

# Chapter 13

## Randomized Alaorithms



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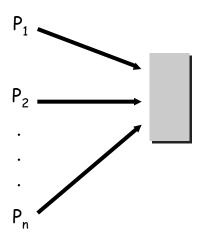
# 13.1 Contention Resolution

### Contention Resolution in a Distributed System

Contention resolution. Given n processes  $P_1$ , ...,  $P_n$ , each competing for access to a shared database. If two or more processes access the database simultaneously, all processes are locked out. Devise protocol to ensure all processes get through on a regular basis.

Restriction. Processes can't communicate.

Challenge. Need symmetry-breaking paradigm.



#### Contention Resolution: Randomized Protocol

Protocol. Each process requests access to the database at time t with probability p = 1/n.

Claim. Let S[i, t] = event that process i succeeds in accessing the database at time t. Then  $1/(e \cdot n) \le Pr[S(i, t)] \le 1/(2n)$ .

Claim. The probability that process i fails to access the database in en rounds is at most 1/e. After  $e \cdot n(c \ln n)$  rounds, the probability is at most  $n^{-c}$ .

Claim. The probability that all processes succeed within  $2e \cdot n \ln n$  rounds is at least 1 - 1/n.

# 13.3 Linearity of Expectation

### Guessing Cards

Game. Shuffle a deck of n cards; turn them over one at a time; try to guess each card.

Memoryless guessing. No psychic abilities; can't even remember what's been turned over already. Guess a card from full deck uniformly at random.

Claim. The expected number of correct guesses is 1.

Guessing with memory. Guess a card uniformly at random from cards not yet seen.

Claim. The expected number of correct guesses is  $\Theta(\log n)$ .

#### Coupon Collector

Coupon collector. Each box of cereal contains a coupon. There are n different types of coupons. Assuming all boxes are equally likely to contain each coupon, how many boxes before you have  $\geq 1$  coupon of each type?

Claim. The expected number of steps is  $\Theta(n \log n)$ .

# 13.5 Randomized Divide-and-Conquer

#### Quicksort

Sorting. Given a set of n distinct elements S, rearrange them in ascending order.

```
RandomizedQuicksort(S) {
   if |S| = 0 return

   choose a splitter a<sub>i</sub> ∈ S uniformly at random
   foreach (a ∈ S) {
      if (a < a<sub>i</sub>) put a in S<sup>-</sup>
      else if (a > a<sub>i</sub>) put a in S<sup>+</sup>
   }
   RandomizedQuicksort(S<sup>-</sup>)
   output a<sub>i</sub>
   RandomizedQuicksort(S<sup>+</sup>)
}
```

Remark. Can implement in-place.

O(log n) extra space

#### Quicksort

#### Running time.

- [Best case.] Select the median element as the splitter: quicksort makes  $\Theta(n \log n)$  comparisons.
- [Worst case.] Select the smallest element as the splitter: quicksort makes  $\Theta(n^2)$  comparisons.

Randomize. Protect against worst case by choosing splitter at random.

Intuition. If we always select an element that is bigger than 25% of the elements and smaller than 25% of the elements, then quicksort makes  $\Theta(n \log n)$  comparisons.

Notation. Label elements so that  $x_1 < x_2 < ... < x_n$ .

### Quicksort: Expected Number of Comparisons

Theorem. Expected # of comparisons is O(n log n).

Theorem. [Knuth 1973] Stddev of number of comparisons is ~ 0.65n.

Ex. If n = 1 million, the probability that randomized quicksort takes less than 4n ln n comparisons is at least 99.94%.

Chebyshev's inequality.  $Pr[|X - \mu| \ge k\delta] \le 1/k^2$ .

## Quicksort: Expected Number of Comparisons

The expected number of comparisons in a randomized Quicksort of n elements is ( $\gamma$  is Euler's constant near 0.577):

$$q_n = 2n \ln n - (4 - 2\gamma)n + 2 \ln n + O(1).$$

In 1996, McDiarmid and Hayward have formulated an exact expression for the probability that the number of comparisons  $Q_n$  be far from its average  $q_n$ 

$$\Pr\left[\left|\frac{Q_n}{q_n} - 1\right| > \varepsilon\right] = n^{-(2+o(1))\varepsilon \ln^{(2)} n}$$

Let c be a positive constant. McDiarmid and Hayward's formula imply that there exists another positive constant a smaller than 1 such that

$$\Pr[\ \mathbf{Q}_{\mathbf{n}} \in \Theta(n^{1+c})\ ] < a^{n^c}.$$