₂
- **q**₃

- Input Alphabet a,b,c
- Tape Alphabet a,b,c,A,B,C,_
- Transition function
- Start state
- Accept state
- Reject state



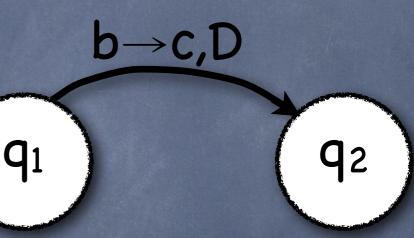
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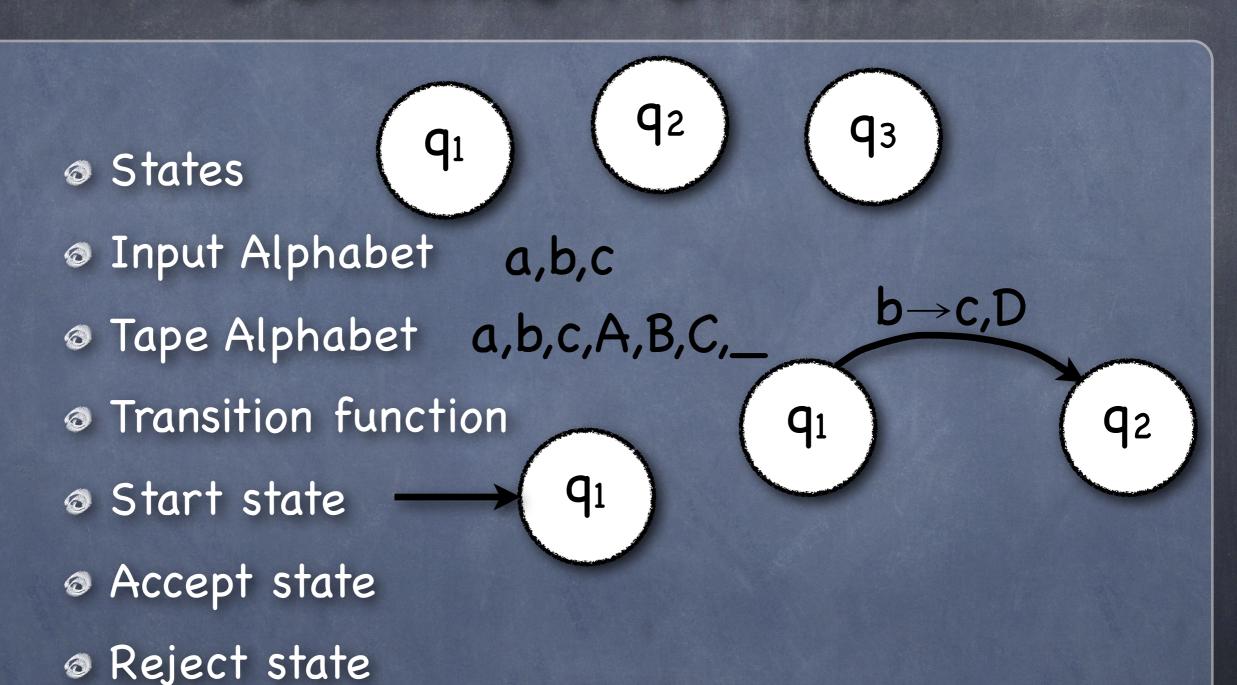


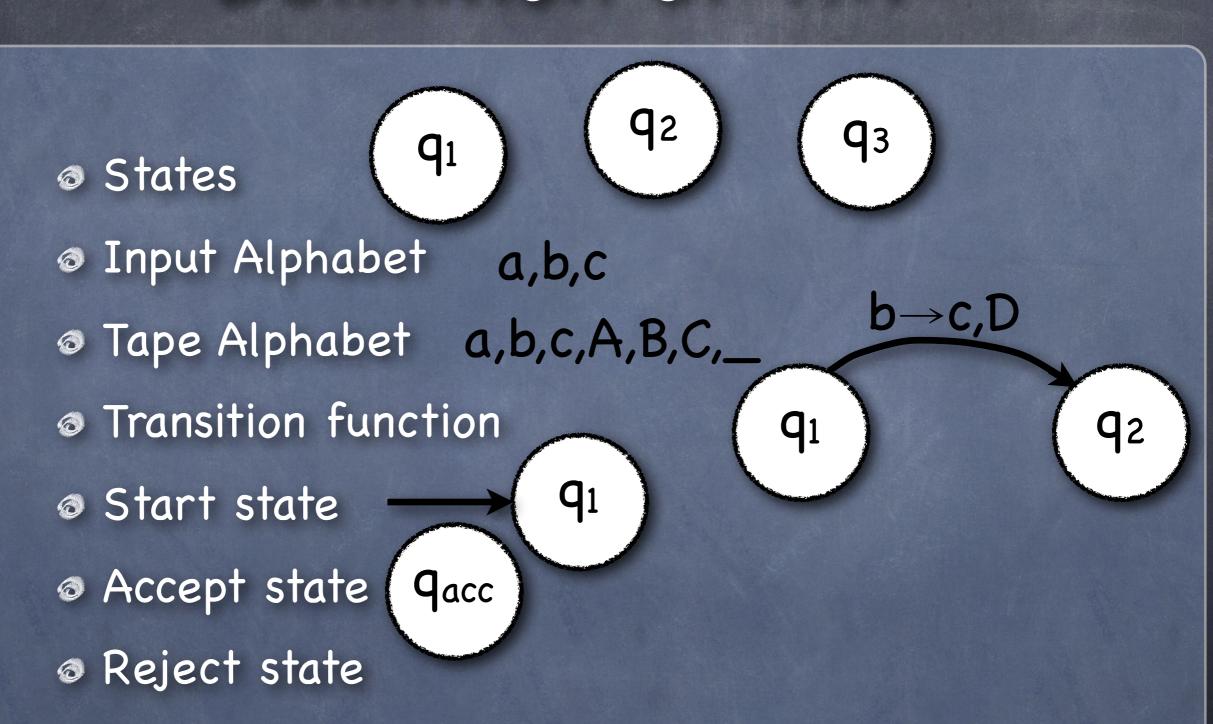


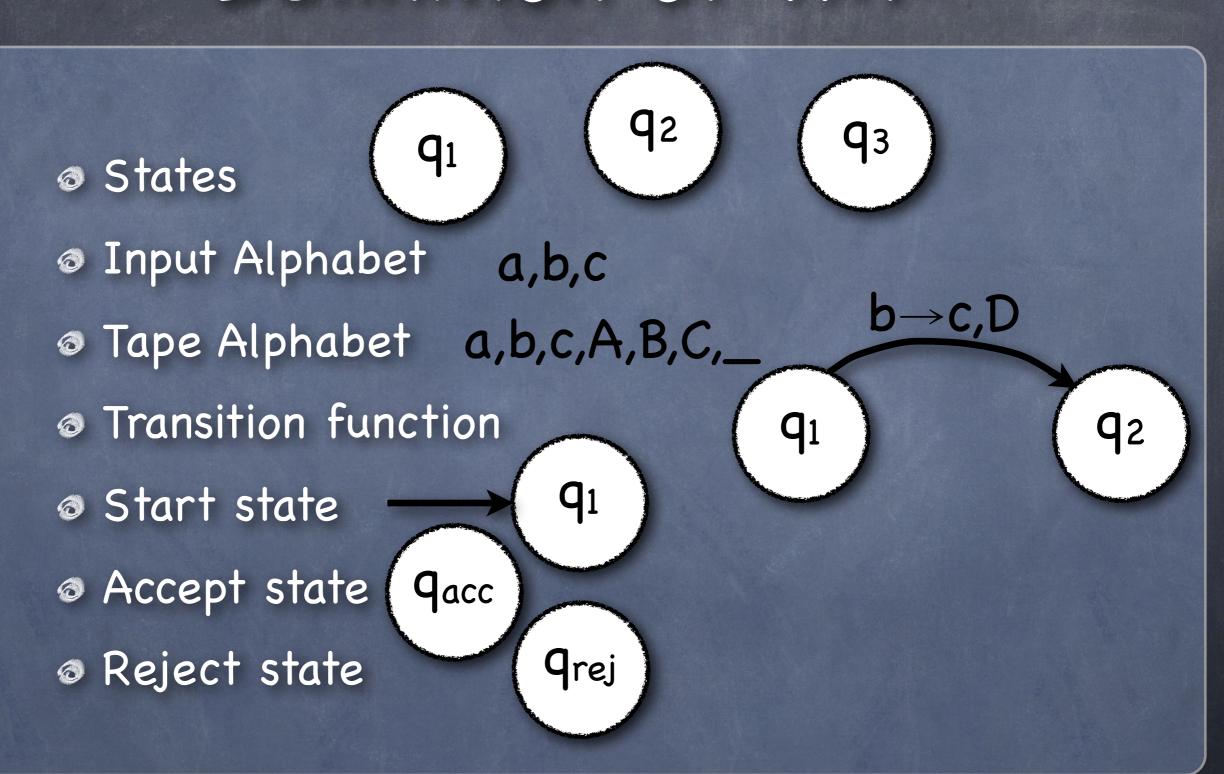


- Input Alphabet a,b,c
- Tape Alphabet a,b,c,A,B,C,_
- Transition function
- Start state
- Accept state
- Reject state









TM definition

DEFINITION 3.3

- A **Turing machine** is a 7-tuple, $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$, where Q, Σ, Γ are all finite sets and
 - 1. Q is the set of states,
 - 2. Σ is the input alphabet not containing the *blank symbol* \Box ,
 - **3.** Γ is the tape alphabet, where $\sqcup \in \Gamma$ and $\Sigma \subseteq \Gamma$,
 - **4.** $\delta: Q \times \Gamma \longrightarrow Q \times \Gamma \times \{L, R\}$ is the transition function,
 - **5.** $q_0 \in Q$ is the start state,
 - **6.** $q_{\text{accept}} \in Q$ is the accept state, and
 - 7. $q_{\text{reject}} \in Q$ is the reject state, where $q_{\text{reject}} \neq q_{\text{accept}}$.

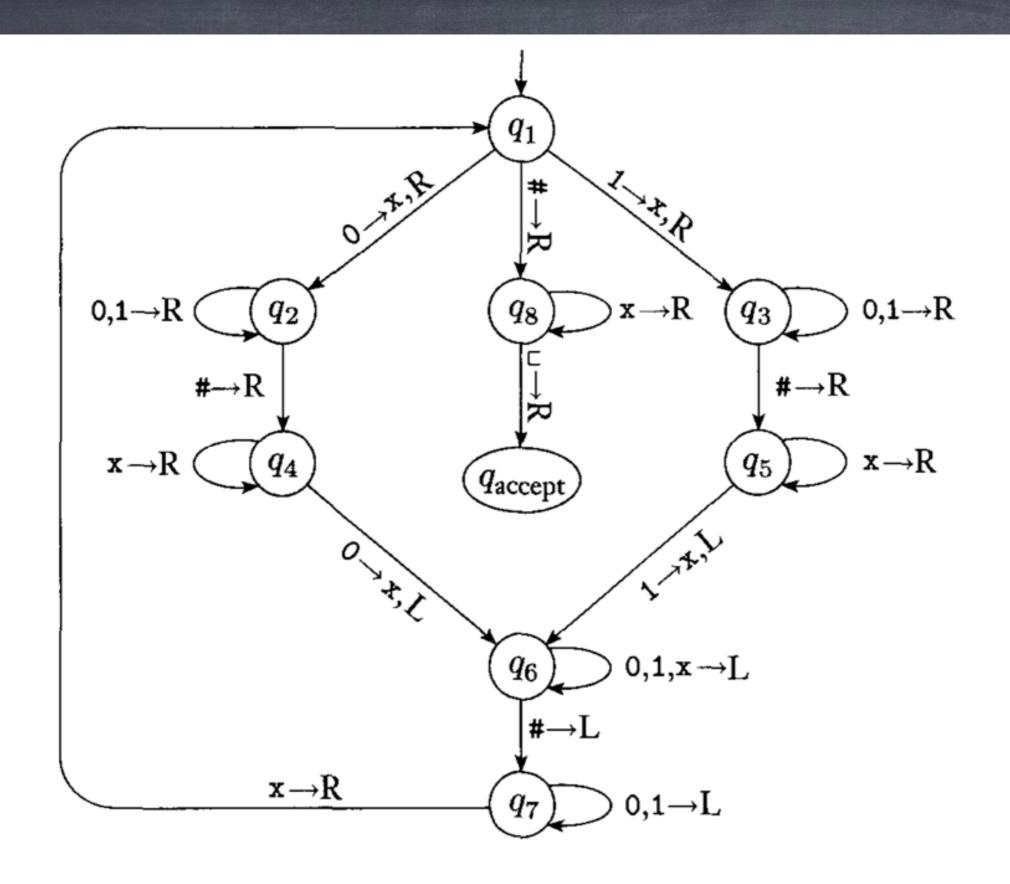


FIGURE 3.10 State diagram for Turing machine M_1

TM Configuration

As a Turing machine computes, changes occur in the current state, the current tape contents, and the current head location. A setting of these three items is called a *configuration* of the Turing machine. Configurations often are represented in a special way. For a state q and two strings u and v over the tape alphabet Γ we write $u \, q \, v$ for the configuration where the current state is q, the current tape contents is uv, and the current head location is the first symbol of v. The tape contains only blanks following the last symbol of v. For example, $1011q_701111$ represents the configuration when the tape is 101101111, the current state is q_7 , and the head is currently on the second 0. The following figure depicts a Turing machine with that configuration.

TM Computation

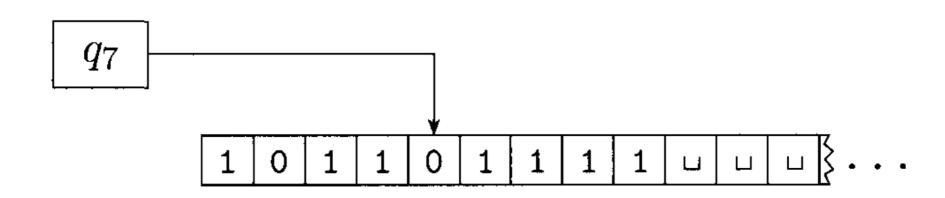


FIGURE 3.4

A Turing machine with configuration $1011q_701111$

TM definition

- \bullet For all $a,b,c\in \Gamma$, $u,v\in \Gamma^*$, $q_i,q_j\in Q$
- © Config. uaq_ibv yields config. uq_jacv if $\delta(q_i,b) = q_j,c,L$
- © Config. $ua q_i bv$ yields config. $uac q_j v$ if $\delta(q_i,b) = q_j,c,R$
- Special cases: Config. $\mathbf{q_i} \mathbf{b} \mathbf{v}$ yields $\mathbf{q_j} \mathbf{c} \mathbf{v}$ if $\delta(\mathbf{q_i}, \mathbf{b}) = \mathbf{q_j}, \mathbf{c}, \mathbf{L}$ Config. $\mathbf{q_i} \mathbf{b} \mathbf{v}$ yields $\mathbf{c} \mathbf{q_j} \mathbf{v}$ if $\delta(\mathbf{q_i}, \mathbf{b}) = \mathbf{q_j}, \mathbf{c}, \mathbf{R}$

TM definition

```
ua qi bv
yields (L)
 u qj acv
                           _{\rm j,c,L}
               If o(q_i, b) = q_j, c, R
 yieias cqjv
```

- \bullet For all $a,b,c\in \Gamma$, $u,v\in \Gamma^*$, $q_i,q_j\in Q$
- © Config. uaq_ibv yields config. uq_jacv if $\delta(q_i,b) = q_j,c,L$
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- Special cases: Config. $\mathbf{q_i} \mathbf{b} \mathbf{v}$ yields $\mathbf{q_j} \mathbf{c} \mathbf{v}$ if $\delta(\mathbf{q_i}, \mathbf{b}) = \mathbf{q_j}, \mathbf{c}, \mathbf{L}$ Config. $\mathbf{q_i} \mathbf{b} \mathbf{v}$ yields $\mathbf{c} \mathbf{q_j} \mathbf{v}$ if $\delta(\mathbf{q_i}, \mathbf{b}) = \mathbf{q_j}, \mathbf{c}, \mathbf{R}$

```
ua qi bv
yields (R)
 uac qj v
                           _{\rm j,c,L}
 yields cq_jv if o(q_i, b) = q_j, c, R
```

- \bullet For all $a,b,c\in \Gamma$, $u,v\in \Gamma^*$, $q_i,q_j\in Q$
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```
qi bv
yields (L)
    qj cv
                           _{j,c,L}
               IT O(q_i, D) = q_j, c, R
 yieias cqjv
```

- \bullet For all $a,b,c\in \Gamma$, $u,v\in \Gamma^*$, $q_i,q_j\in Q$
- © Config. uaq_ibv yields config. uq_jacv if $\delta(q_i,b) = q_j,c,L$
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```
qi bv
yields (R)
   c qj v
                         j,c,L
              IT O(q_i, D) = q_j, c, R
 yieias cqjv
```

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- Accepting configuration: state = qaccept
- Rejecting configuration: state = qreject

- Turing Machine M accepts input w if there exists configurations $C_0,\,C_1,...,\,C_m$ such that
 - Co is a start configuration
 - © C_i yields C_{i+1} for 0≤i<m
 - C_m is an accepting configuration.
- The collection of strings that M accepts is the language of M or the language recognized by M, denoted L(M).

DEFINITION 3.5

Call a language *Turing-recognizable* if some Turing machine recognizes it. ¹

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A TM decides a language if it recognizes it and halts (reaches an accepting or rejecting states) on all input strings.

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DEFINITION 3.6

Call a language *Turing-decidable* or simply *decidable* if some Turing machine decides it.²

DEFINITION 3.5

Call a language *Turing-recognizable* if some Turing machine recognizes it.¹

A TM <u>decides</u> a language if it recognizes it and halts (reaches an accepting or rejecting states) on all input strings.

DEFINITION 3.6

Call a language *Turing-decidable* or simply *decidable* if some Turing machine decides it.²

¹Often named **Recursively-Enumerable** in the literature. ²Often named **Recursive** in the literature.

TM Examples

EXAMPLE 3.7

Here we describe a Turing machine (TM) M_2 that decides $A = \{0^{2^n} | n \ge 0\}$, the language consisting of all strings of 0s whose length is a power of 2.

M_2 = "On input string w:

- 1. Sweep left to right across the tape, crossing off every other 0.
- 2. If in stage 1 the tape contained a single 0, accept.
- 3. If in stage 1 the tape contained more than a single 0 and the number of 0s was odd, reject.
- 4. Return the head to the left-hand end of the tape.
- 5. Go to stage 1."

TM Examples

Now we give the formal description of $M_2 = (Q, \Sigma, \Gamma, \delta, q_1, q_{\text{accept}}, q_{\text{reject}})$:

- $Q = \{q_1, q_2, q_3, q_4, q_5, q_{\text{accept}}, q_{\text{reject}}\},$
- $\Sigma = \{0\}$, and
- $\Gamma = \{0,x,\sqcup\}.$
- We describe δ with a state diagram (see Figure 3.8).
- The start, accept, and reject states are q_1 , q_{accept} , and q_{reject} .

 q_1 0000

 $\Box q_2$ 000

 $\sqcup \mathbf{x} q_3$ 00

 $\sqcup x0q_40$

 $\sqcup \mathbf{x} \mathbf{0} \mathbf{x} q_3 \sqcup$

 $\sqcup \mathbf{x} 0q_5\mathbf{x} \sqcup$

 $\sqcup \mathbf{x}q_5\mathbf{0}\mathbf{x}\sqcup$

 $\sqcup q_5 \mathbf{x} \mathbf{0} \mathbf{x} \sqcup$

 q_5 U \mathbf{x} 0 \mathbf{x} U

 $\sqcup q_2$ x0x \sqcup

 $\sqcup \mathbf{x}q_2\mathbf{0}\mathbf{x}\sqcup$

 $\sqcup xxq_3x\sqcup$

 $\sqcup xxxq_3 \sqcup$

 $\cup xxq_5x\cup$

 $\sqcup \mathbf{x} q_5 \mathbf{x} \mathbf{x} \sqcup$

ப q_5 XXX \sqcup

 q_5 UXXXU

 $\sqcup q_2 \mathbf{x} \mathbf{x} \mathbf{x} \sqcup$

 $\sqcup \mathbf{x} q_2 \mathbf{x} \mathbf{x} \sqcup$

 $\sqcup xxq_2x\sqcup$

 $\sqcup \mathbf{x} \mathbf{x} \mathbf{x} q_2 \sqcup$

 \sqcup XXX $\sqcup q_{\mathrm{accept}}$

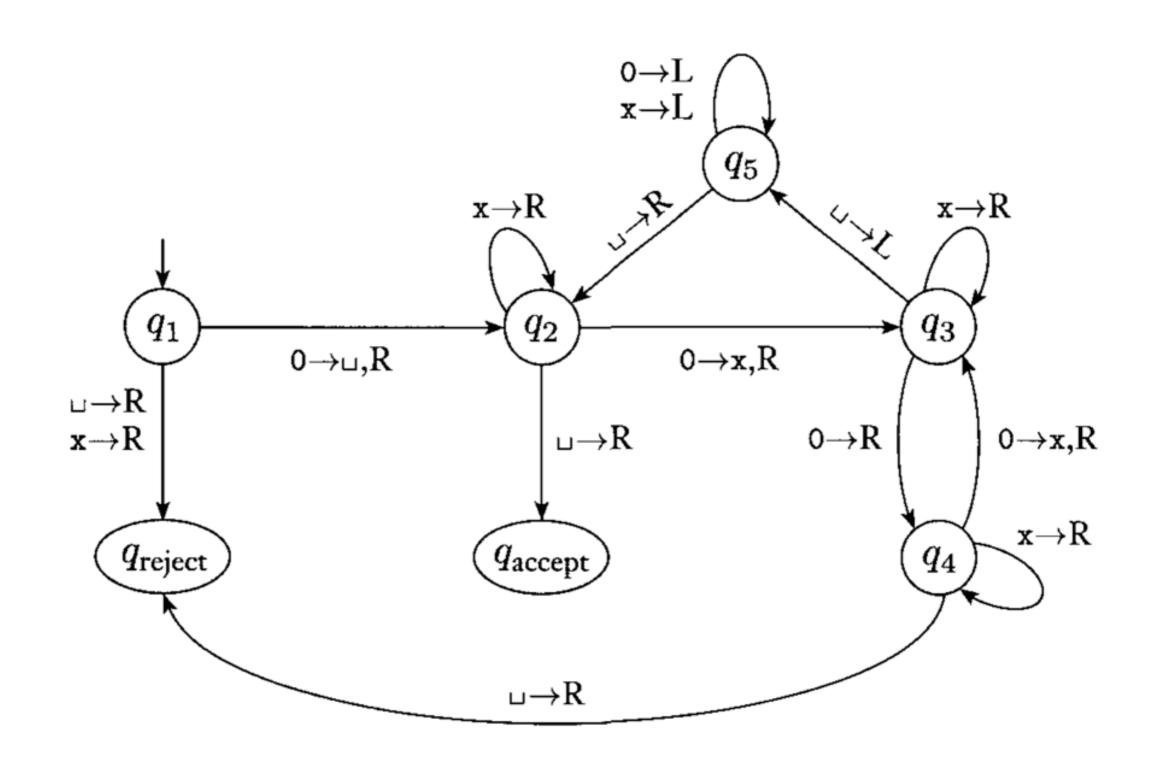


FIGURE 3.8 State diagram for Turing machine M_2

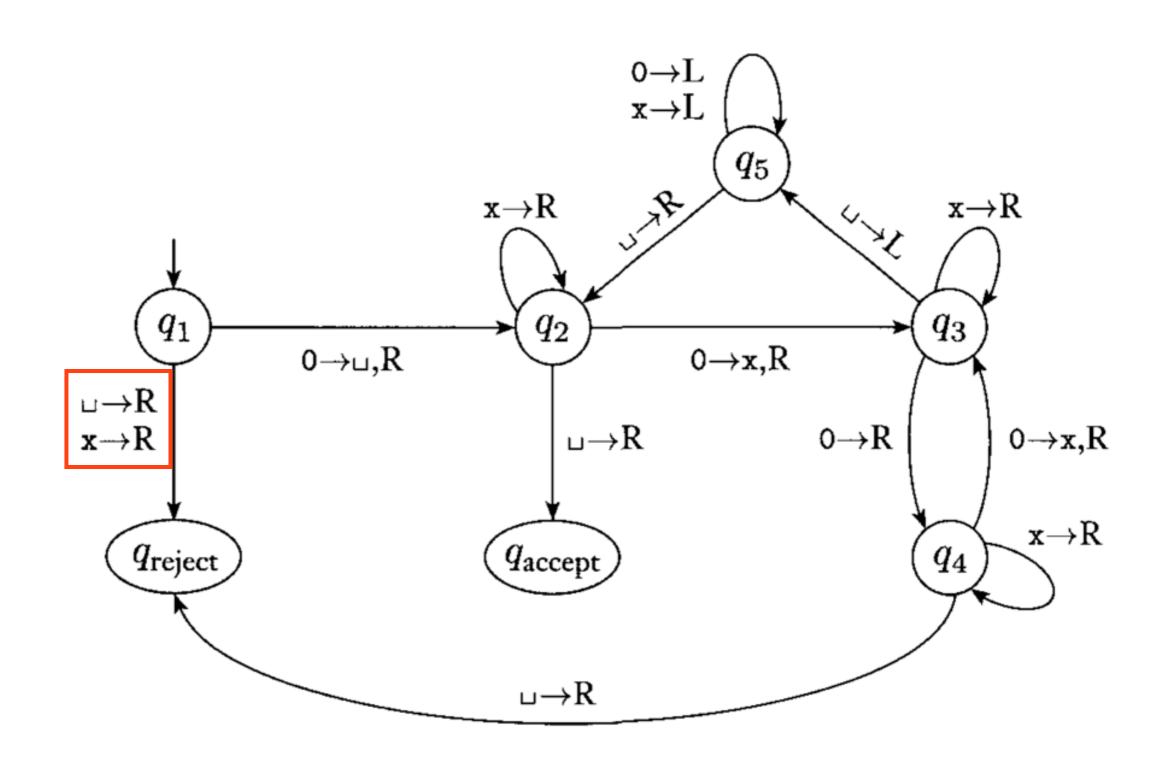


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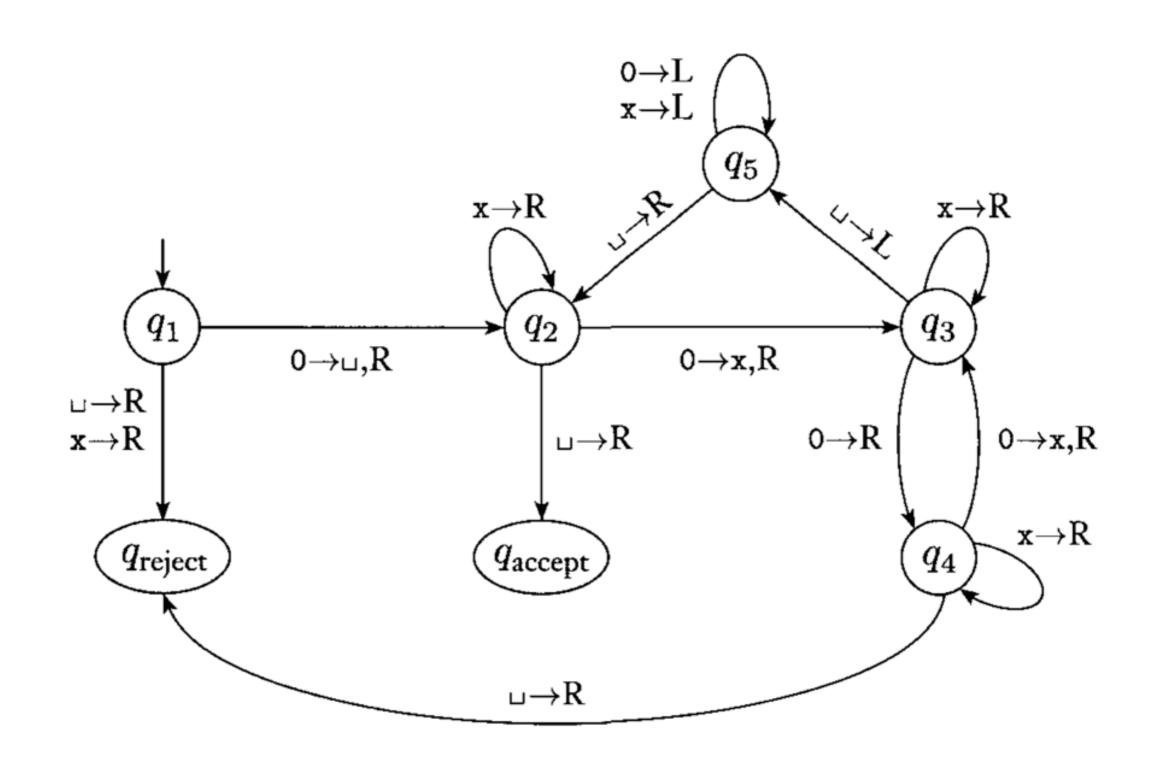


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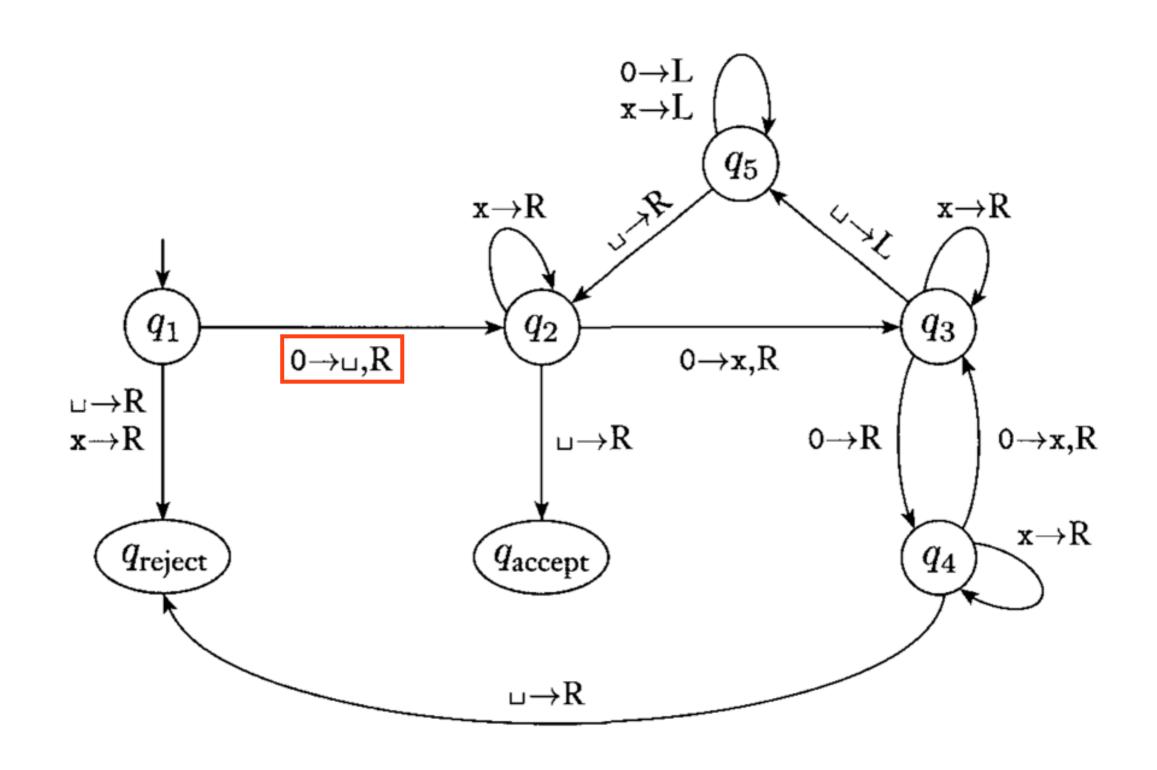


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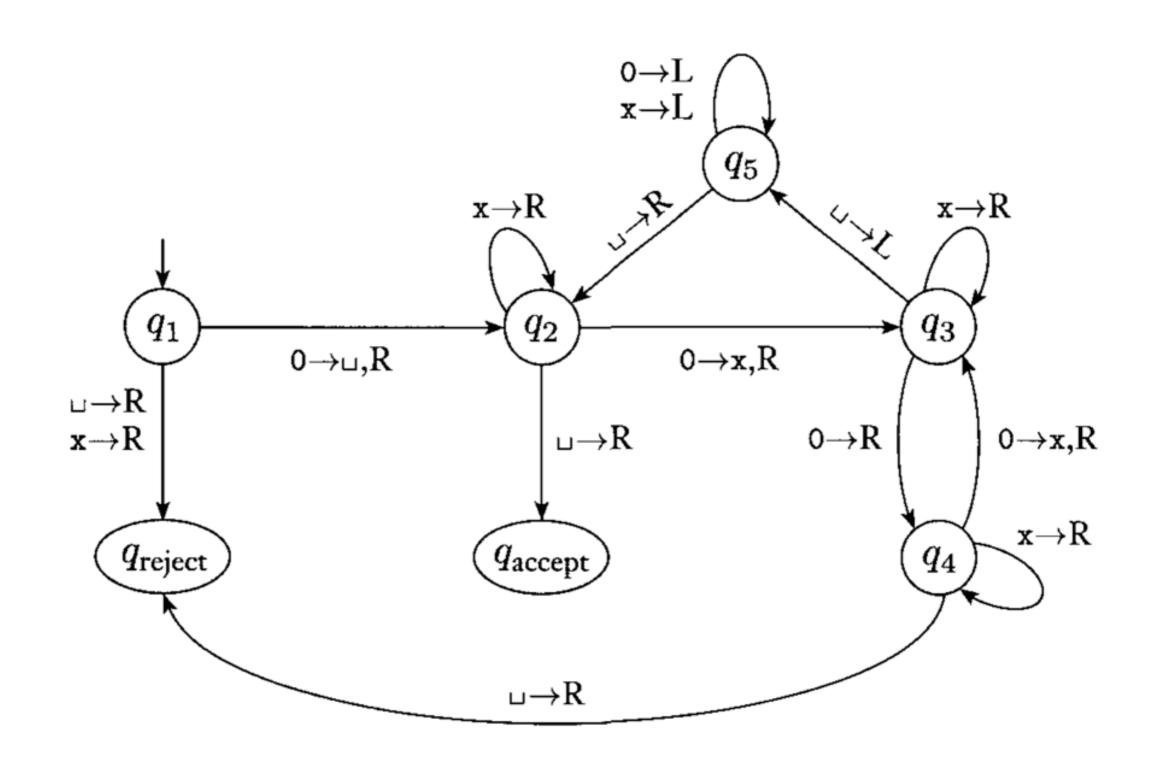


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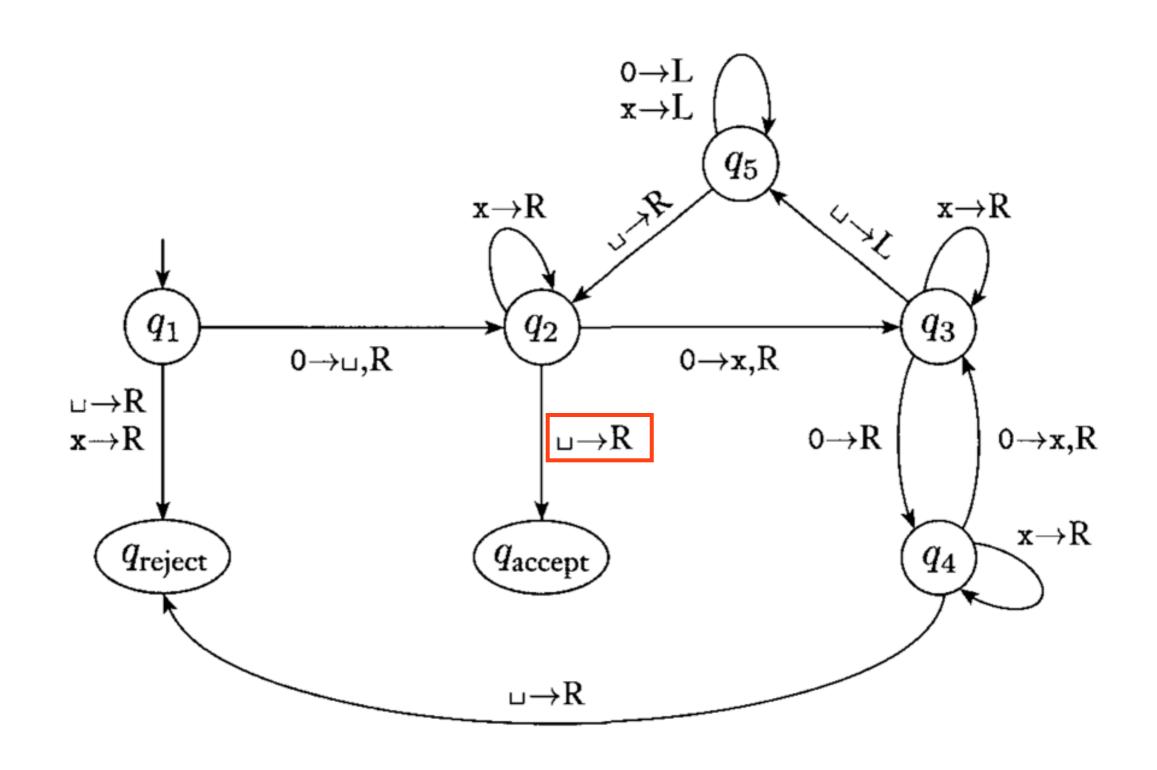


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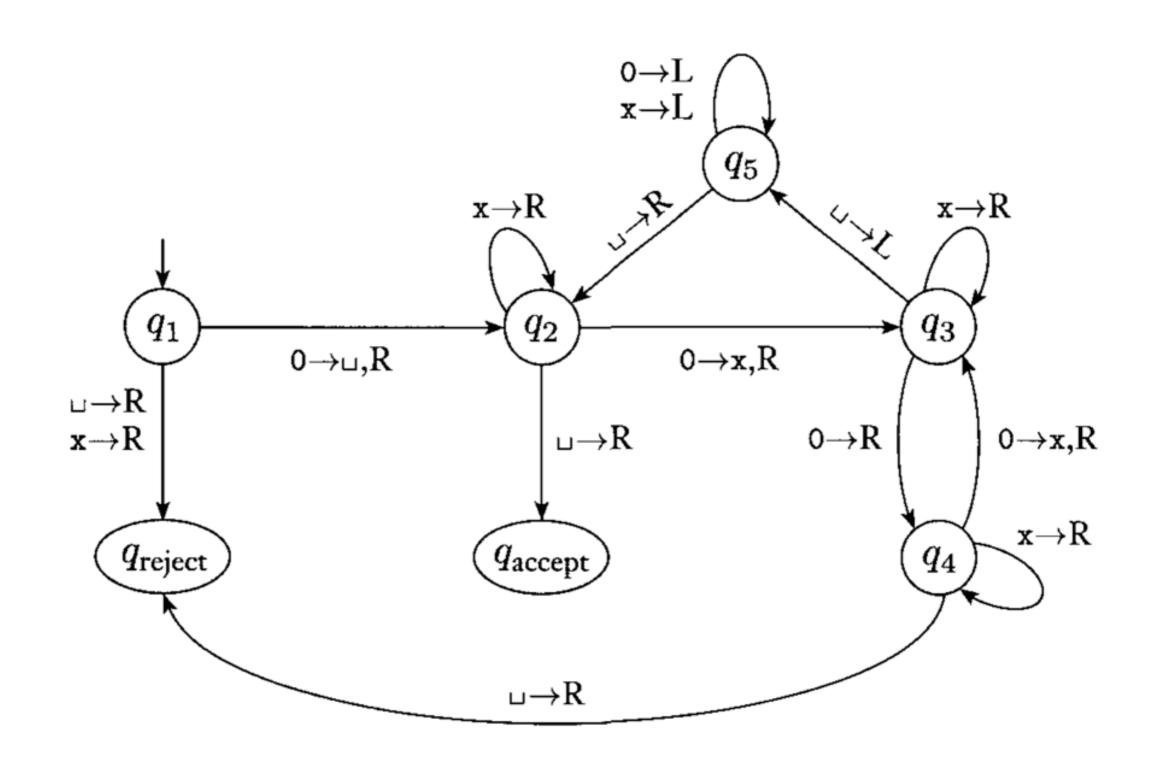


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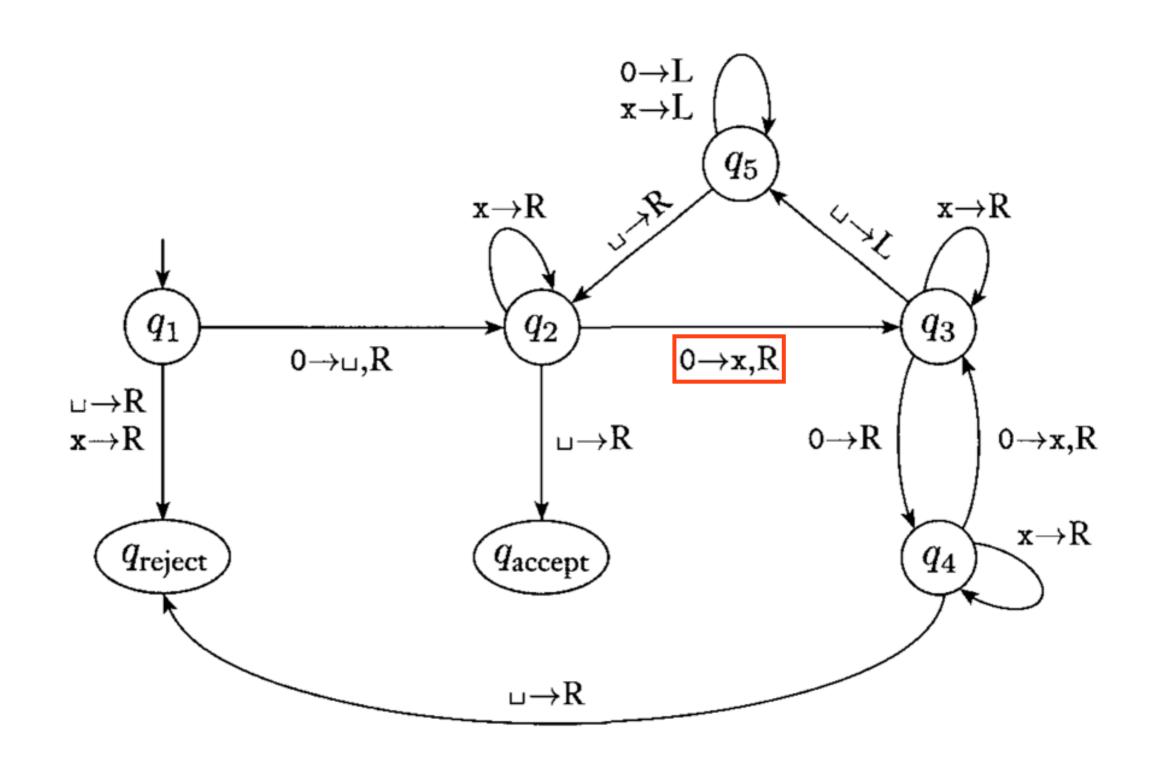


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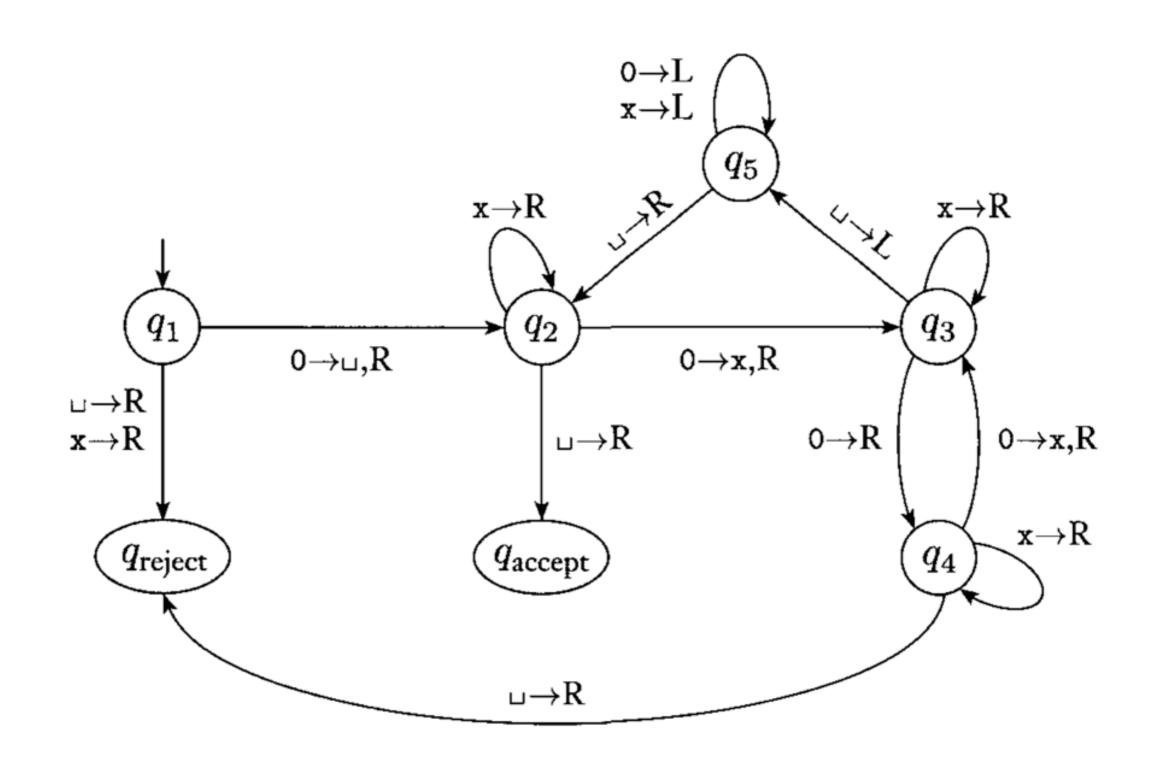


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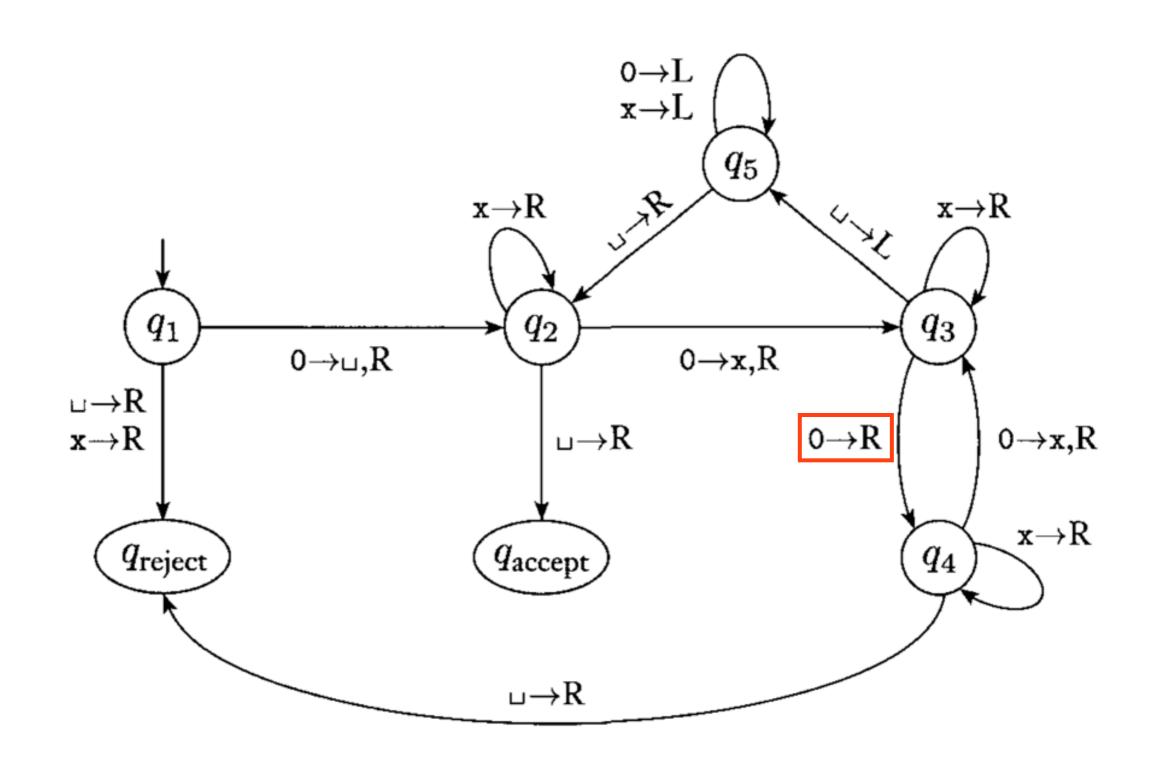


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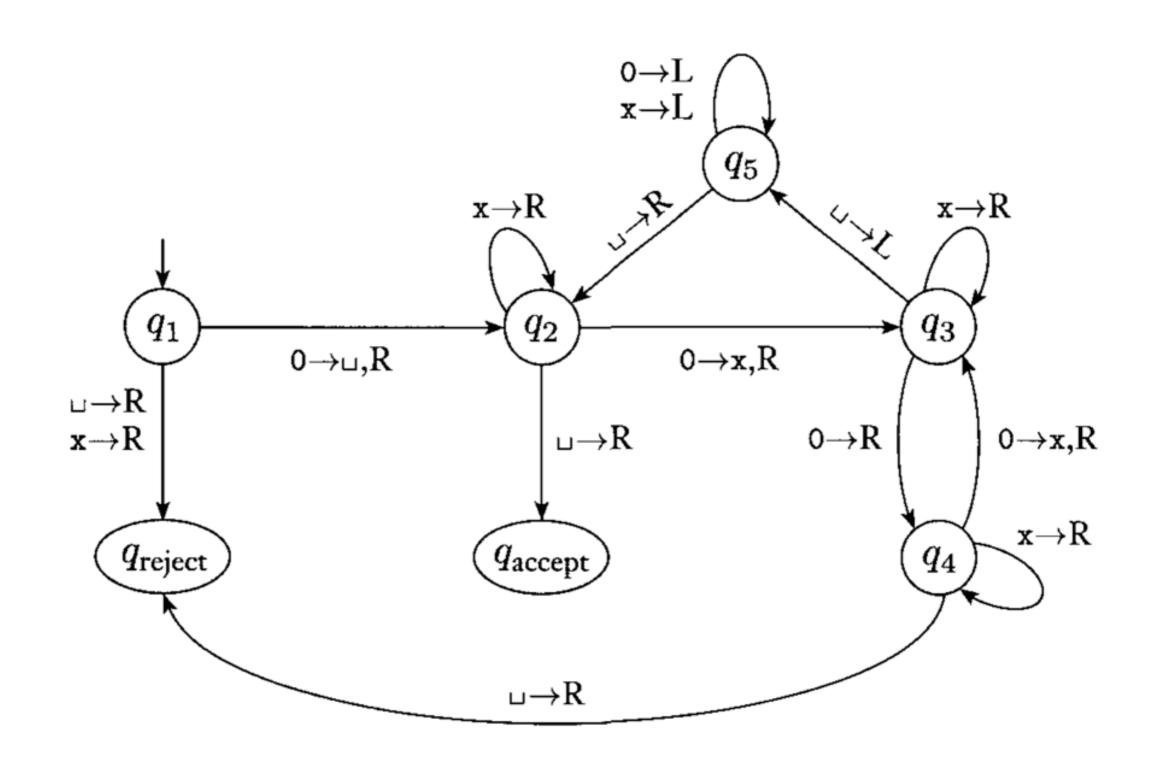


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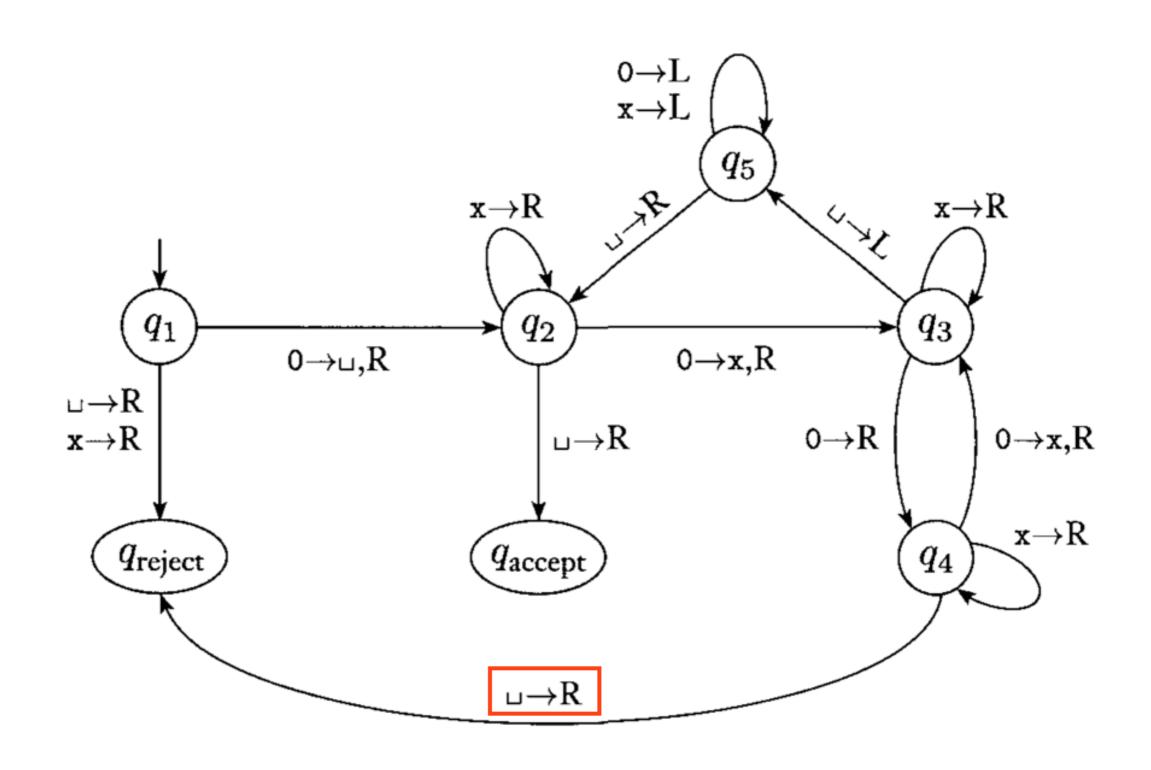


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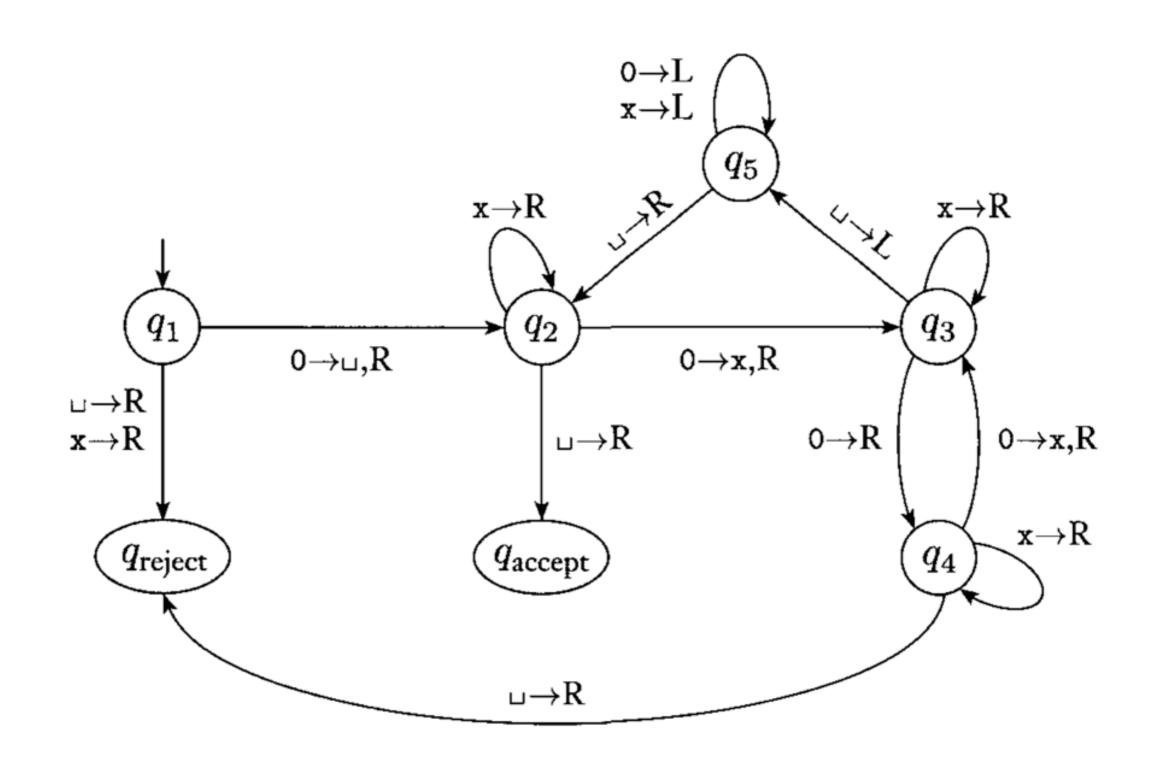


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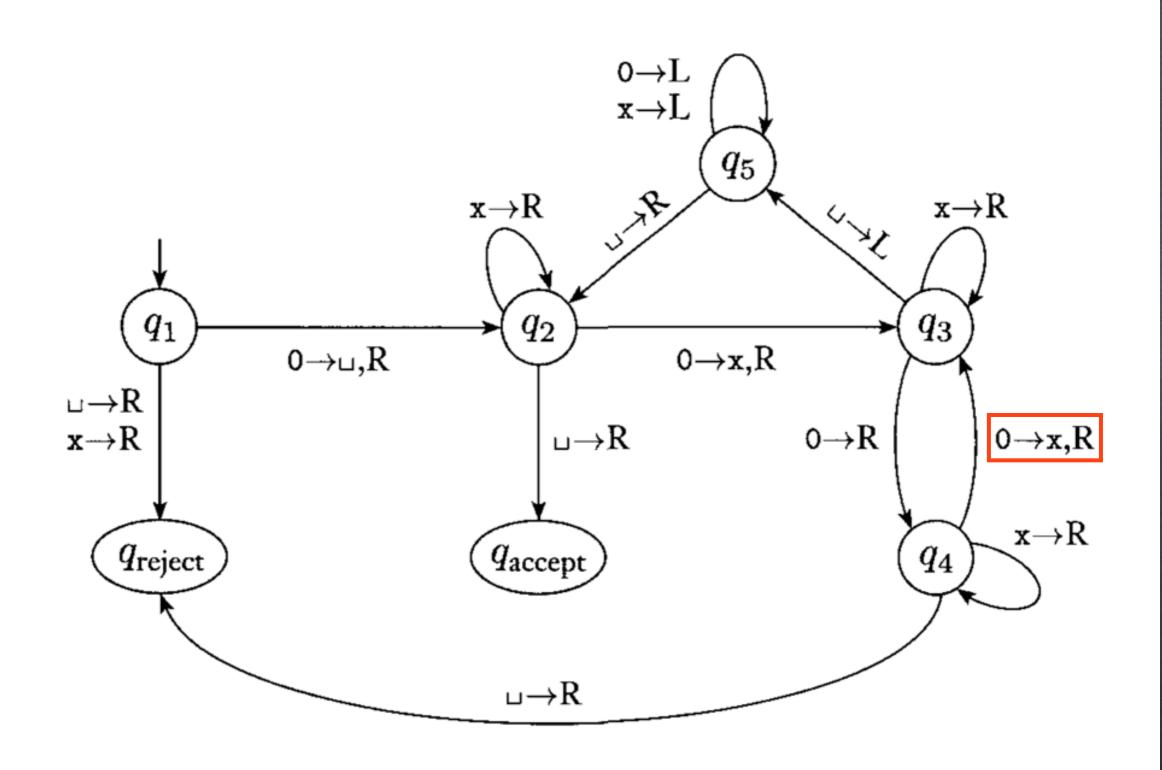


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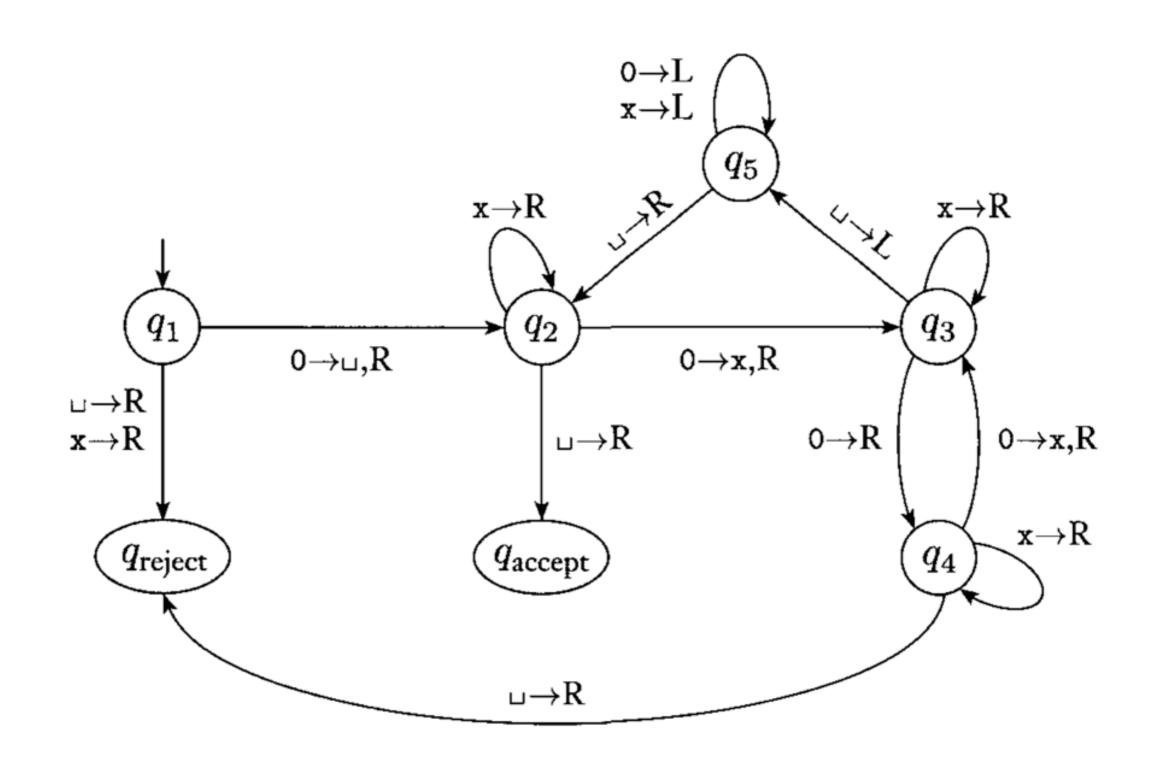


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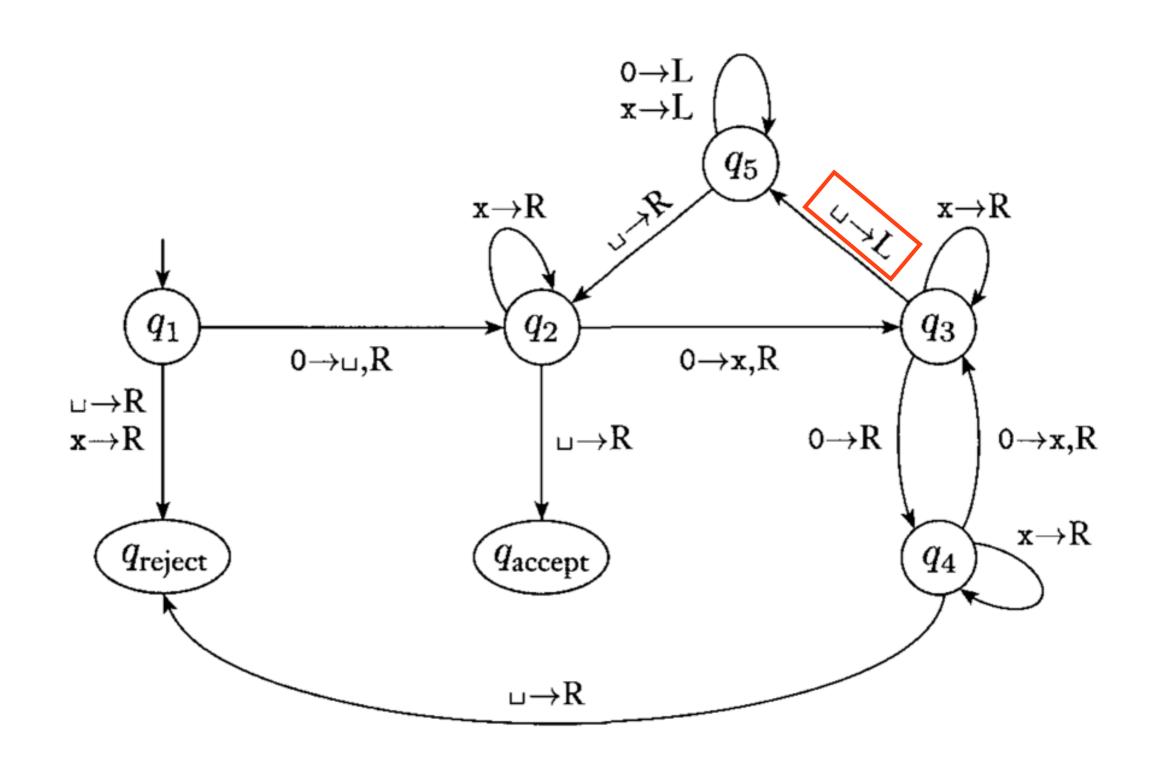


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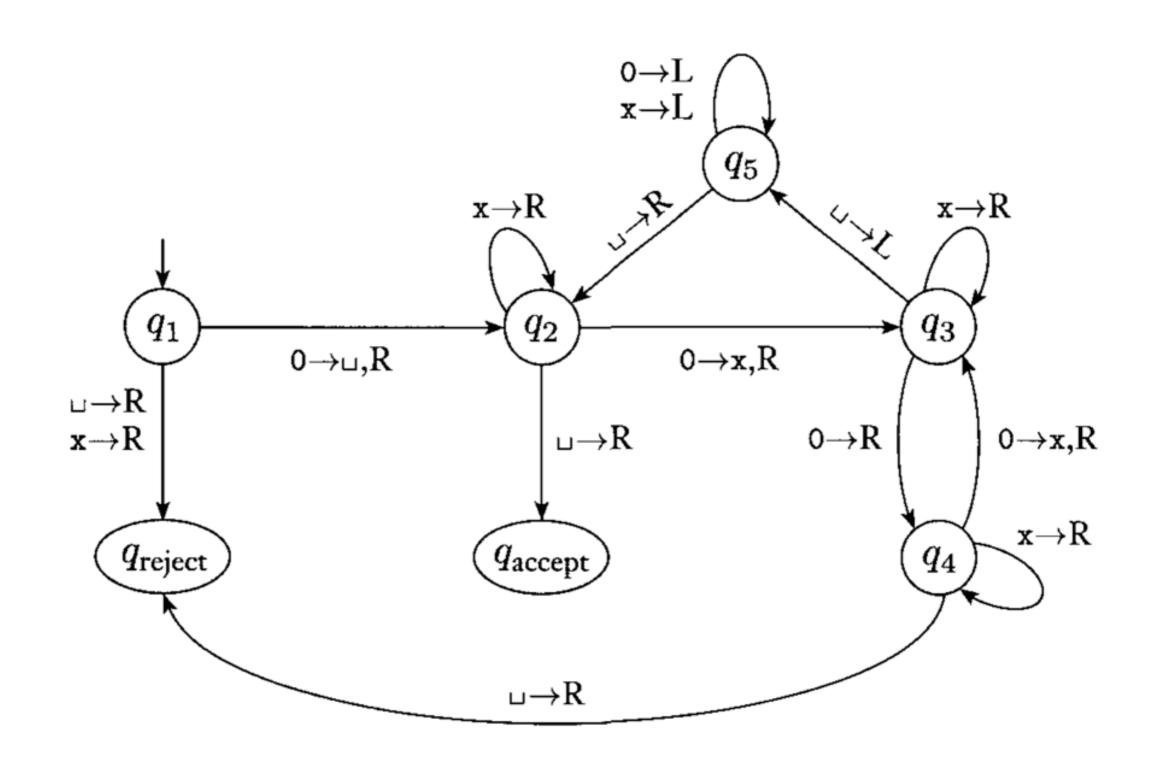


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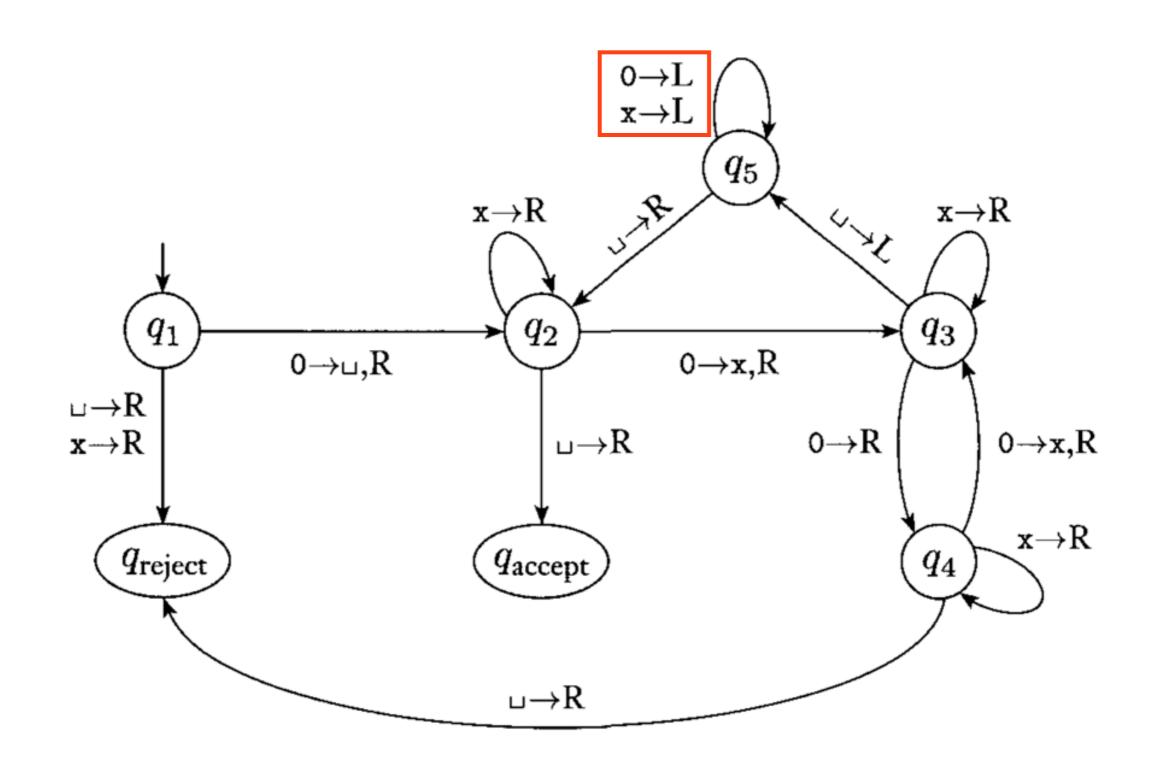


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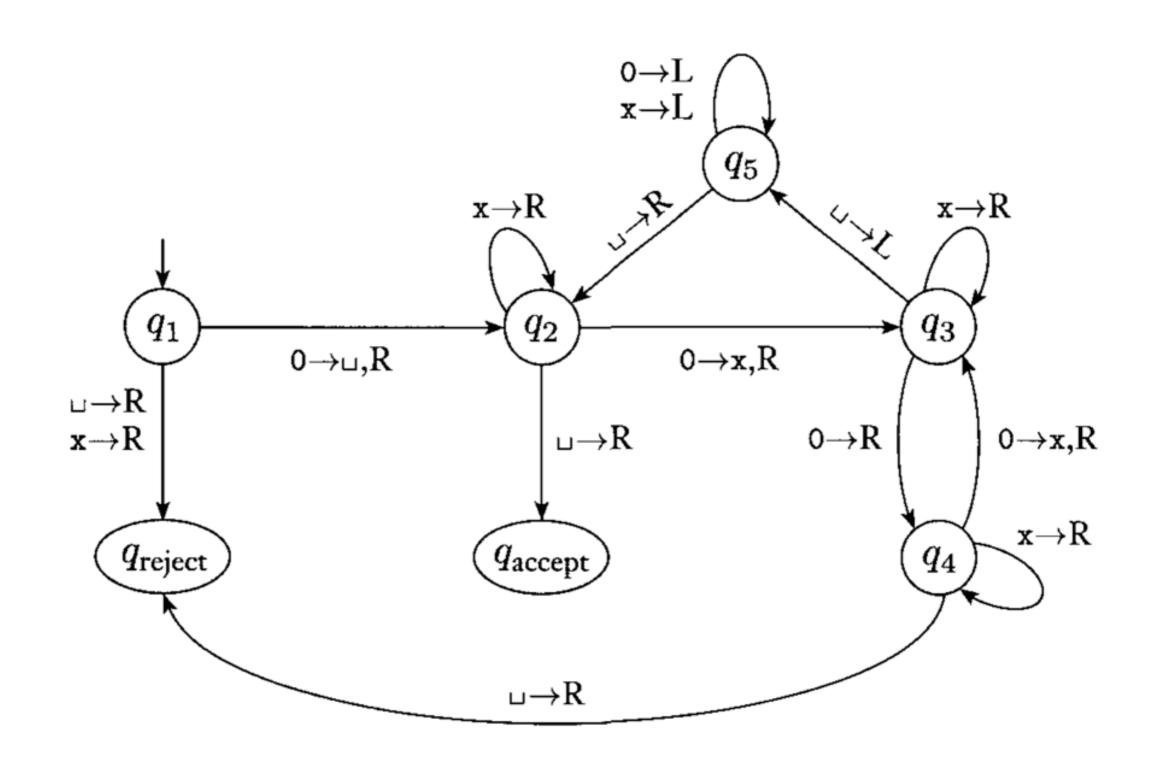


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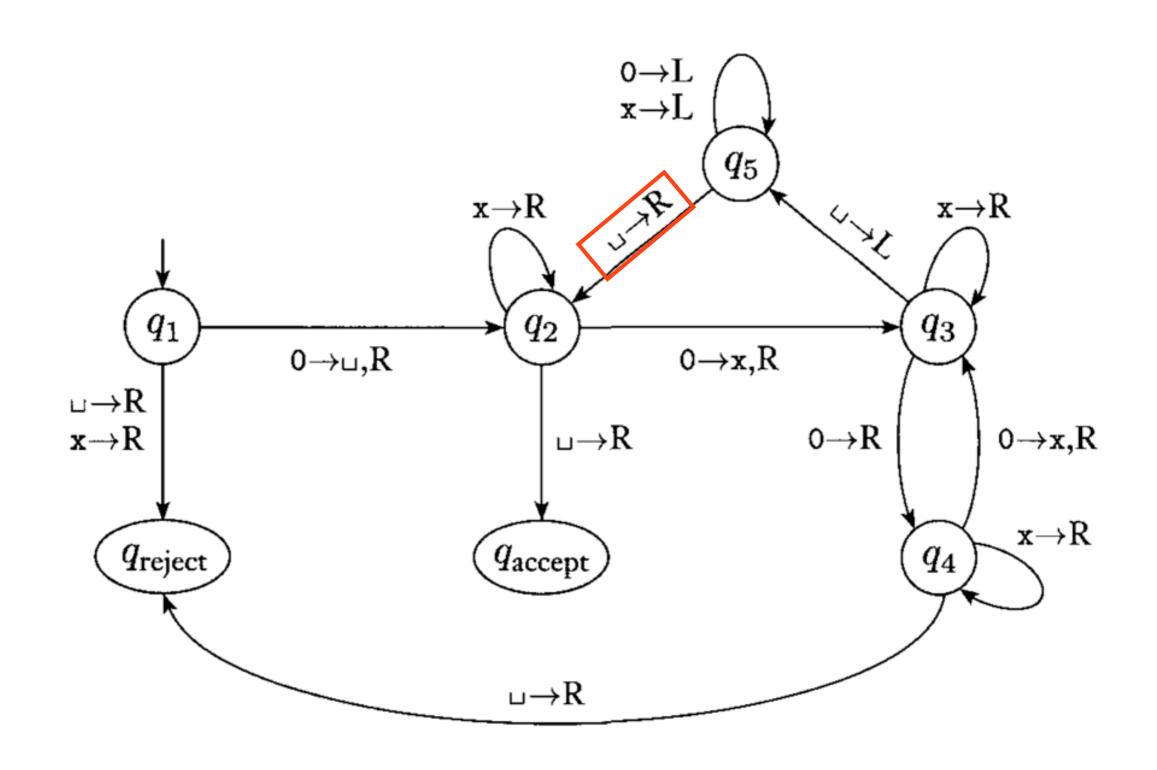


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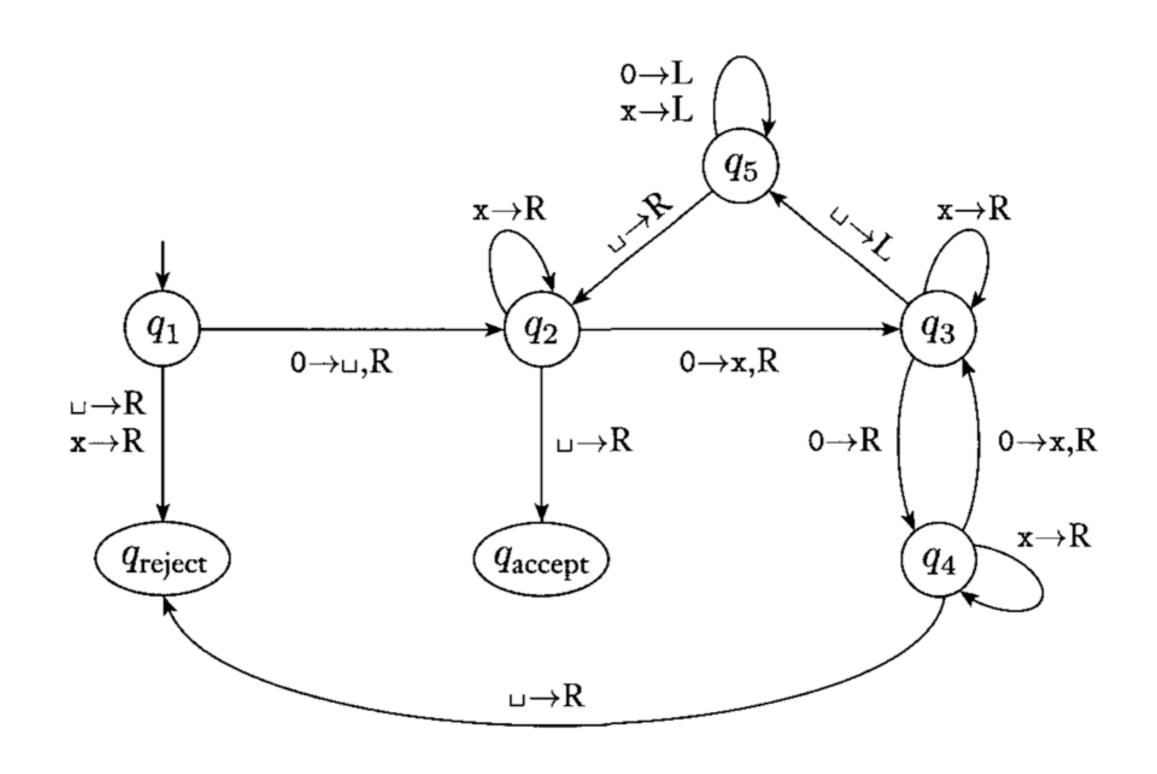


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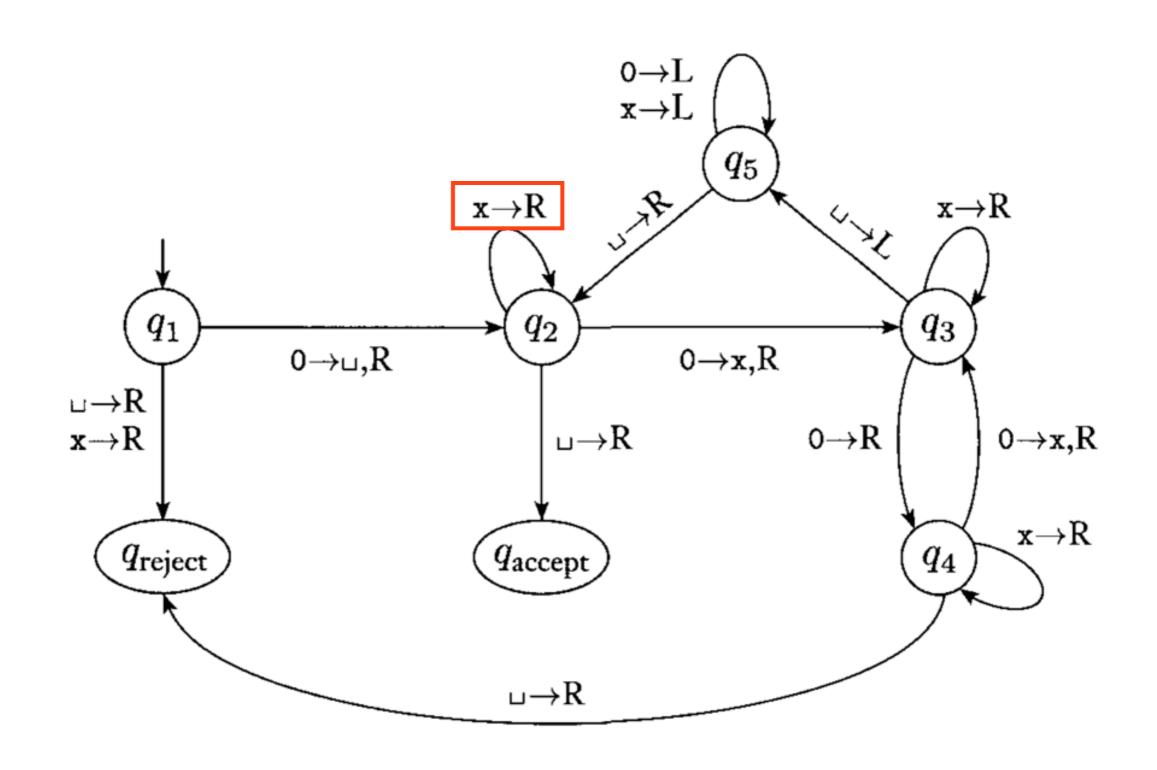


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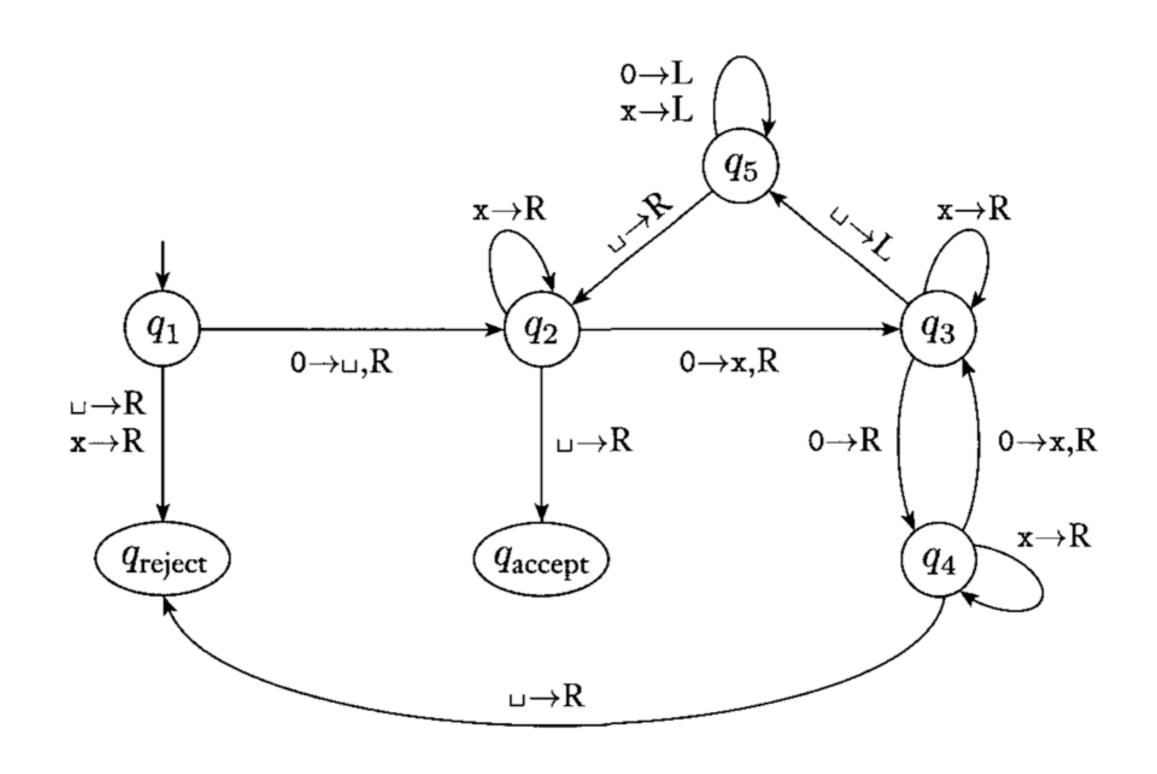


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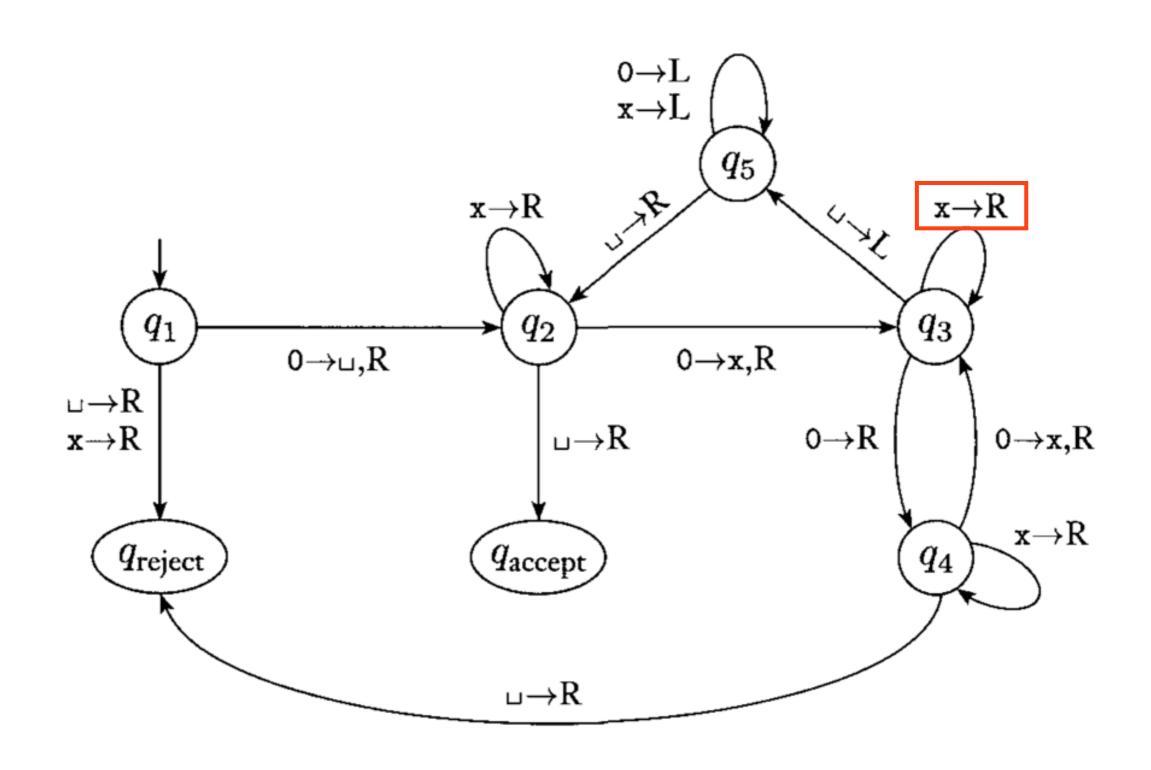


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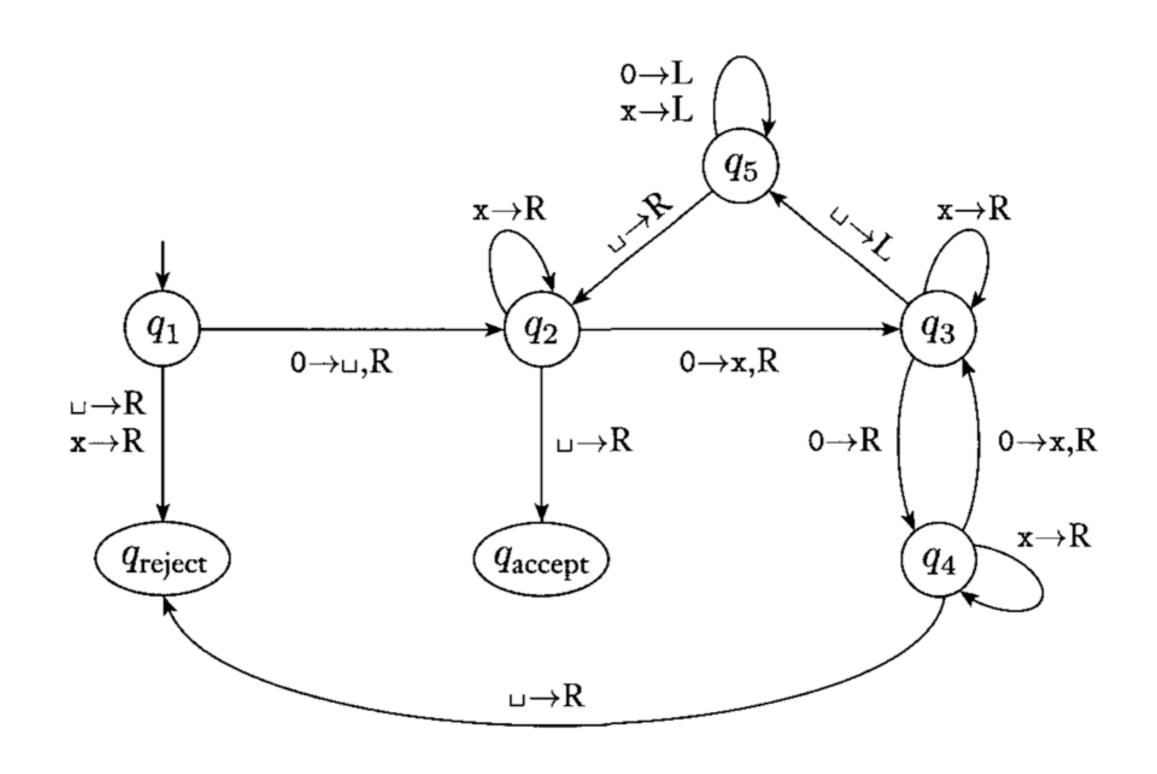


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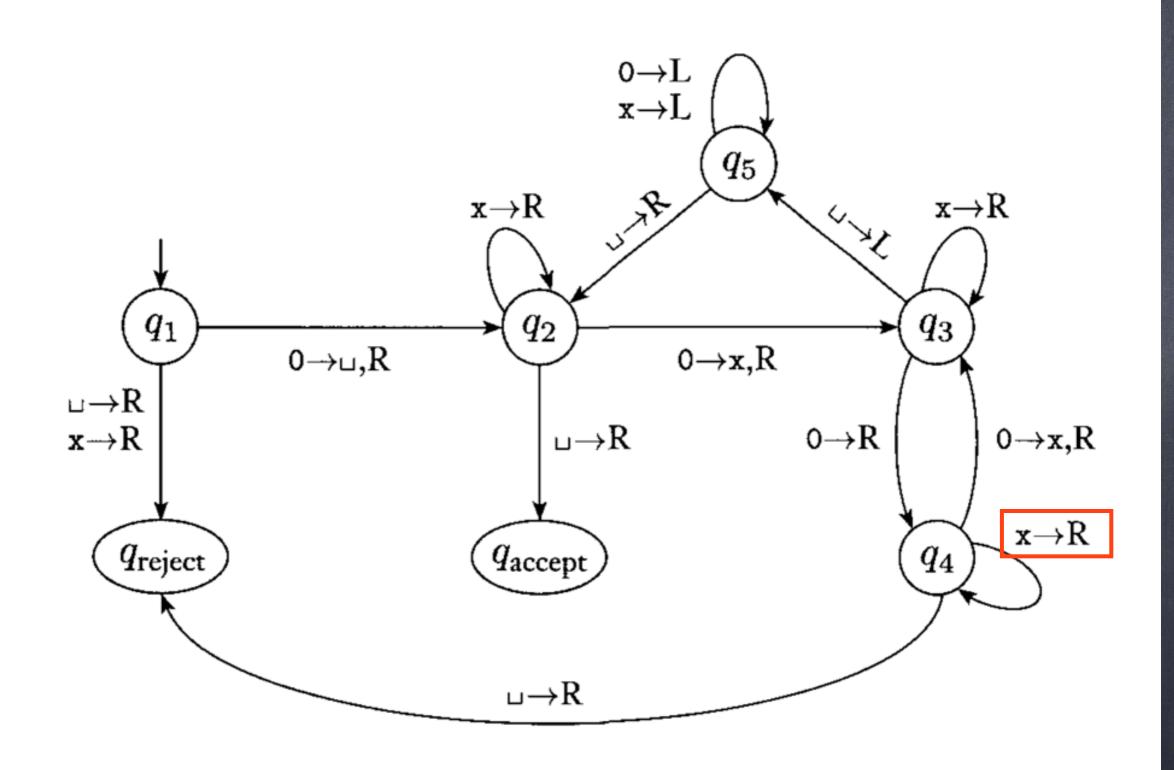


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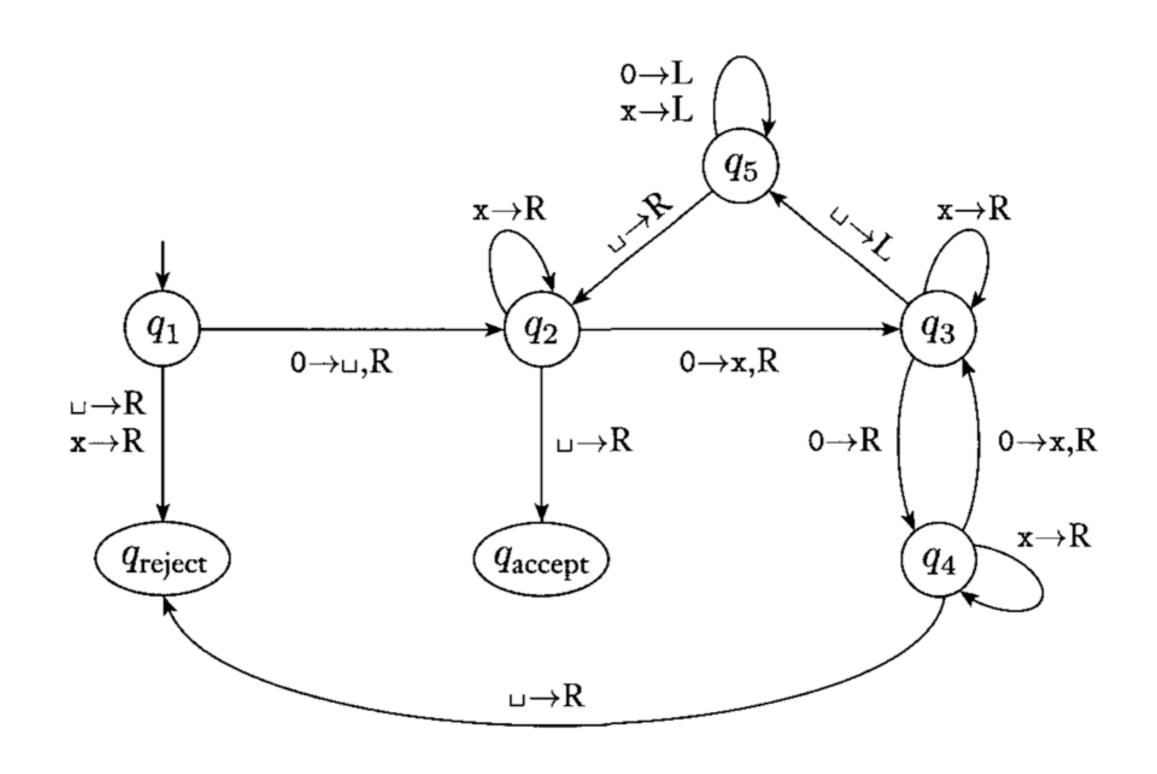


FIGURE 3.8 State diagram for Turing machine M_2

EXAMPLE 3.9

The following is a formal description of $M_1 = (Q, \Sigma, \Gamma, \delta, q_1, q_{\text{accept}}, q_{\text{reject}})$, the Turing machine that we informally described (page 139) for deciding the language $B = \{w \# w | w \in \{0,1\}^*\}$.

- $Q = \{q_1, \ldots, q_{14}, q_{\text{accept}}, q_{\text{reject}}\},$
- $\Sigma = \{0,1,\#\}$, and $\Gamma = \{0,1,\#,x,\sqcup\}$.
- We describe δ with a state diagram (see the following figure).
- The start, accept, and reject states are q_1 , q_{accept} , and q_{reject} .

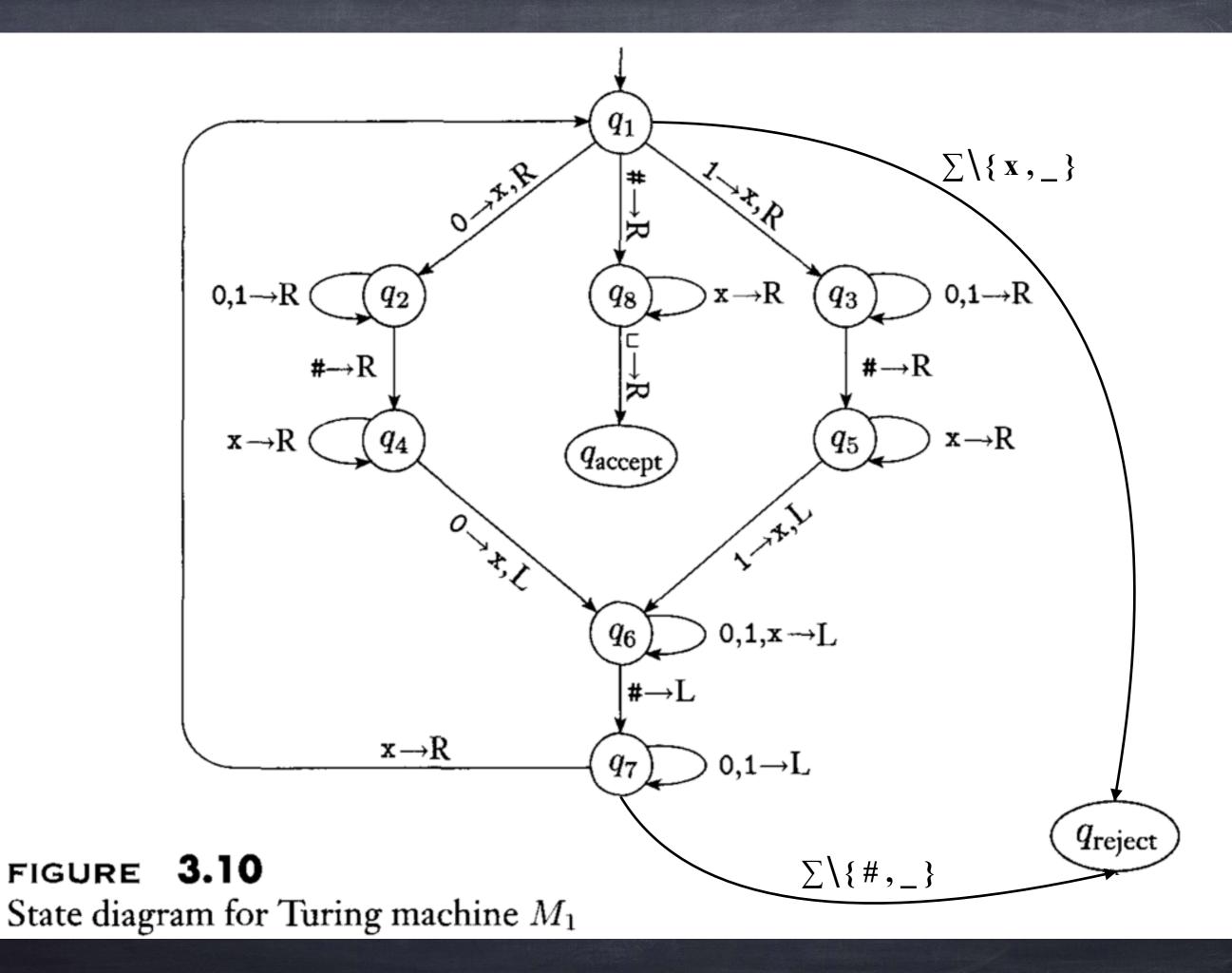
 M_1 = "On input string w:

- 1. Zig-zag across the tape to corresponding positions on either side of the # symbol to check whether these positions contain the same symbol. If they do not, or if no # is found, reject. Cross off symbols as they are checked to keep track of which symbols correspond.
- 2. When all symbols to the left of the # have been crossed off, check for any remaining symbols to the right of the #. If any symbols remain, reject; otherwise, accept."

EXAMPLE 3.9

The following is a formal description of $M_1 = (Q, \Sigma, \Gamma, \delta, q_1, q_{\text{accept}}, q_{\text{reject}})$, the Turing machine that we informally described (page 139) for deciding the language $B = \{w \# w | w \in \{0,1\}^*\}$.

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- The start, accept, and reject states are q_1 , q_{accept} , and q_{reject} .



EXAMPLE 3.11

Here, a TM M_3 is doing some elementary arithmetic. It decides the language $C = \{\mathbf{a}^i \mathbf{b}^j \mathbf{c}^k | i \times j = k \text{ and } i, j, k \geq 1\}.$

 M_3 = "On input string w:

- 1. Scan the input from left to right to determine whether it is a member of a+b+c+ and reject if it isn't.
- 2. Return the head to the left-hand end of the tape.
- 3. Cross off an a and scan to the right until a b occurs. Shuttle between the b's and the c's, crossing off one of each until all b's are gone. If all c's have been crossed off and some b's remain, reject.
- 4. Restore the crossed off b's and repeat stage 3 if there is another a to cross off. If all a's have been crossed off, determine whether all c's also have been crossed off. If yes, accept; otherwise, reject."

EXAMPLE 3.12

Here, a TM M_4 is solving what is called the *element distinctness problem*. It is given a list of strings over $\{0,1\}$ separated by #s and its job is to accept if all the strings are different. The language is

$$E = \{ \#x_1 \#x_2 \# \cdots \#x_l | \text{ each } x_i \in \{0,1\}^* \text{ and } x_i \neq x_j \text{ for each } i \neq j \}.$$

Machine M_4 works by comparing x_1 with x_2 through x_l , then by comparing x_2 with x_3 through x_l , and so on. An informal description of the TM M_4 deciding this language follows.

M_4 = "On input w:

- 1. Place a mark on top of the leftmost tape symbol. If that symbol was a blank, accept. If that symbol was a #, continue with the next stage. Otherwise, reject.
- 2. Scan right to the next # and place a second mark on top of it. If no # is encountered before a blank symbol, only x_1 was present, so accept.
- 3. By zig-zagging, compare the two strings to the right of the marked #s. If they are equal, reject.
- 4. Move the rightmost of the two marks to the next # symbol to the right. If no # symbol is encountered before a blank symbol, move the leftmost mark to the next # to its right and the rightmost mark to the # after that. This time, if no # is available for the rightmost mark, all the strings have been compared, so accept.
- 5. Go to Stage 3."

More Turing MACHINES

- Multitape Turing Machines
- Non-Deterministic Turing Machines
- Enumerator Turing Machines
- Everything else...

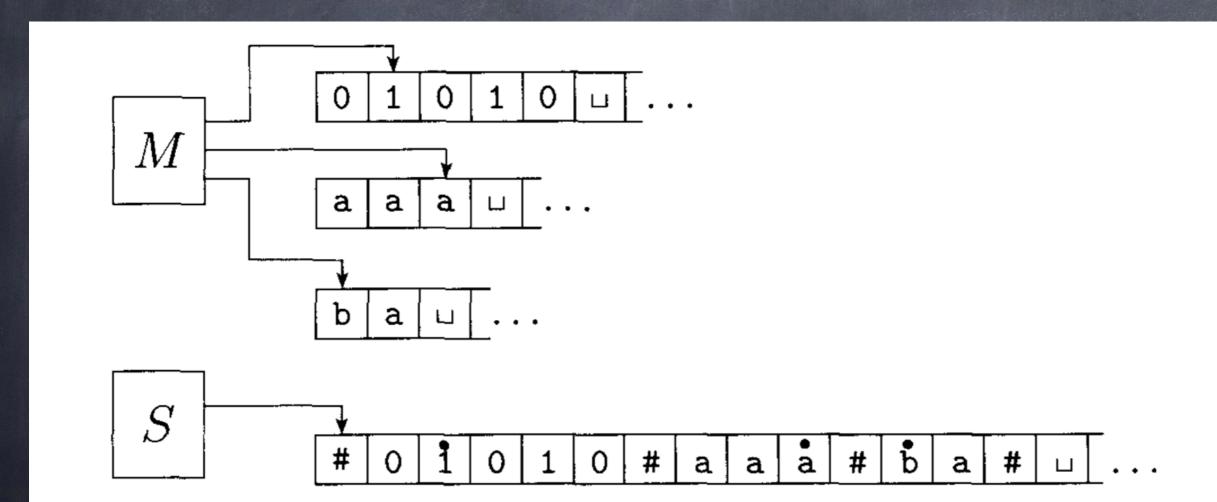


FIGURE 3.14

Representing three tapes with one

$$\delta: Q \times \Gamma^k \longrightarrow Q \times \Gamma^k \times \{L, R, S\}^k,$$

where k is the number of tapes. The expression

$$\delta(q_i, a_1, \ldots, a_k) = (q_j, b_1, \ldots, b_k, L, R, \ldots, L)$$

$$\delta: Q \times \Gamma^k \longrightarrow Q \times \Gamma^k \times \{L, R, S\}^k,$$

where k is the number of tapes. The expression

$$\delta(q_i, a_1, \ldots, a_k) = (q_j, b_1, \ldots, b_k, L, R, \ldots, L)$$

THEOREM 3.13

Every multitape Turing machine has an equivalent single-tape Turing machine.

$$S =$$
 "On input $w = w_1 \cdots w_n$:

1. First S puts its tape into the format that represents all k tapes of M. The formatted tape contains

$$\#w_1^{\bullet}w_2 \cdots w_n \#\Box \#\Box \# \cdots \#$$

- 2. To simulate a single move, S scans its tape from the first #, which marks the left-hand end, to the (k+1)st #, which marks the right-hand end, in order to determine the symbols under the virtual heads. Then S makes a second pass to update the tapes according to the way that M's transition function dictates.
- 3. If at any point S moves one of the virtual heads to the right onto a #, this action signifies that M has moved the corresponding head onto the previously unread blank portion of that tape. So S writes a blank symbol on this tape cell and shifts the tape contents, from this cell until the rightmost #, one unit to the right. Then it continues the simulation as before."

COROLLARY 3.15

A language is Turing-recognizable if and only if some multitape Turing machine recognizes it.

PROOF A Turing-recognizable language is recognized by an ordinary (singletape) Turing machine, which is a special case of a multitape Turing machine. That proves one direction of this corollary. The other direction follows from Theorem 3.13.

The transition function for a nondeterministic Turing machine has the form

$$\delta: Q \times \Gamma \longrightarrow \mathcal{P}(Q \times \Gamma \times \{L, R\}).$$

THEOREM 3.16

Every nondeterministic Turing machine has an equivalent deterministic Turing machine.

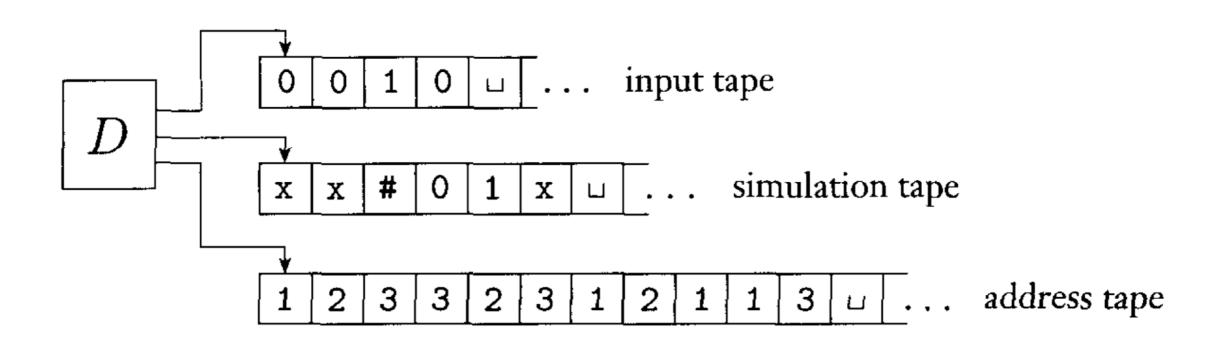


FIGURE 3.17 Deterministic TM D simulating nondeterministic TM N

- 1. Initially tape 1 contains the input w, and tapes 2 and 3 are empty.
- 2. Copy tape 1 to tape 2.
- 3. Use tape 2 to simulate N with input w on one branch of its nondeterministic computation. Before each step of N consult the next symbol on tape 3 to determine which choice to make among those allowed by N's transition function. If no more symbols remain on tape 3 or if this nondeterministic choice is invalid, abort this branch by going to stage 4. Also go to stage 4 if a rejecting configuration is encountered. If an accepting configuration is encountered, accept the input.
- 4. Replace the string on tape 3 with the lexicographically next string. Simulate the next branch of N's computation by going to stage 2.

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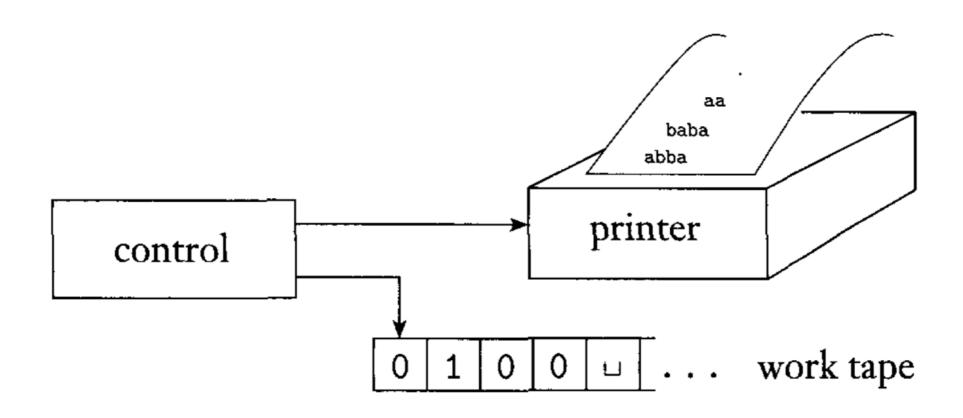


FIGURE **3.20** Schematic of an enumerator

THEOREM 3.21

A language is Turing-recognizable if and only if some enumerator enumerates it.

PROOF First we show that if we have an enumerator E that enumerates a language A, a TM M recognizes A. The TM M works in the following way.

M = "On input w:

- 1. Run E. Every time that E outputs a string, compare it with w.
- 2. If w ever appears in the output of E, accept."

Clearly, M accepts those strings that appear on E's list.

Now we do the other direction. If TM M recognizes a language A, we can construct the following enumerator E for A. Say that s_1, s_2, s_3, \ldots is a list of all possible strings in Σ^* .

E = "Ignore the input.

- 1. Repeat the following for i = 1, 2, 3, ...
- 2. Run M for i steps on each input, s_1, s_2, \ldots, s_i .
- 3. If any computations accept, print out the corresponding s_j ."

If M accepts a particular string s, eventually it will appear on the list generated by E. In fact, it will appear on the list infinitely many times because M runs from the beginning on each string for each repetition of step 1. This procedure gives the effect of running M in parallel on all possible input strings.

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- 3.

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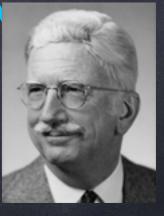
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Stephen Kleene



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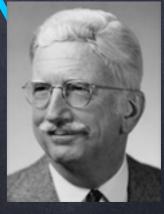
Lambda-calculus



Alonzo Church

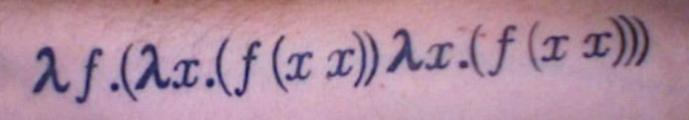


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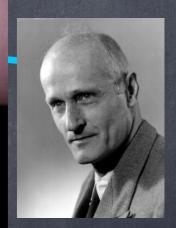
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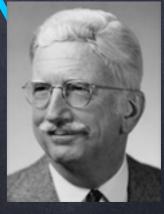
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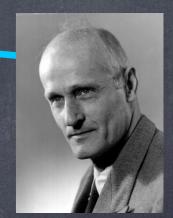


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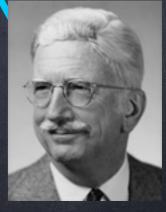
- Lambda-calculus
- Recursive Functions



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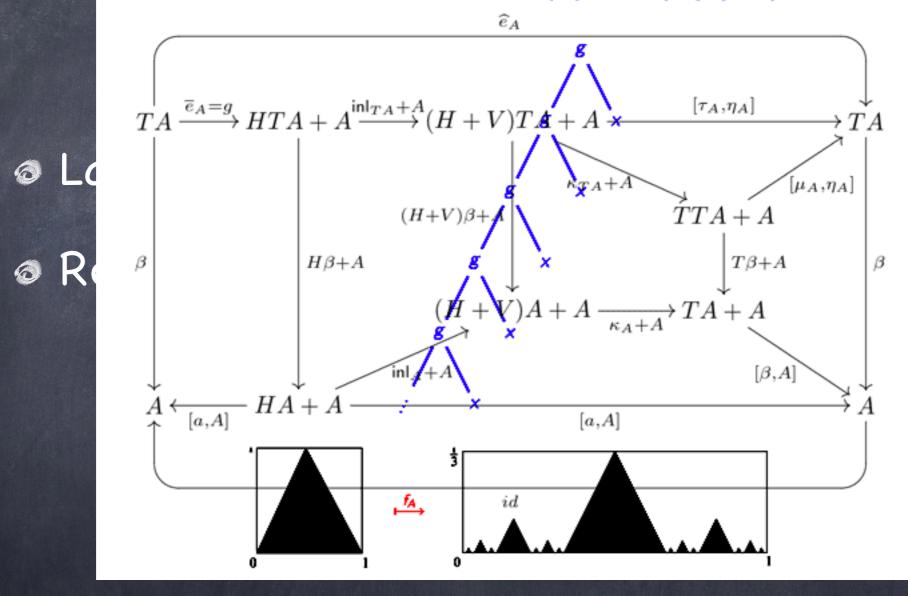


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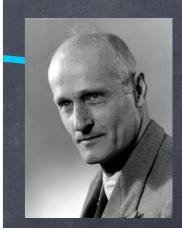
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$$f(x) = g(f(x), x)$$





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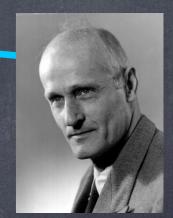


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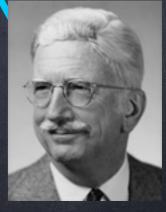
- Lambda-calculus
- Recursive Functions



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- Lambda-calculus
- Recursive Functions •
- Programming languages:



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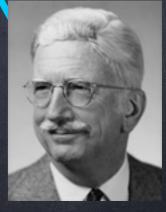
- Lambda-calculus
- Recursive Functions
- Programming languages:
 - FORTRAN, PASCAL, C, JAVA,...



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- Lambda-calculus
- Recursive Functions
- Programming languages:
 - FORTRAN, PASCAL, C, JAVA,...
 - LISP, SCHEME,...



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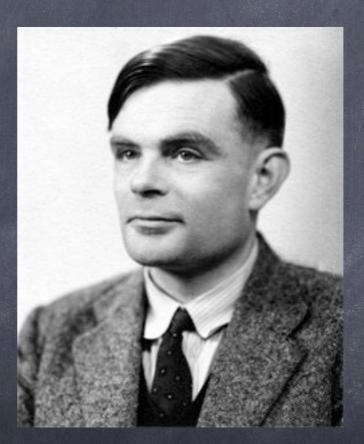


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Church-Turing Thesis



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Alan Turing

Church-Turing Thesis

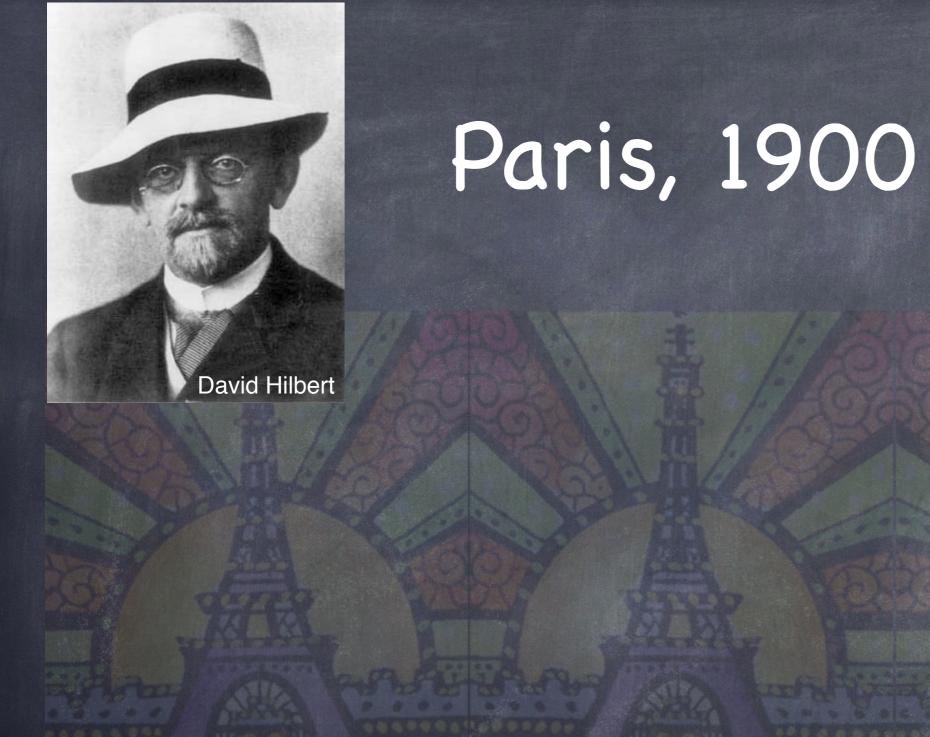
Intuitive notion of algorithms

equals

Turing machine algorithms

FIGURE 3.22

The Church-Turing Thesis





Paris, 1900

Speaking on 8 August 1900, at the Paris 2nd International Congress of Mathematicians, at La Sorbonne, German mathematician David Hilbert presented ten problems in mathematics.



Paris, 1900

- Speaking on 8 August 1900, at the Paris 2nd International Congress of Mathematicians, at La Sorbonne, German mathematician David Hilbert presented ten problems in mathematics.
- The problems were all unsolved at the time, and several of them turned out to be very influential for 20th century mathematics.

Hilbert's 10th problem

- Let P be a polynomial in <u>several variables</u>: $P(x,y,z)=24x^2y^3+17x+5y+25$
- Is there a set of integers for x,y,z such that P(x,y,z)=0 ?
- This problem is undecidable... but is Turing-Recognizable...
- Needed a formal model of computing to prove impossibility.

Yuri Matiyasevich

Single variable Poly

Let

 $D_1 = \{p | p \text{ is a polynomial over } x \text{ with an integral root}\}.$

Here is a TM M_1 that recognizes D_1 :

 M_1 = "The input is a polynomial p over the variable x.

1. Evaluate p with x set successively to the values $0, 1, -1, 2, -2, 3, -3, \ldots$ If at any point the polynomial evaluates to 0, accept."

Single variable Poly

Let

 $D_1 = \{p | p \text{ is a polynomial over } x \text{ with an integral root}\}.$

Here is a TM M_1 that recognizes D_1 :

- M_1 = "The input is a polynomial p over the variable x.
 - 1. Evaluate p with x set successively to the values $0, 1, -1, 2, -2, 3, -3, \ldots$ If at any point the polynomial evaluates to 0, accept."
- 3.21 Let $c_1x^n + c_2x^{n-1} + \cdots + c_nx + c_{n+1}$ be a polynomial with a root at $x = x_0$. Let c_{max} be the largest absolute value of a c_i . Show that

$$|x_0| < (n+1)\frac{c_{\text{max}}}{|c_1|}.$$

COMP-330 Theory of Computation

Fall 2019 -- Prof. Claude Crépeau

Lec. 16-17:

Turing Machines & Church-Turing Thesis