# Computer Science 308-547A Cryptography and Data Security

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# 6 Information theory

The security of most modern cryptographic system is based on a computational assumption. In large, a system that is computationally secure relies on the fact that an attacker does not have enough **time** to break the system, while on the other hand a system that is unconditionally secure relies on the fact that an attacker will never have enough **information**. Computational security uses results from *complexity theory*, unconditional security uses *information theory* which we present in this chapter.

## 6.1 Notations and probability theory

Let X, Y be distributions on finite sets of events (we will only consider finite sets of probabilities), we denote  $\Pr_X(x)$  as the probability of x occurring, following the distribution X (when the situation is clear, we will not explicitly write the distribution on the index).

 $\Pr_{X,Y}(x,y)$  is the **mutual probability**, it is the probability that both x and y occur.

 $\Pr_{X,Y}(x|y)$  is the **conditional probability**, it is the probability that x occurs knowing that y has occurred.

**Definition 6.1** X and Y are two independent distributions if

$$\forall_{x \in X, y \in Y} \operatorname{Pr}(x, y) = \operatorname{Pr}(x) \operatorname{Pr}(y)$$

By definition, we have that Pr(x, y) = Pr(x|y) Pr(y) = Pr(y|x) Pr(x). We can now deduce the following theorem

Theorem 6.2 (Bayes)

If 
$$\Pr(y) > 0$$
 then  $\Pr(x|y) = \frac{\Pr(y|x)\Pr(x)}{\Pr(y)}$ 

We can easily convince ourselves of the following corollary

**Corollary 6.3** X and Y are independent variables iff  $\forall_{x \in X, y \in Y}$ 

$$\Pr(x|y) = \Pr(x)$$

#### 6.2 Shannon's information theory and entropy

Consider a random source  $\mathcal{S} \longrightarrow 100110100111011...$  We would like to characterize its output.  $\mathcal{S}$  outputs symbols with probabilities Pr(0), Pr(1). How much uncertainty do we have about the output of this source (what is the entropy  $\mathbf{H}[\mathcal{S}]$  of  $\mathcal{S}$ )?

**Example 6.1**  $S_0 \longrightarrow 0000000...$  (always outputs 0), then  $\mathbf{H}[S_0] = 0$ .  $S_1 \longrightarrow 11111111...$  (always outputs 1), then  $\mathbf{H}[S_1] = 0$ .  $S_U \longrightarrow 100110100111011...$  (Independent and Uniform), then  $\mathbf{H}[S_U] = 1$ .

In a more general case, how do we define  $\mathbf{H}[\mathcal{S}]$ ?

#### 6.2.1 Entropy

The entropy of a source  $\mathcal{S}$  can be considered as the average length, in bits, needed to represent the output of  $\mathcal{S}$  (this was introduced in [?]).

**Example 6.2** Consider a random source  $S \longrightarrow a, b, c$ , where

$$\Pr(a) = 1/2, \Pr(b) = 1/4 = \Pr(c) = 1/4$$

and the coding  $a \longrightarrow 0, b \longrightarrow 10, c \longrightarrow 11$  (a prefix coding), then the average length needed to represent the output of S is

$$1/2 \cdot 1 \ bit + 1/4 \cdot 2 \ bits + 1/4 \cdot 2 \ bits = 3/2 \ bits.$$

More formally, we state the following definition of the entropy of a source S, where the probabilities of the outputs are  $p_1, p_2, ..., p_n$ ,

#### Definition 6.4 (Entropy)

$$\mathbf{H}[p_1, p_2, ..., p_n] = \sum_{i=1}^n p_i \lg \frac{1}{p_i} = -\sum_{i=1}^n p_i \lg p_i$$

where  $\lg$  represents the logarithm base 2. We assume in this definition that  $0 \lg 0 = 0$  (the real fact is that  $\lim_{x \to 0^+} x \lg x = 0$ ).

Another way of defining the entropy is through the following set of properties

#### 6.2.2 Properties of entropy

**H**  $[p_1, p_2, ..., p_n]$ , where  $\sum_{i=1}^n p_i = 1$ , satisfies the following:

- 1.  $\mathbf{H}[p_1, p_2, ..., p_n]$  is maximum when  $p_1 = p_2 = ... = p_n = 1/n$  (the uniform distribution should have the greatest entropy...).
- 2.  $\mathbf{H}[p_1, p_2, ..., p_n] = \mathbf{H}[p_{\pi(1)}, p_{\pi(2)}, ..., p_{\pi(n)}]$  for any permutation  $\pi$ .
- 3.  $\mathbf{H}[p_1, p_2, ..., p_n] \ge 0$ , with = 0 exactly if one  $p_i = 1$ .

4. 
$$\mathbf{H}[p_1, p_2, ..., p_n, 0] = \mathbf{H}[p_1, p_2, ..., p_n]$$

5. 
$$\mathbf{H}\left[\underbrace{\frac{1}{n}, \frac{1}{n}, \dots, \frac{1}{n}}_{n}\right] \le \mathbf{H}\left[\underbrace{\frac{1}{n+1}, \frac{1}{n+1}, \dots, \frac{1}{n+1}}_{n+1}\right]$$

6.  $\mathbf{H}[*]$  is continuous

**Theorem 6.5** Let  $H'(p_1, p_2, ..., p_n)$  satisfy the above properties. There exists a constant  $\lambda$  such that

$$H'(p_1, p_2, ..., p_n) = \lambda \mathbf{H}[p_1, p_2, ..., p_n].$$

#### 6.2.3 Entropy of random variables

For a random variable X such that  $\Pr_X(x_1) = p_1, ..., \Pr_X(x_n) = p_n$  we abuse notation and write  $\mathbf{H}[X]$  instead of  $\mathbf{H}[p_1, p_2, ..., p_n]$ .

Look ahead: For a cryptosystem  $(\mathcal{P}, \mathcal{C}, \mathcal{K}, \mathcal{E}, \mathcal{D})$ , we will be interested in random variables P, K, C describing the uncertainty about the plaintext, ciphertext and key, and in  $\mathbf{H}[P|C]$  (uncertainty of the message given the ciphertext) and  $\mathbf{H}[K|C]$  (uncertainty of the encryption key given the ciphertext).

Now, a couple more theorems and definitions...

Theorem 6.6

 $\mathbf{H}\left[X,Y\right] \le \mathbf{H}\left[X\right] + \mathbf{H}\left[Y\right]$ 

(equal iff X and Y are mutually independent)

### Theorem 6.7

$$\mathbf{H}\left[X_{1}, X_{2}, ..., X_{n}\right] \leq \sum_{i=1}^{n} \mathbf{H}\left[X_{i}\right]$$

(equal iff  $X_1, X_2, ..., X_n$  are mutually independent)

## 6.3 Conditional Entropy

## Definition 6.8 (Conditional entropy)

$$\mathbf{H} [X|Y = y] = -\sum_{x \in X} \Pr_{X|Y=y}(x) \operatorname{lg} \Pr_{X|Y=y}(x)$$
$$\mathbf{H} [X|Y] = \sum_{y \in Y} \Pr_{Y}(y) \mathbf{H} [X|Y = y]$$
$$= -\sum_{y \in Y} \sum_{x \in X} \Pr_{Y}(y) \operatorname{Pr}_{X|Y=y}(x) \operatorname{lg} \operatorname{Pr}_{X|Y=y}(x)$$

**Theorem 6.9 H** [X|Y] = 0 exactly if X = f(Y) for some function f.

**Theorem 6.10**  $\mathbf{H}[X|Y] = \mathbf{H}[X]$  exactly if X and Y are independent.

#### Theorem 6.11

$$\mathbf{H}[X,Y] = \mathbf{H}[Y] + \mathbf{H}[X|Y]$$

and thus,  $\mathbf{H}[X|Y] \leq \mathbf{H}[X]$  (using Theorem 6.6), with equality iff X, Y are independent.

# 6.4 Mutual Information

#### Definition 6.12

$$\mathbf{I}[X;Y] = \mathbf{H}[X] - \mathbf{H}[X|Y]$$

Theorem 6.13

$$\mathbf{I}\left[X;Y\right]=\mathbf{I}\left[Y;X\right]$$

option vs vote	OUI	NON	und.
separation	30%	60%	10%
offer of partnership	39%	46%	15%
certainty of partnership	54%	35%	11%

## 6.5 Example:

 $\Pr[OPTION = "separation"] = 1/4$  $\Pr[OPTION = "offer of partnership"] = 1/2$  $\Pr[OPTION = "certainty of partnership"] = 1/4$ 

> $\Pr[VOTE = "OUI"] = 40.5\%$  $\Pr[VOTE = "NON"] = 46.75\%$  $\Pr[VOTE = "undec."] = 12.75\%$

 $\mathbf{H} [VOTE] = -.405 \lg .405 - .4675 \lg .4675 - .1275 \lg .1275 = 1.4198$  $\mathbf{H} [OPTION] = -.25 \lg .25 - .5 \lg .5 - .25 \lg .25 = 1.5$ 

$$\begin{aligned} \mathbf{H} \left[ VOTE | OPTION &= \text{``separation''} \right] \\ &= -.3 \, \lg .3 - .6 \, \lg .6 - .1 \, \lg .1 &= 1.2955 \\ \mathbf{H} \left[ VOTE | OPTION &= \text{``offer of partnership''} \right] \\ &= -.39 \, \lg .39 - .46 \, \lg .46 - .15 \, \lg .15 &= 1.4557 \\ \mathbf{H} \left[ VOTE | OPTION &= \text{``certainty of partnership''} \right] \\ &= -.54 \, \lg .54 - .35 \, \lg .35 - .11 \, \lg .11 &= 1.3604 \end{aligned}$$

$$\begin{split} \mathbf{H}\left[VOTE|OPTION\right] = \\ \frac{\mathbf{H}\left[VOTE|OPTION = \text{``separation''}\right]}{4} + \\ \frac{\mathbf{H}\left[VOTE|OPTION = \text{``offer of partnership''}\right]}{2} + \\ \frac{\mathbf{H}\left[VOTE|OPTION = \text{``certainty of partnership''}\right]}{4} = \\ \frac{1.2955}{4} + \frac{1.4557}{2} + \frac{1.3604}{4} = 1.3918 \\ \mathbf{I}\left[VOTE; OPTION\right] = \mathbf{H}\left[VOTE\right] - \mathbf{H}\left[VOTE|OPTION\right] \\ = 1.4198 - 1.3918 = 0.0280 \end{split}$$

#### 6.6 Jensens Lemma

The following theorem will be used to prove some more interesting statements about entropy functions. First, a preliminary definition.

**Definition 6.14** A real function f is said to be concave on an interval I if  $\forall_{x,y\in I}$ 

$$f\left(\frac{x+y}{2}\right) \ge \frac{f(x)+f(y)}{2}$$

**Theorem 6.15 (Jensens inequality)** If f is continuous and strictly concave on I, and  $\sum_{i=1}^{n} a_i = 1$ ,  $a_i \ge 0$ ,  $1 \le i \le n$ , then,  $\forall_{x_i \in I}$ 

$$\sum_{i=1}^{n} a_i f(x_i) \le f(\sum_{i=1}^{n} a_i x_i)$$

with equality iff  $x_1 = x_2 = \dots = x_n$ 

#### 6.6.1 Application

**Theorem 6.16** if X takes values  $x_1$  with probability  $p_1$ ,  $x_2$  with probability  $p_2$ , ...,  $x_n$  with probability  $p_n$ , then

 $\mathbf{H}\left[X\right] \le \lg n$ 

(with equality when  $p_1 = p_2 = ... p_n = 1/n$ )

Proof.

$$\mathbf{H}[X] = -\sum_{i=1}^{n} p_i \lg p_i$$
  
=  $\sum_{i=1}^{n} p_i \lg 1/p_i$   
 $\leq \lg \sum_{i=1}^{n} p_i 1/p_i$   
=  $\lg n \ (eq. \ when \ p_1 = p_2 = \dots = p_n = 1/n)$ 

# 6.7 Perfect Secrecy, Key Equivocation, Unicity Distance

**Definition 6.17 (Perfect Secrecy)** Let P, K, C be random variables describing the uncertainty about the plaintext, ciphertext and key of a cryptosystem  $(\mathcal{P}, \mathcal{C}, \mathcal{K}, \mathcal{E}, \mathcal{D})$ . We say that a cryptosystem has perfect secrecy if  $\forall_{p \in \mathcal{P}, c \in \mathcal{C}} \Pr_{P,C}(p|c) = \Pr_{P}(p)$ 

**Example 6.3** Vernam's one-time pad has perfect secrecy. Here,  $\mathcal{P} = \mathcal{K} = \mathcal{C} = \{0,1\}^n$ , with K the uniform distribution on  $\mathcal{K}$  (no distribution condition necessary on  $\mathcal{P}$ ). Encryption and decryption are given by  $e_k(p) = p \oplus k$ ,  $d_k(c) = c \oplus k$ .

$$\begin{aligned}
&\Pr_{P,C}(p|c) &= &\Pr_{P,C}(p|p \oplus k) \\
&= &\Pr_{P}(p) &\Pr_{P,C}(p \oplus k|p) / \Pr_{C}(p \oplus k) (Bayes)
\end{aligned}$$

for any fixed  $p, p \oplus K$  is the uniform distribution, so  $\Pr_{P,C}(p \oplus k|p) = 1/2^n = \Pr_C(p \oplus k)$  and so

$$\Pr_{P,C}(p|c) = \Pr_{P}(p)\frac{1}{2^{n}}/\frac{1}{2^{n}}$$
$$= \Pr_{P}(p)$$

An equivalent definition is the following

**Definition 6.18** Cryptosystem  $(\mathcal{P}, \mathcal{C}, \mathcal{K}, \mathcal{E}, \mathcal{D})$  has perfect secrecy iff

 $\mathbf{H}\left[P|C\right] = \mathbf{H}\left[P\right]$ 

Theorem 6.19

 $\mathbf{H}\left[C\right] \geq \mathbf{H}\left[P\right]$ 

when K and P are independent.

Proof.

$$\begin{split} \mathbf{H}\left[C\right] &\geq \mathbf{H}\left[C|K\right] \\ &= \mathbf{H}\left[C,K\right] - \mathbf{H}\left[K\right] \\ &= \mathbf{H}\left[P,K\right] - \mathbf{H}\left[K\right] \text{ (encryption function is bijective)} \\ &= \mathbf{H}\left[P\right] + \mathbf{H}\left[K\right]P\right] - \mathbf{H}\left[K\right] \text{ (Thm 6.11)} \\ &= \mathbf{H}\left[P\right] + \mathbf{H}\left[K\right] - \mathbf{H}\left[K\right] \text{ (we suppose $P, K$ independent)} \\ &= \mathbf{H}\left[P\right] \end{aligned}$$

We could also show the following

**Theorem 6.20** (when we know the key...)

$$\mathbf{H}\left[C|K\right] = \mathbf{H}\left[P|K\right]$$

Theorem 6.21

 $\mathbf{H}\left[P|C\right] \leq \mathbf{H}\left[K\right]$ 

Proof.

$$\mathbf{H}[P|C] \leq \mathbf{H}[P, K|C]$$

$$= \underbrace{\mathbf{H}[P|K, C]}_{0} + \mathbf{H}[K|C] \text{ (Bayes)}$$

$$= \mathbf{H}[K|C]$$

$$\leq \mathbf{H}[K]$$

This shows that if there is not much doubt about the key, there can't be much doubt about the plaintext knowing the ciphertext.

**Definition 6.22 (key equivocation)**  $\mathbf{H}[K|C]$  is called the key equivocation, it is a measure of how much we know about the key, knowing the ciphertext.

#### Theorem 6.23

$$\mathbf{H}[K|C] = \mathbf{H}[K] + \mathbf{H}[P] - \mathbf{H}[C]$$

Proof.

(1) 
$$\mathbf{H}[K, P, C] = \underbrace{\mathbf{H}[C|K, P]}_{0} + \mathbf{H}[K, P]$$
  

$$= \mathbf{H}[K, P]$$

$$= \mathbf{H}[K] + \mathbf{H}[P] \text{ (by independence)}$$
(2)  $\mathbf{H}[K, P, C] = \mathbf{H}[K, C] \text{ (because from K and C, we can induce P)}$ 

we conclude

$$\mathbf{H}[K|C] = \mathbf{H}[K,C] - \mathbf{H}[C]$$
$$= \mathbf{H}[K,P,C] - \mathbf{H}[C]$$
$$= \mathbf{H}[K] + \mathbf{H}[P] - \mathbf{H}[C]$$

Let  $P^n = (P_1, \ldots, P_n)$  be a distribution on n - grams of  $\mathcal{P}$ , and  $C^n = (C_1, \ldots, C_n)$  be a distribution on n - grams of  $\mathcal{C}$ , Suppose that we are working in a cryptographic system  $(\mathcal{P}, \mathcal{C}, \mathcal{K}, \mathcal{E}, \mathcal{D})$  where a plaintext  $x_1 x_2 \ldots x_n$  is encrypted into a ciphertext  $y_1 y_2 \ldots y_n$  by some permutation function. We want to know how much ciphered letters we have to see to know what the key is. The distribution depends on the language in use.

**Definition 6.24** Rate of a Language L

$$H_L = \lim_{n \to \infty} \frac{\mathbf{H}\left[P^n\right]}{n}$$

For english we have  $1 \le H_L \le 1.5$ 

**Definition 6.25** Redondancy of language L

$$R_L = 1 - \frac{H_L}{\lg |\mathcal{P}|}$$

If we take 1, 25 as an estimation of  $H_L$  in english, we have a redondancy of 0.75.

For a  $c \in C$ , it is possible that many keys can be found such that there exists plaintexts that encrypt to c.

**Definition 6.26 (Spurious Keys)** Given some  $c \in C^n$ , we define

$$K(c) = \{k \in \mathcal{K} | \exists_x \in \mathcal{P}^n, \Pr_{P^n}(x) > 0 \text{ and } c = e_k(x)\}$$

Finally we can define the notion of unicity distance, which is a measure of the amount of ciphered letters we have to see to know, without doubt, the unique key that was used. **Definition 6.27** (Unicity Distance)

$$\overline{S_n} = \sum_{c \in \mathcal{C}^n} \Pr(c)(|K(c)| - 1)$$

We are interested in knowing for what value of n will  $\overline{S_n} = 0$ .

**Theorem 6.28** ([?]) For large n,

$$\overline{S_n} \ge \frac{|\mathcal{K}|}{|\mathcal{P}|^{nR_L}} - 1$$

If we take  $\overline{S_n} = 0$  and solve for n we get

$$n \ge \frac{\lg |\mathcal{K}|}{R_L \, \lg |\mathcal{P}|}$$

Example 6.4 • Shift Cipher  $|\mathcal{P}| = 26, |\mathcal{K}| = 26, R_L = 0.75, n \ge 1/R_L = 4/3$ 

- Substitution Cipher  $|\mathcal{K}| = 26!, \ \lg 26! \approx 88.4, \ n \ge \frac{88.4}{0.75 \ 4.7} \approx 25$
- One-Time Pad  $|\mathcal{K}| = 26^n$ ,  $\lg 26^n = n \lg 26$ ,  $n \ge \frac{n \lg 26}{0.75 \lg 26} = n/0.75$  (n = 0 is the only solution!).

# 7 Pseudo-Randomness

## 7.1 Pseudo-Random Bit Generator (PRBG)

Informally, a PRBG is a deterministic algorithm that stretches a random n-bit string to an l(n)-bit string (where l(n) > n) which is indistinguishable from a truly random l(n)-bit string, in a time that is polynomial in |n|. Random bits are useful in probabilistic computation, we could always flip a coin l(n) times to get an l(n)-bit random string, but if l(n) is large this is costly, this is but one motivation to have PRBGs.

#### 7.1.1 Indistinguishability

We give some definitions that will be useful from here on.

For the tree following definitions, let  $X = \{X_n\}_n$  and  $Y = \{Y_n\}_n$  be families of distributions.

**Definition 7.1 (Absolute Indistinguishability)** X is absolutely indistinguishable from Y if

$$\forall_f \forall_n \Pr(f(X_n) = 1) = \Pr(f(Y_n) = 1)$$

**Definition 7.2 (Statistical Indistinguishability)** X is statistically indistinguishable from Y if

$$\forall_f \forall_{P:polynomial} \forall_{n \ge n_0} |Pr(f(X_n) = 1) - Pr(f(Y_n) = 1)| \le 1/P(n)$$

**Example 7.1** Let  $U_n$  be the uniform distribution on  $\{0,1\}^n$  and let  $V_n$  be the uniform distribution on  $\{0,1\}^n - \{0^n\}$ . Then U and V are statistically indistinguishable.

**Definition 7.3 (Computational Indistinguishability)** X is computationally indistinguishable from Y if

 $\forall_{A:fast \ algo.} \ \forall_{P:polynomial} \ \forall_{n \ge n_0} \ |Pr(A(X_n) = 1) - Pr(A(Y_n) = 1)| \le 1/P(n)$ 

**Example 7.2** Let N be a random n-bit integer, product of two primes p, q. Let  $U_n$  be the uniform distribution on  $QR_N$  and let  $V_n$  be the uniform distribution on  $J_N$ . Then U and V are computationally indistinguishable if deciding quadratic residuosity modulo a composite number is a hard problem. We now formally define a secure PRBG

**Definition 7.4 (SPRBG)** We say that a family of functions

$$g_n: \{0,1\}^n \to \{0,1\}^{l(n)}$$

for a superpolynomial function l(n), is a secure PRBG if, for all  $x \in \{0, 1\}^n$ ,  $g_n(x)$  can be computed in polynomial time (in n) and if for every polynomial P, the families

 $X_n = g(U_n)|_{P(n)}$  and  $Y_n = U_{P(n)}$ 

are computationally indistinguishable.

## 7.2 Examples of PRBG

We will give examples of PRBGs that take a seed  $s_0 \in \{0,1\}^n$  and expand it to an element of  $\{0,1\}^{l(n)}$ 

We first give an example of a PRBG that is not a SPRBG,

Algorithm 7.1 (Linear\_Congruential\_BG( $s_0$ )) 1:  $M \leftarrow 2^n$ , and a, b such that  $1 \le a, b \le M - 1$ 2: FOR  $i \leftarrow 0$  TO l(n)3:  $s_{i+1} \leftarrow (a s_i + b) \mod M$ 4:  $z_{i+1} \leftarrow s_{i+1} \mod 2$ 5: ENDFOR 6: RETURN  $z_1 ||z_2|| ... ||z_{l(n)}$ .

Theorem 7.5 ([?], [?])

Given a segment  $z_1z_2...z_i$  of Linear\_Congruential\_BG( $s_0$ ), it is computationally easy to find a, b, and to predict  $z_{i+1}$ 

So the generator is not cryptographically "secure". In particular, if one uses this generator to do one-time-pad encryption and the adversary knows a sufficient portion of the plaintext, then he can deduce the sequence and obtain the rest of the pad (key) and the plaintext. Nevertheless, this generator is very often used for its well behaved statistical properties. It is very well adopted to applications outside of cryptography.

The next bit generator we present is from [?], it is similar to the one above, but with a variation that makes it a cryptographically secure PRBG. Let  $N \leftarrow pq$ , where p, q are random (n/2)-bit primes such that  $p \equiv q \equiv 3 \mod 4$ .

```
Algorithm 7.2 ( Blum\_Blum\_Shub\_PRBG(s_o \in QR_N) )

1: FOR i \leftarrow 0 TO l(n)

2: s_{i+1} \leftarrow s_i^2 \mod N

3: z_{i+1} \leftarrow s_{i+1} \mod 2

4: ENDFOR

5: RETURN z_1 ||z_2|| ... ||z_{l(n)}.
```

One intriguing property of the Blum Blum Shub bit generator is the fact that knowledge of the seed  $s_0$  and the factorization of the modulus N allows direct access to each of the first exp(n) bits. To compute  $s_0^{2^{\ell}} \mod N$  for large values of  $\ell$  compute  $s_{\ell} \leftarrow s_0^{2^{\ell} \mod \phi(N)} \mod N$ .

The security of generator is reflected in the following result (due to [?])

**Theorem 7.6** The Blum-Blum-Shub bit generator is a SPRBG if factoring Blum-integers is hard (N is a Blum-integer if it is of the form pq, where  $p \equiv q \equiv 3 \mod 4$ ).

We will see a similar result using the RSA function in the public-key cryptosystem section.

The next example is from Blum-Micali [?], it is also a cryptographically secure PRBG. Let p be an odd prime and g a generator of  $\in \mathbb{Z}_p^*$ .

Algorithm 7.3 (  $Blum\_Micali\_PRBG(s_0)$  ) 1: FOR  $i \leftarrow 0$  TO l(n)2:  $s_{i+1} \leftarrow g^{s_i} \mod p$ 3: IF  $s_i \leq (p+1)/2$  THEN  $z_{i+1} \leftarrow 0$ , ELSE  $z_{i+1} \leftarrow 1$ 4: ENDFOR 5: RETURN  $z_1 ||z_2||...||z_{l(n)}$ .

**Theorem 7.7** The Blum Micali bit generator is a SPRBG if DLP (discrete log problem, finding x given  $p, g, g^x \mod p$ ) is hard.

# 7.3 Examples of application of PRBGs

**Example 7.3 (Stream-Cipher from PRBG)** Alice and Bob share a random secret  $s \in \{0,1\}^n$ . To encrypt a message m of size l(n), Alice generates a pseudo-key  $k \in \{0,1\}^{l(n)}$  using the PRBG on s, sets  $c \leftarrow k \oplus m$ , and transmits the ciphertext c. To decrypt, Bob computes  $m \leftarrow k \oplus c$  where k is computed similarly.

**Example 7.4 (Message Authentication from PRBG)** Alice and Bob share a random secret  $s \in \{0,1\}^n$ . To authenticate a message m of size l(n), Alice generates a pseudo-key  $(i,j) \in \{0,1\}^{2*l(n)}$  using the PRBG on s, sets  $t \leftarrow i * m + j|_{50}$ , and transmits the pair  $\langle m, t \rangle$ . To check authenticity, Bob computes (i, j) and t from s and m and verify the equality with the received tag.

**Example 7.5 (Identification from PRBG)** Alice and Bob share a random secret  $s \in \{0,1\}^n$ . When Alice wants to identify herself to Bob, they both generate a pseudo-key  $k \in \{0,1\}^{l(n)}$  using the PRBG on s, and run the interactive identification protocol seen in section 5.4.

#### 7.4 General Results on PRBGs

**Theorem 7.8** Definition 7.9 (one-way function) A function f is oneway if there exists a polynomial time algorithm to compute f(x) from x, whereas for any polynomial time inverting algorithm I,  $\Pr[f(I(f(x))) = f(x)]$ is negligeable when x is picked at random from the domain of f.

**Definition 7.10 (hard-core predicate)** A predicate b is hard-core for a one-way function f if there exists a polynomial time algorithm to compute b(x) from x, whereas for any polynomial time predicting algorithm P,  $\Pr[P(f(x)) = b(x)]$  is no more than 1/2+negligeable when x is picked at random from the domain of f.

Given a PRBG that has an expansion factor l(n) = n + 1, it is possible to construct a PRBG with any polynomial expansion factor.

**Construction:** Let  $G_1$  be a PRBG from  $\{0,1\}^n$  to  $\{0,1\}^{n+1}$ . Define  $G(s) = \sigma_1 \dots \sigma_{p(n)}$ , where  $\sigma_i$  is the first bit of  $G_1(s_{i-1})$  and  $s_i$  is the *n*-bit suffix of  $G_1(s_{i-1})$  (i.e.  $\sigma_i s_i = G_1(s_{i-1})$ ), with  $s_0 = s$  being the initial random value.

**Theorem 7.11 ([?])** Given any one-way permutation f and a hard-core predicate b for f, f||b is a PRBG.



**Idea of proof.** Let  $U_f$  be the uniform distribution on the elements of the domain of f. We use theorem 7.8 and the fact that if the distribution  $\{f(U_f)||b(U_f)\}$  was not pseudorandom, then it would be possible to efficiently compute  $b(U_f)$  from  $f(U_f)$ .

Goldreich and Levin gave a hard-core predicate with respect to any one-way function:

**Theorem 7.12 (([?]))** Let f be a one-way function from  $\{0,1\}^n$  to  $\{0,1\}^{n'}$ and define

$$f': (x,r) \to (f(x),r), where \ r, x \in \{0,1\}^n$$

(f' is also one-way)

Define  $x \odot r$  to be the inner product bit of x and r  $(x \odot r = \bigoplus_{i=1}^{n} x_i \wedge r_i)$ . The predicate  $x \odot r$  is hard-core for f' !

Impagliazzo, Levin and Luby generalize theorem 7.11 to any one-way function:

**Theorem 7.13** ([?]) Given a function that is one-way, it is possible to construct a PRBG.

# 8 Pseudo-Random Function Generators (PR $\Phi$ G)

For some cryptographic tasks it would be useful to agree on a random function. Let  $H_n$  be the set of all functions from  $\{0,1\}^n$  to  $\{0,1\}^n$ . The cardinality of this set is  $2^{n2^n}$ , thus to specify a function in  $H_n$  we would need  $n2^n$  bits, which is a lot. Moreover, if we randomly select a subset  $H'_n \subseteq H_n$ of cardinality  $2^n$  so that each function in  $H'_n$  has a unique *n*-bit index, then there is no polynomial time bounded algorithm that, given an index to a function  $f \in H'_n$  and a  $x \in \{0,1\}^n$ , can evaluate f(x). Pseudo-Random Function Generators are used instead of truly random functions.

Informally, a PR $\Phi$ G is such that it generates a family  $F = F_n$  of functions from  $\{0,1\}^n$  to  $\{0,1\}^n$  where each function  $f \in F_n$  has a unique *n*-bit index, for all  $x \in \{0,1\}^n$  f(x) can be efficiently computed and no polynomial time algorithm (in *n*) can distinguish  $F_n$  from  $H_n$ . Formally, we have the following definition

**Definition 8.1 (PR** $\Phi$ **G)** Let  $H = \{H_n\}$  and  $F = \{F_n\}$  where

$$H_n = \{h \in \{0,1\}^n \to \{0,1\}^n\} \text{ and } F_n = \{f_k \in H_n : k \in \{0,1\}^n\}.$$

F is a pseudo-random function generator  $(PR\Phi G)$  if for all large enough n, for h picked at random from  $H_n$  and a random n-bit string k  $\forall_{T:fast algo}, \forall_{P,p:polynomials} \forall_{x_1,x_2,...,x_{p(n)}}$  $|Pr(T[(x_1, h(x_1)), (x_2, h(x_2)), ..., (x_{p(n)}, h(x_{p(n)}))] = 1) - Pr(T[(x_1, f_k(x_1)), (x_2, f_k(x_2)), ..., (x_{p(n)}, f_k(x_{p(n)}))] = 1)| \le 1/P(n)$ 

## 8.1 Constructing $PR\Phi Gs$

[?] showed how to construct a PR $\Phi$ G from any given PRBG. Let G be a PRBG that stretches a seed  $x \in \{0,1\}^n$  into a 2n-bit long sequence,  $G(x) = b_1^x \dots b_{2n}^x$ . Denote  $G_0(x)$  to be the first n bits output  $(G_0(x) =$ 

 $G(x) = b_1 \dots b_{2n}$ . Denote  $G_0(x)$  to be the first *n* bits output  $(G_0(x) = b_1^x \dots b_n^x)$  and  $G_1(x)$  the last *n* bits  $(G_1(x) = b_{n+1}^x \dots b_{2n}^x)$ . Let  $\alpha = \alpha_1 \alpha_2 \cdot \alpha_t$  be a binary string. We define

$$G_{\alpha_1\alpha_2\cdot\alpha_t}(x) = G_{\alpha_t}(\dots(G_{\alpha_2}(G_{\alpha_1}(x)))\dots).$$

The function  $f_k: \{0,1\}^n \to \{0,1\}^n$  is defined as follows: For  $y = y_1 y_2 \dots y_n$ ,

$$f_k(y) = G_{y_1 y_2 \dots y_n}(k).$$

Set  $F_n = \{f_k\}_{k \in \{0,1\}^n}$  and  $F = \{F_n\}_n$ . F is a PR $\Phi$ G. It might be useful to picture the function  $f_x$  as a full binary tree of depth n with n-bit strings stored in the nodes and edges labelled 0 or 1.



### 8.2 Examples of application of $PR\Phi Gs$

**Example 8.1 (Block-Cipher from PR** $\Phi$ **G)** Alice and Bob share a secret  $k \in \{0,1\}^n$ . To encrypt an n-bit message m, Alice picks a random  $y \in \{0,1\}^n$ , sets  $c \leftarrow \phi_k(y) \oplus m$ , and transmits the ciphertext  $\langle c, y \rangle$ . To decrypt, Bob computes  $m \leftarrow \phi_k(y) \oplus c$ .

**Example 8.2 (Message Authentication and Time-Stamping)** Say Alice wants to send authenticated messages to Bob, Alice and Bob need just share a random value k. When Alice wants to send a message m she simply appends  $\phi_k(m)$  for authentication. To prevent replay attacks, Alice could concatenate m with the date and time, then apply  $\phi_k$ . One can tradeoff security for efficiency by simply appending the first t < n bits of the result of the function.

**Example 8.3 (Identification from PR** $\Phi$ **G)** Alice and Bob share a secret  $k \in \{0,1\}^n$ . When Alice wants to identify herself to Bob, Bob sends Alice an  $x \in \{0,1\}^n$ , if Alice returns  $\phi_k(x)$  to Bob, then Bob is convinced that it is indeed Alice, with small probability of error.