

COMP-330

# Theory of Computation

Fall 2019 -- Prof. Claude Crépeau

## Lec. 5 : NFA-DFSA equivalence

# Definition of NFA

- Let  $N = (Q, \Sigma, \delta, q_0, F)$  be a nondeterministic finite state automaton and let  $w = w_1 w_2 \dots w_n$  ( $n \geq 0$ ) be a string where each symbol  $w_i \in \Sigma$ .
- $N$  accepts  $w$  if  $\exists m \geq n, \exists s_0, s_1, \dots, s_m$  and  $\exists \gamma_1 \gamma_2 \dots \gamma_m = w$ , with each  $\gamma_i \in \Sigma_\epsilon$  s.t.
  1.  $s_0 = q_0$
  2.  $s_{i+1} \in \delta(s_i, \gamma_{i+1})$  for  $i = 0 \dots m-1$ , and
  3.  $s_m \in F$

NFA-DFA equivalence

# Regular Languages

## **THEOREM 1.39** .....

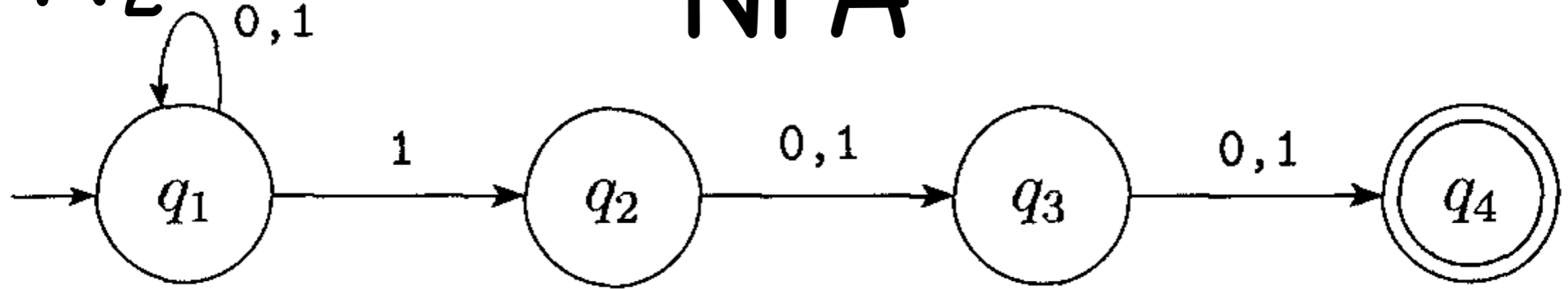
Every nondeterministic finite automaton has an equivalent deterministic finite automaton.

## **COROLLARY 1.40** .....

A language is regular if and only if some nondeterministic finite automaton recognizes it.

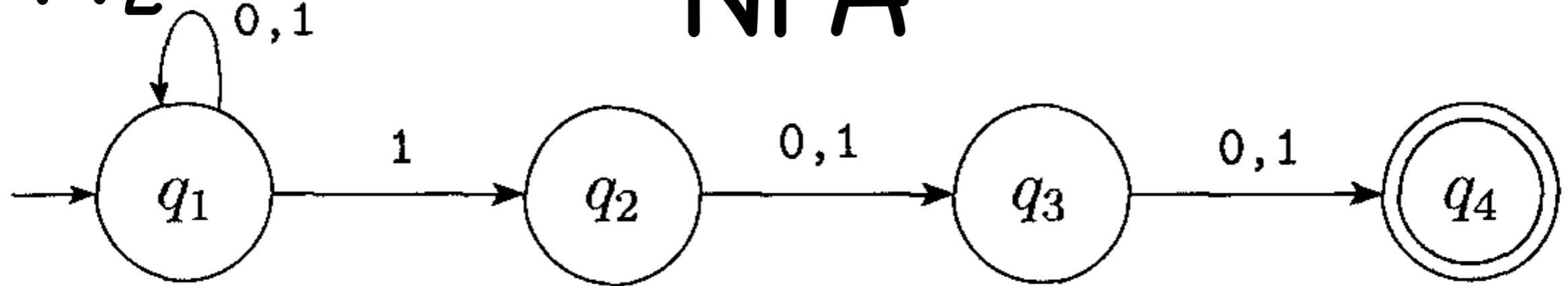
$N_2$

NFA



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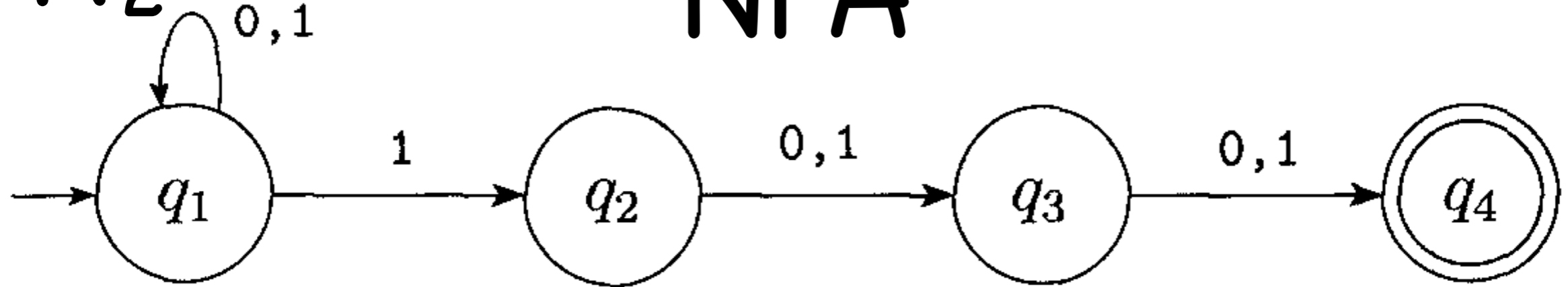


DFA

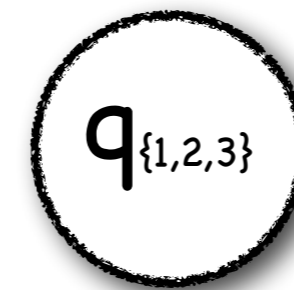
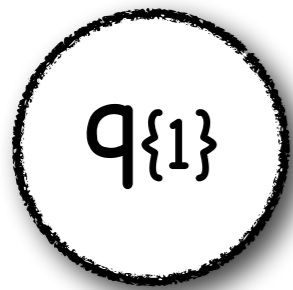


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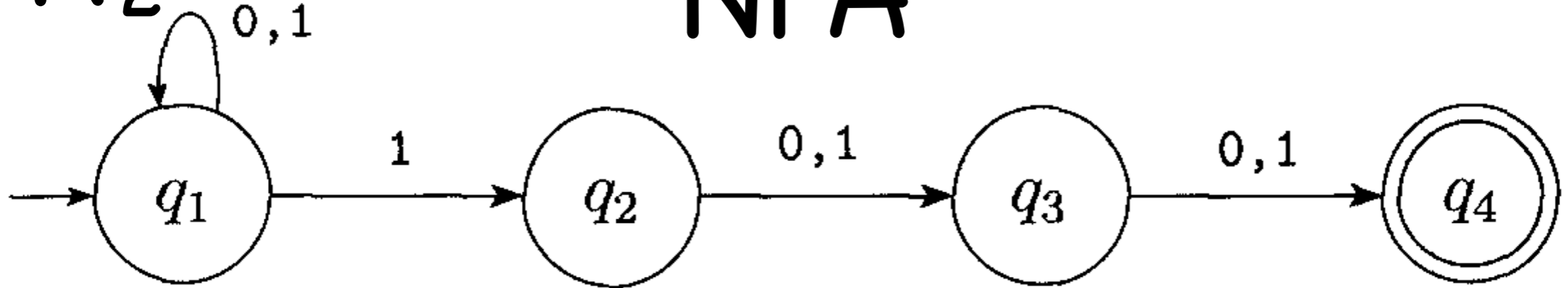


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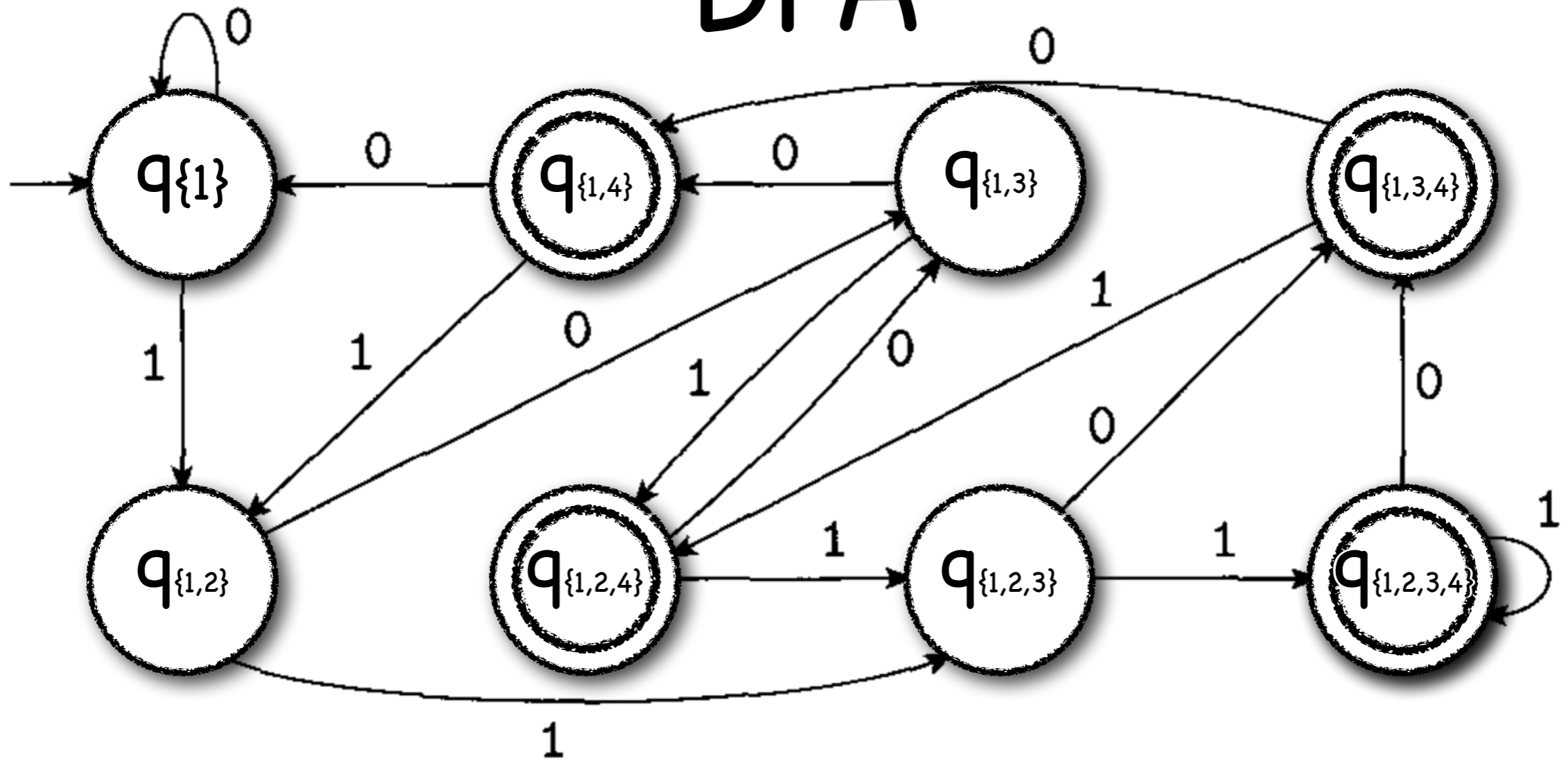


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# NFA-DFA equivalence

(without empty transitions)

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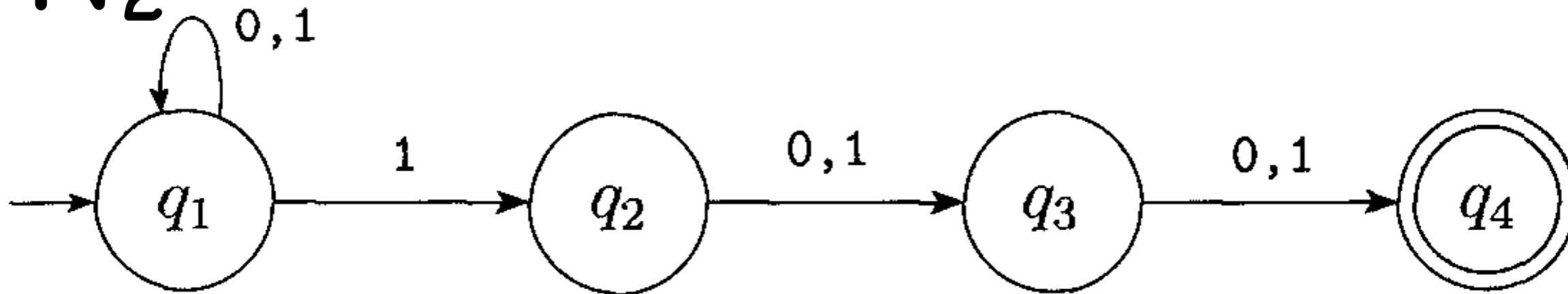
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# $N_2$



$$q_{0000} = q_{\emptyset}$$

$$q_{0001} = q_{\{1\}}$$

$$q_{0111} = q_{\{1,2,3\}}$$

$$q_{0110} = q_{\{2,3\}}$$

$$q_{0011} = q_{\{1,2\}}$$

$$q_{0010} = q_{\{2\}}$$

$$q_{1011} = q_{\{1,2,4\}}$$

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$$q_{0101} = q_{\{1,3\}}$$

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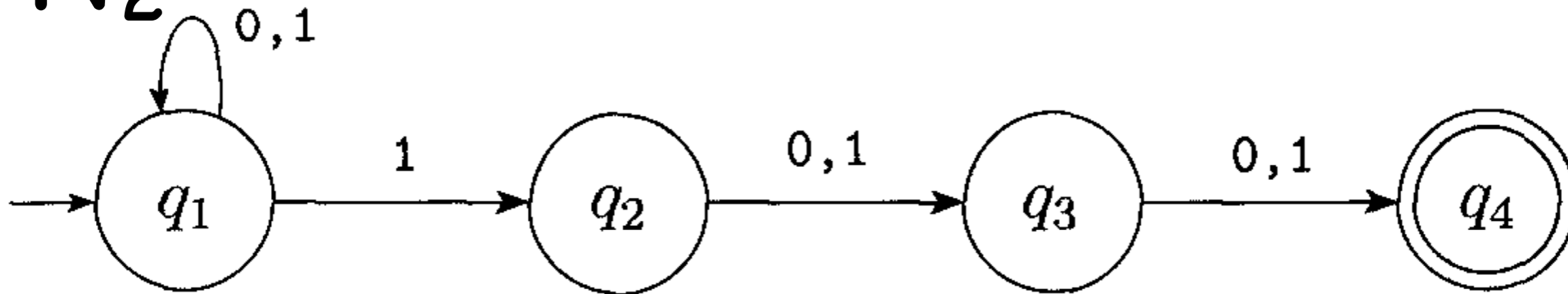
$$q_{1001} = q_{\{1,4\}}$$

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$$q_{1110} = q_{\{2,3,4\}}$$

$$q_{1111} = q_{\{1,2,3,4\}}$$

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$$q_{w_4 w_3 w_2 w_1} = q_R : (w_i = 1 \iff i \in R)$$

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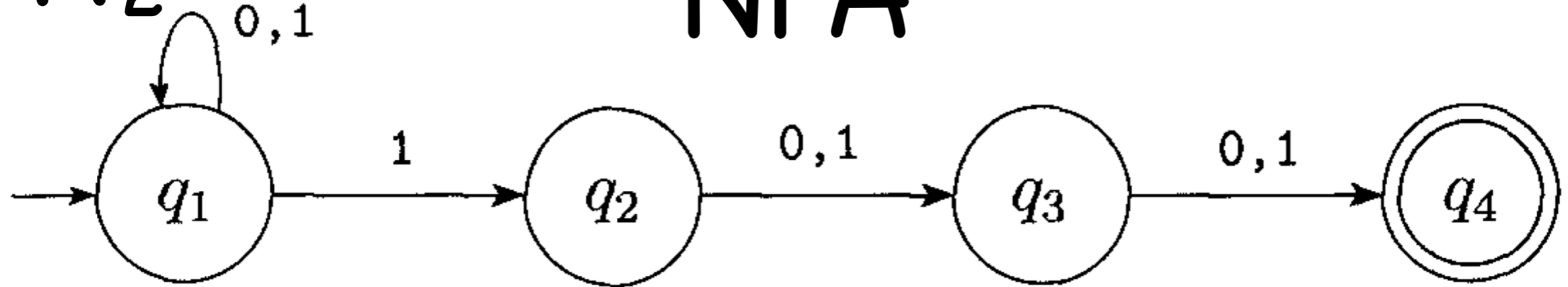
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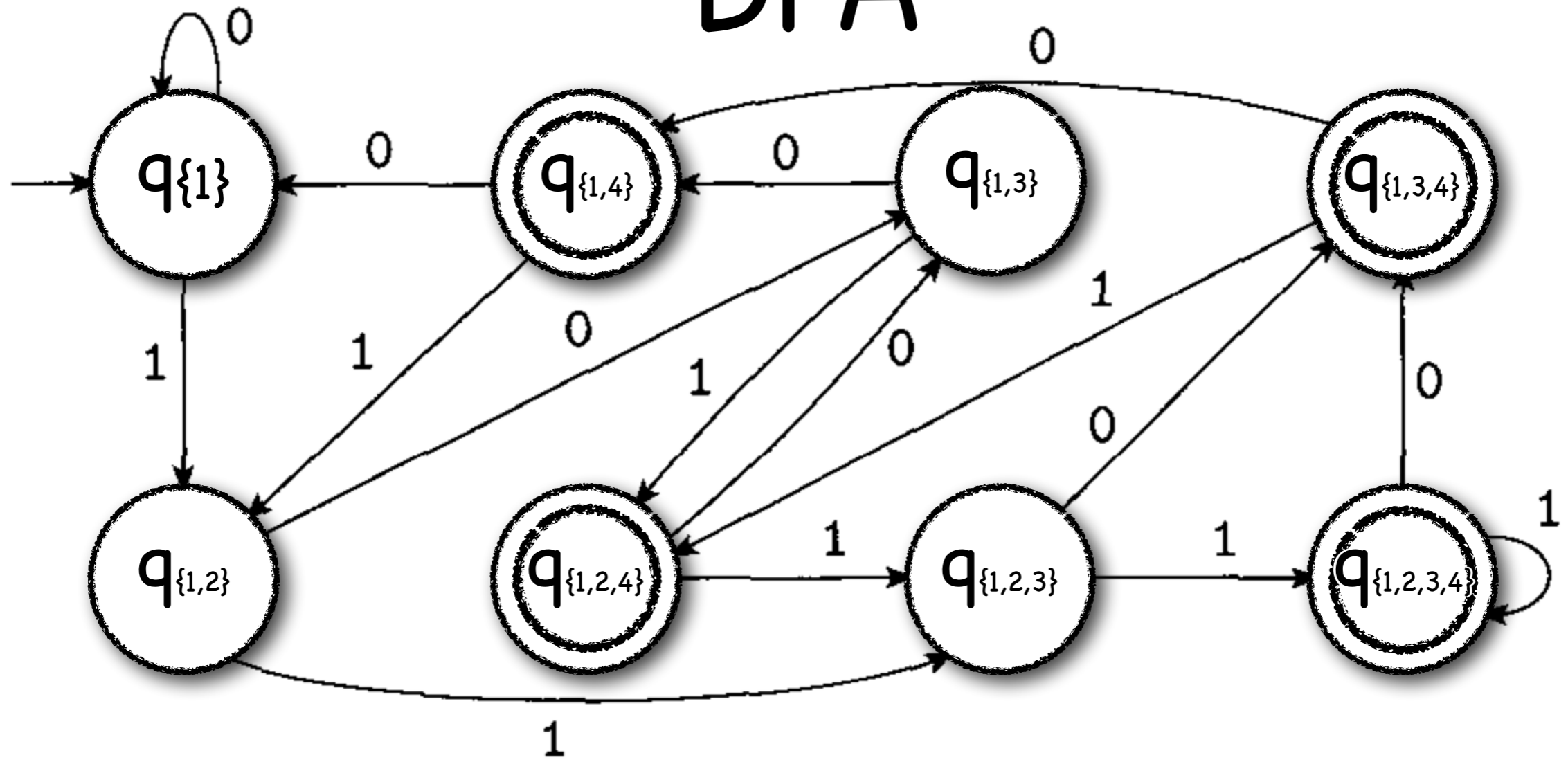


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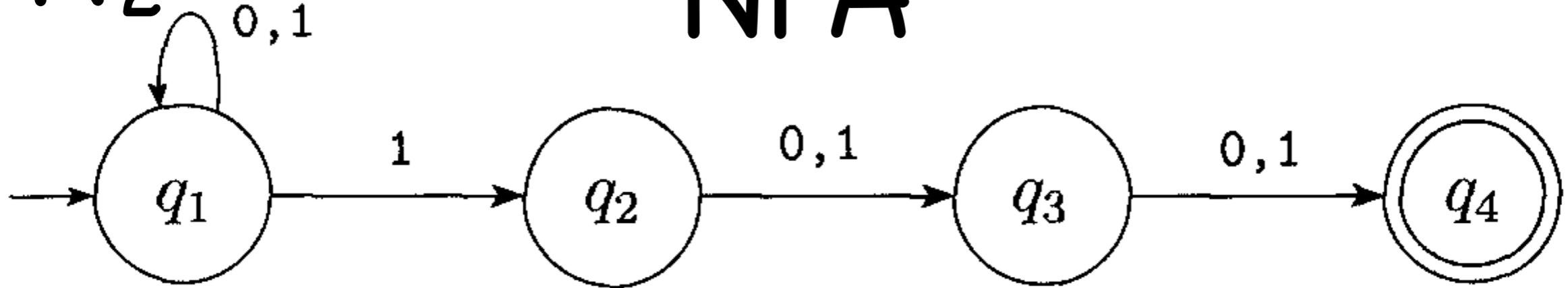


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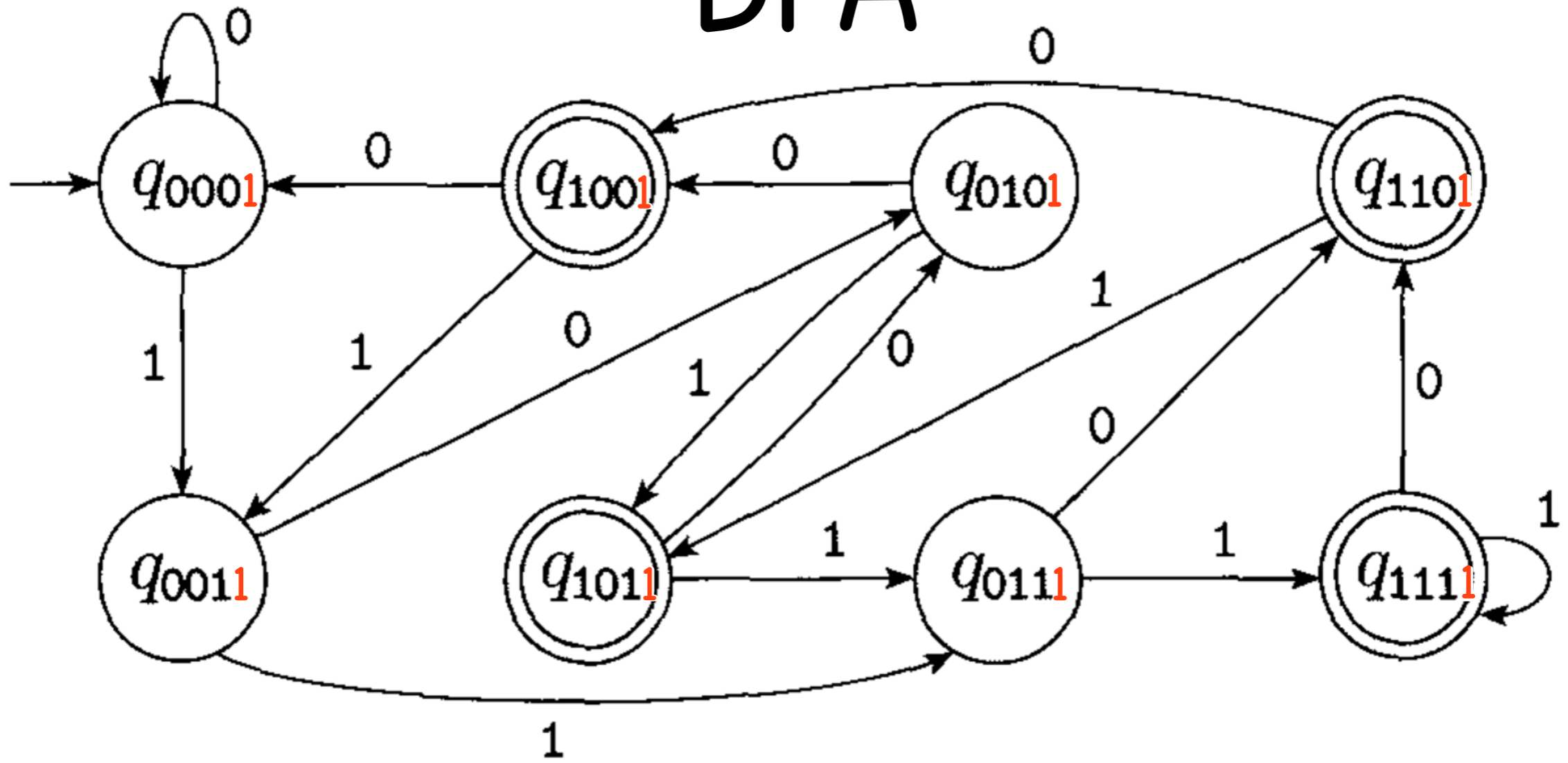


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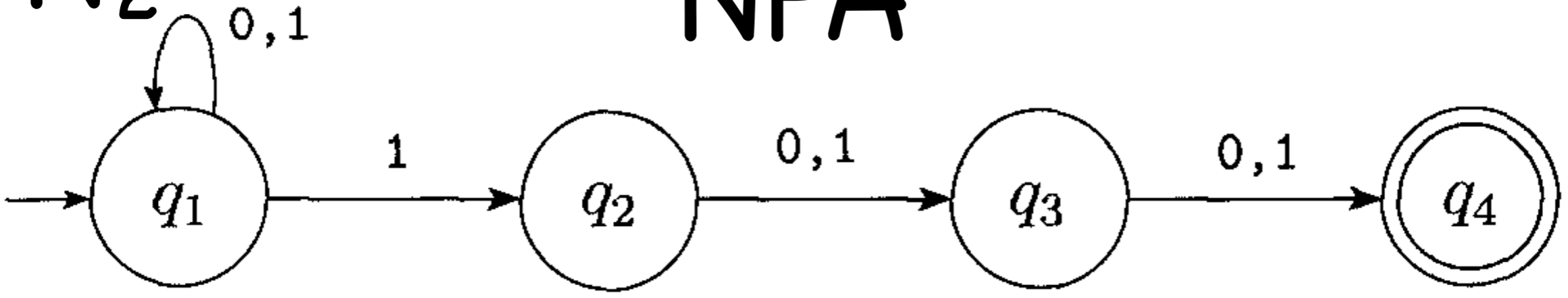


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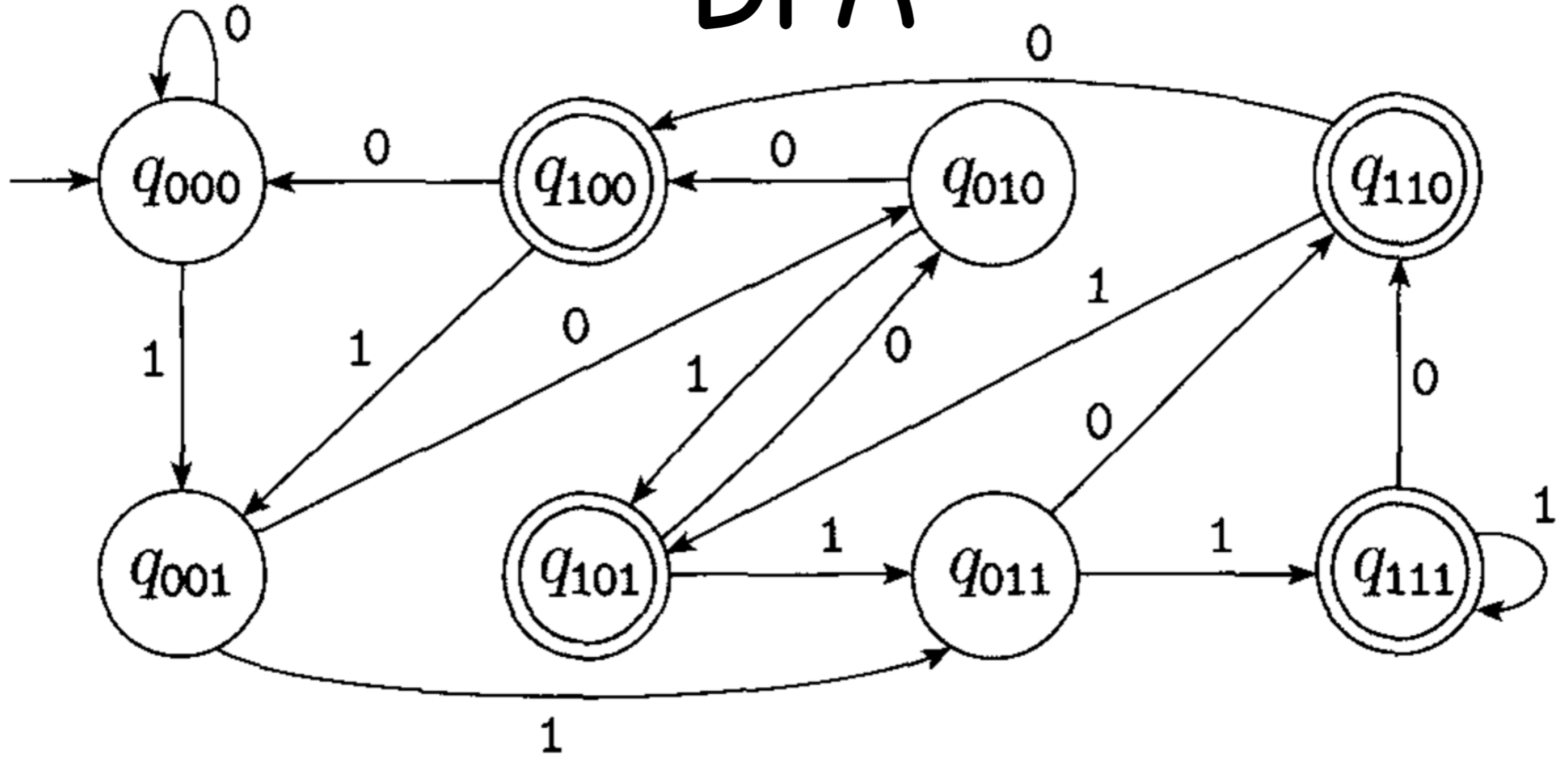


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# NFA-DFA equivalence

(with empty transitions)

$E(R) = \{q \mid q \text{ can be reached from } R \text{ by traveling along 0 or more } \varepsilon \text{ arrows}\}.$

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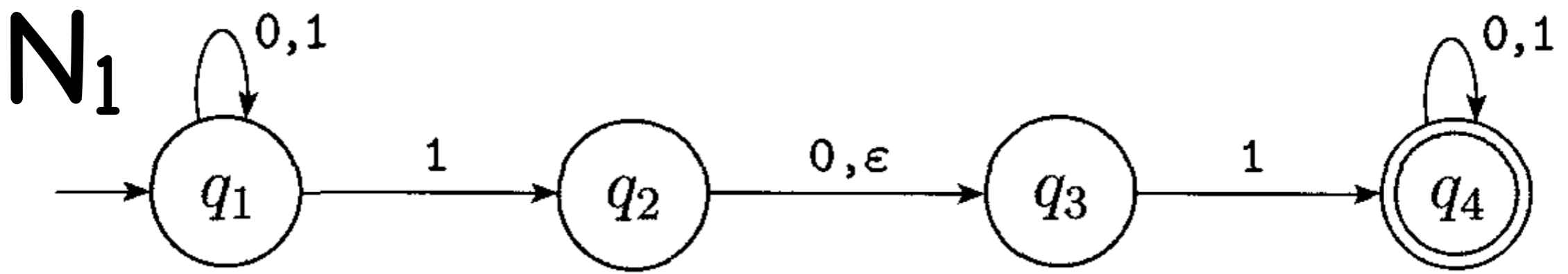
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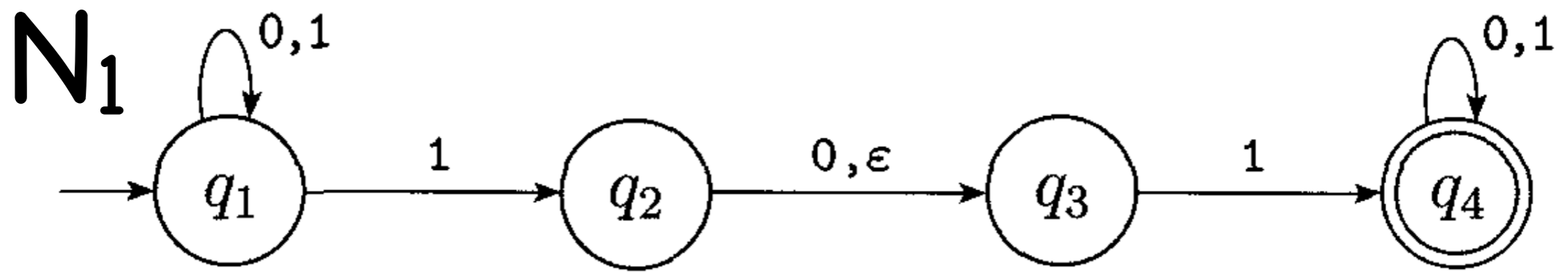
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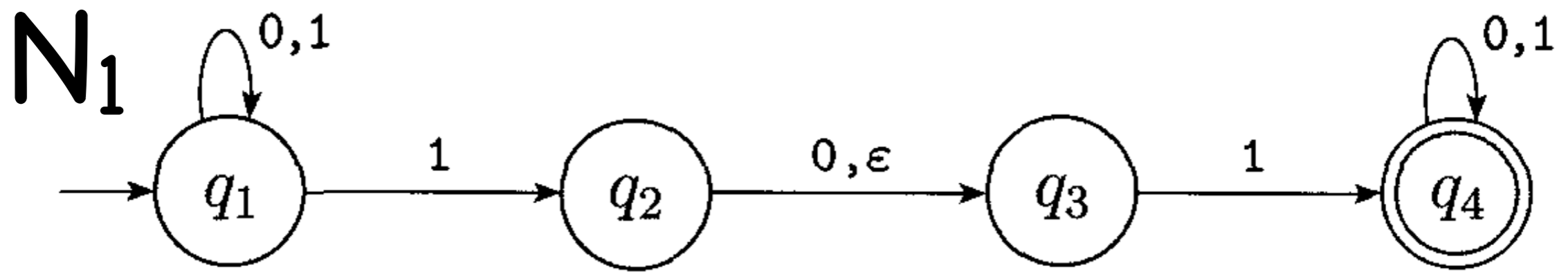
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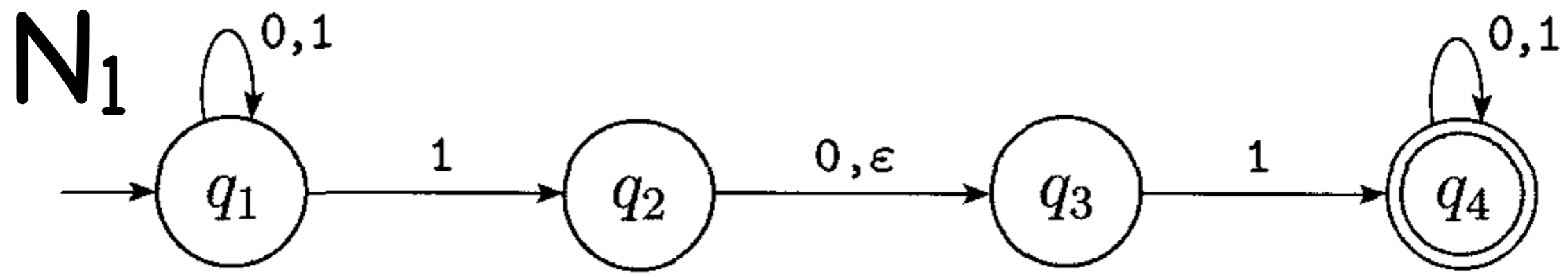
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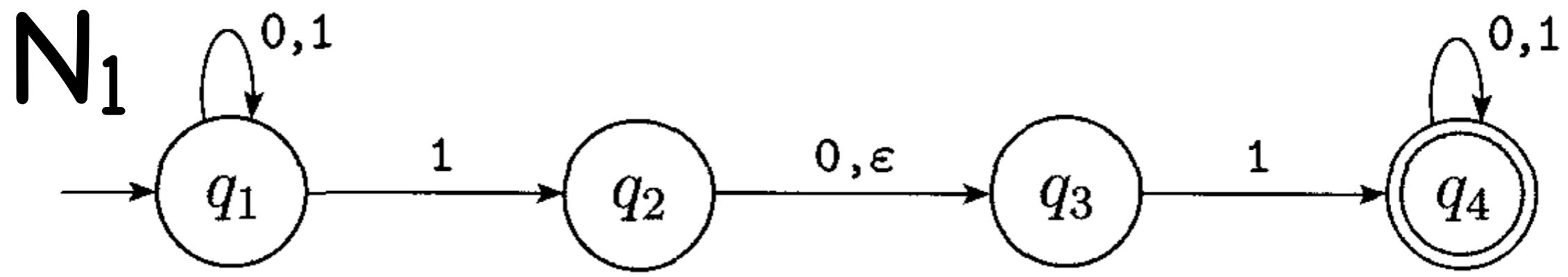
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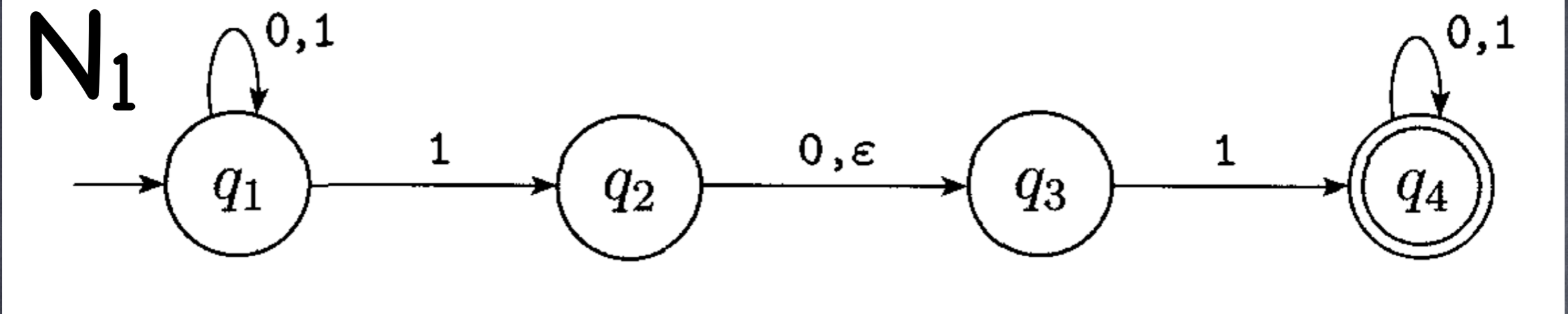


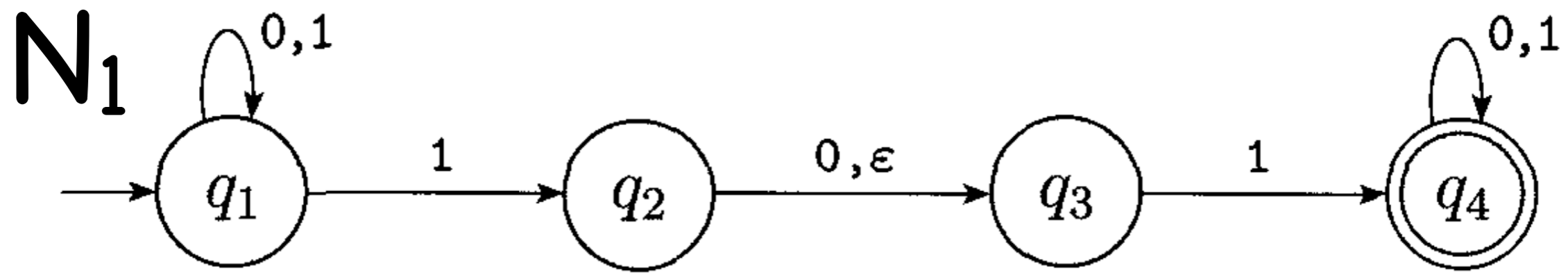






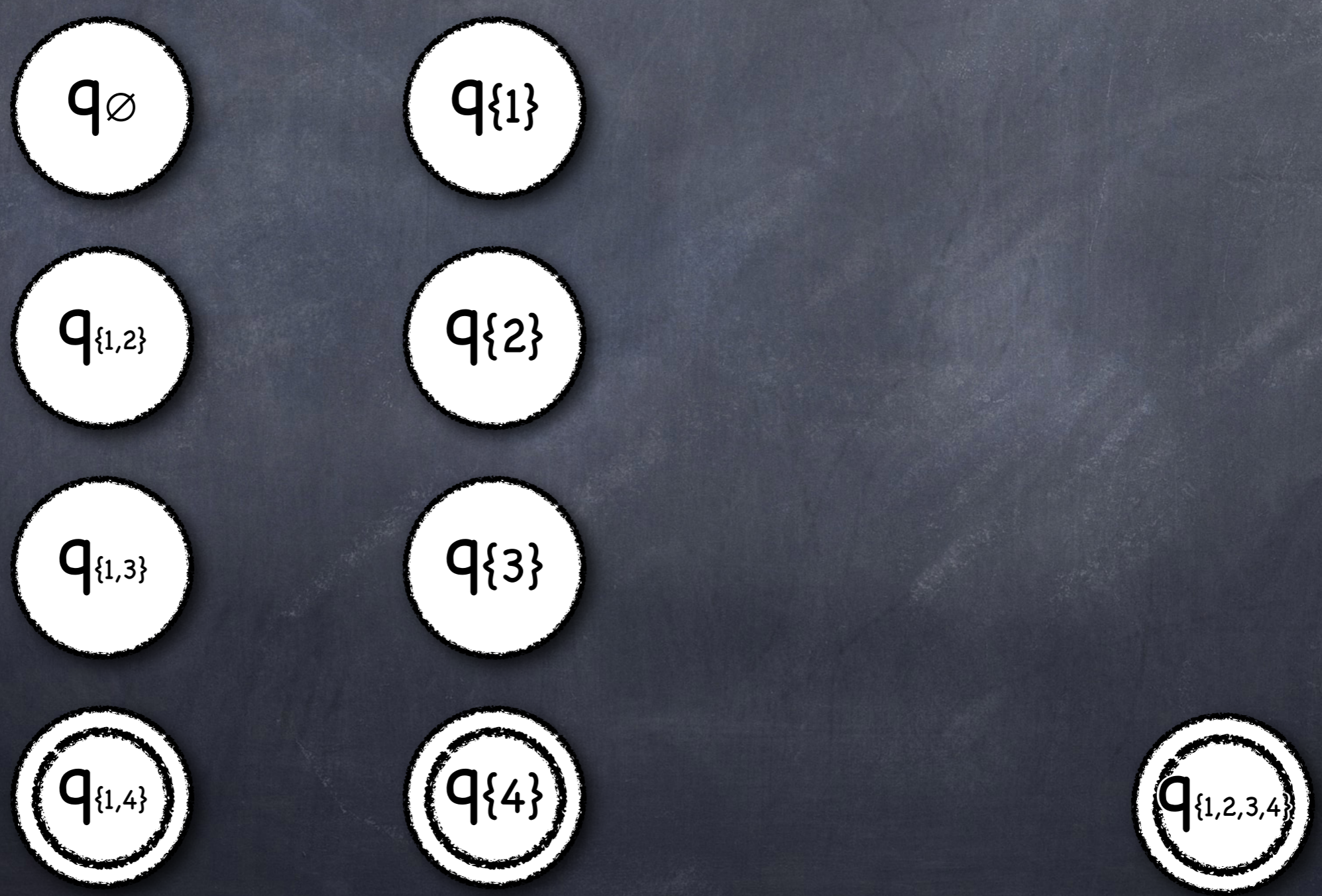
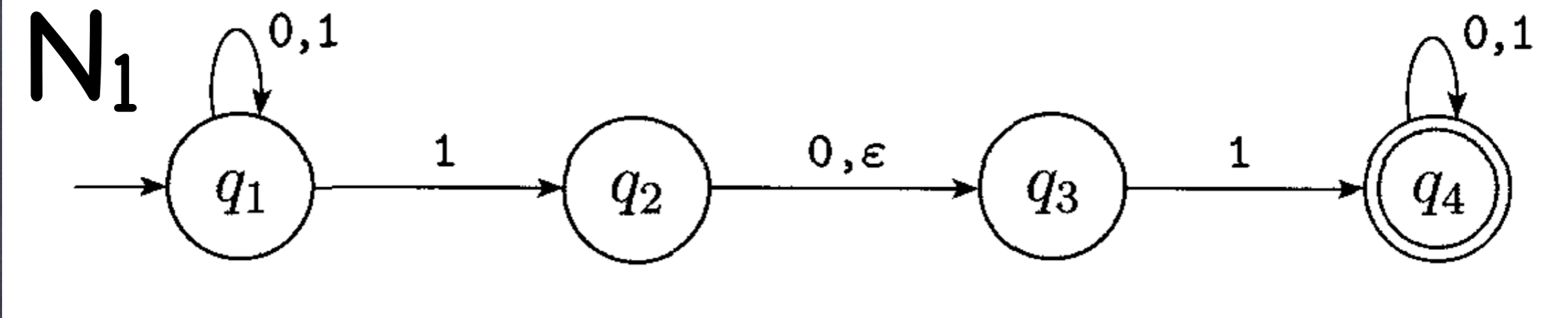


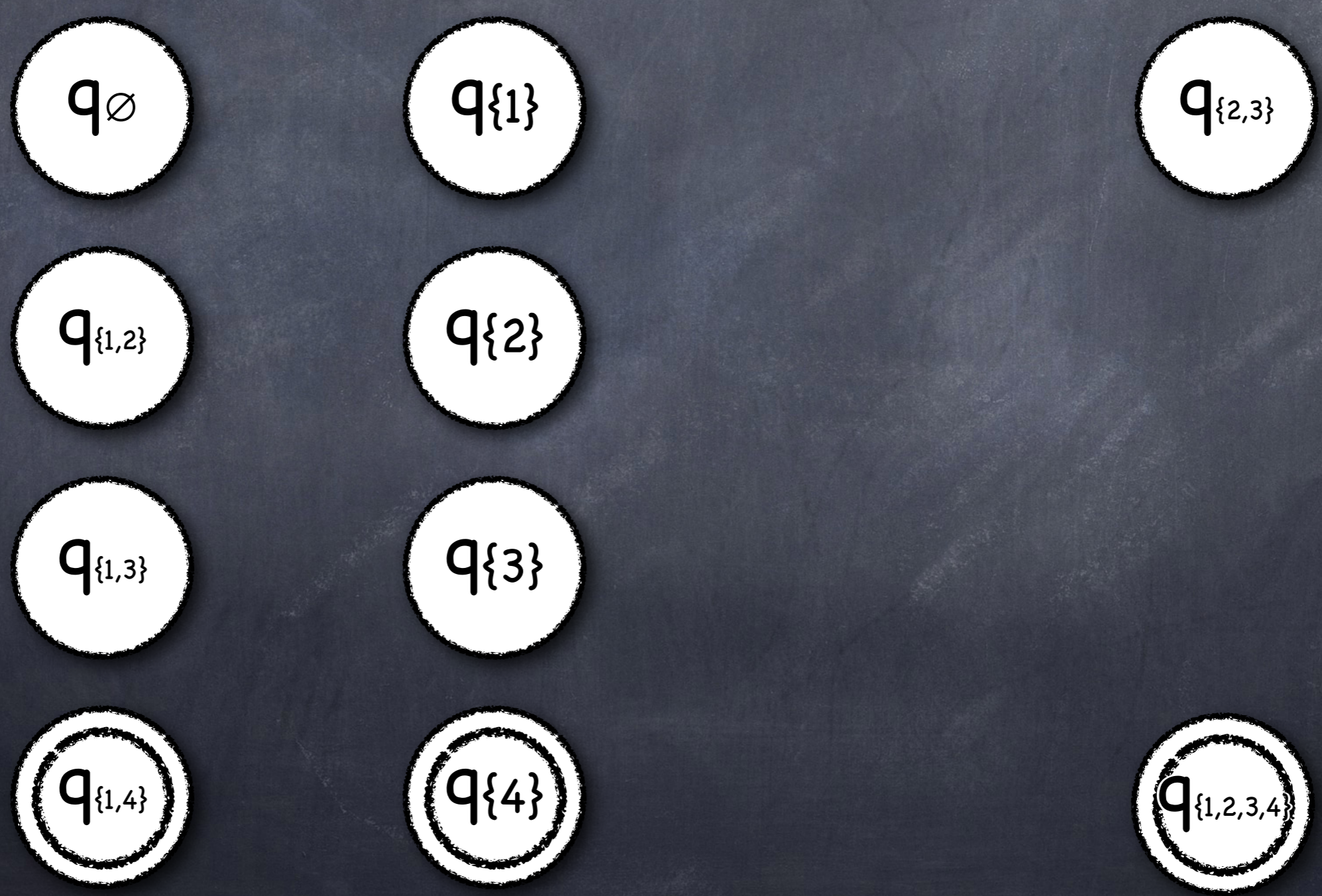
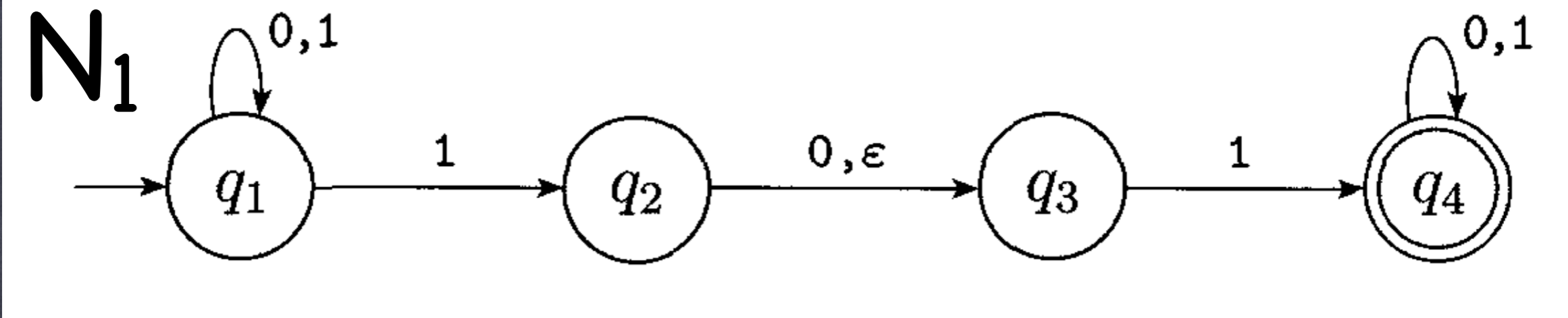


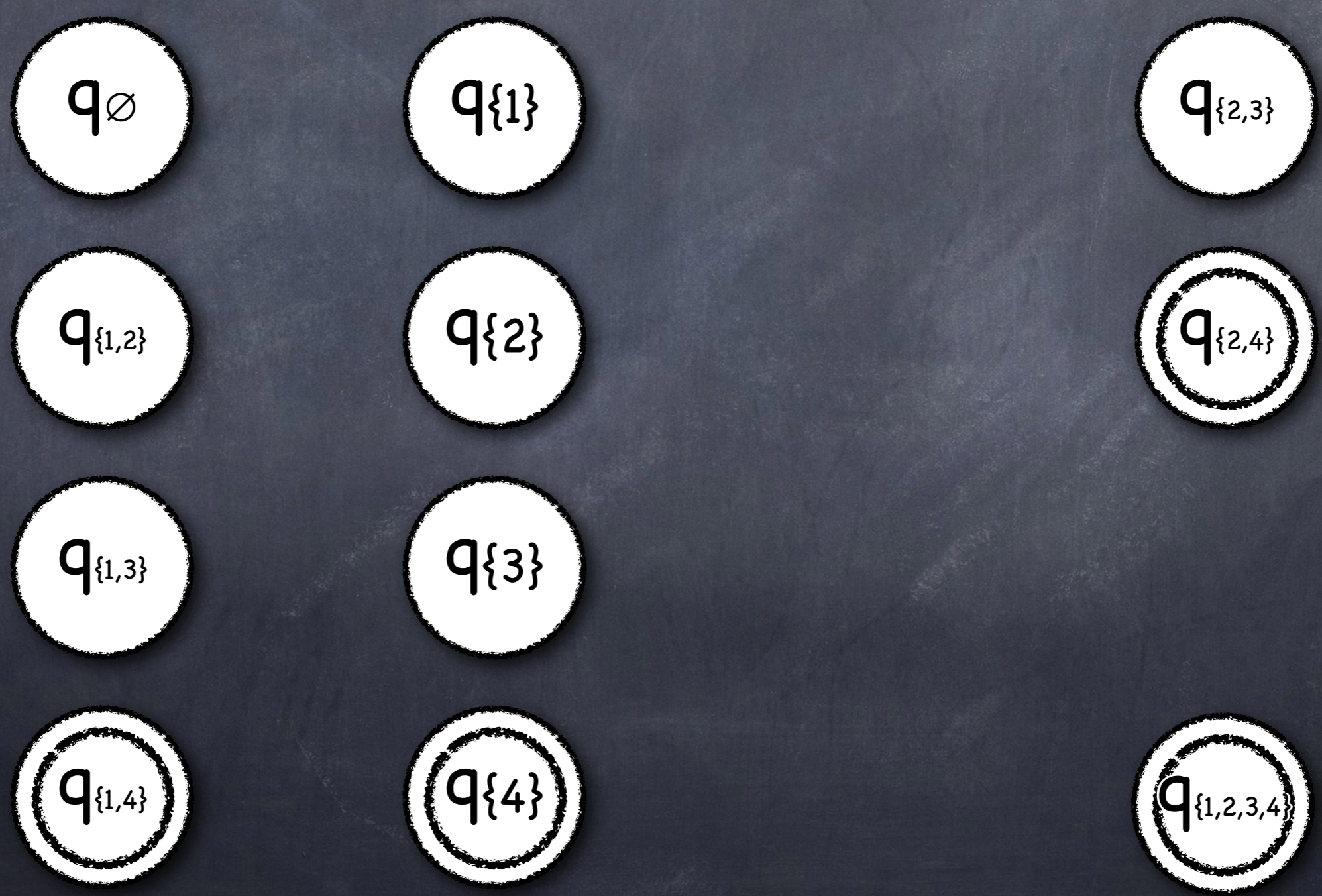
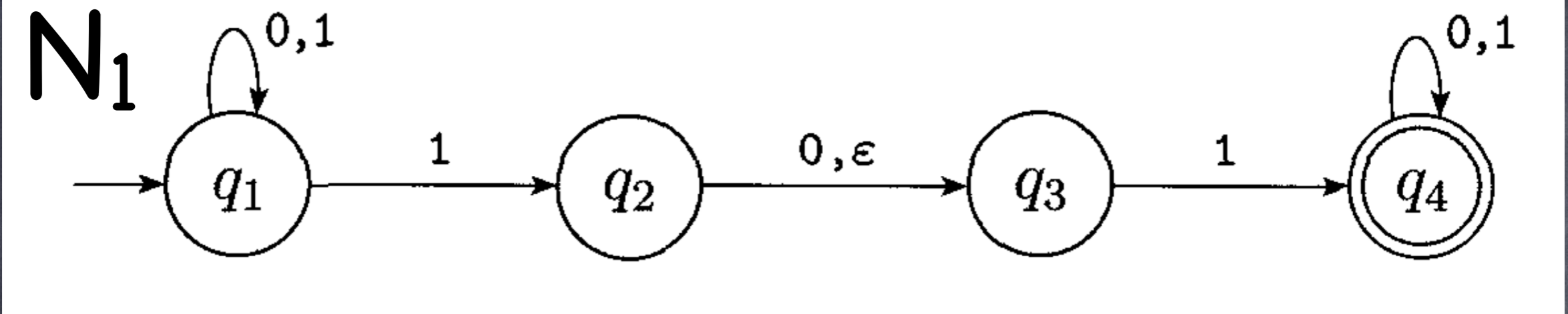


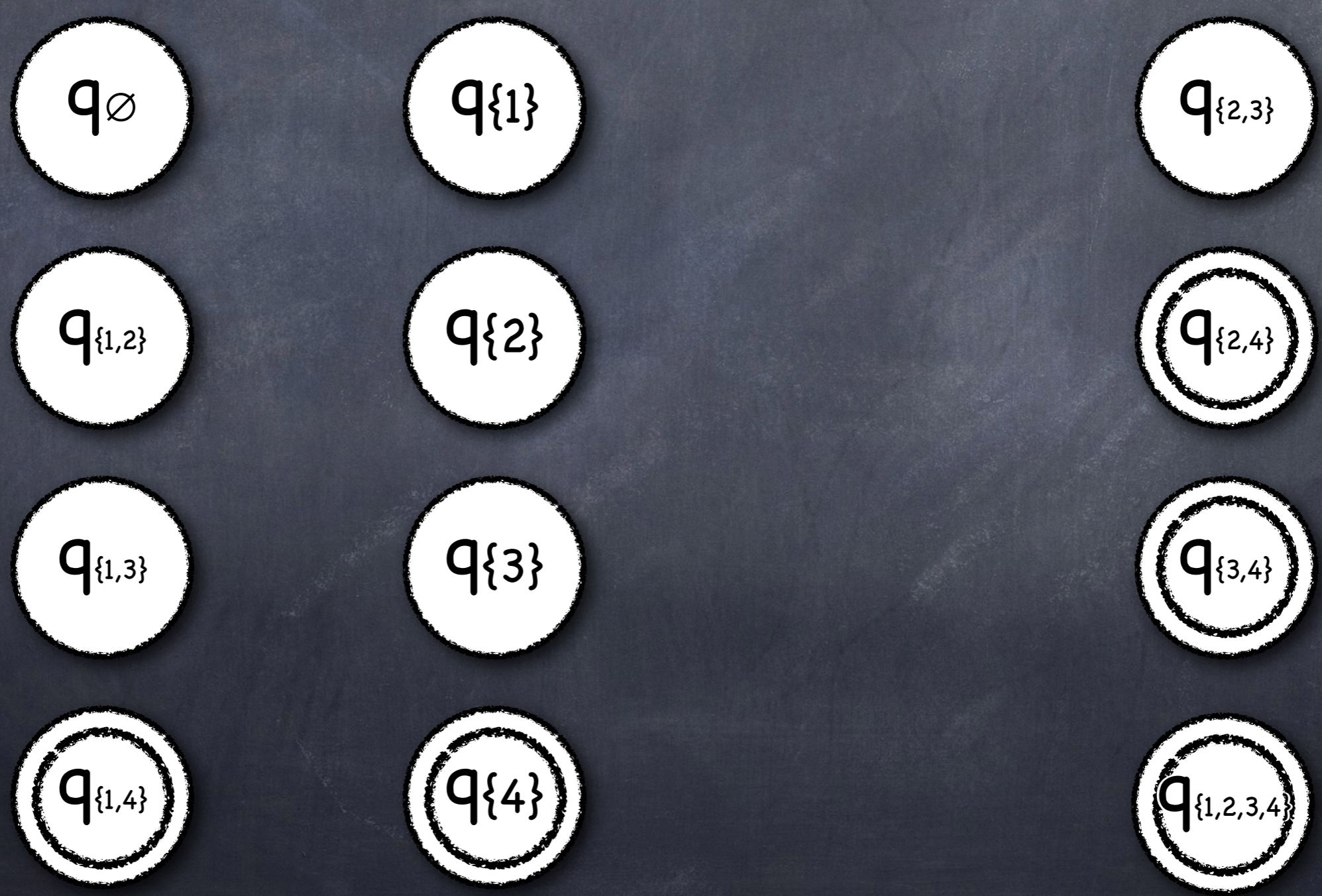
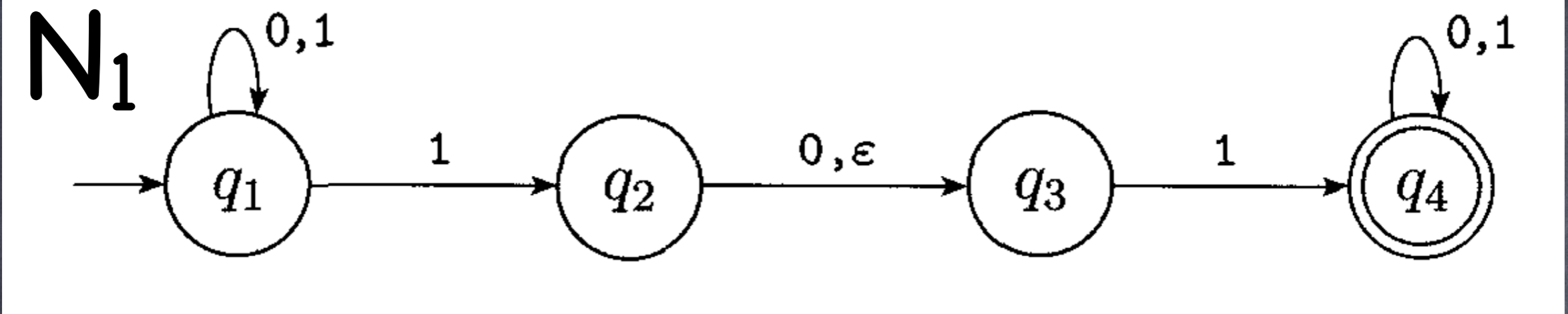


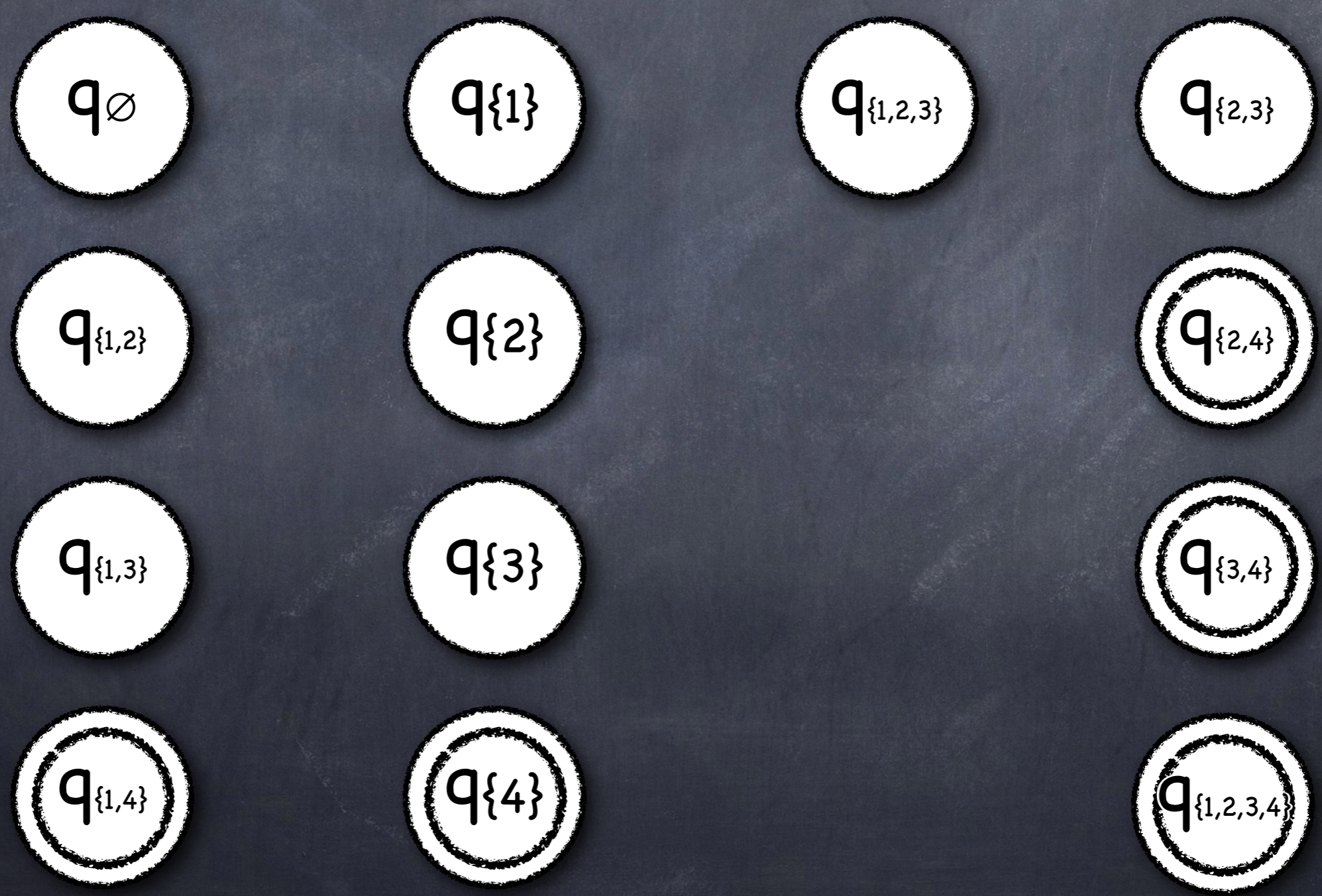
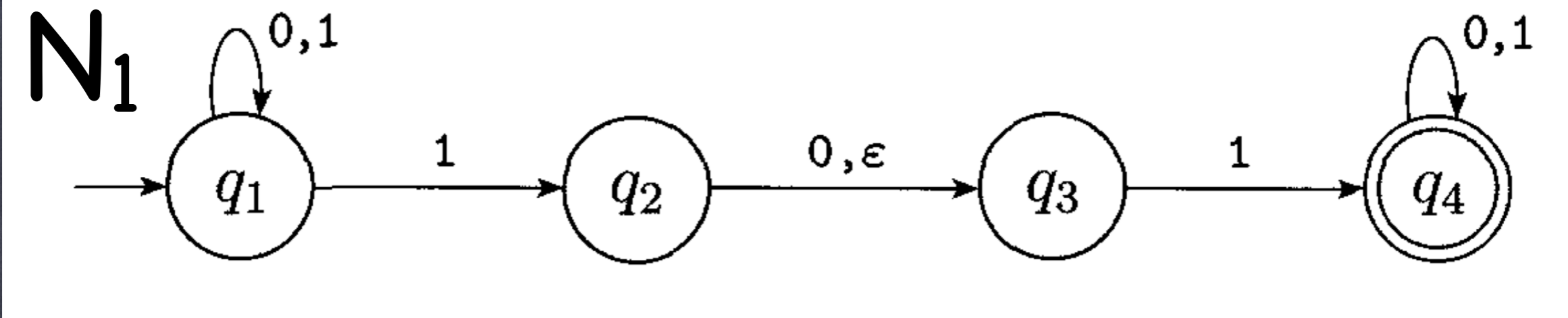


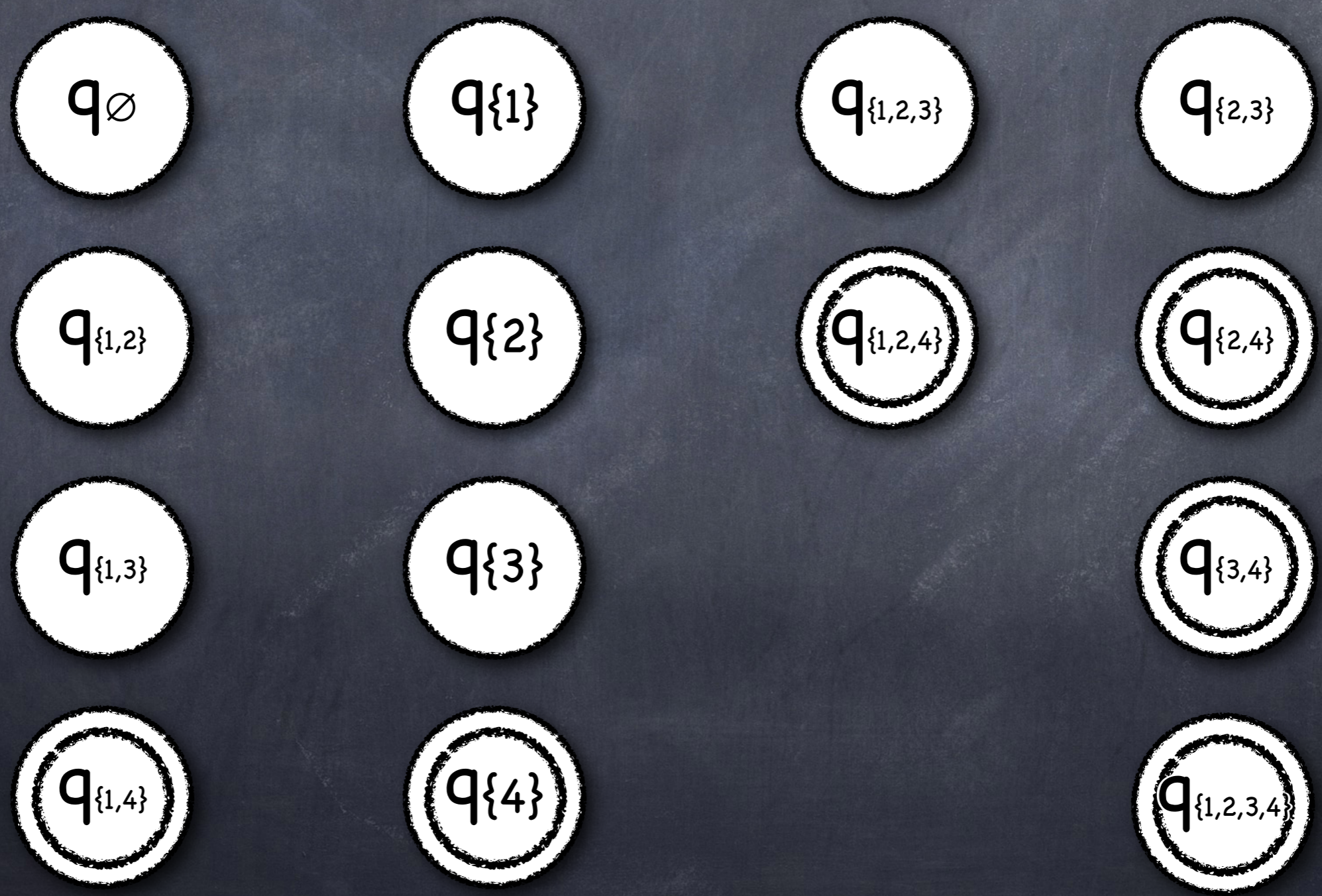
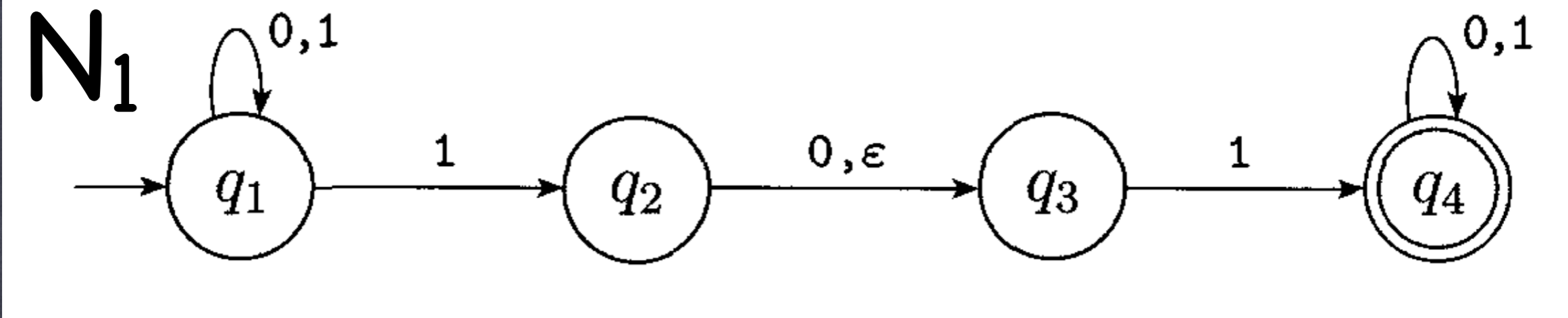


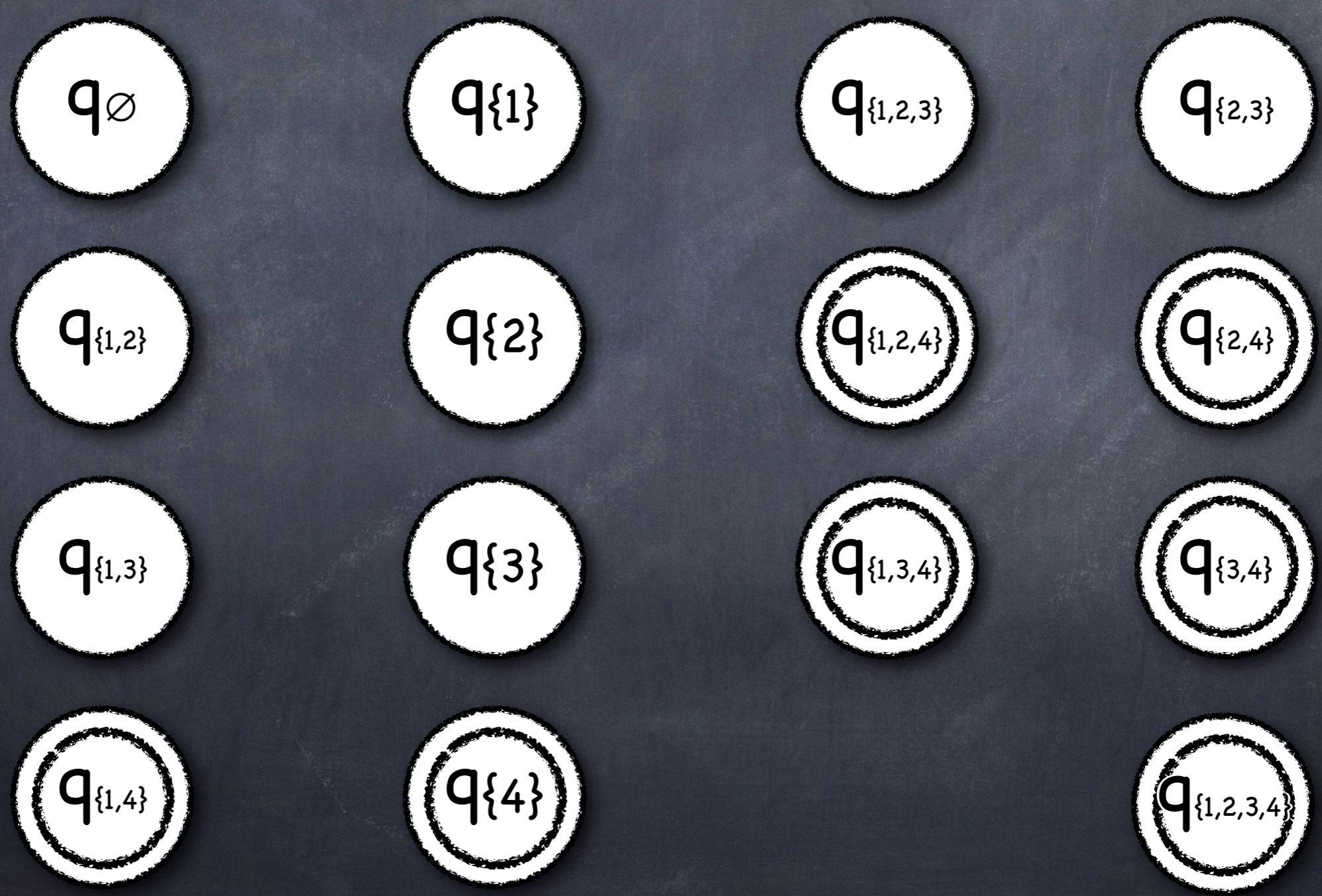
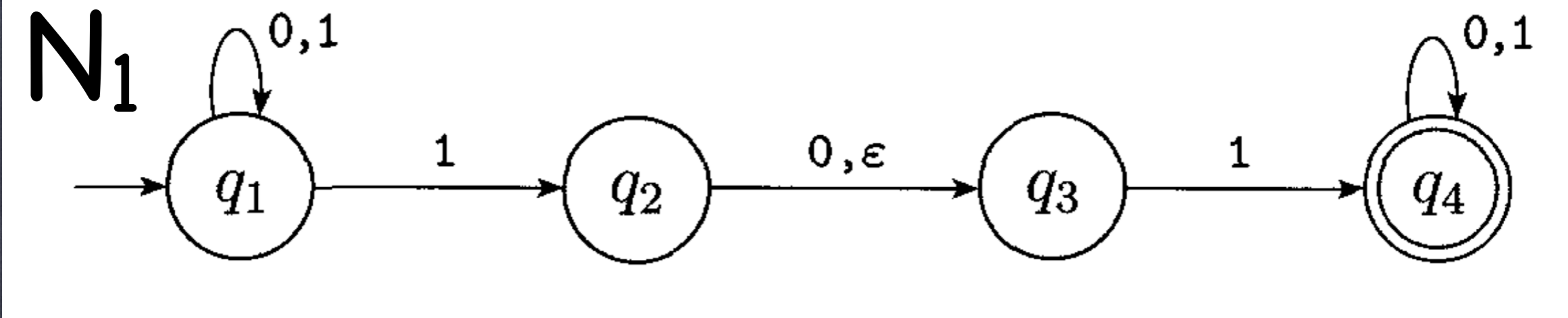




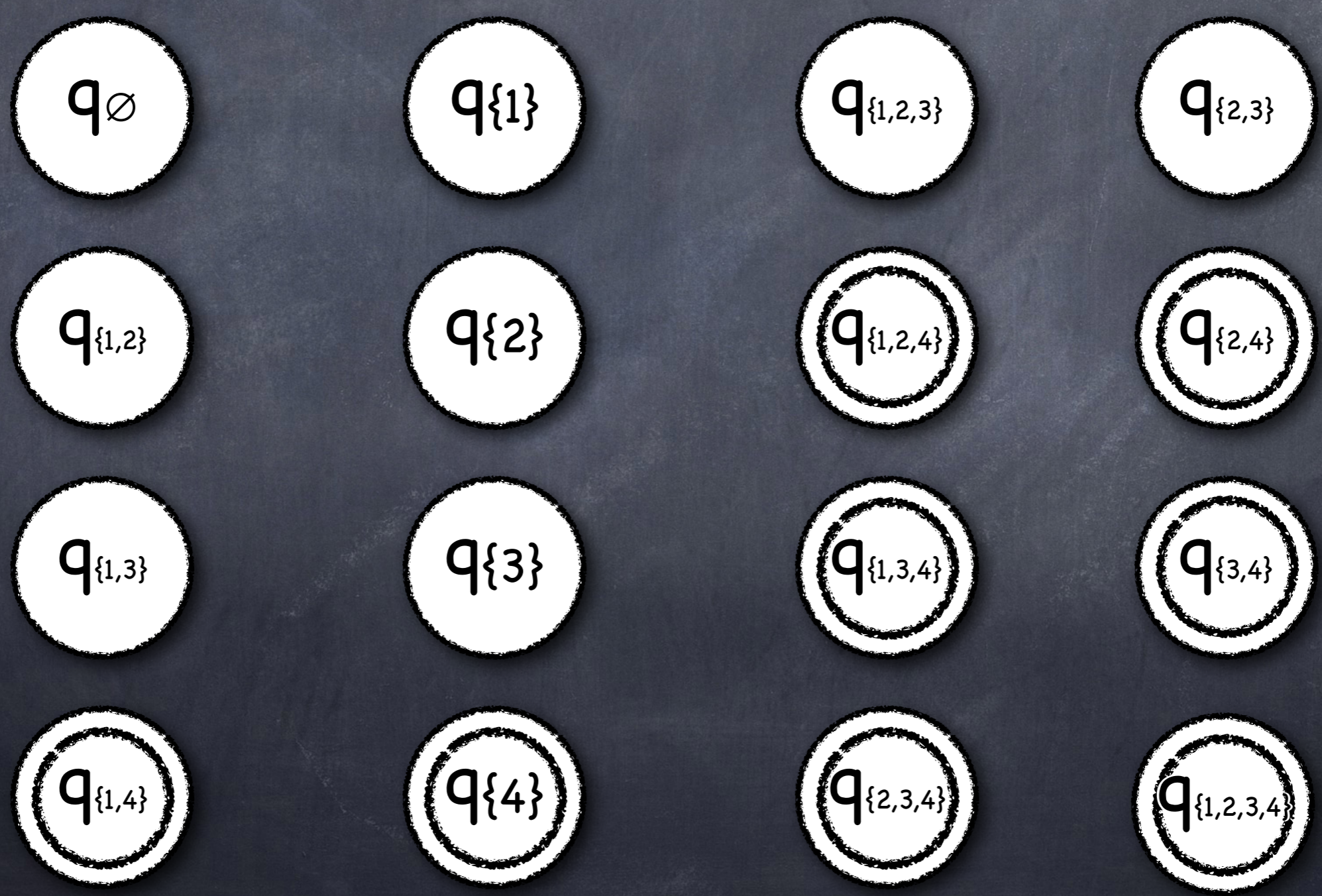
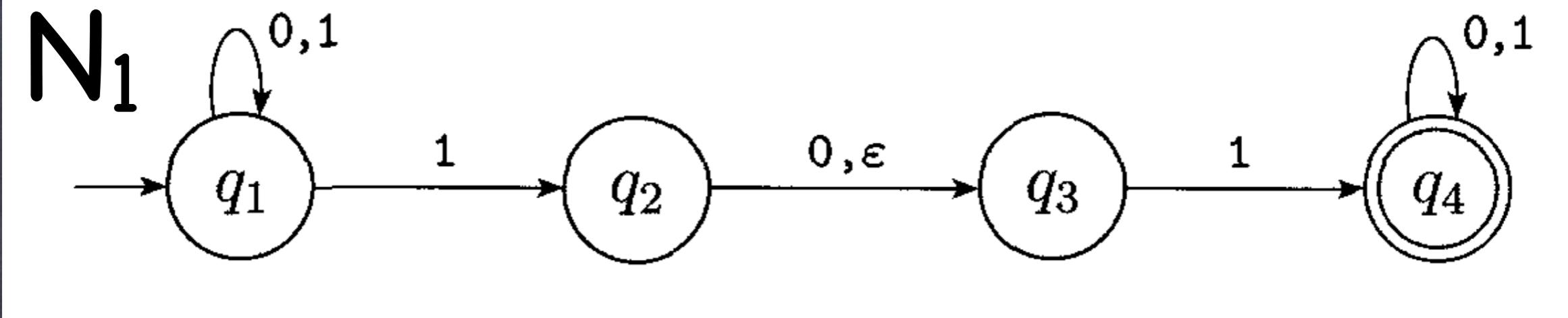


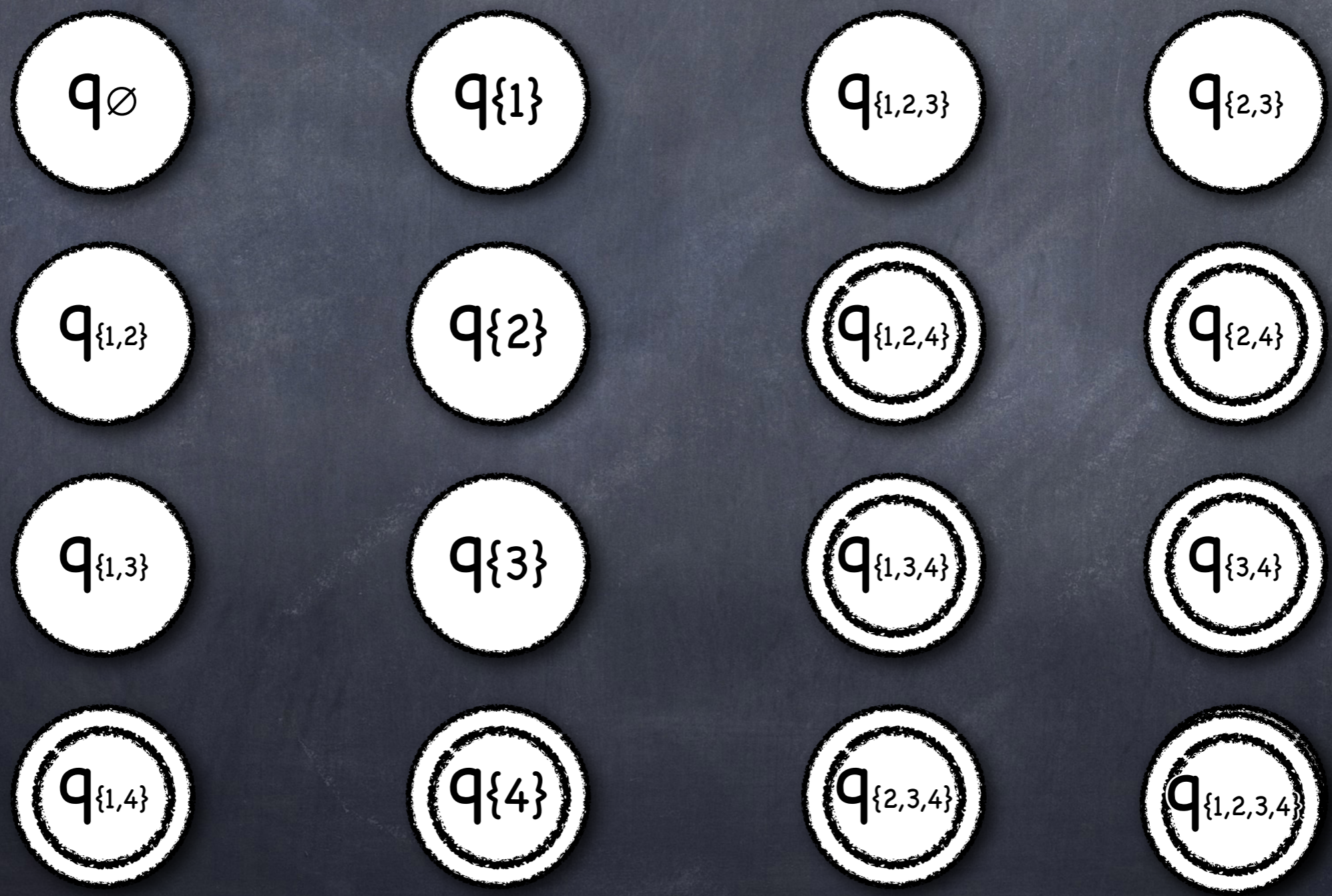
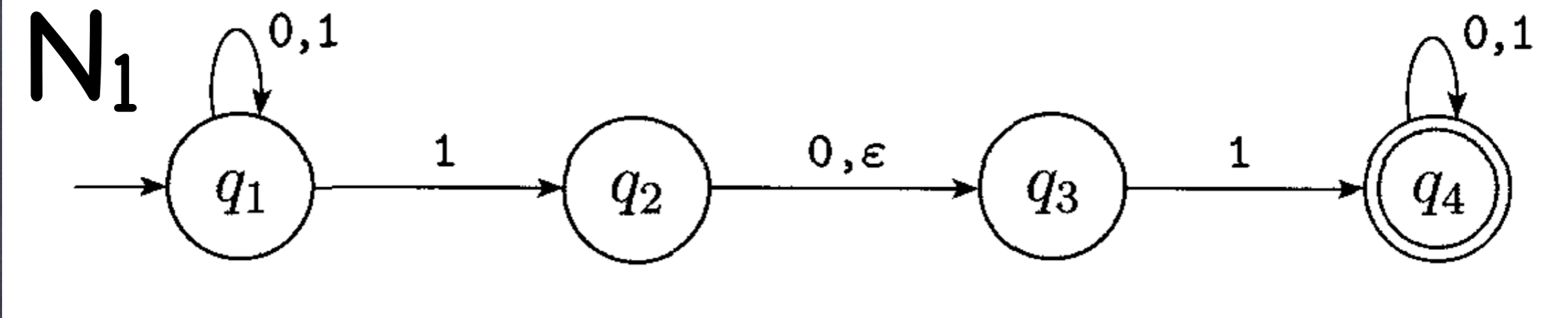


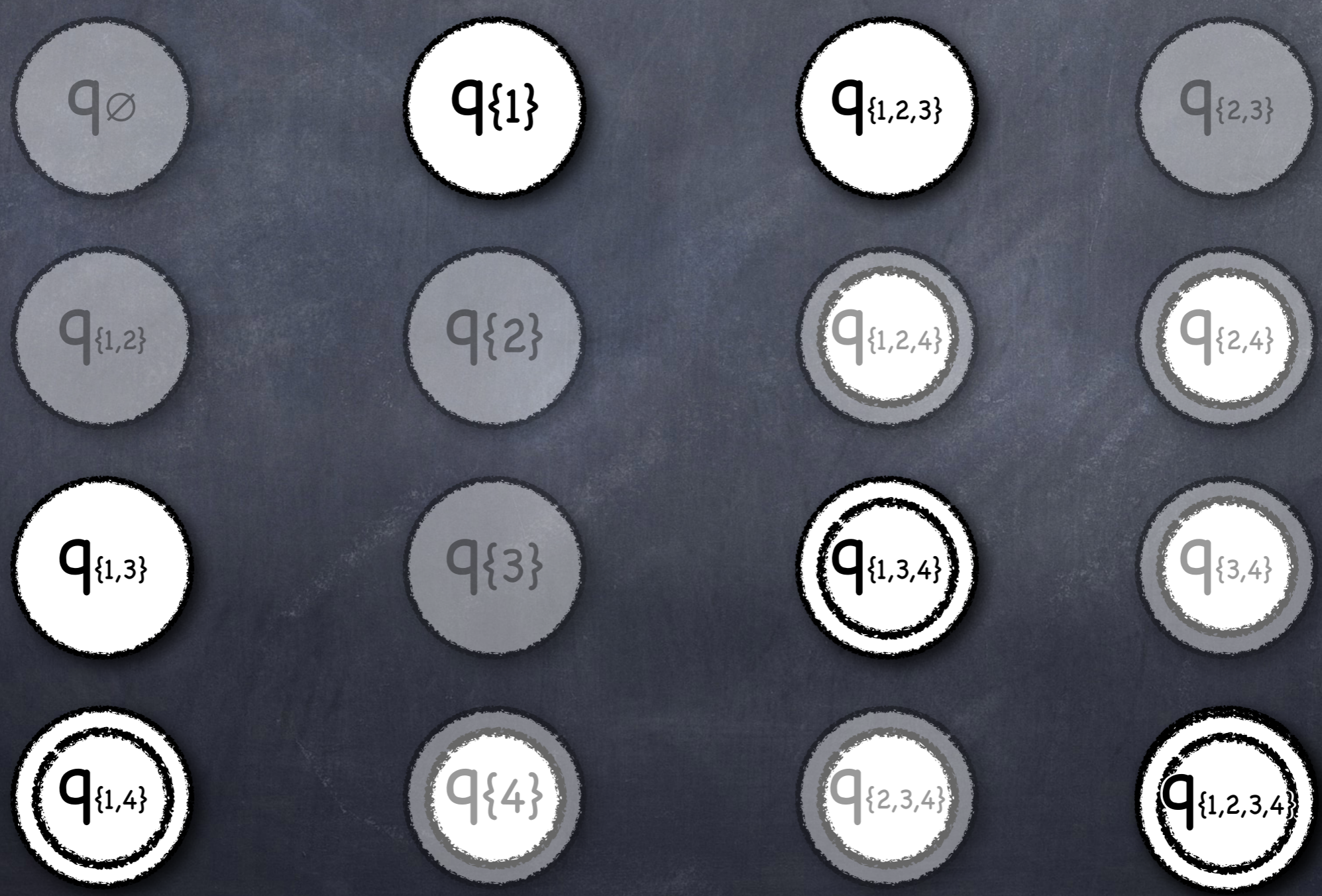
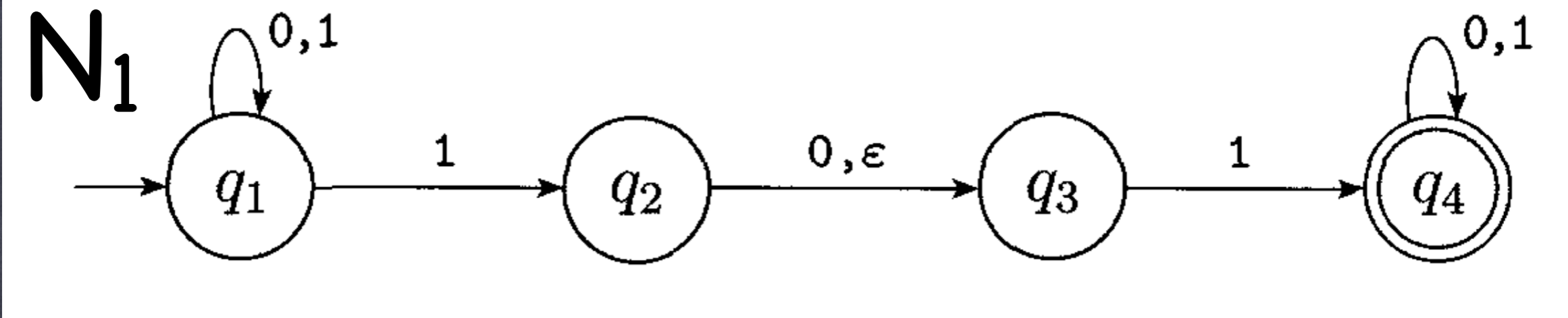


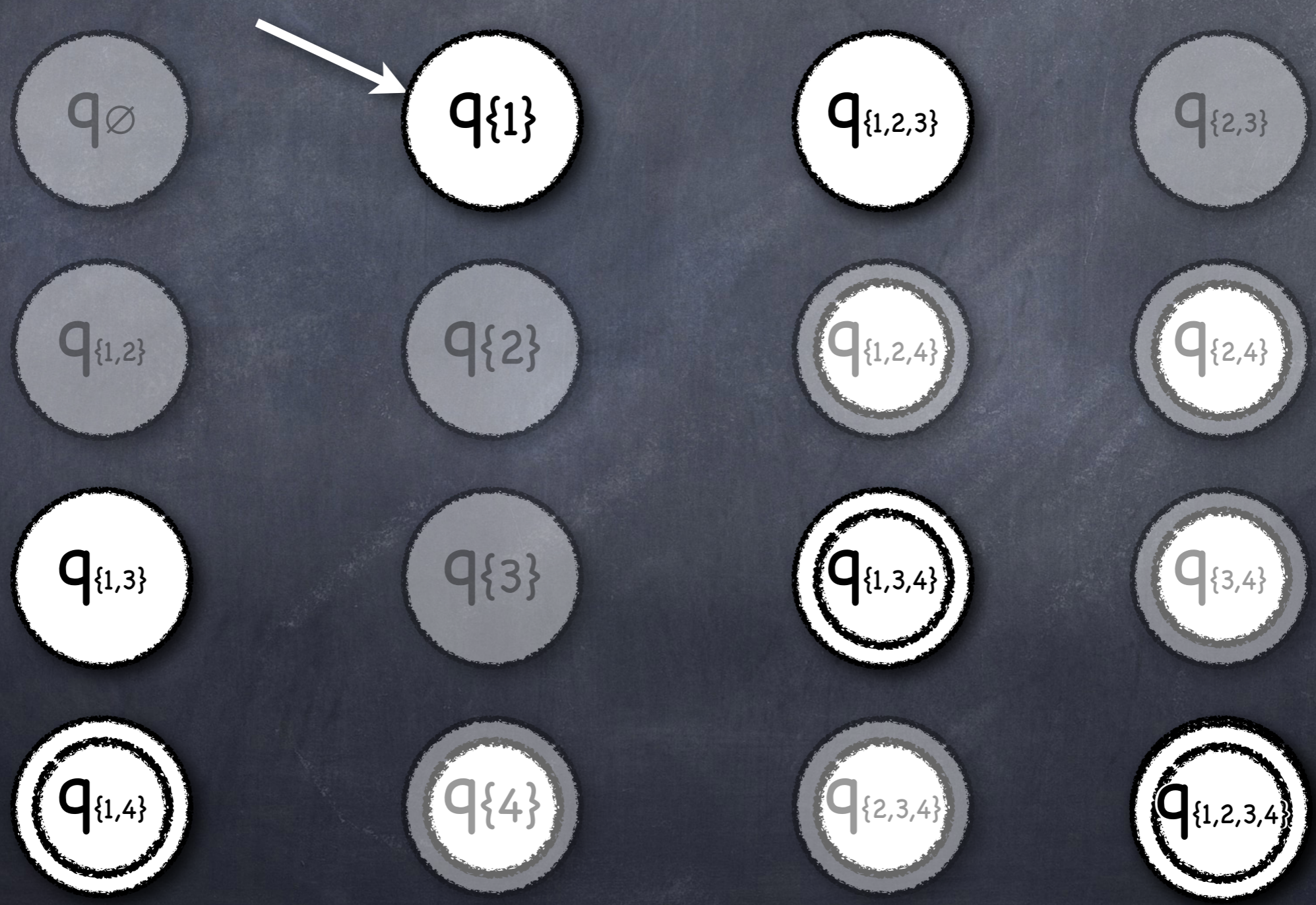
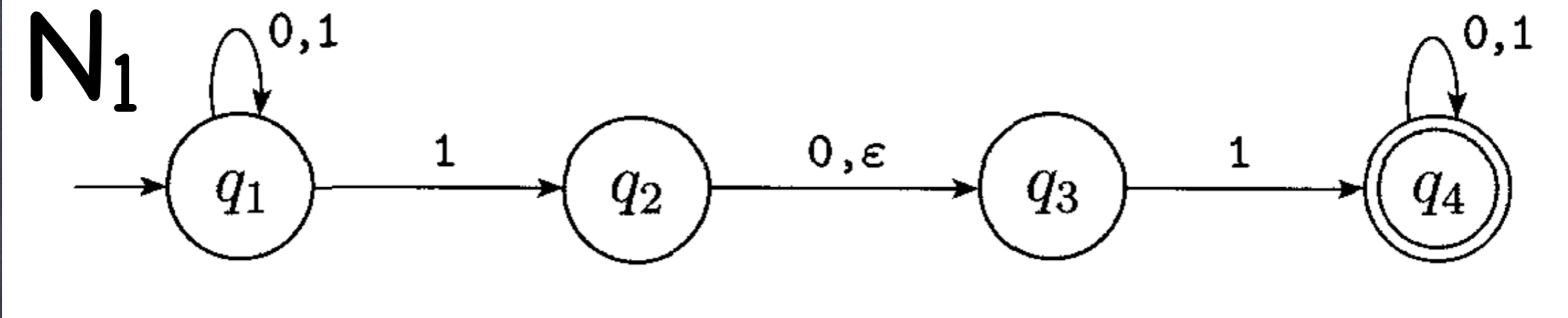


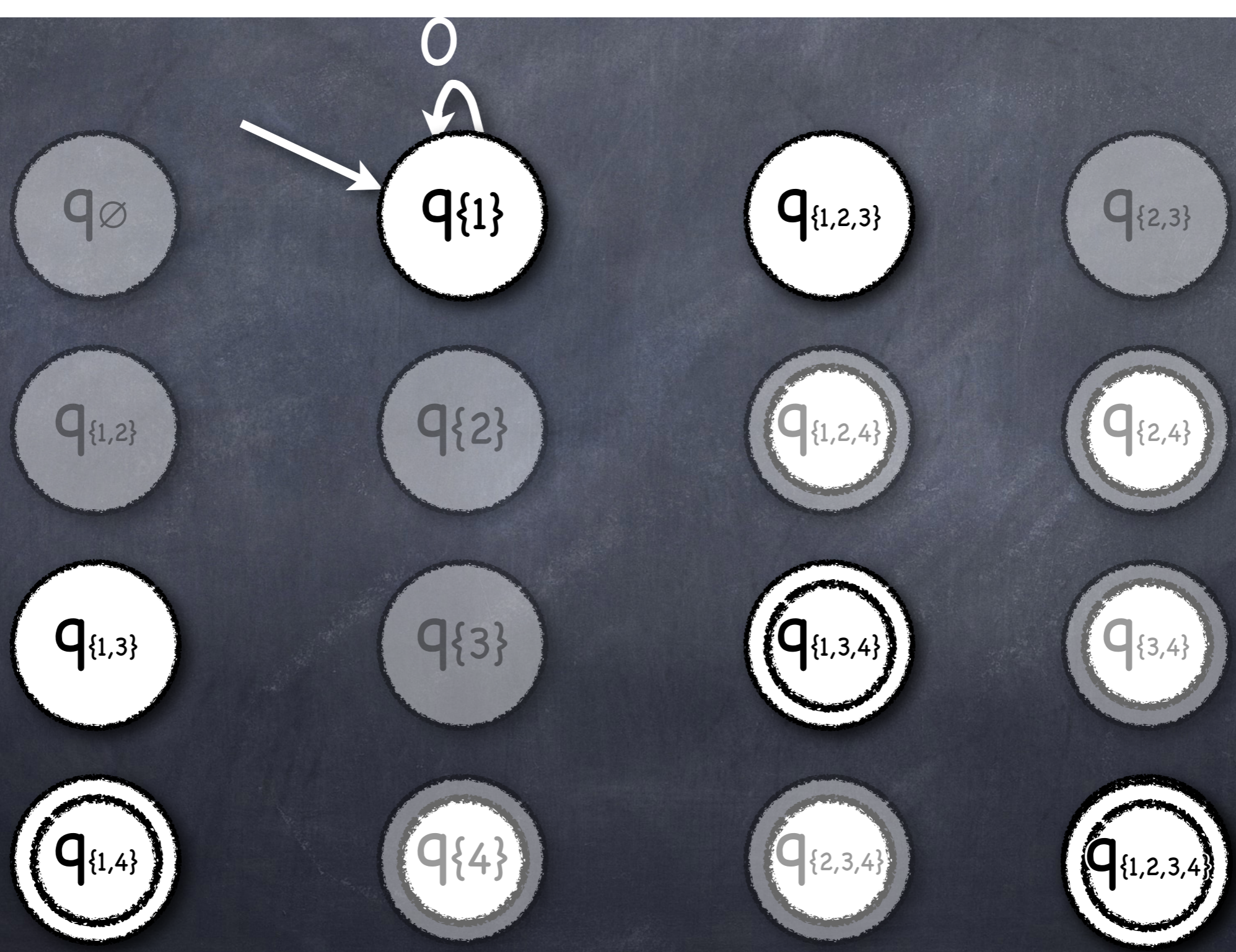
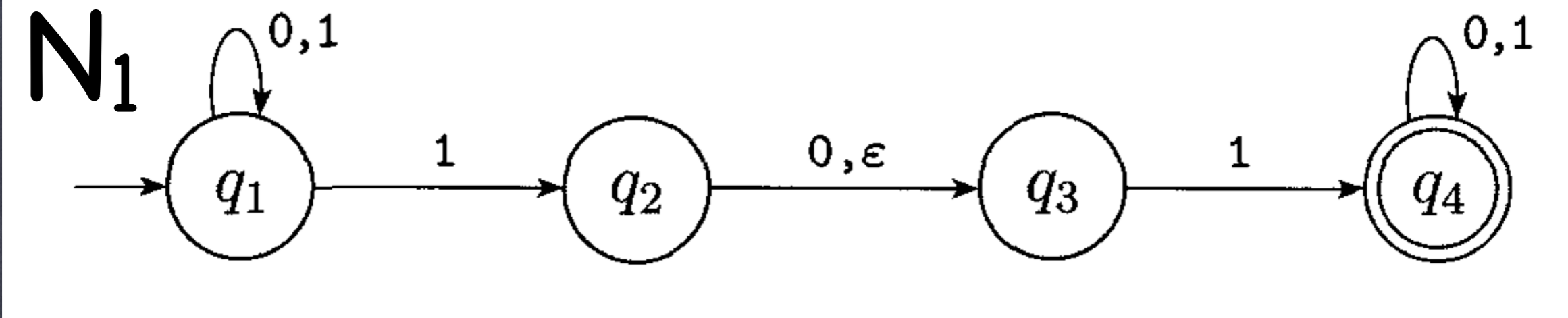




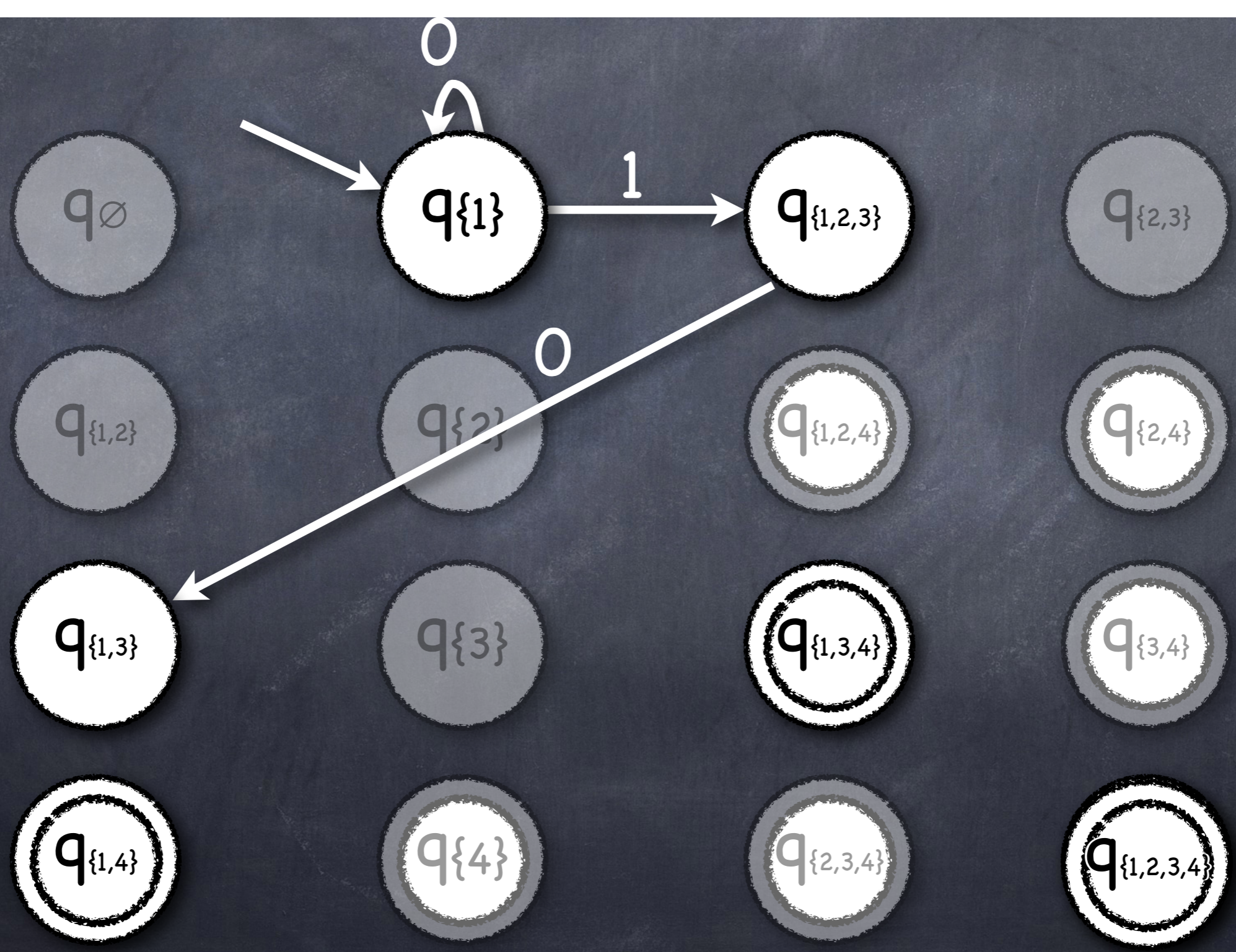
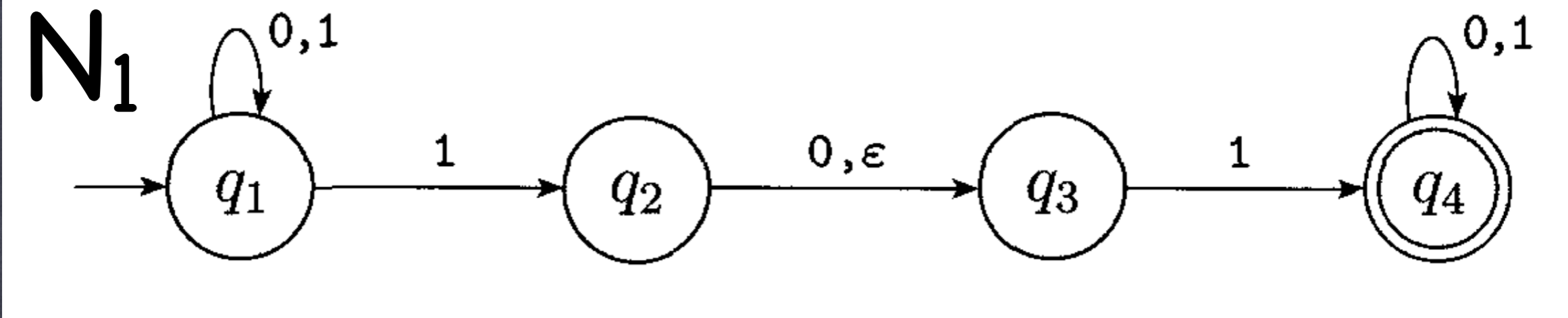


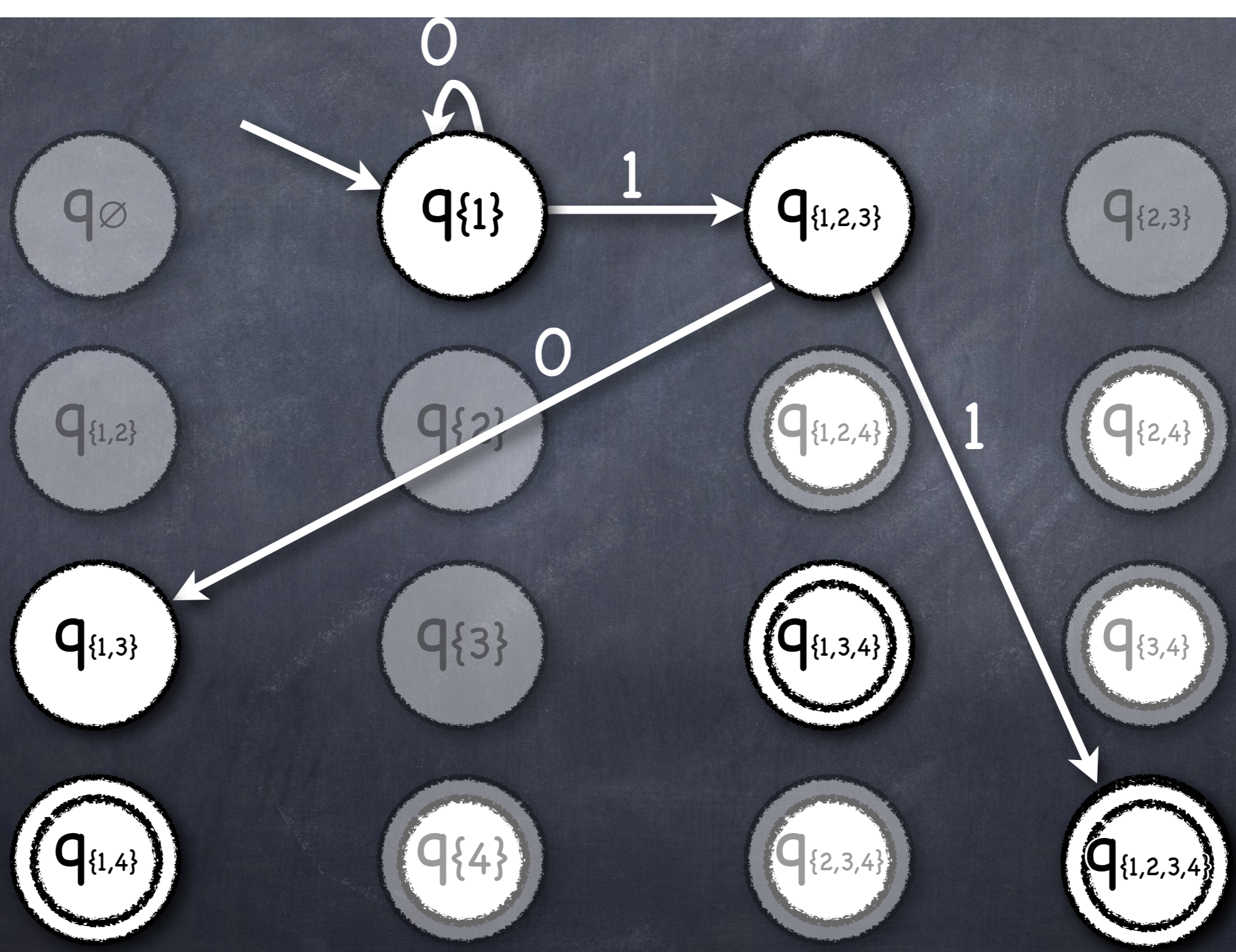
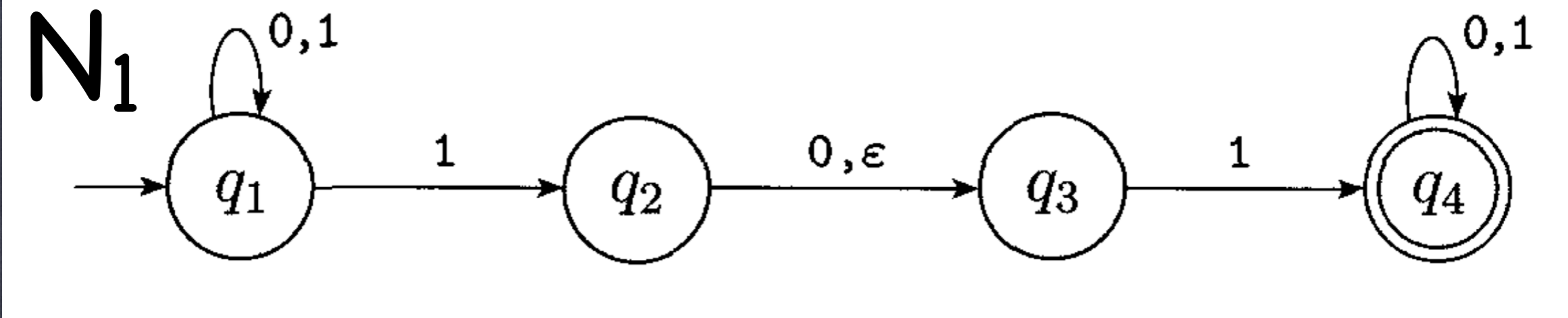




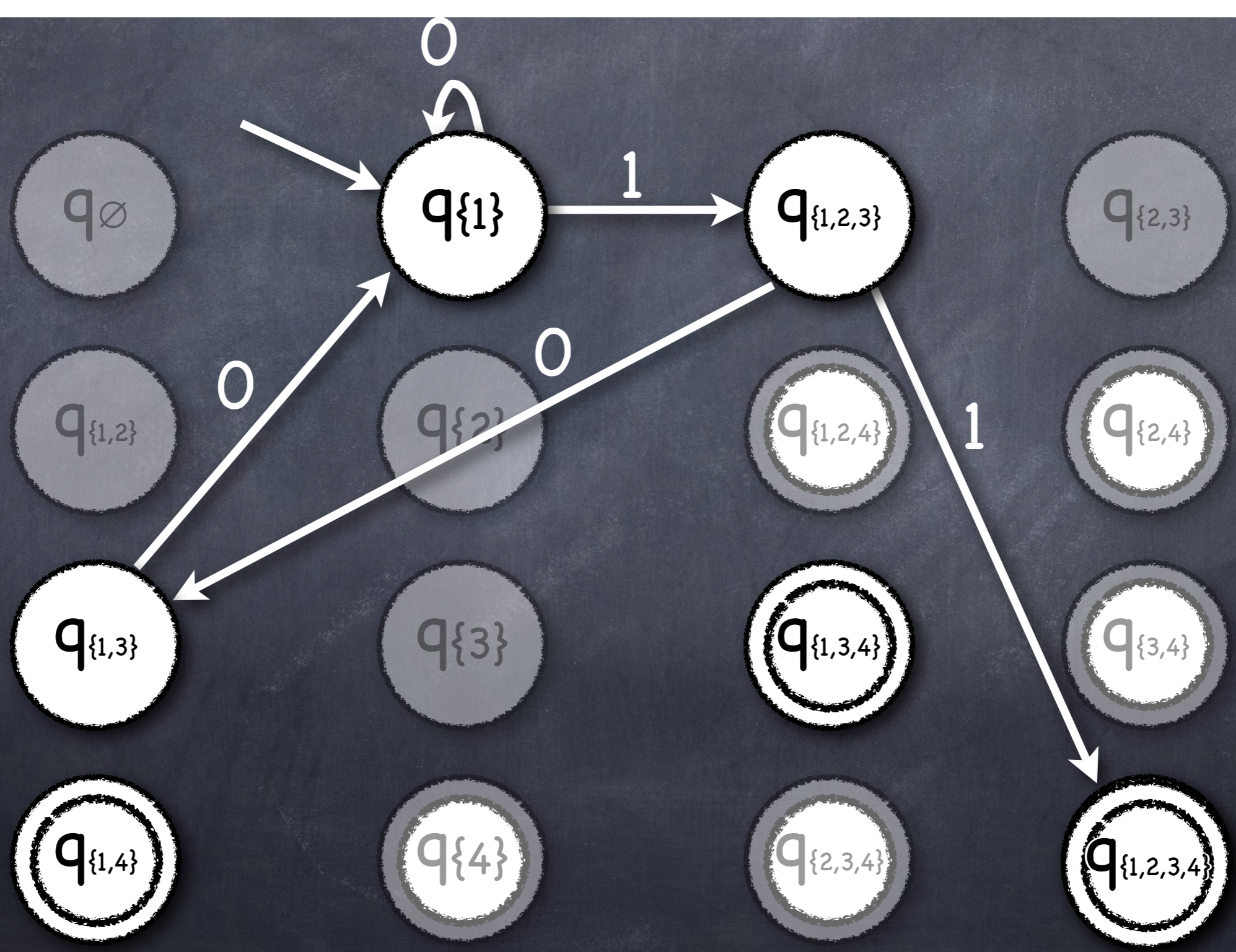
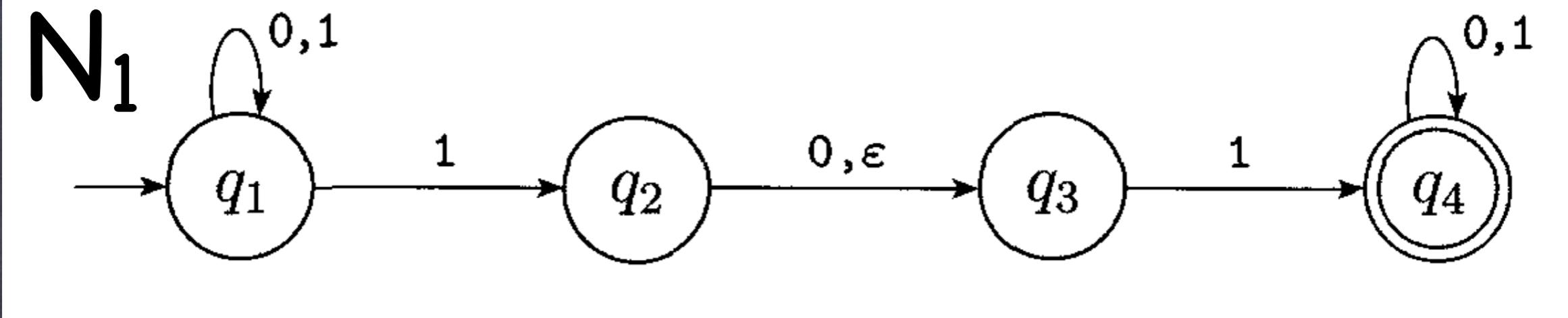


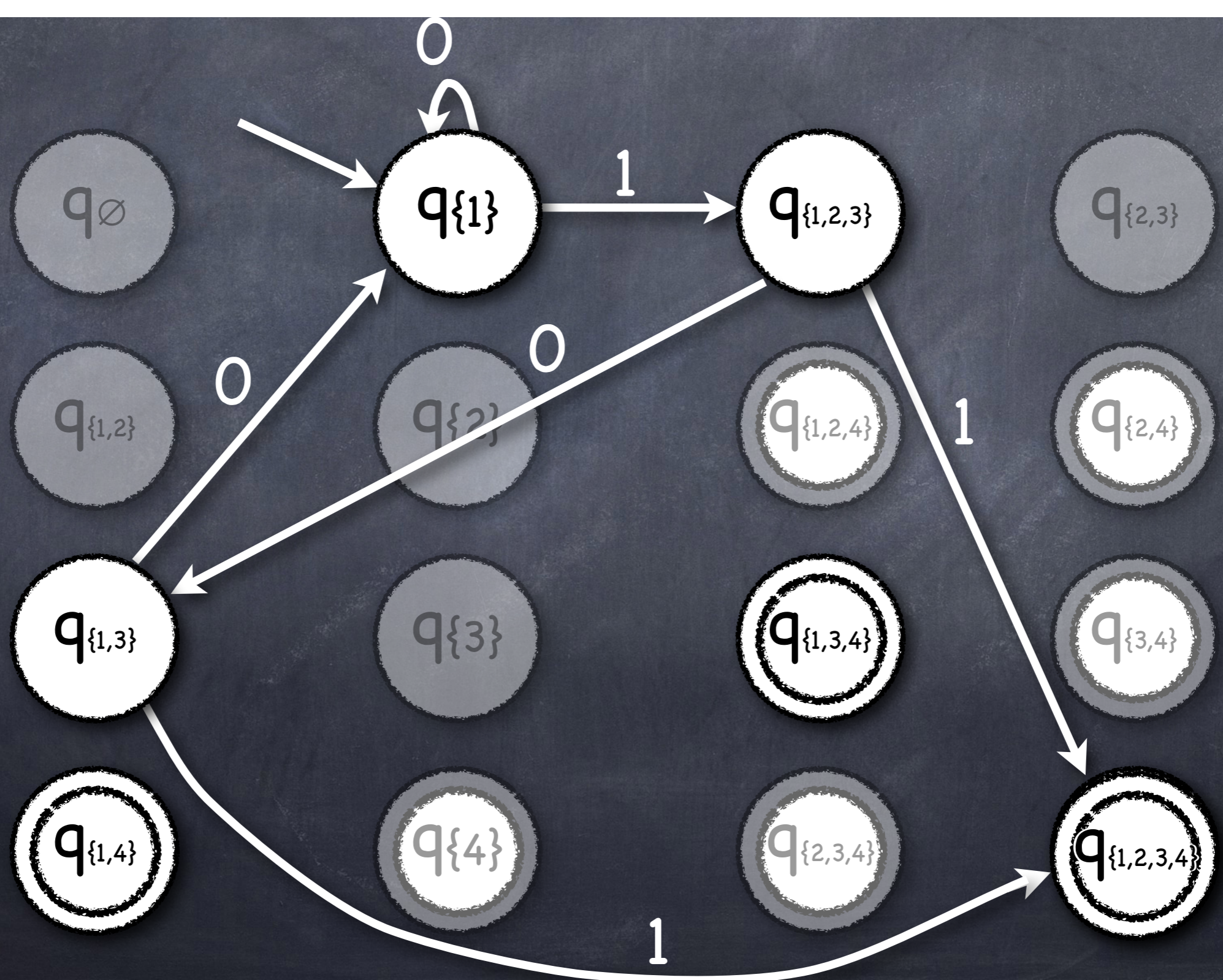
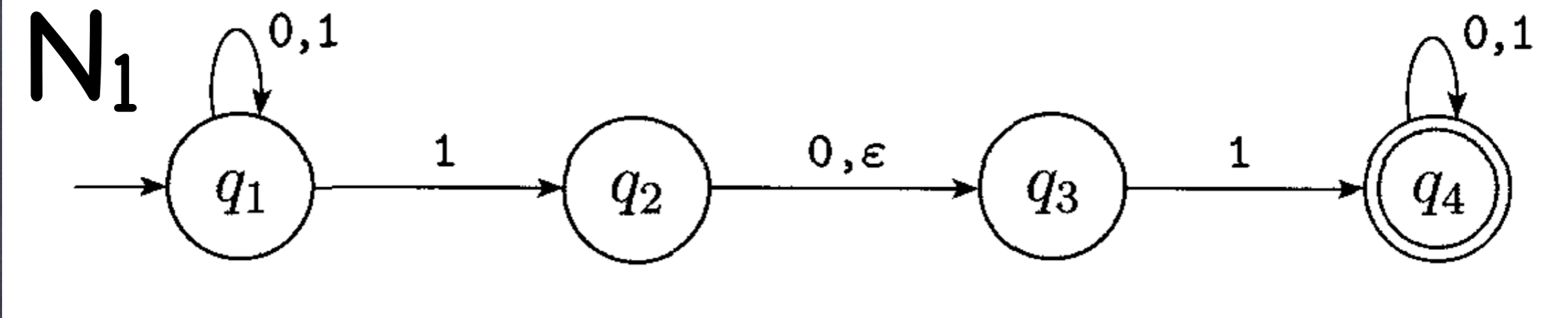


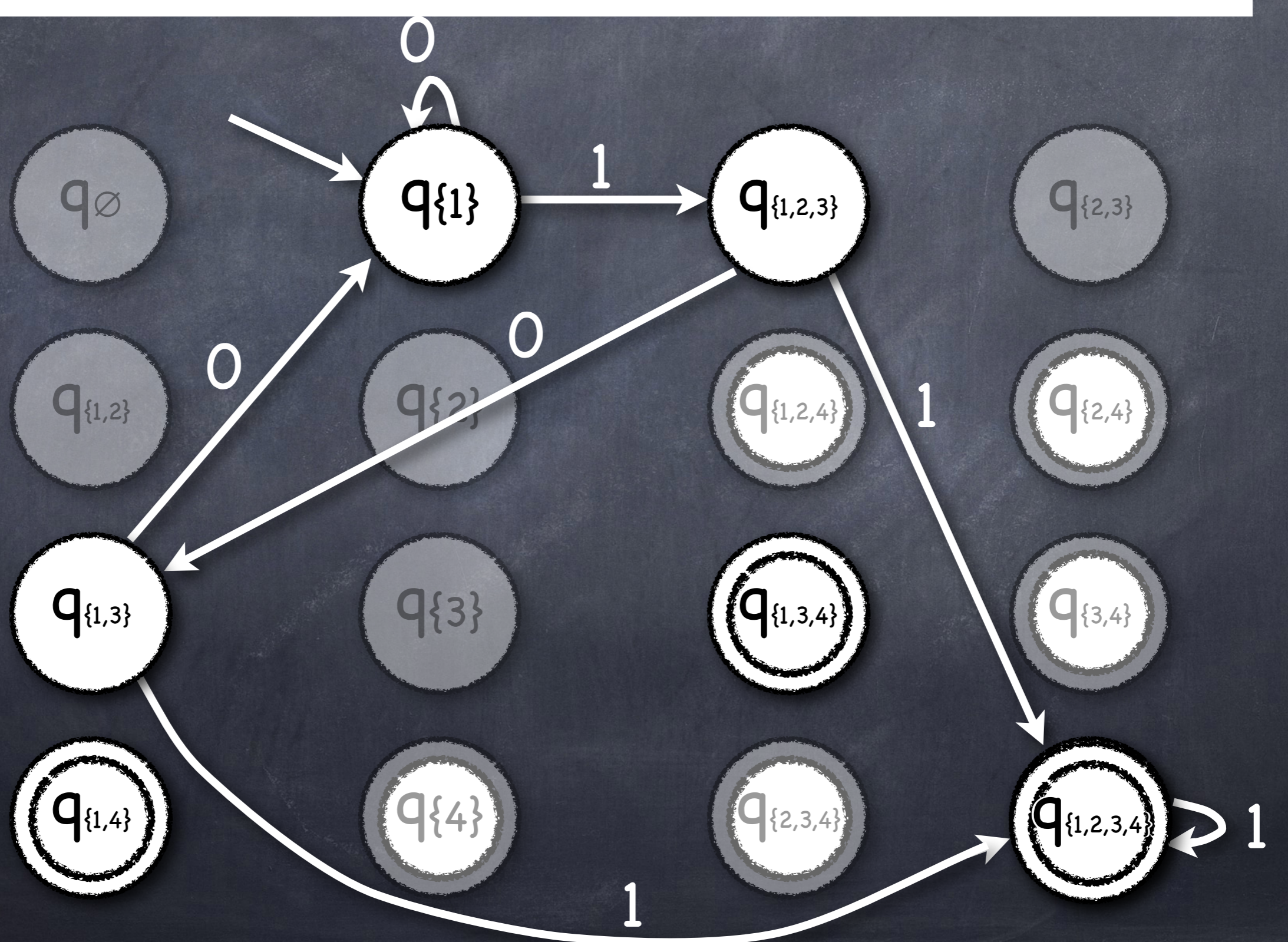
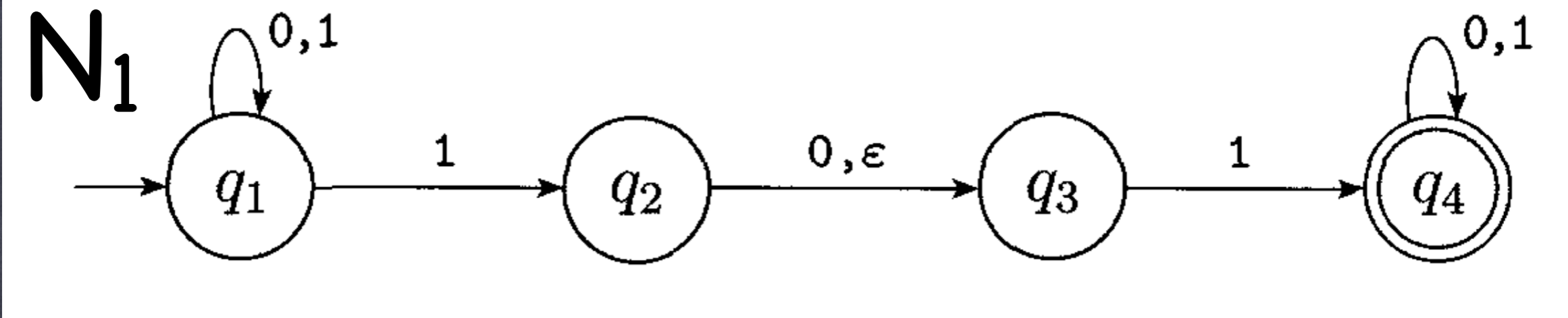


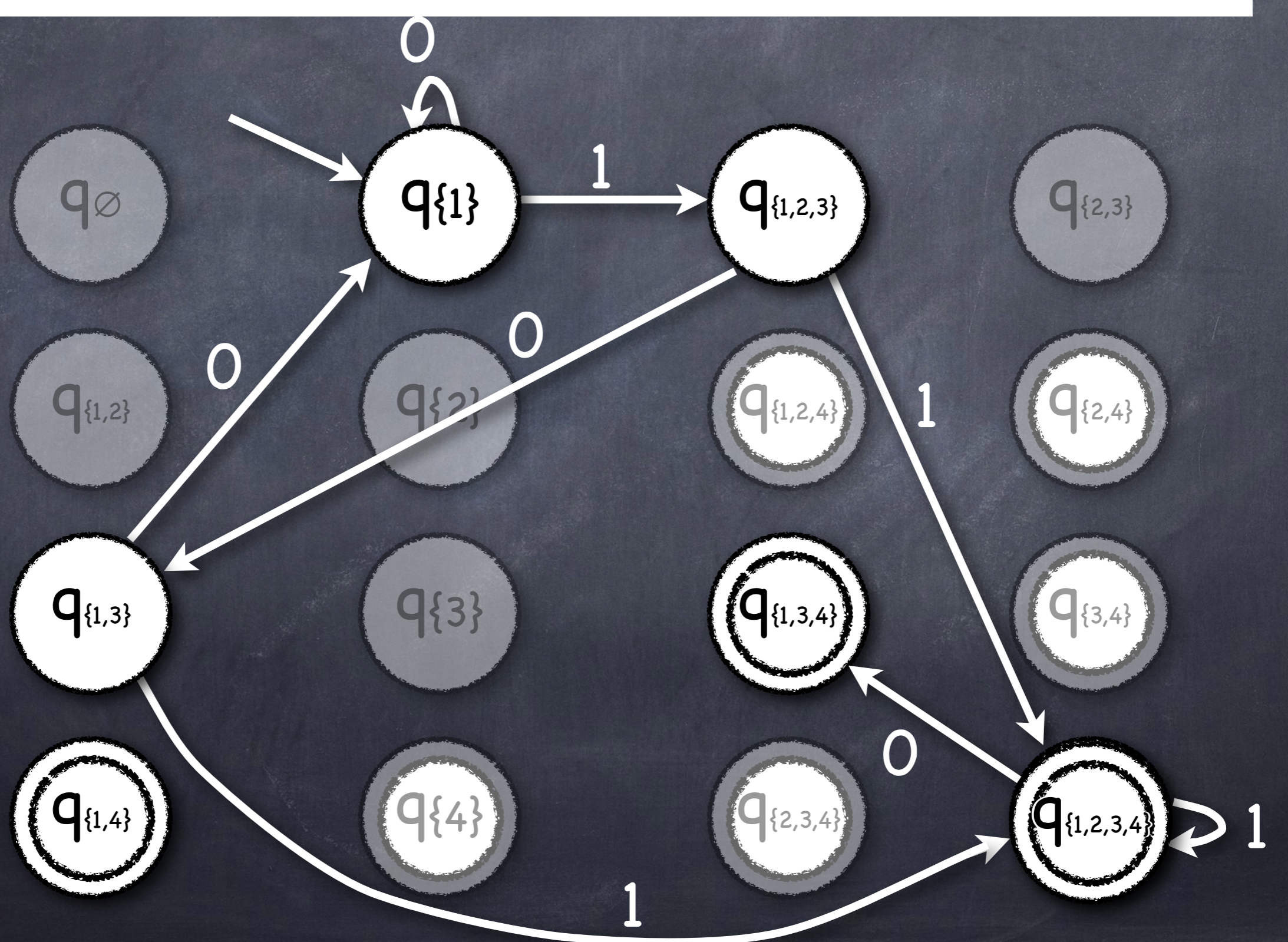
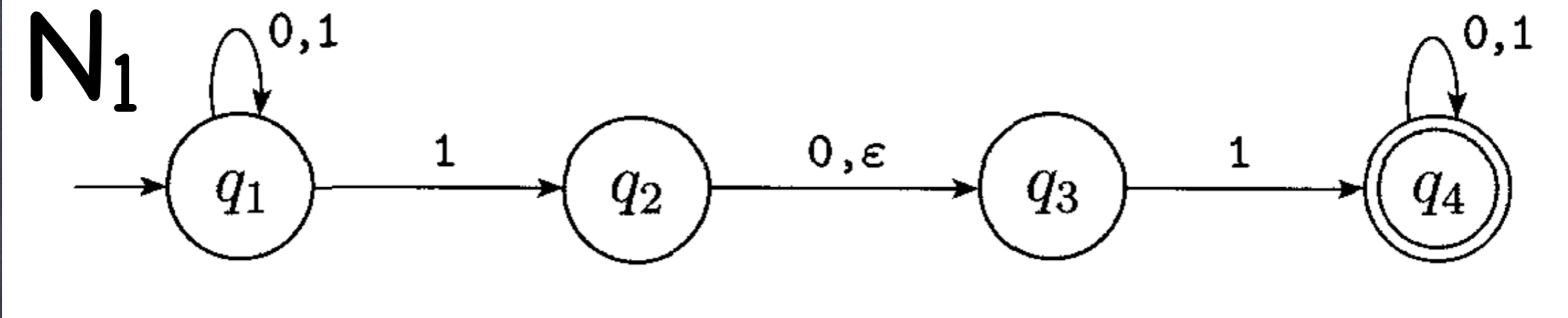


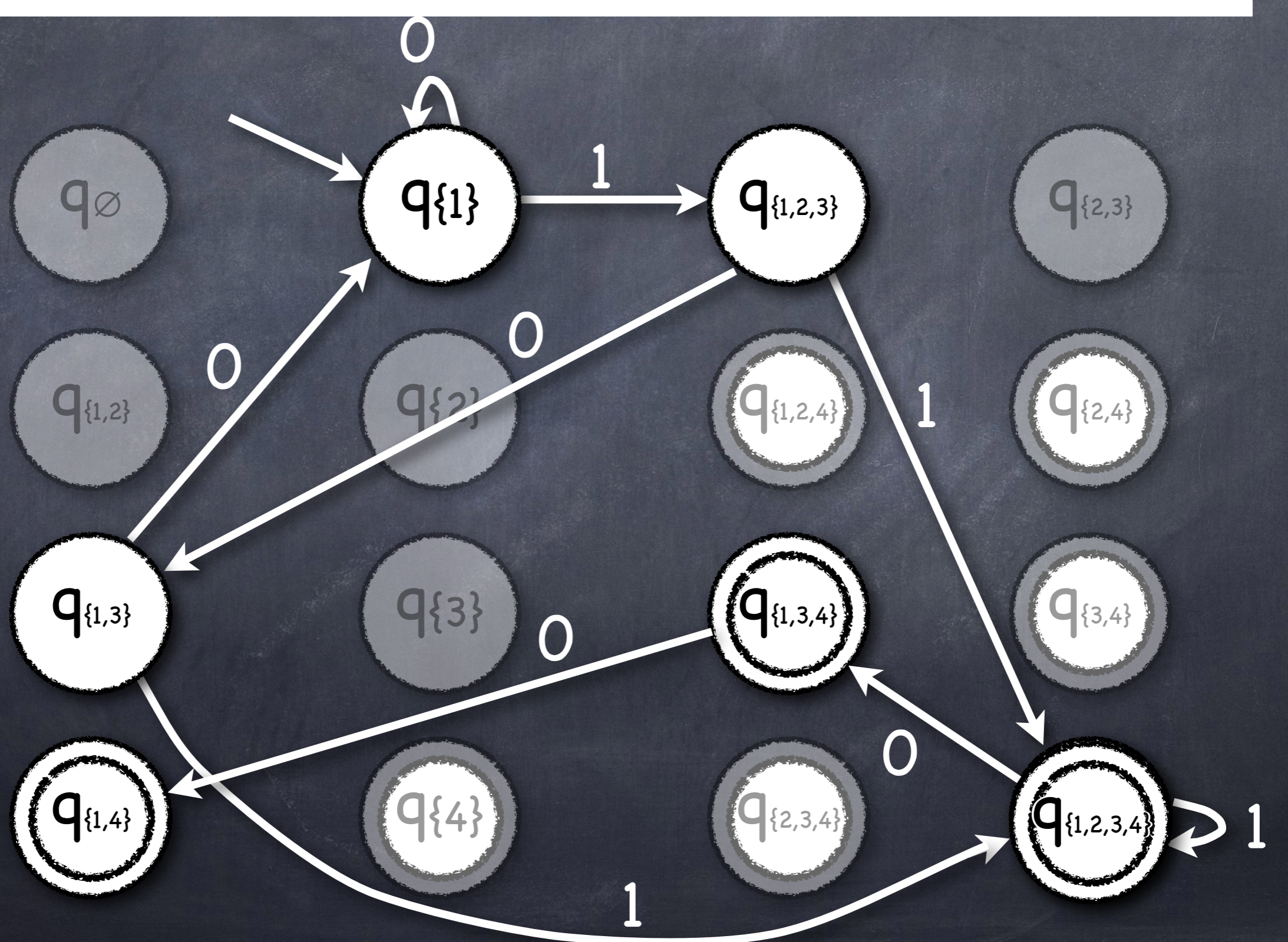
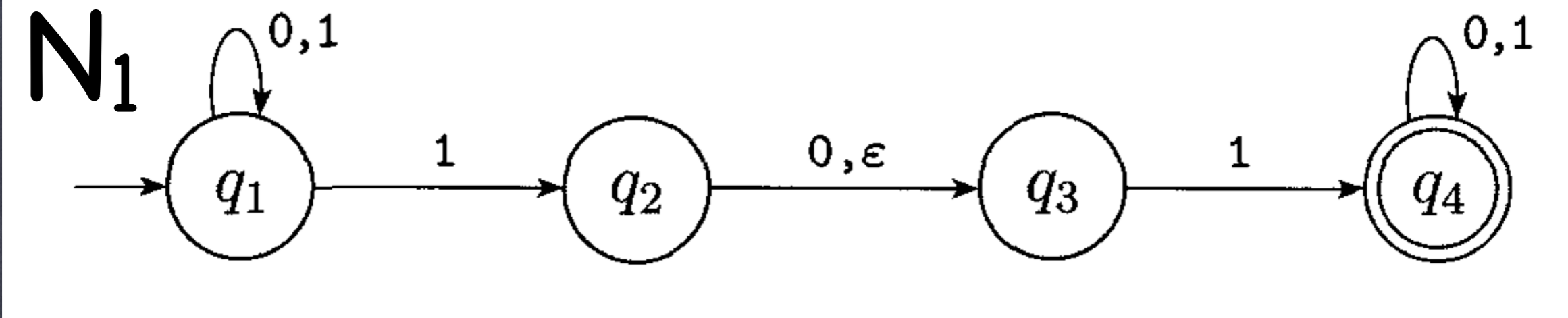


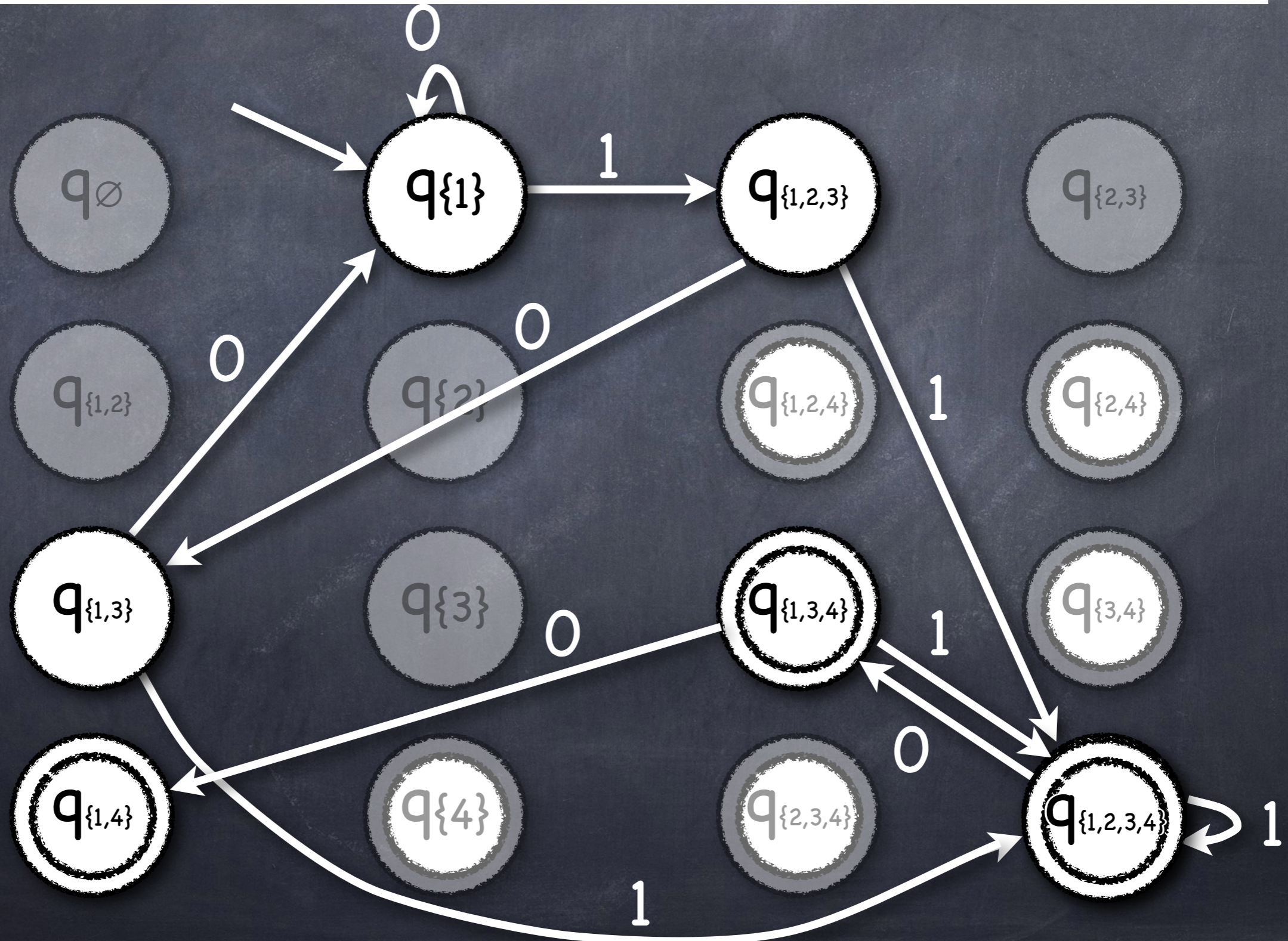
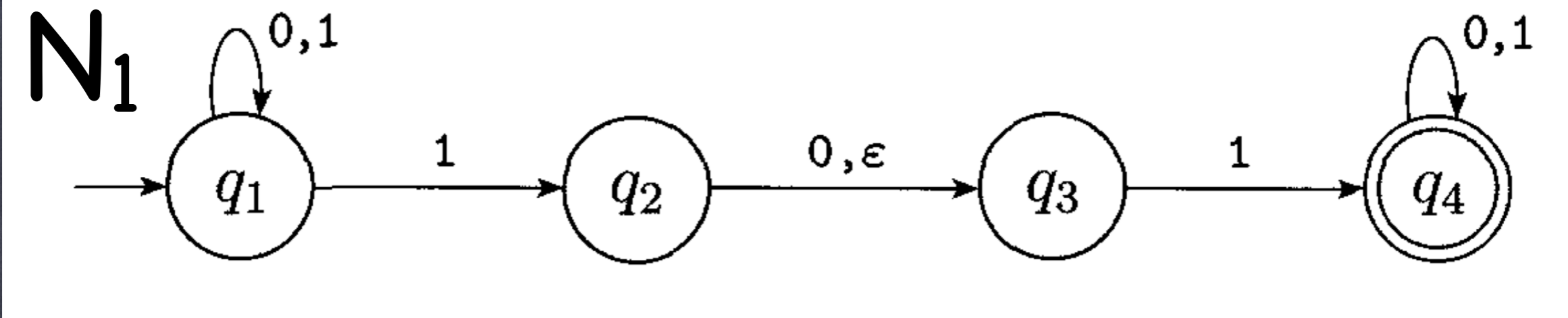


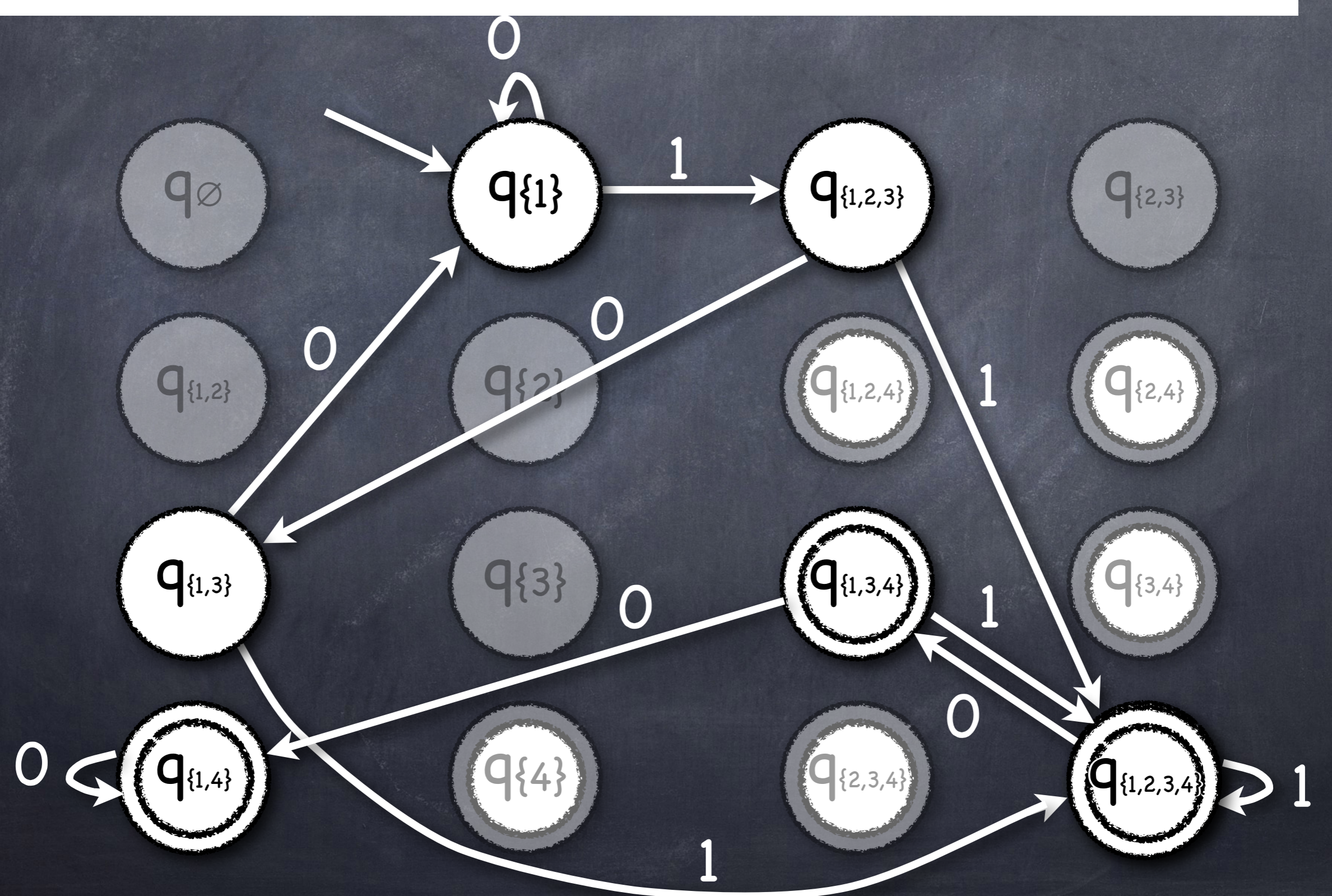
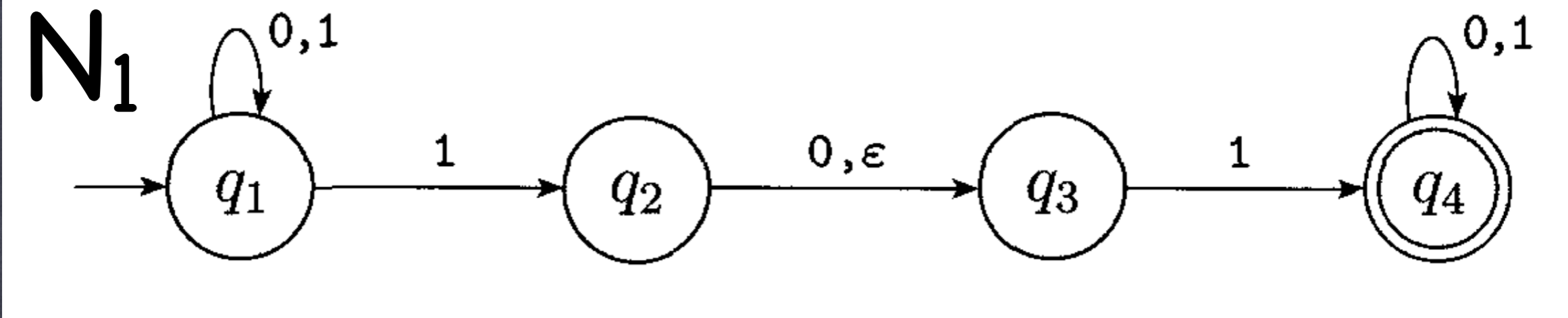


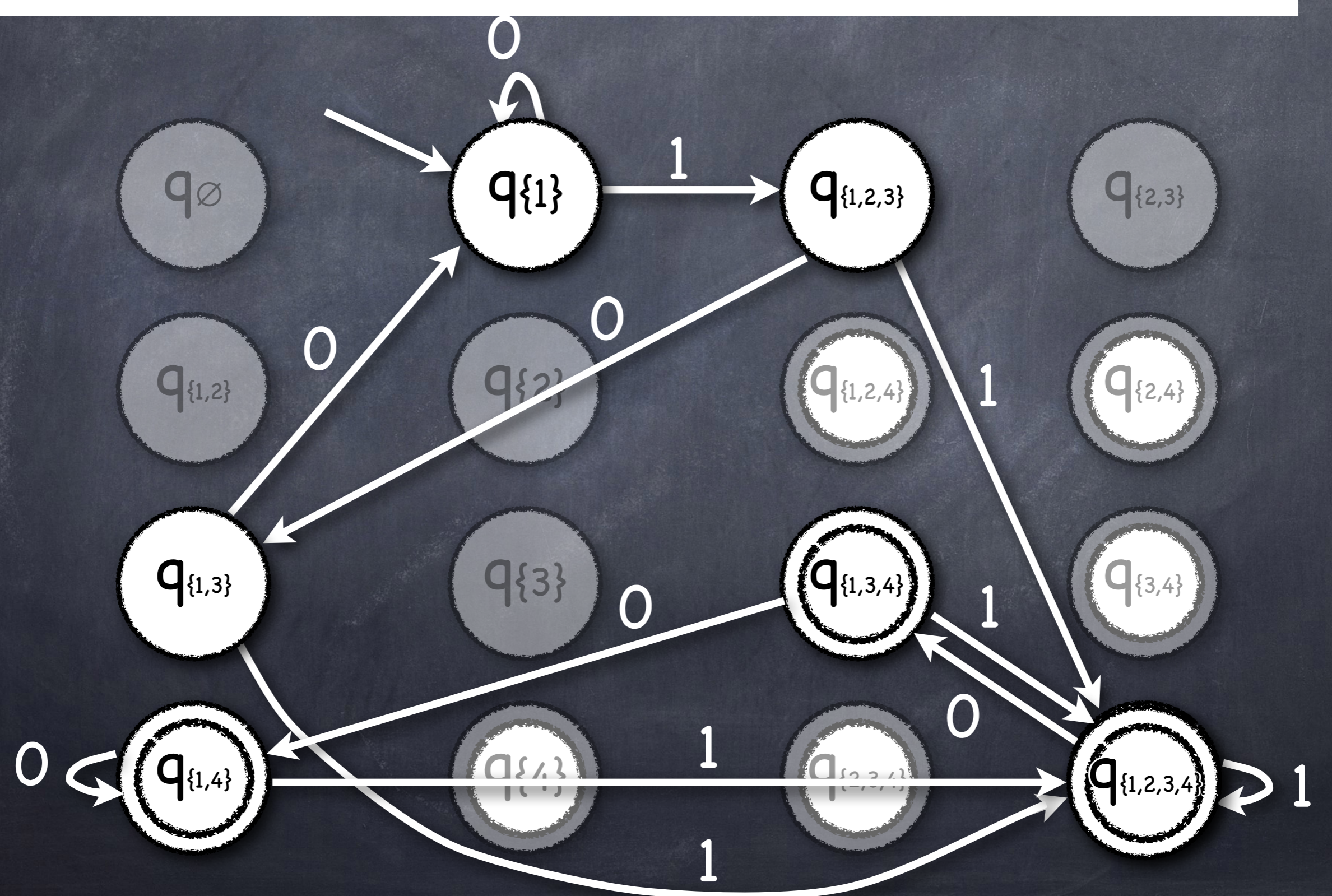
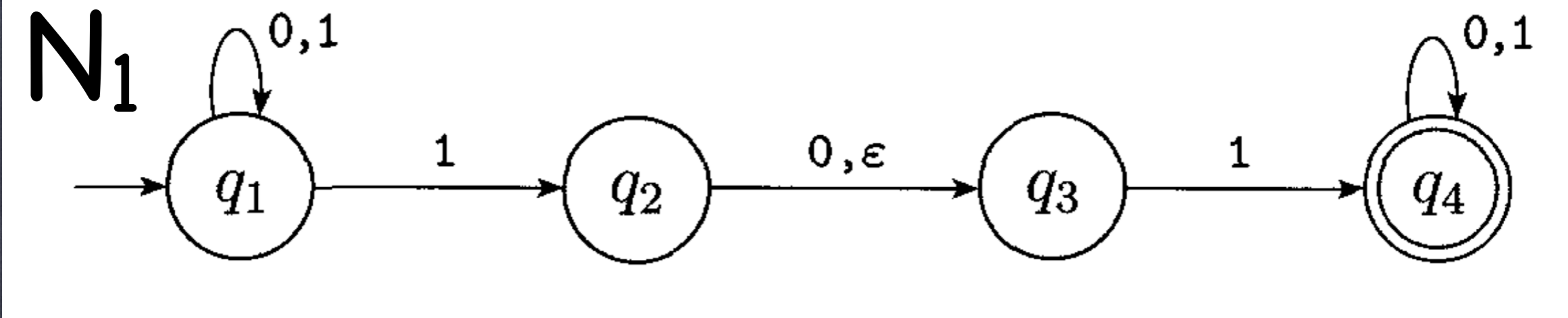




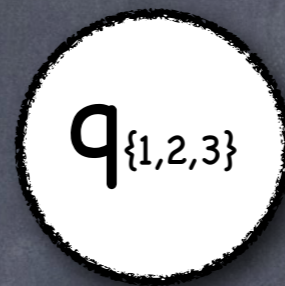
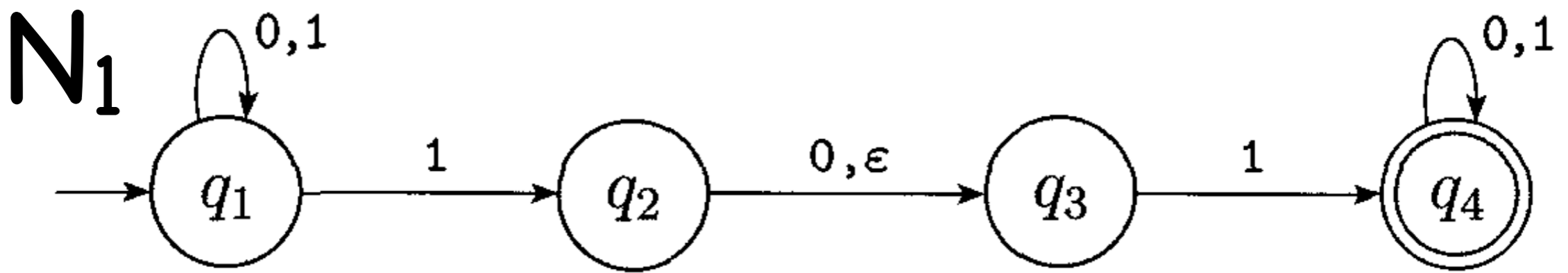


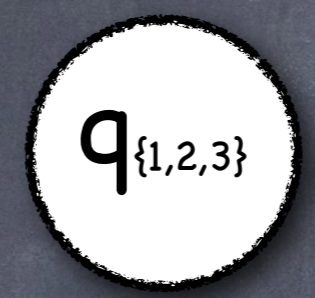
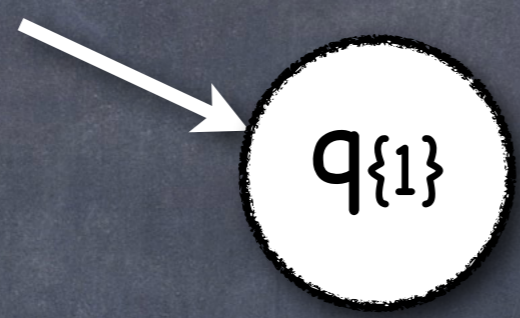
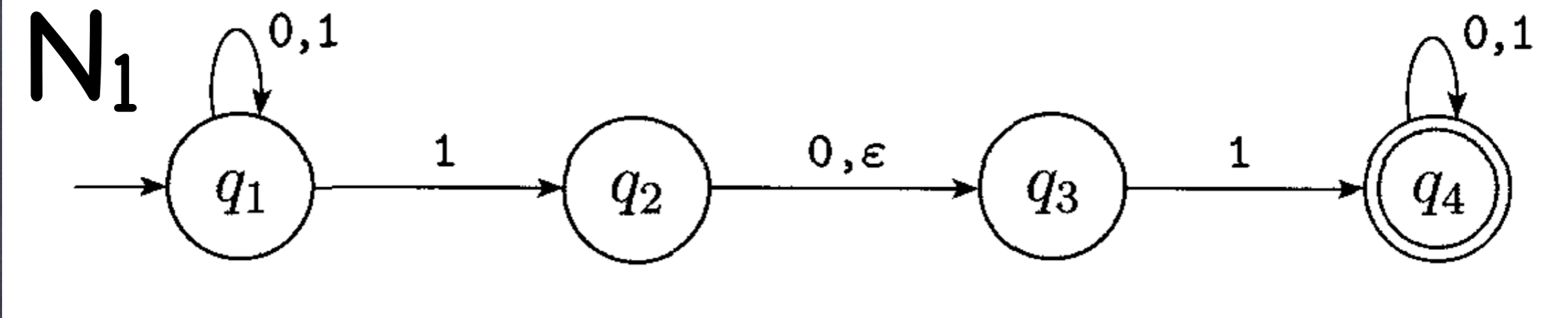


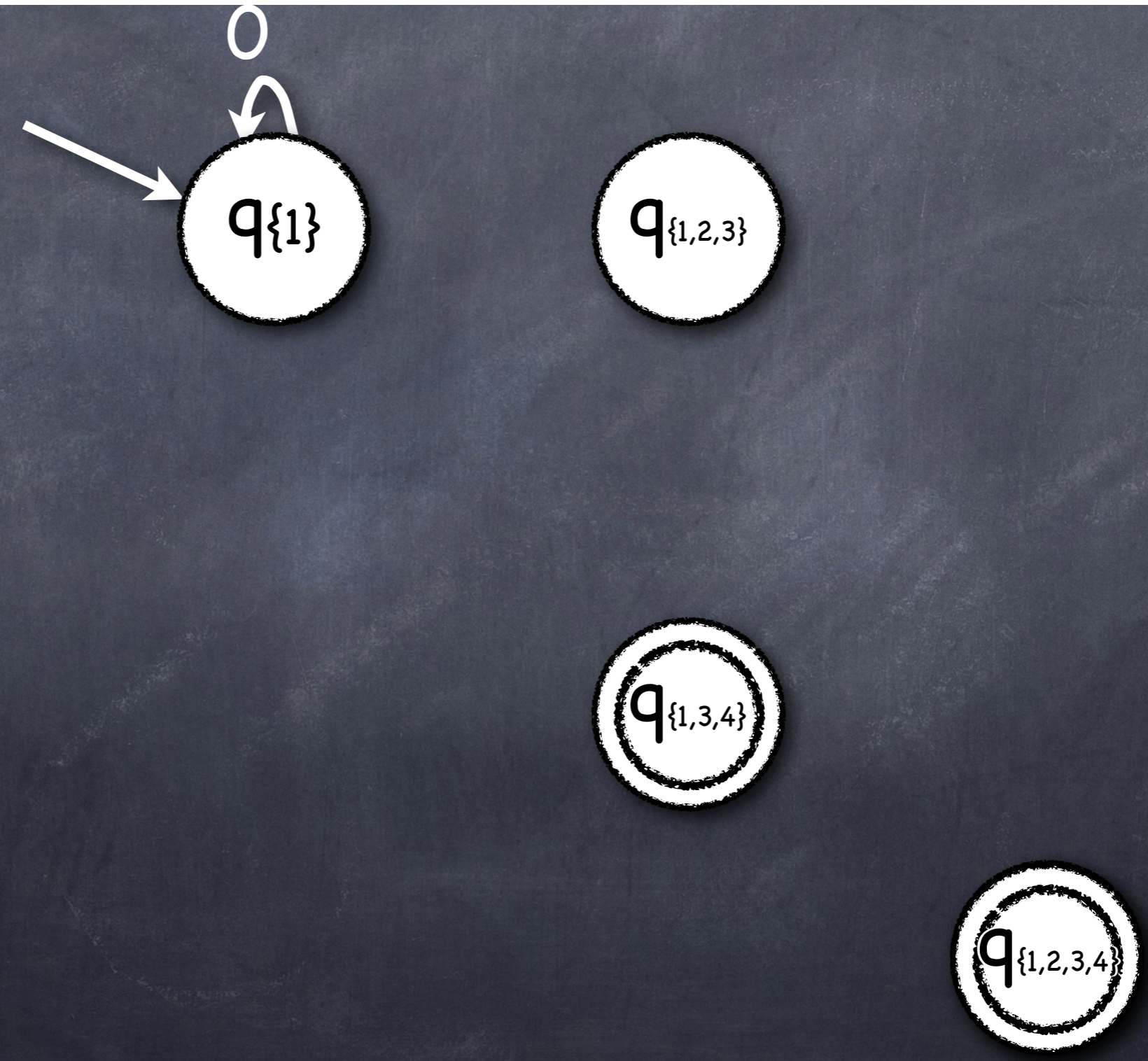
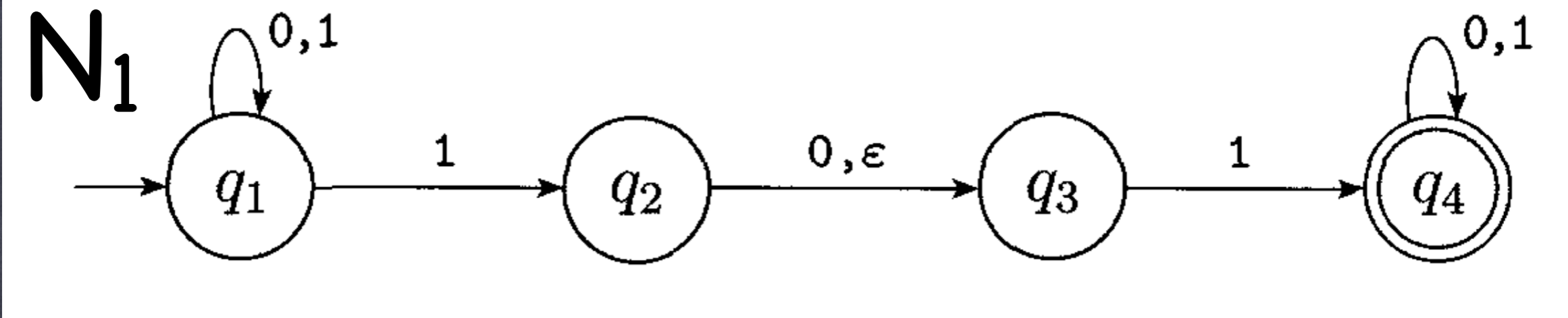


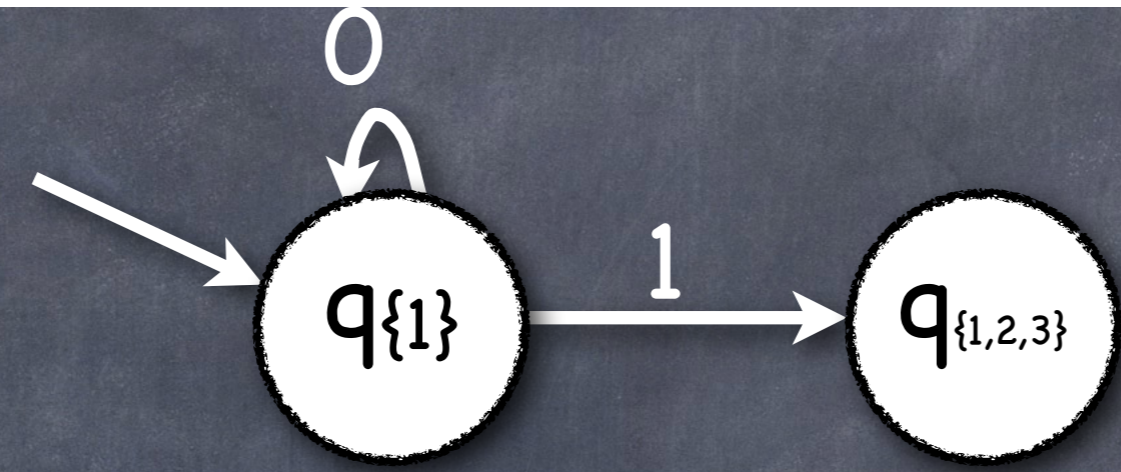
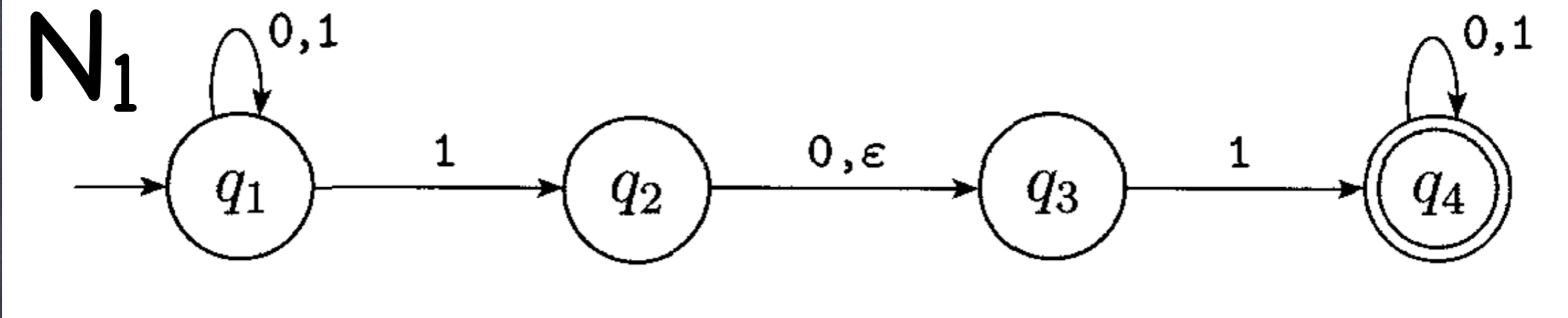


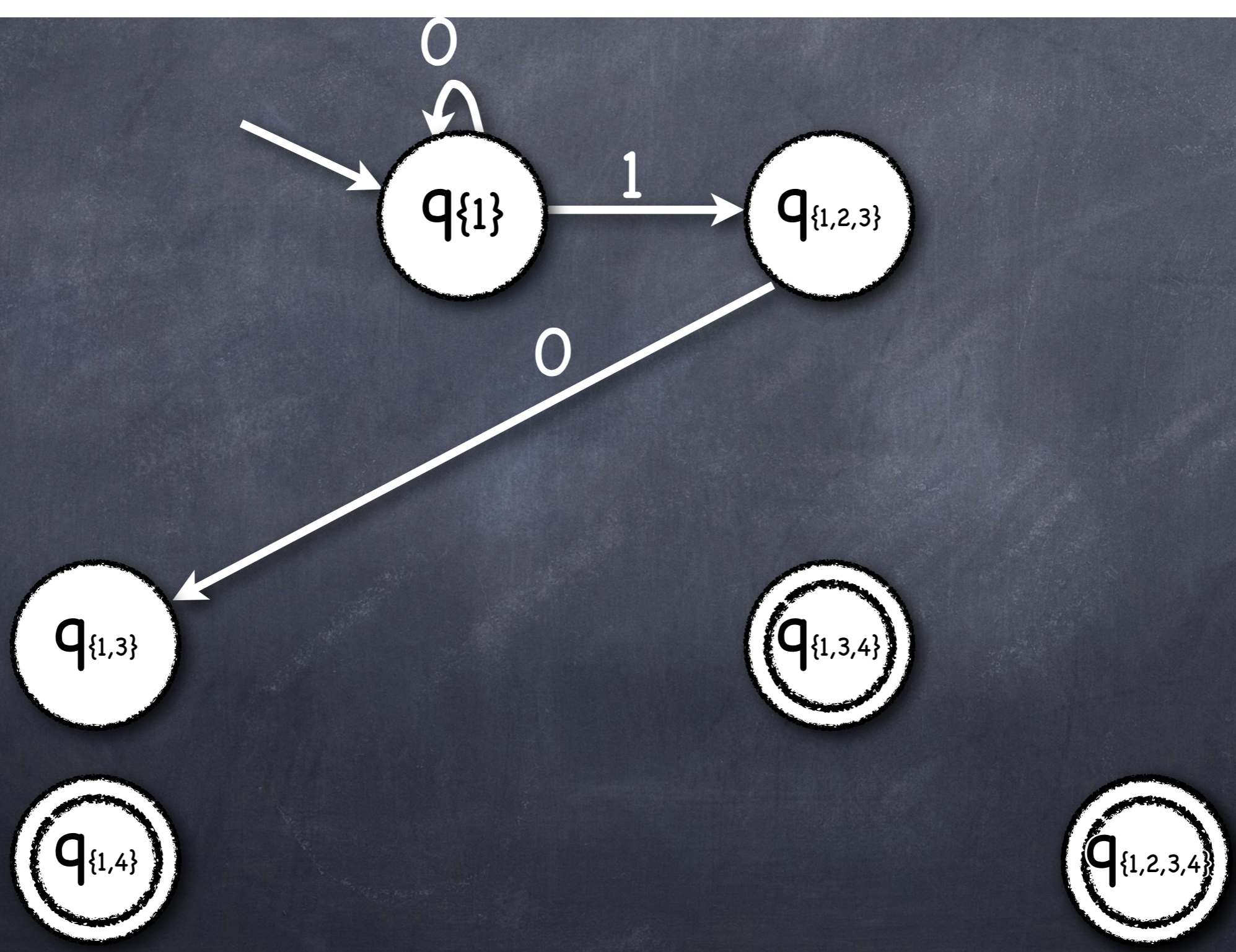
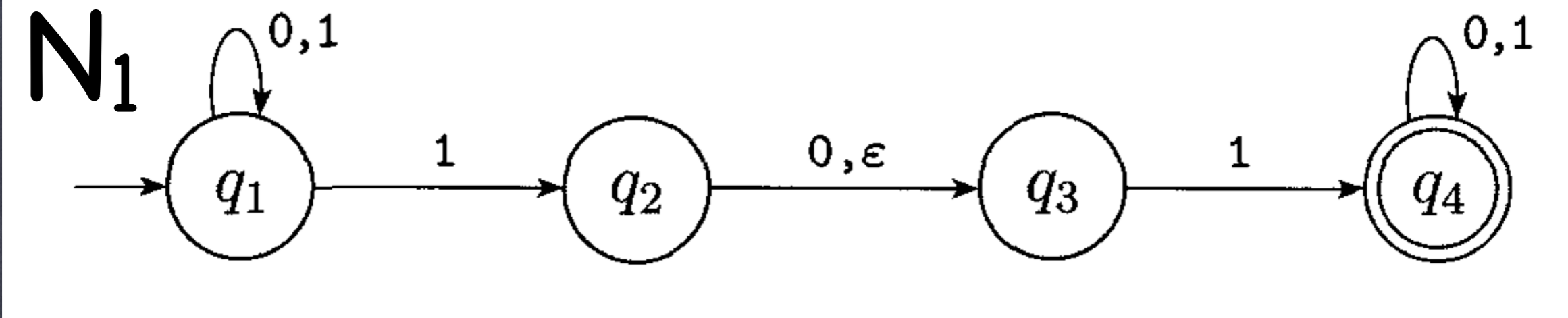


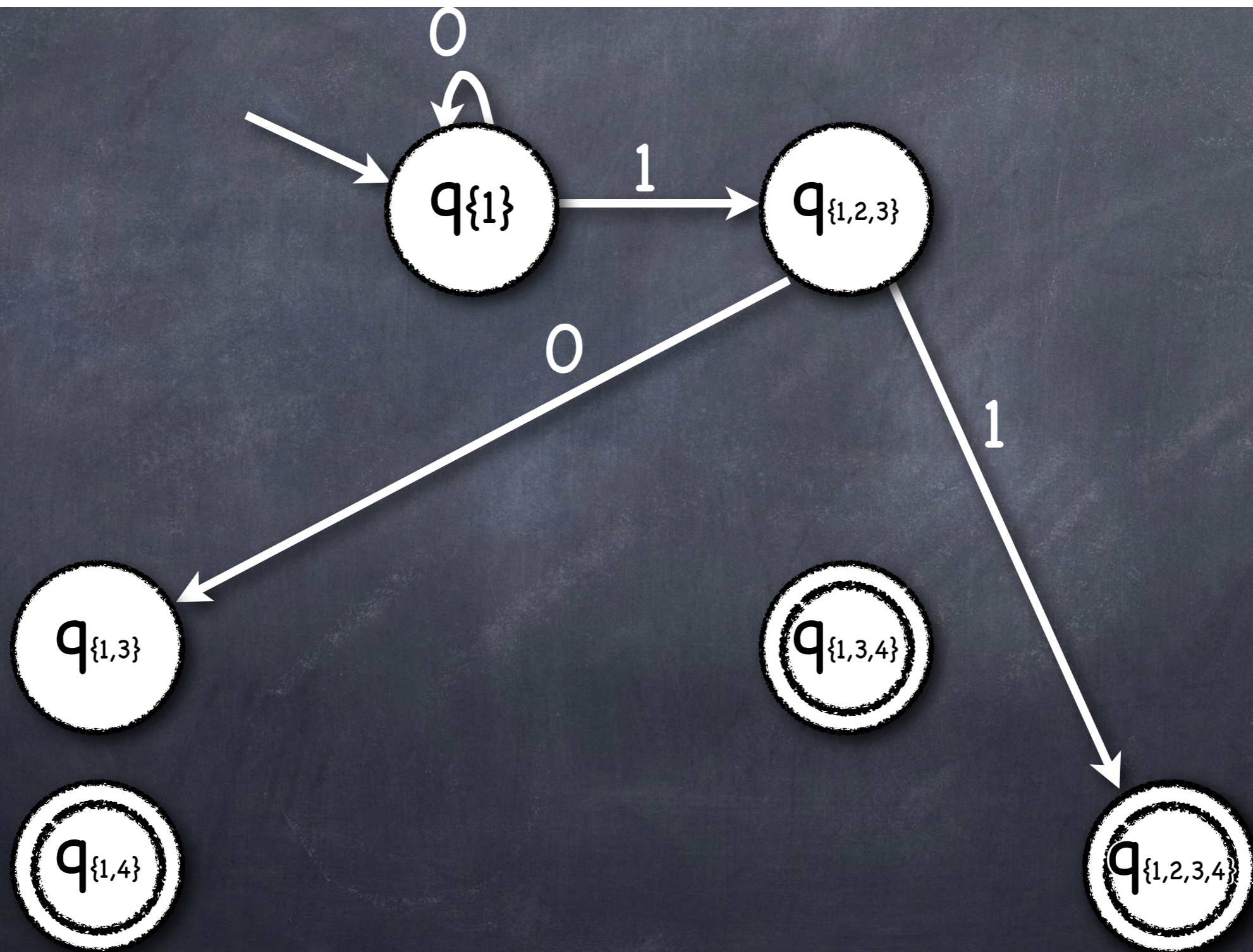
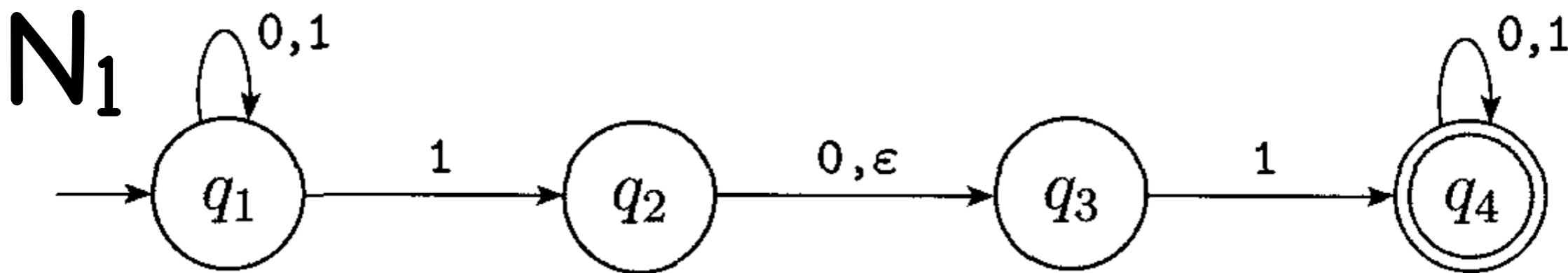


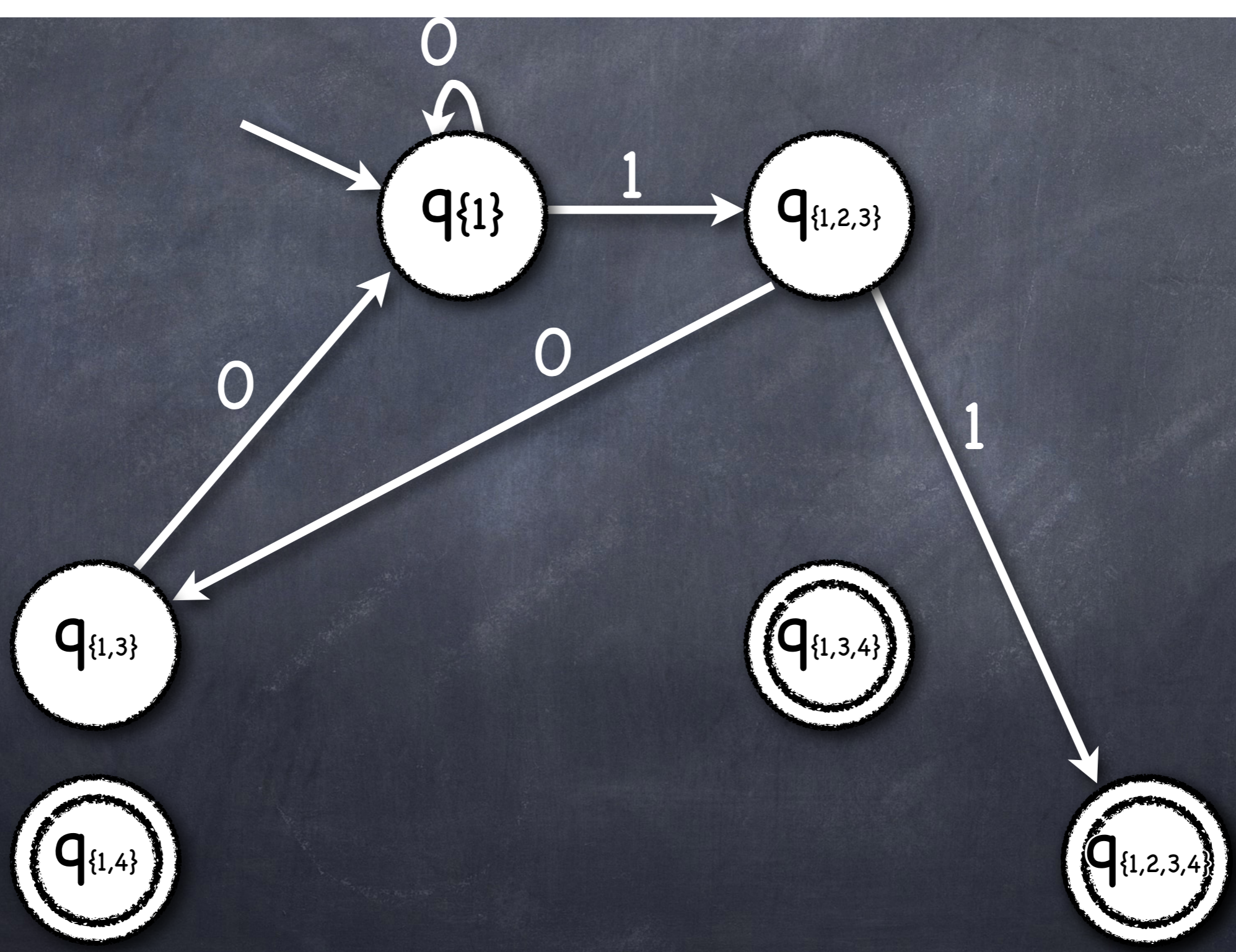
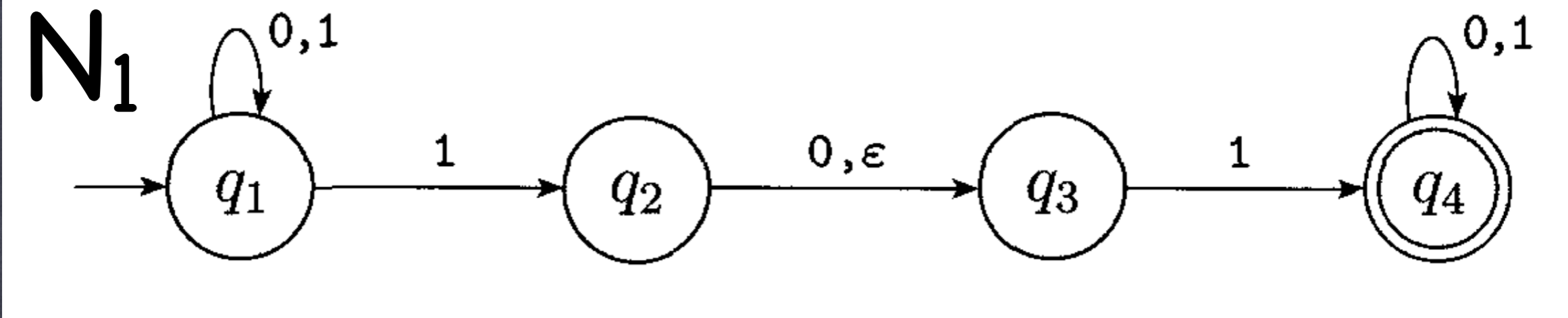


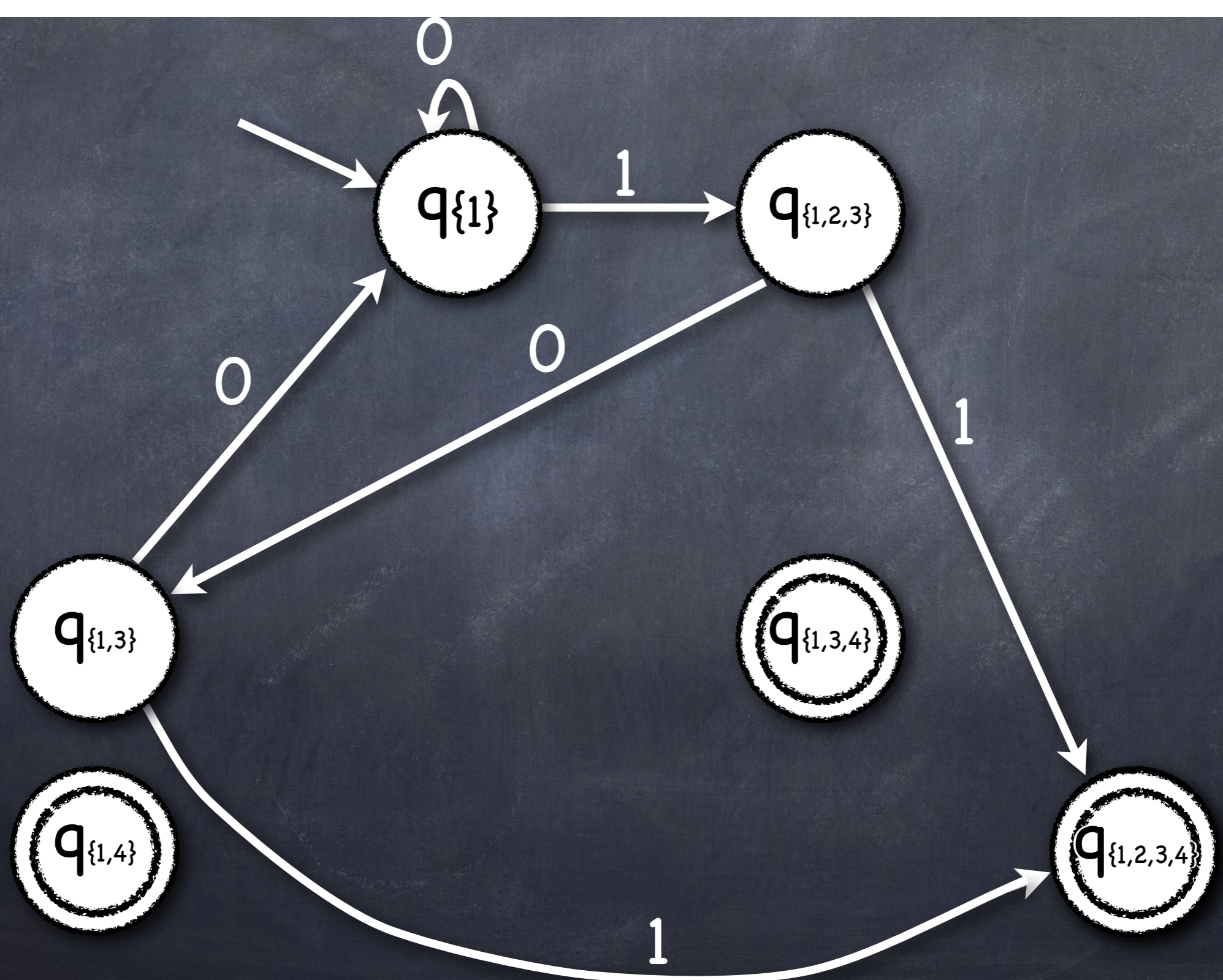
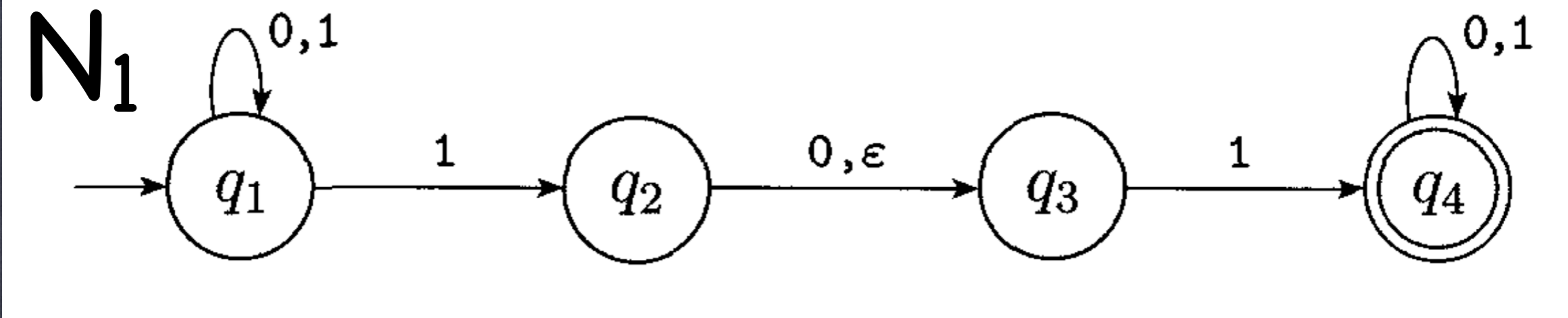




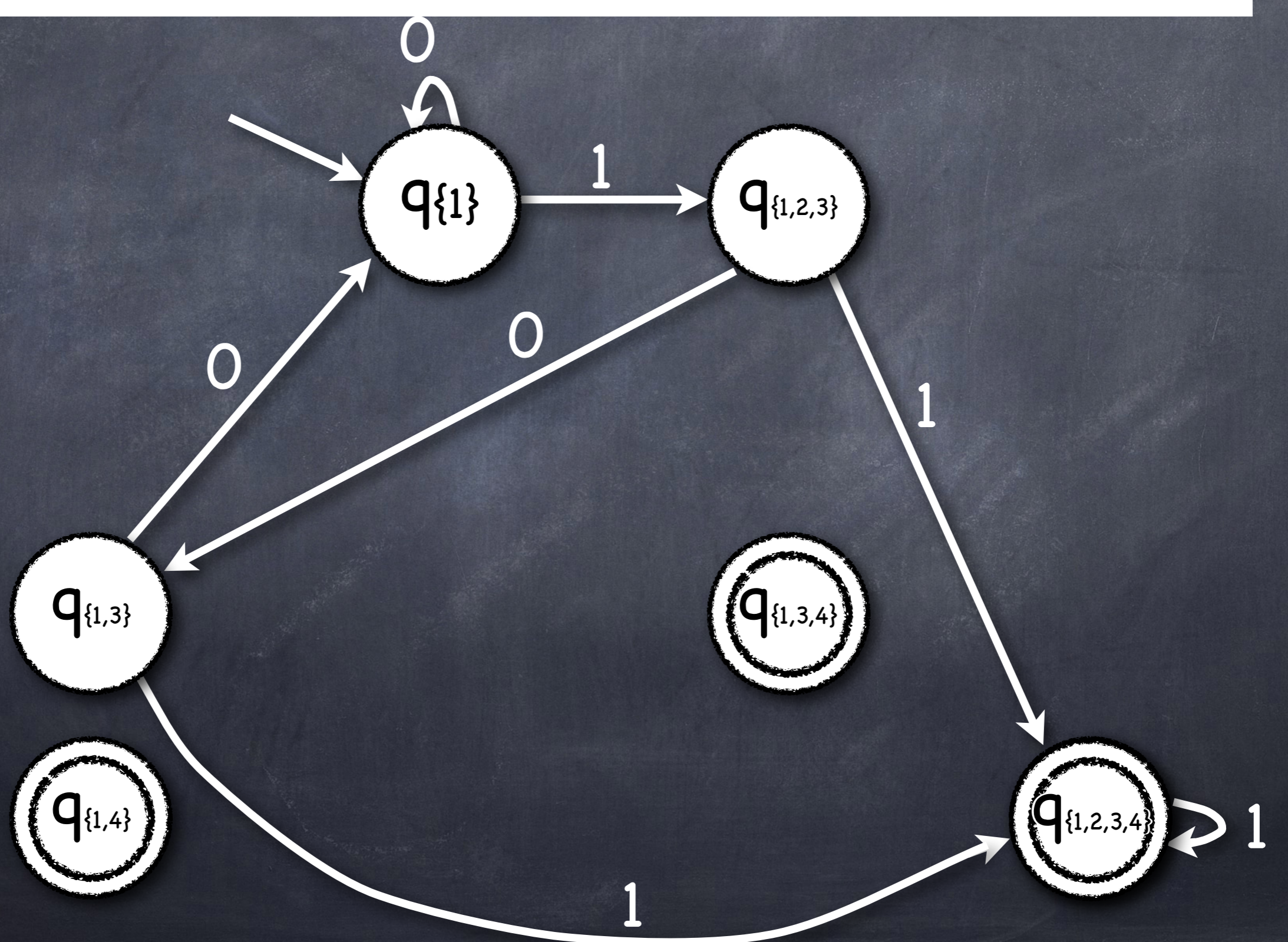
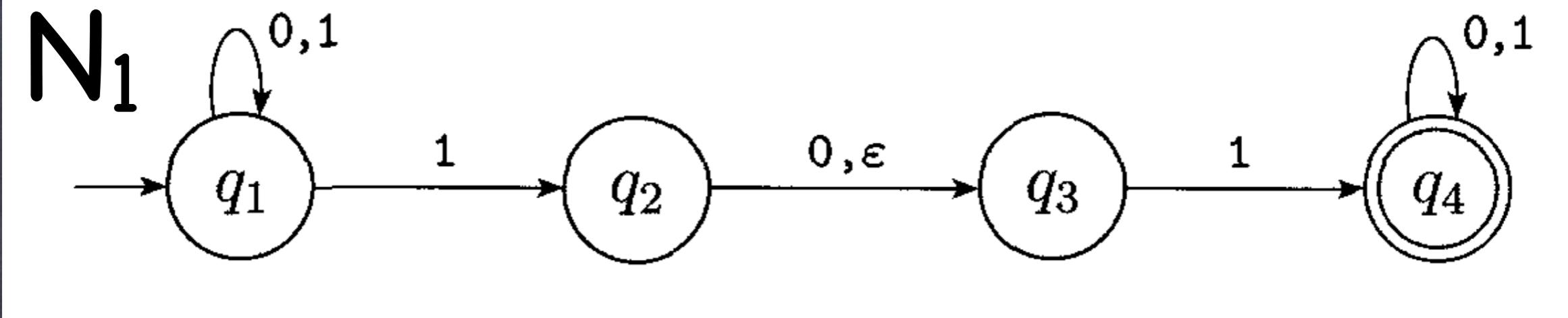


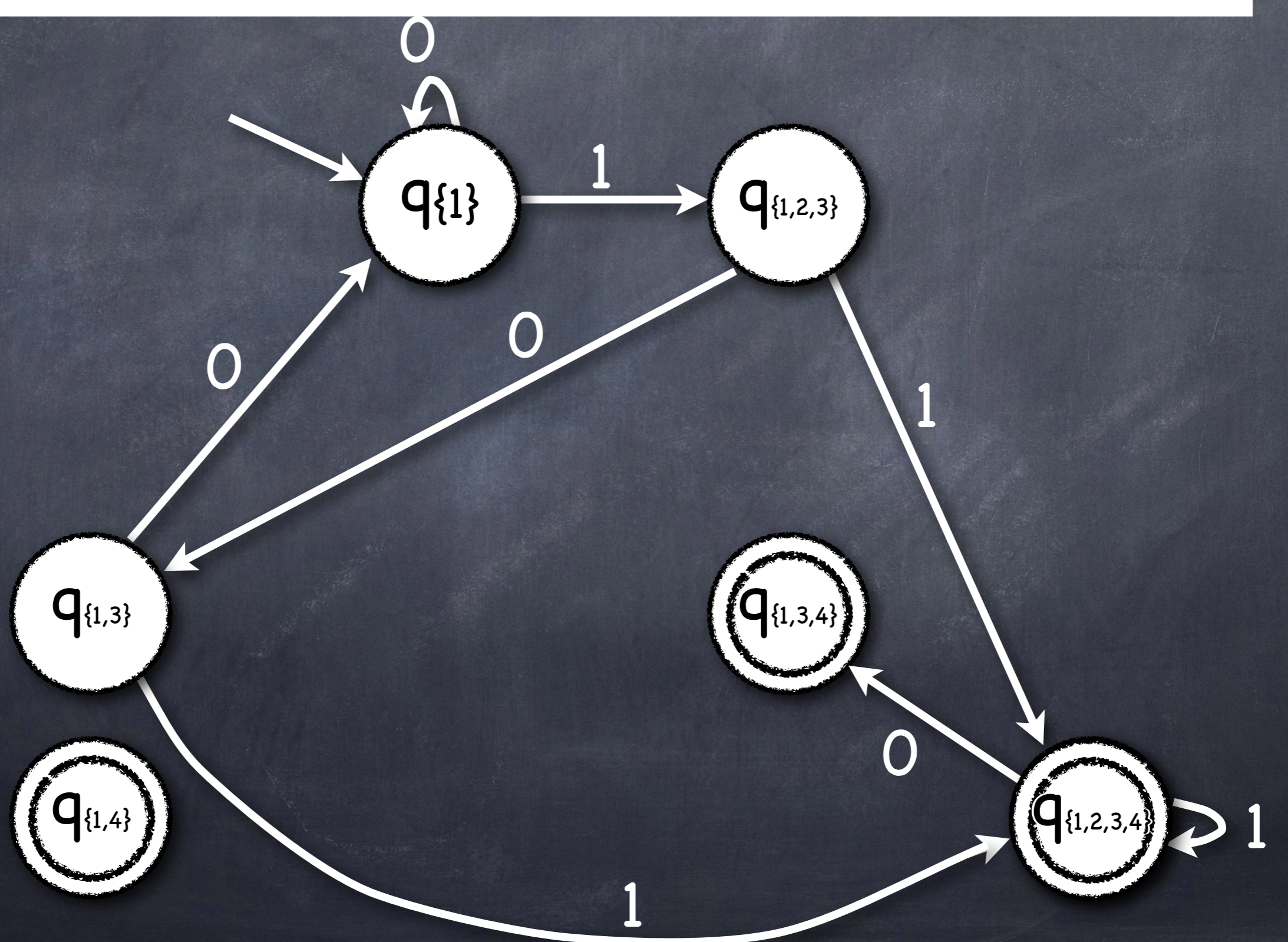
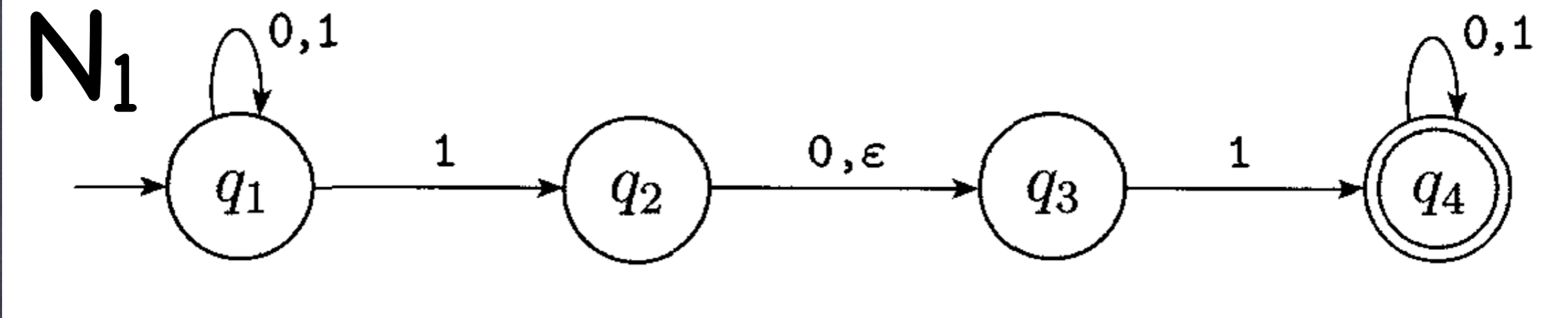


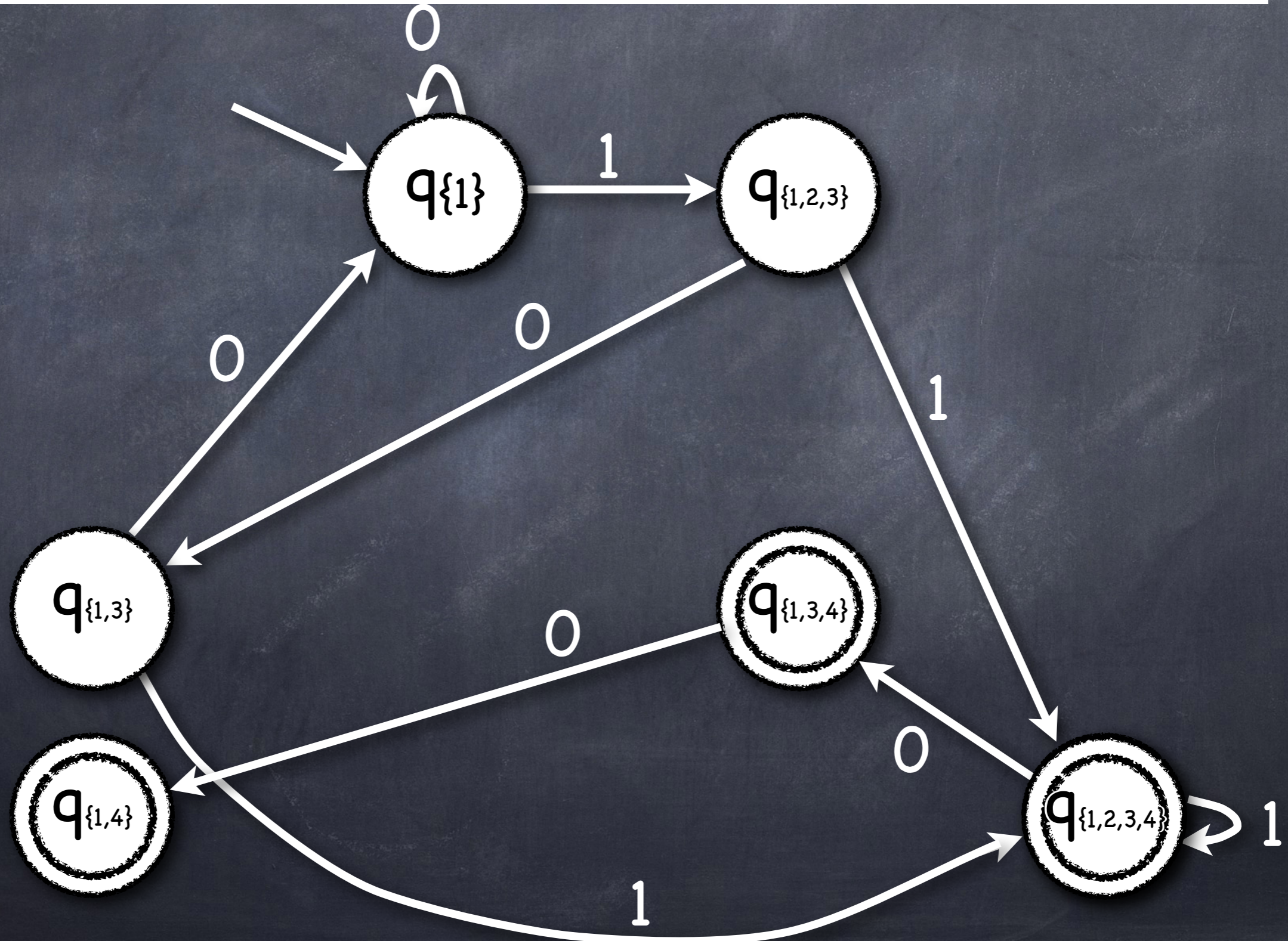
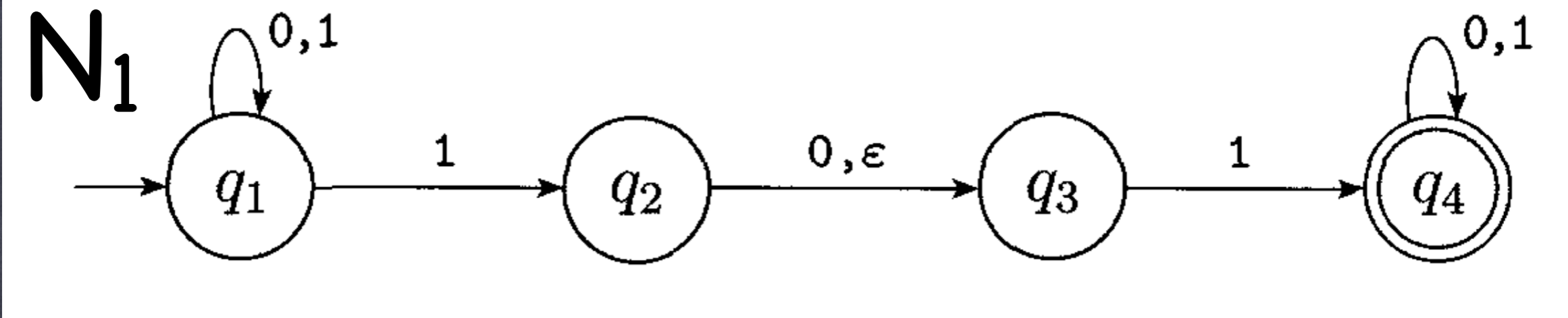


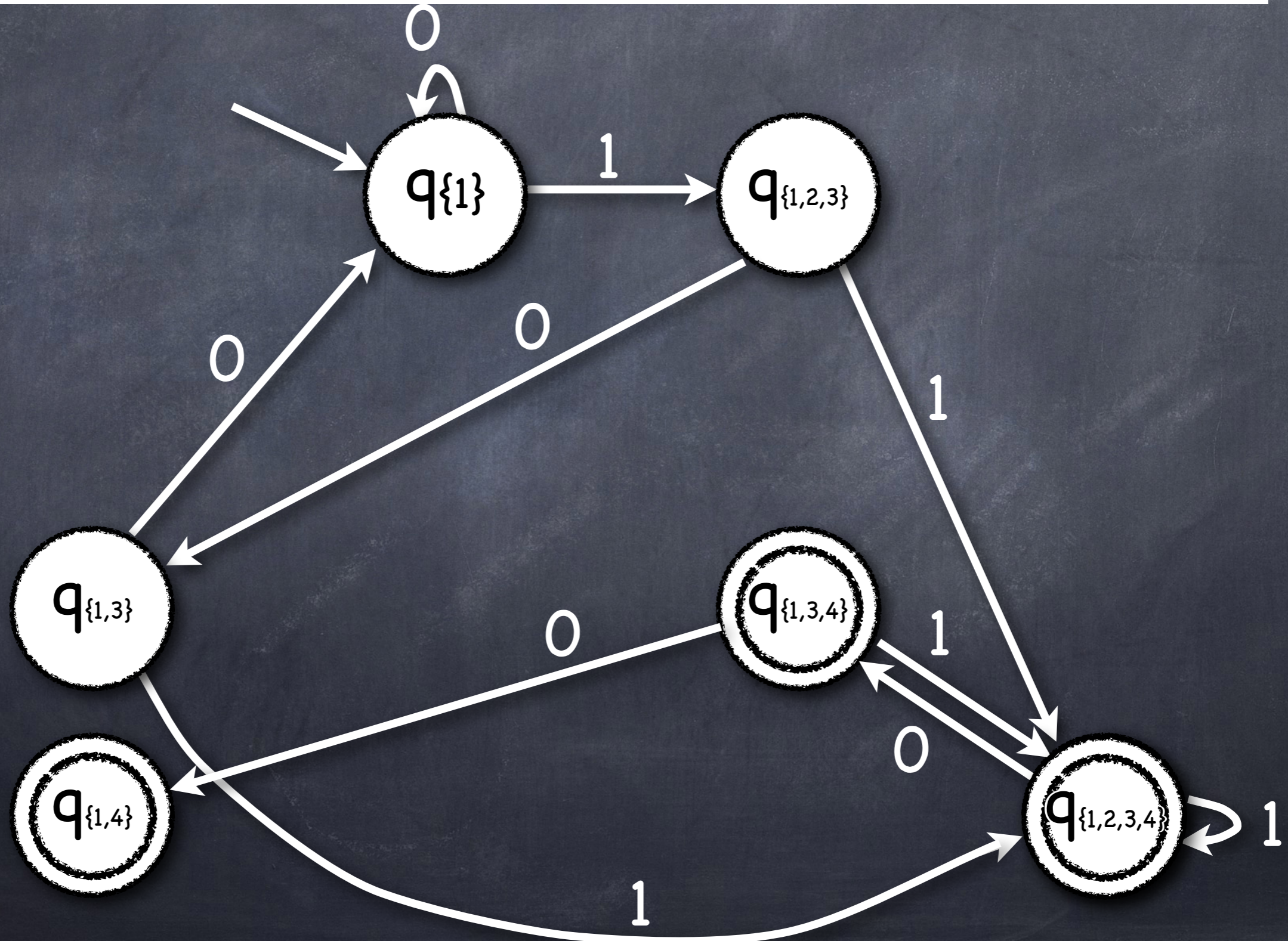
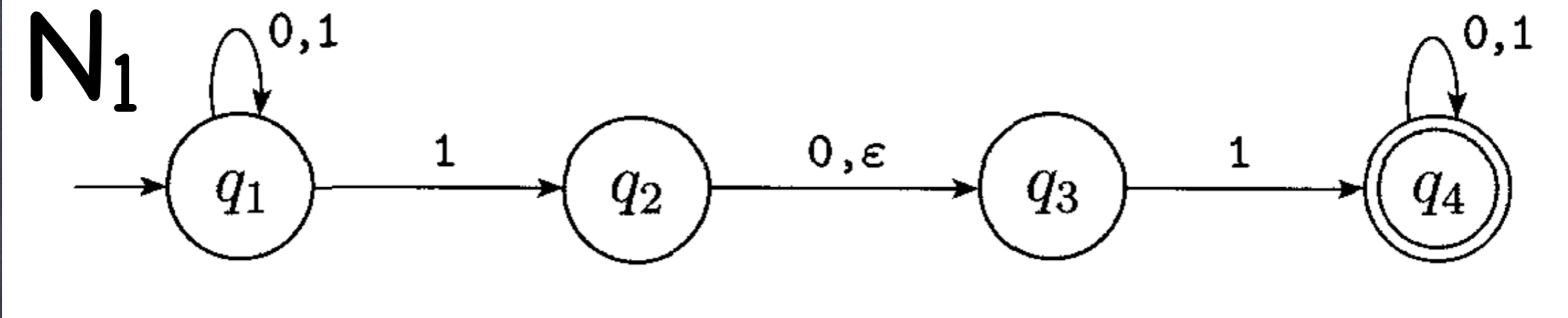


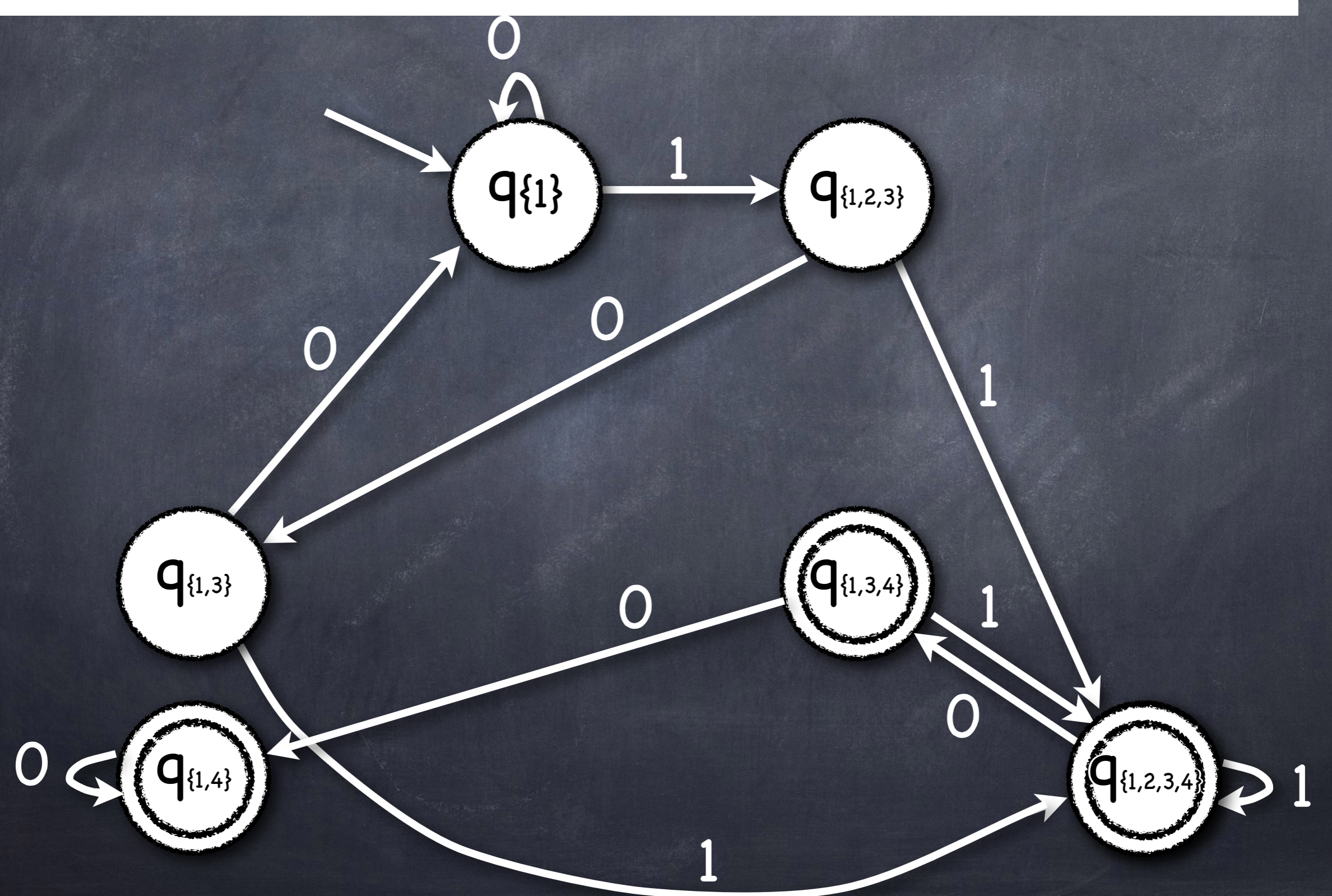
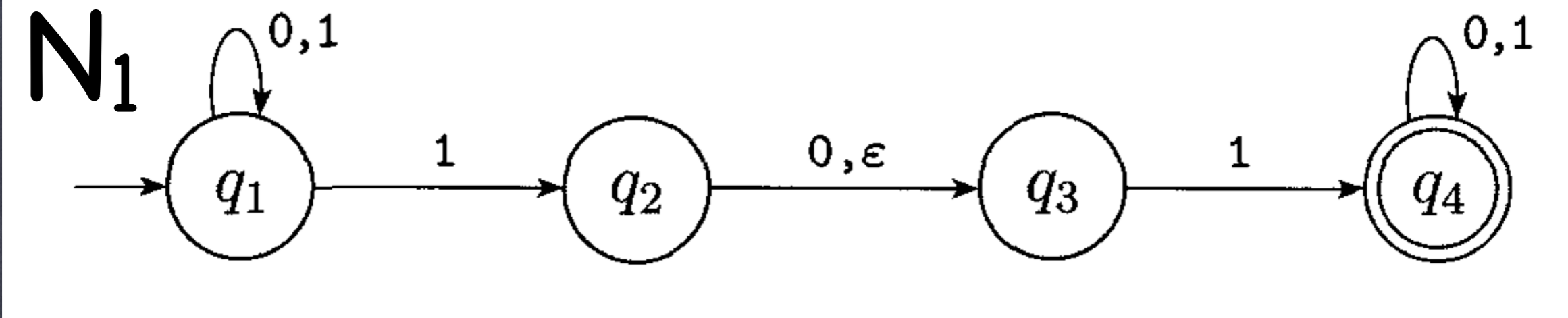


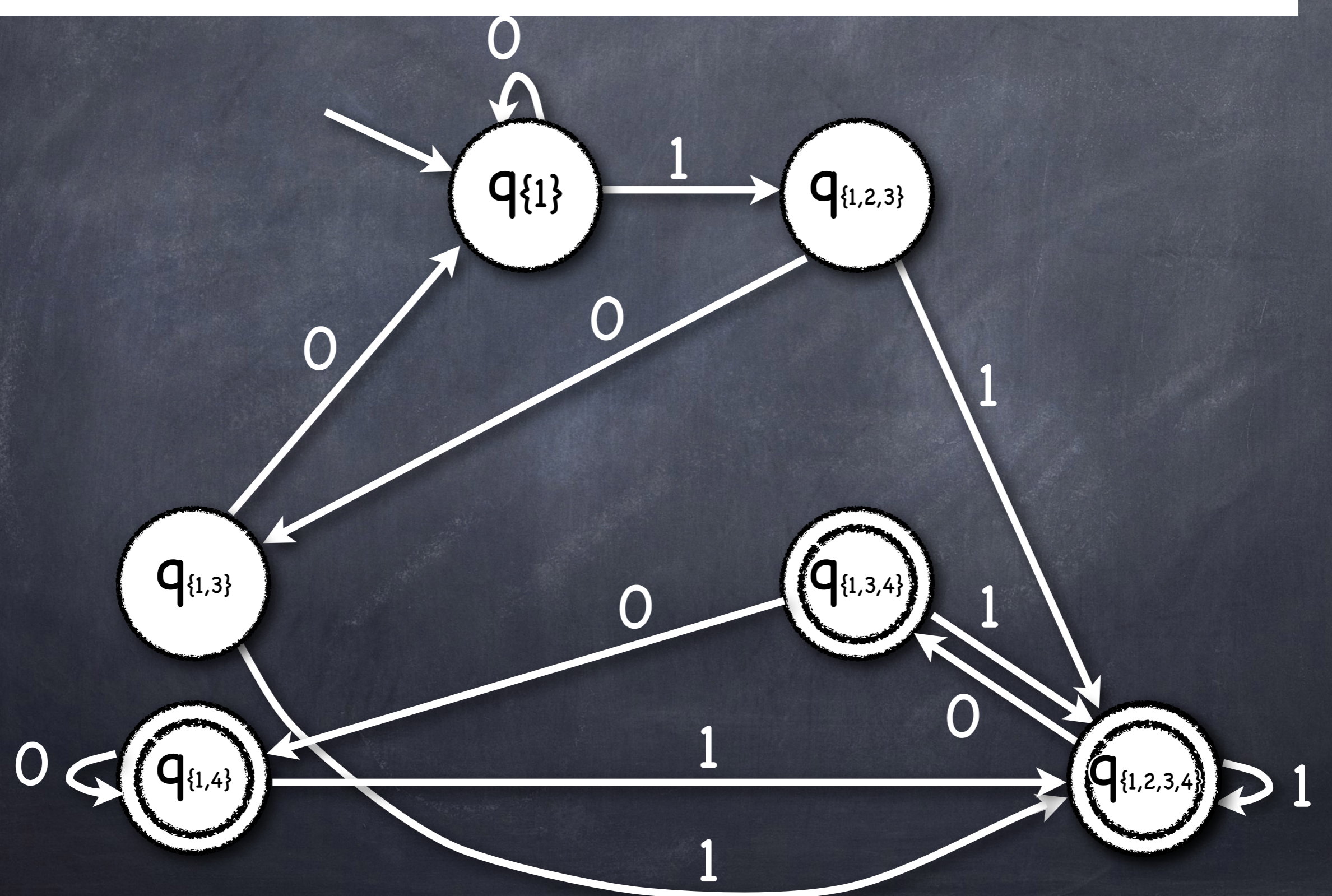
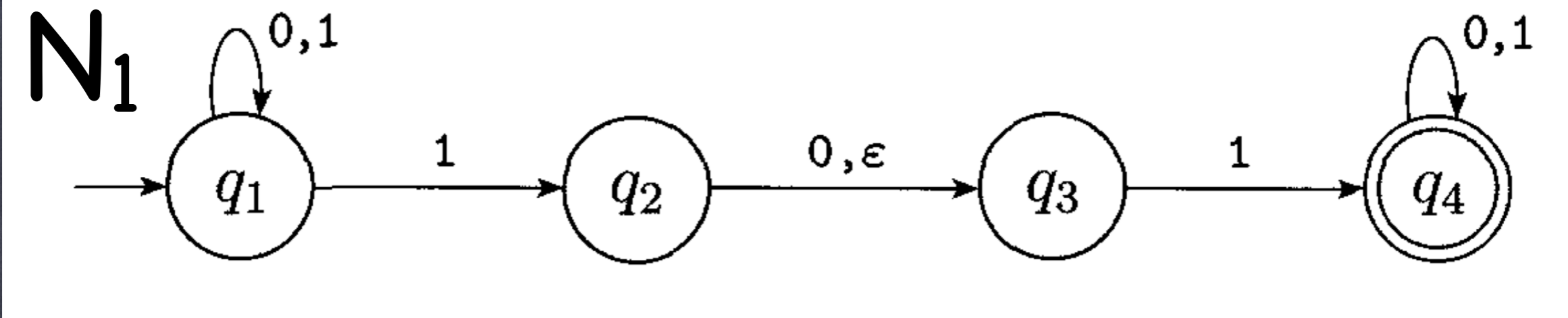


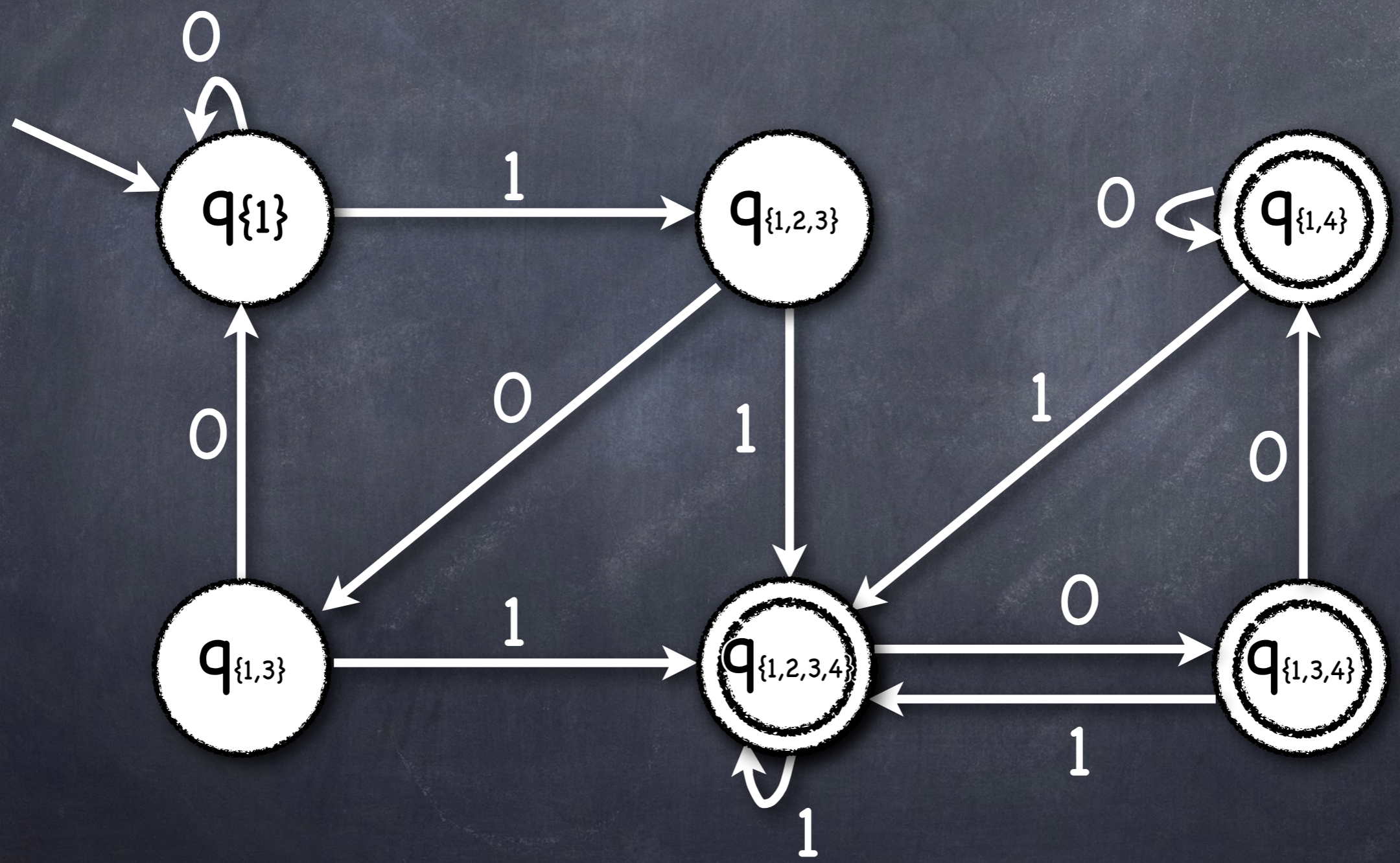
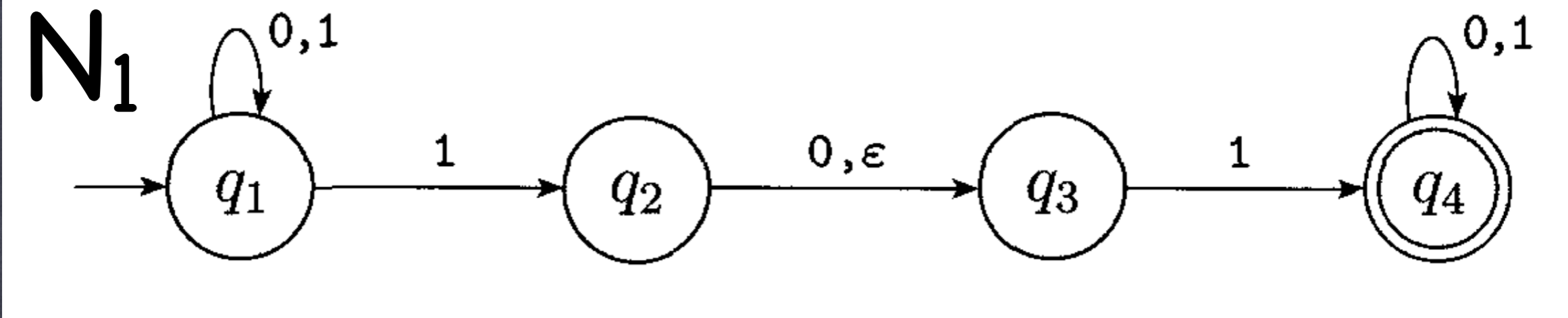


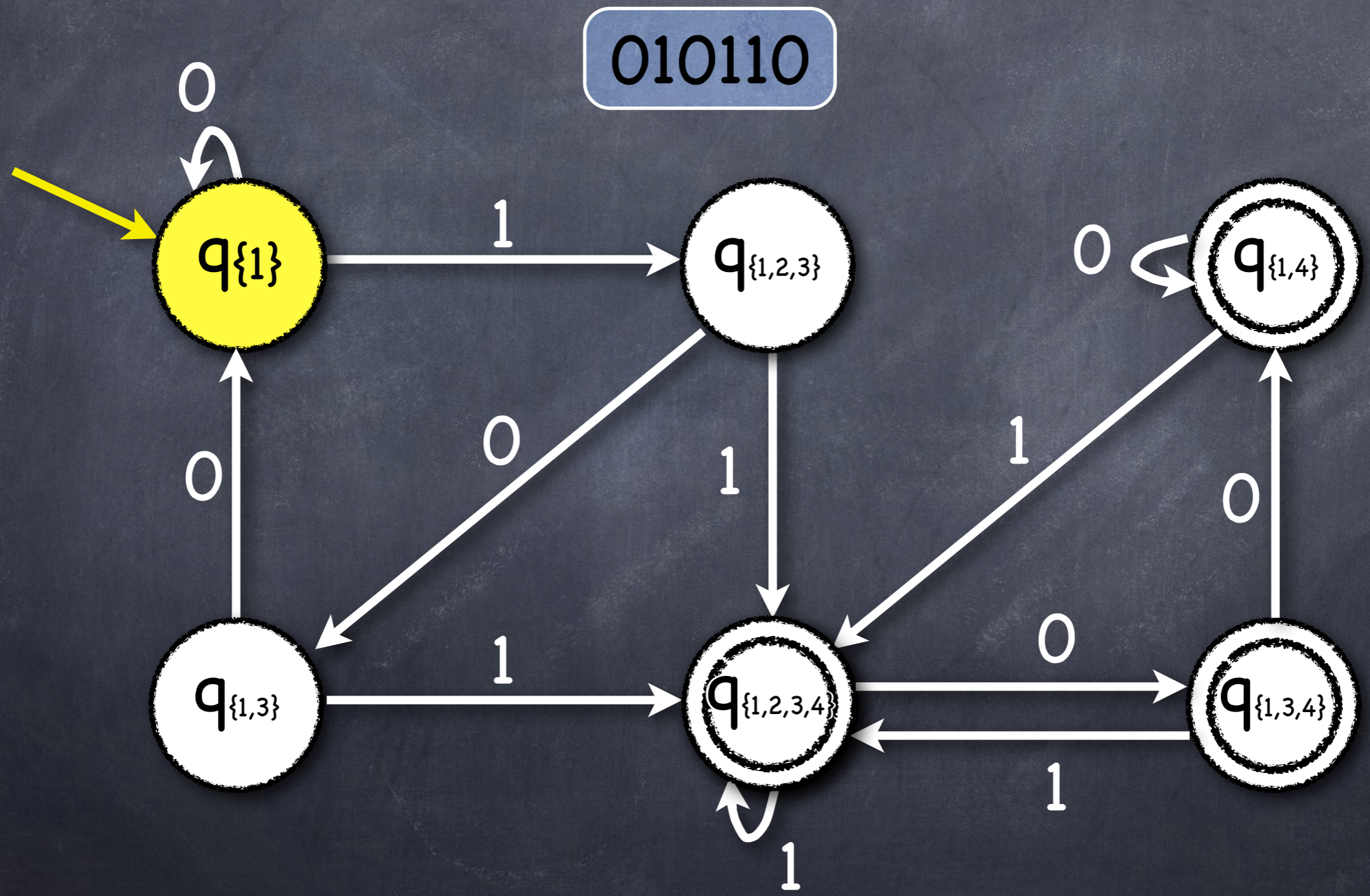
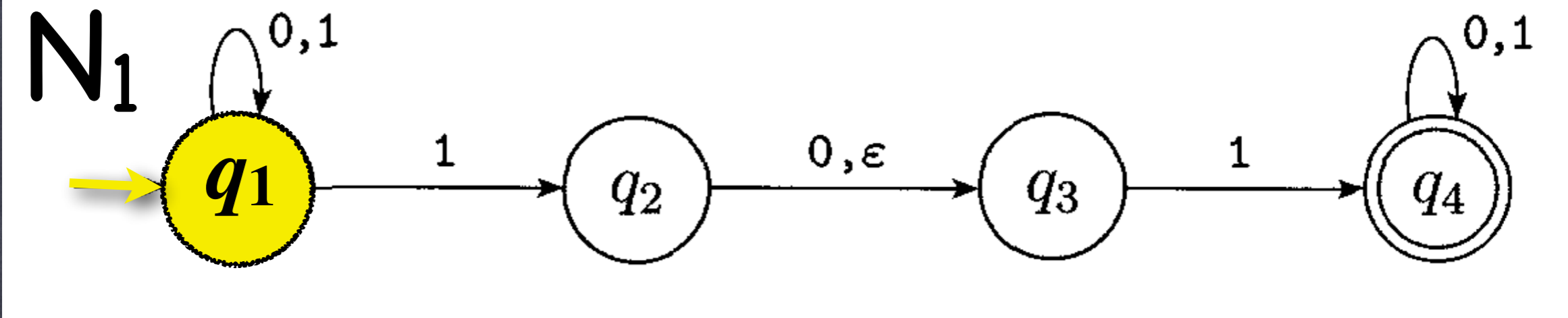




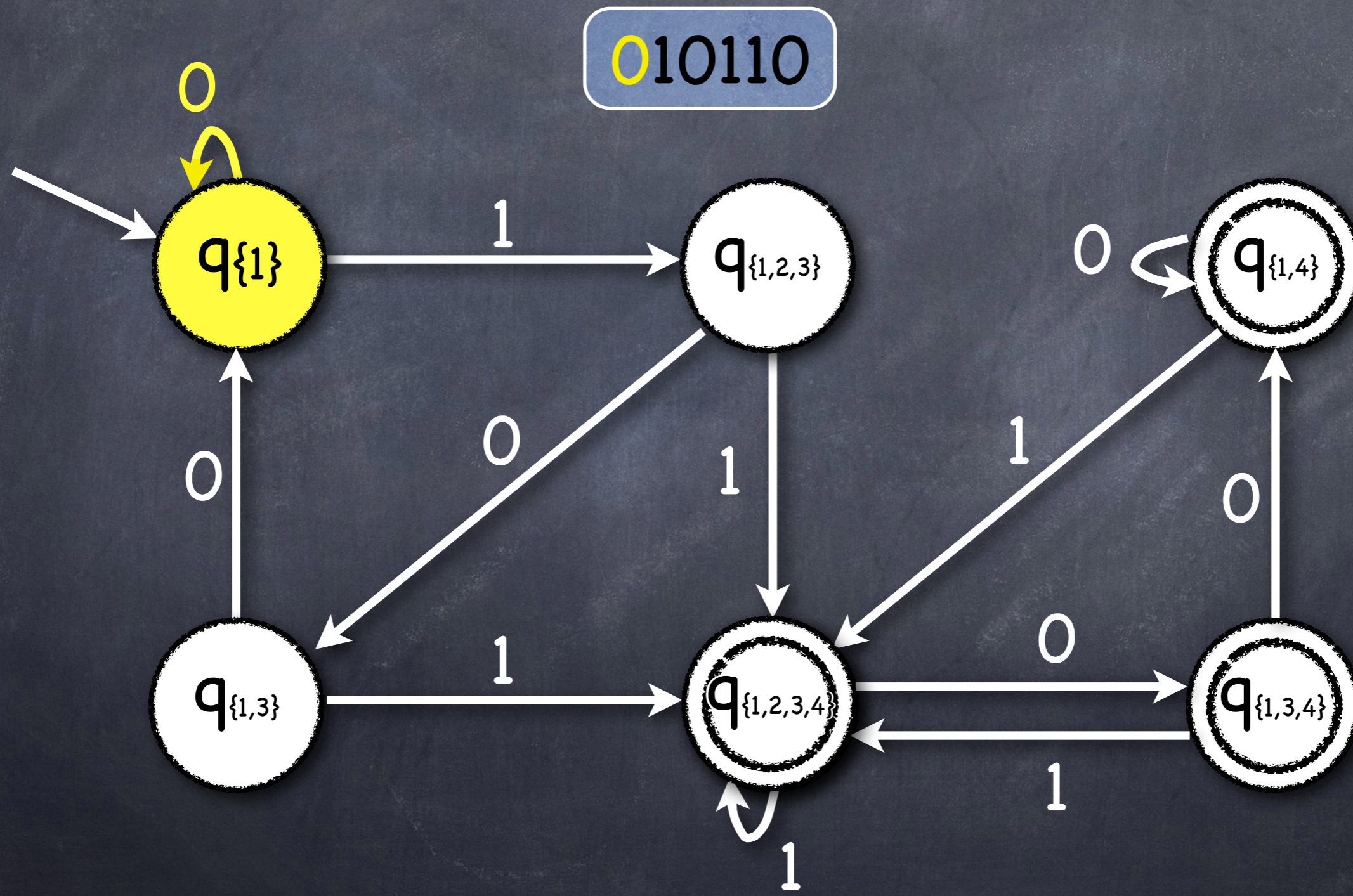
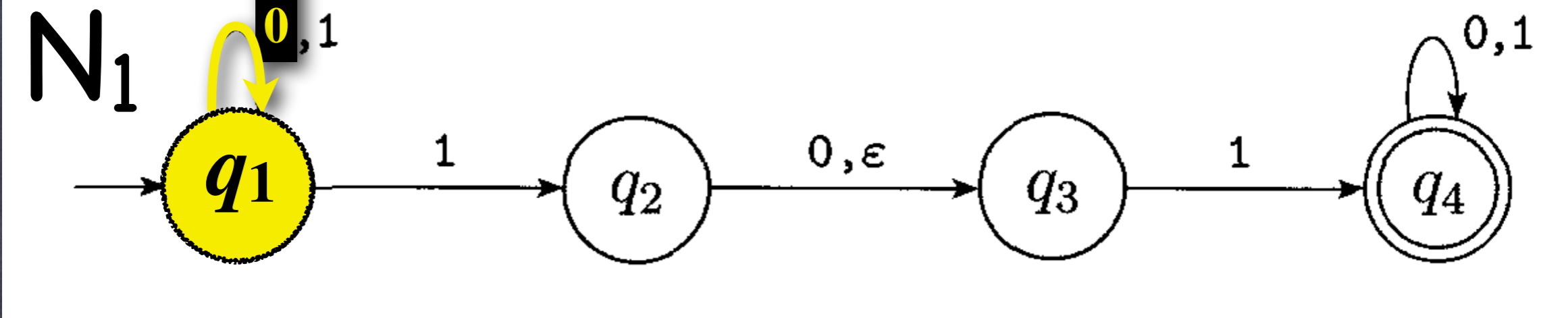


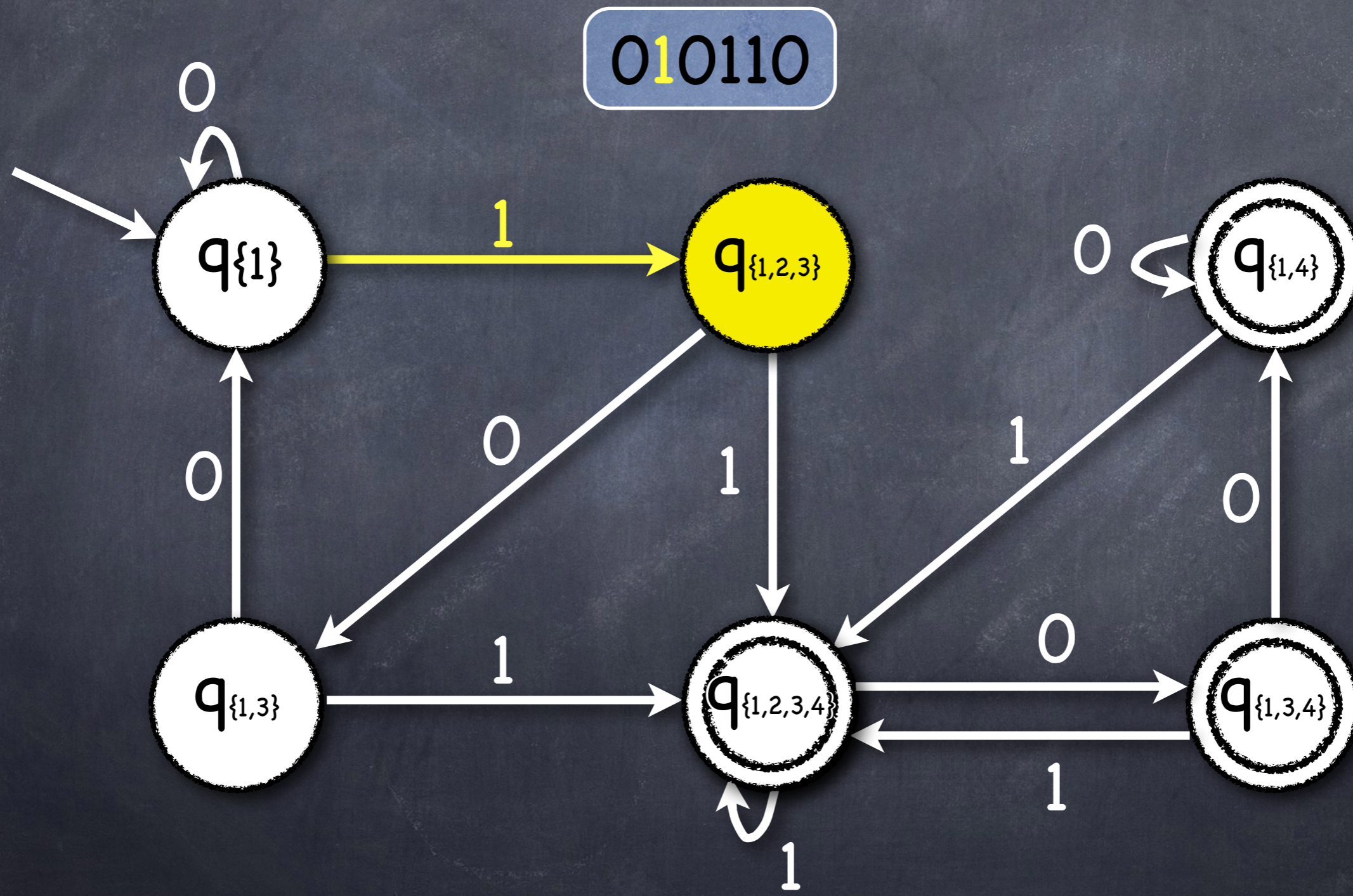
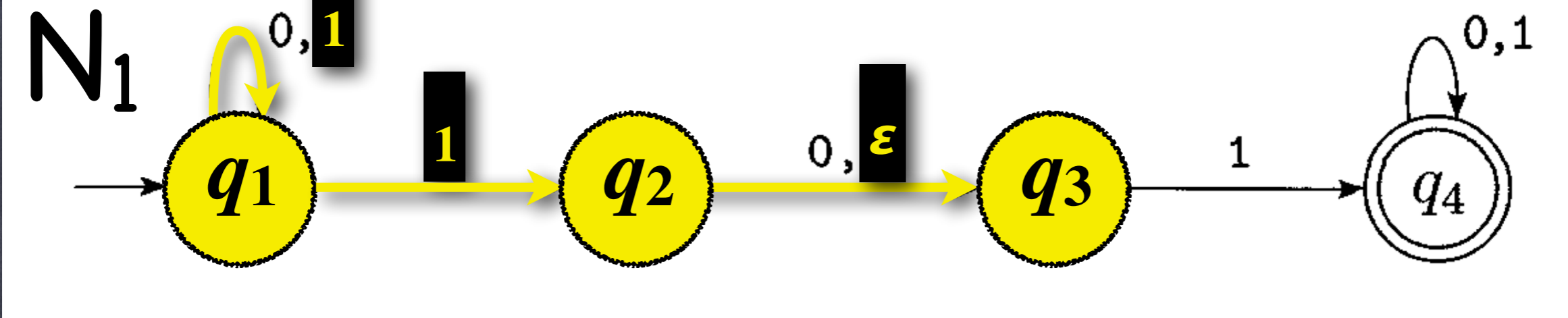


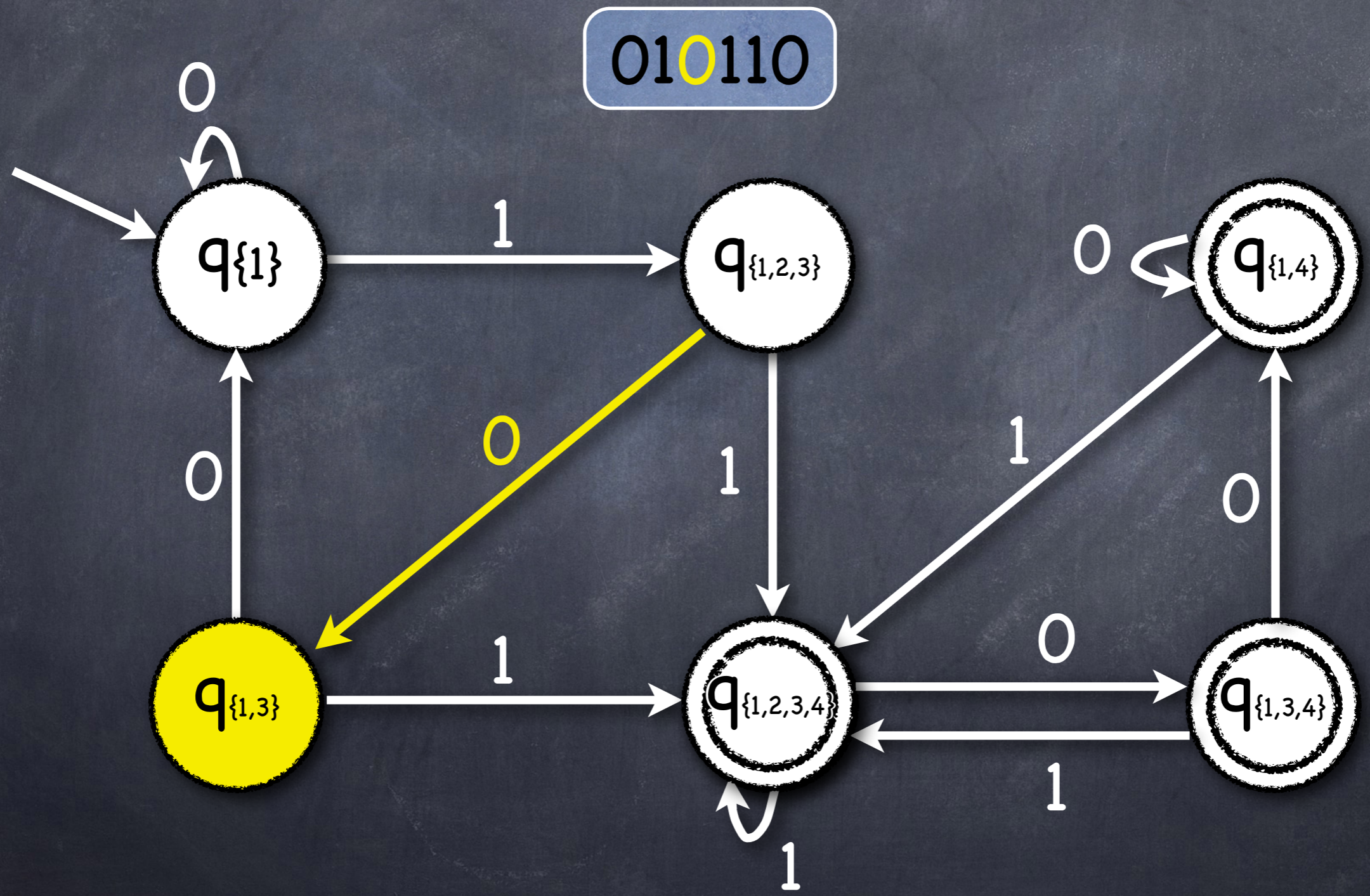
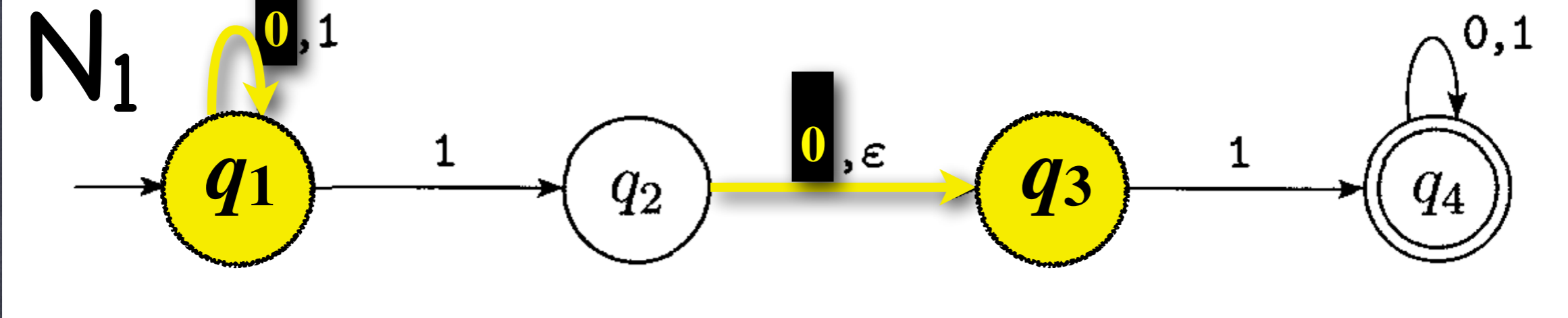


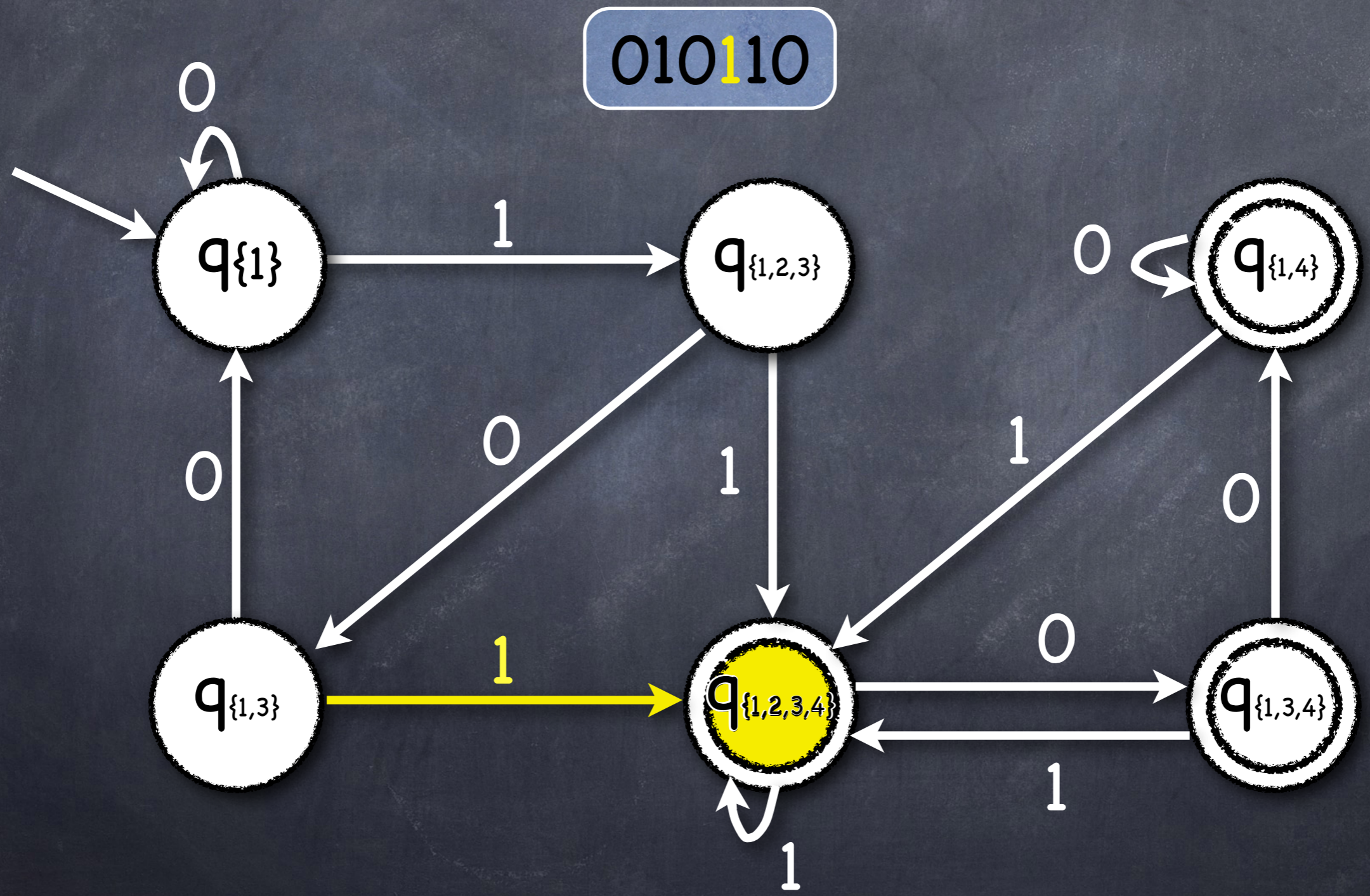
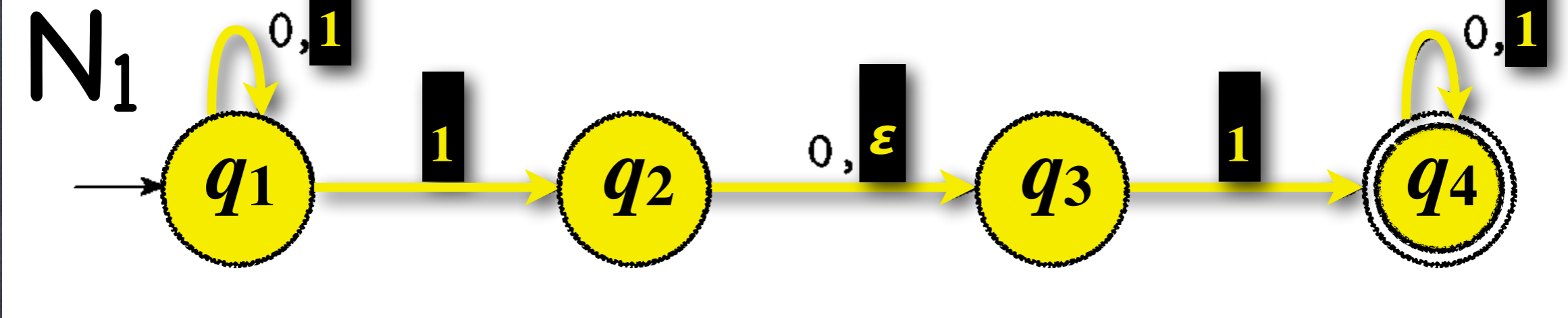


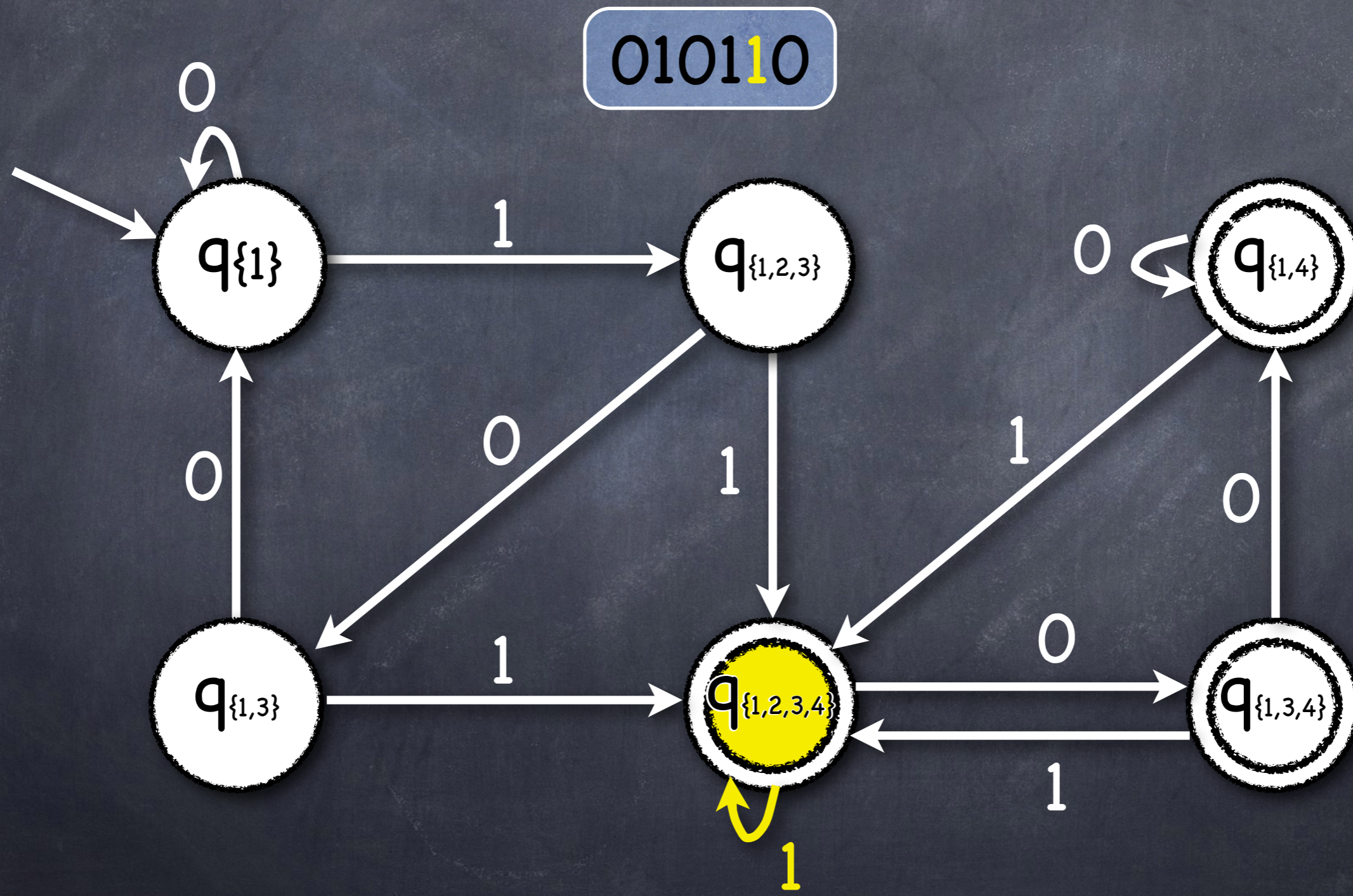
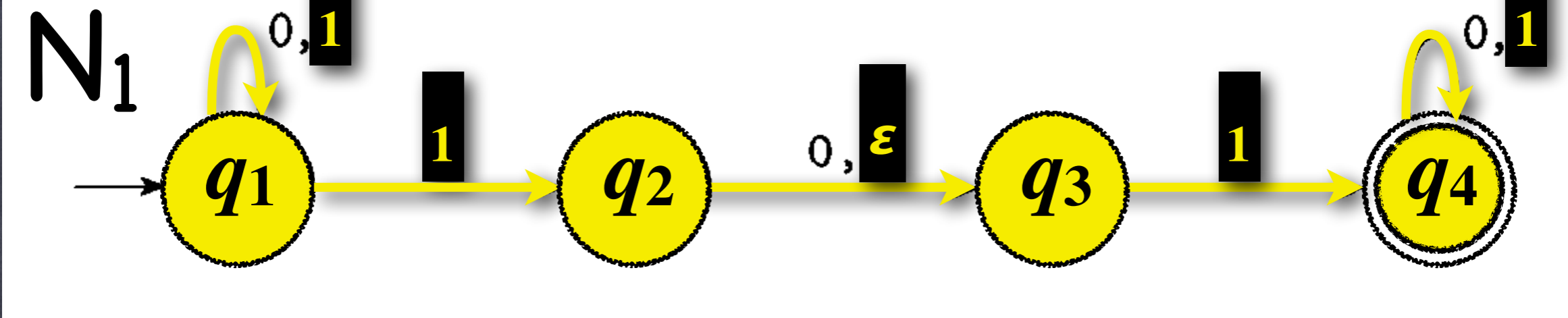


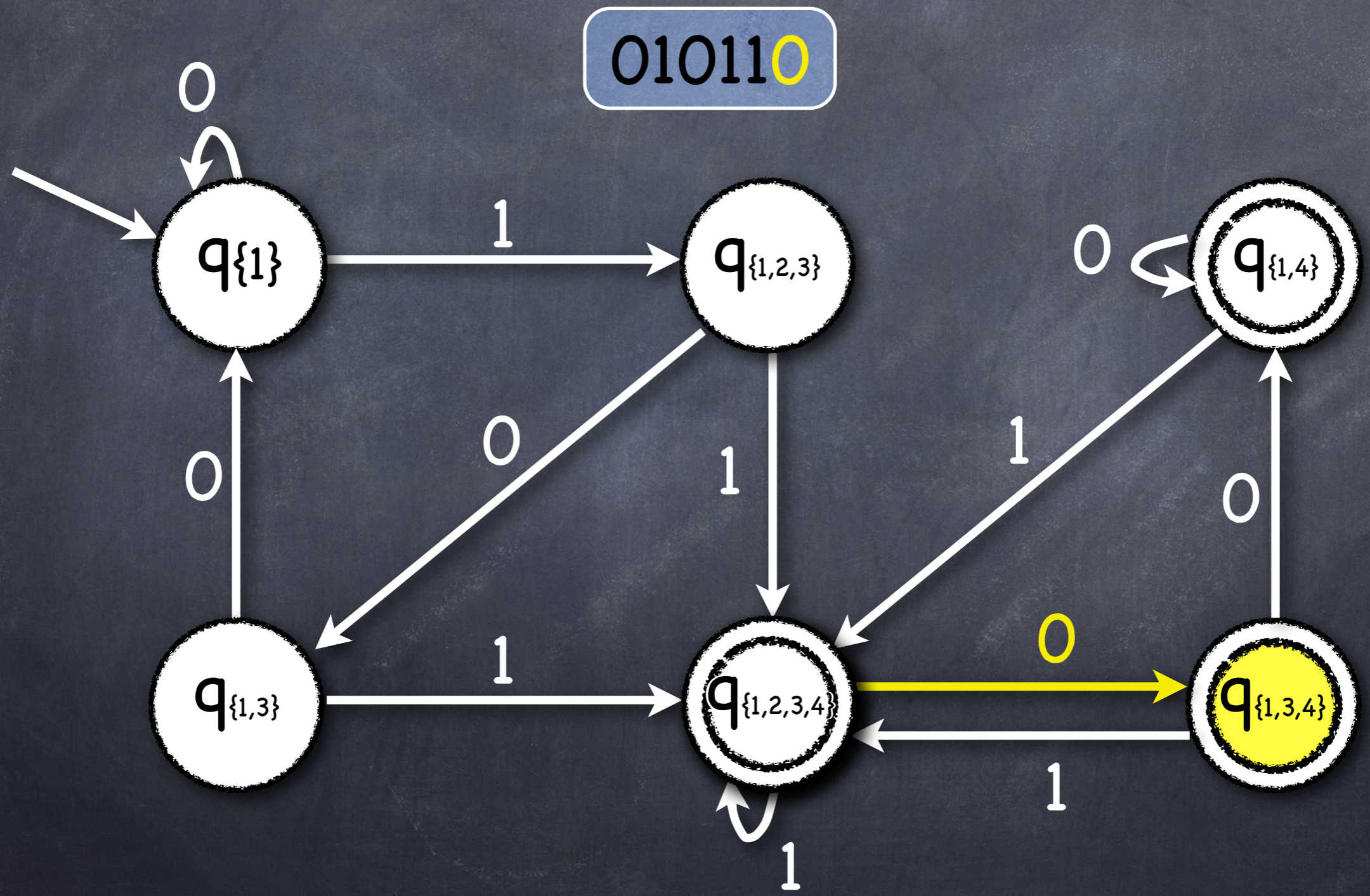
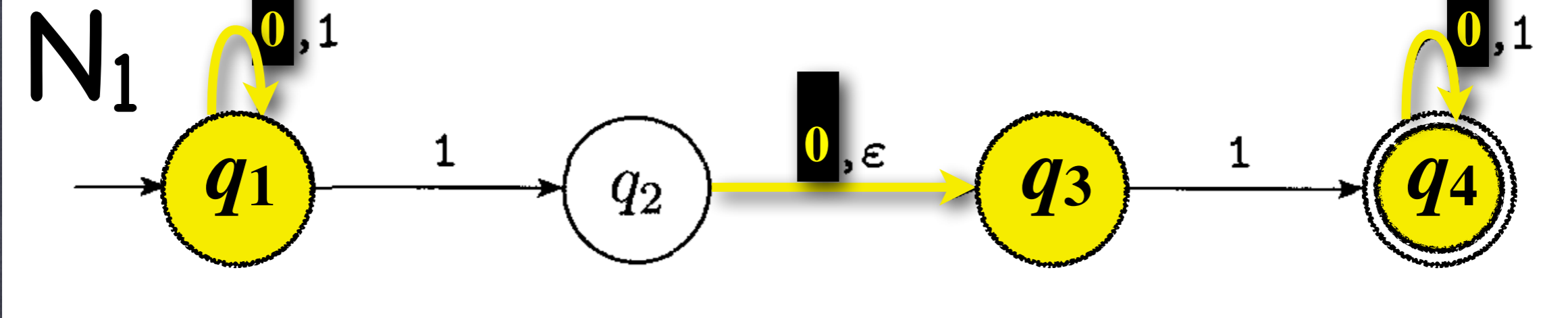












# Regular Operations : Kleene's theorem (NFA)

# Regular Operations : Kleene's theorem

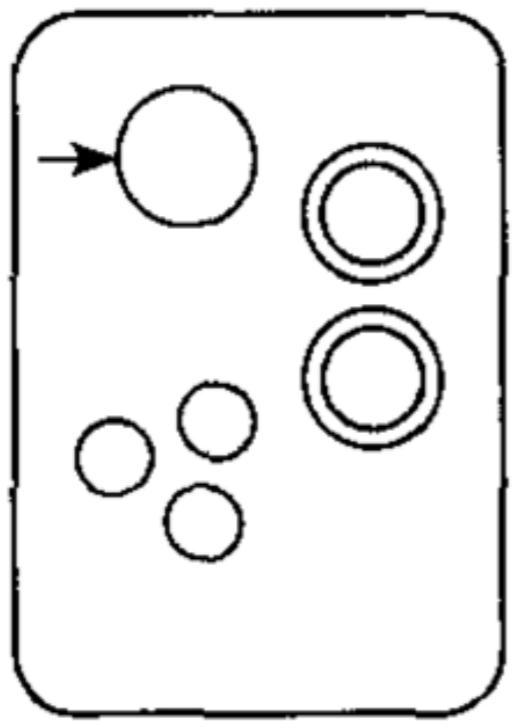


# Regular Operations : Kleene's theorem

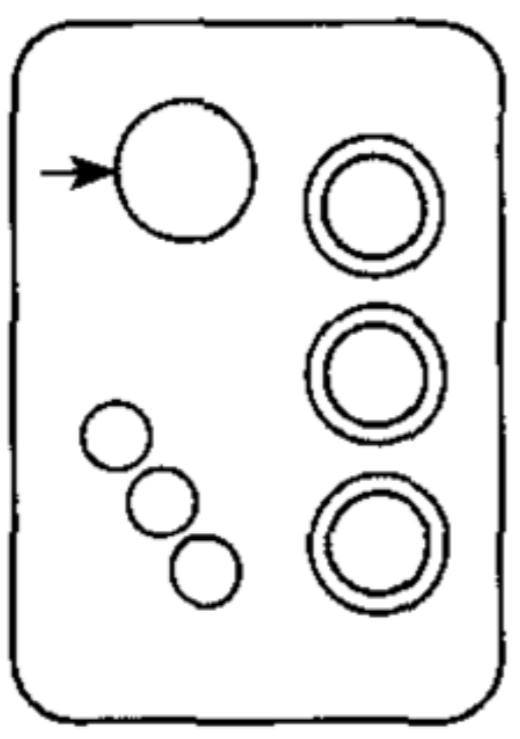
**THEOREM 1.45** .....

The class of regular languages is closed under the union operation.

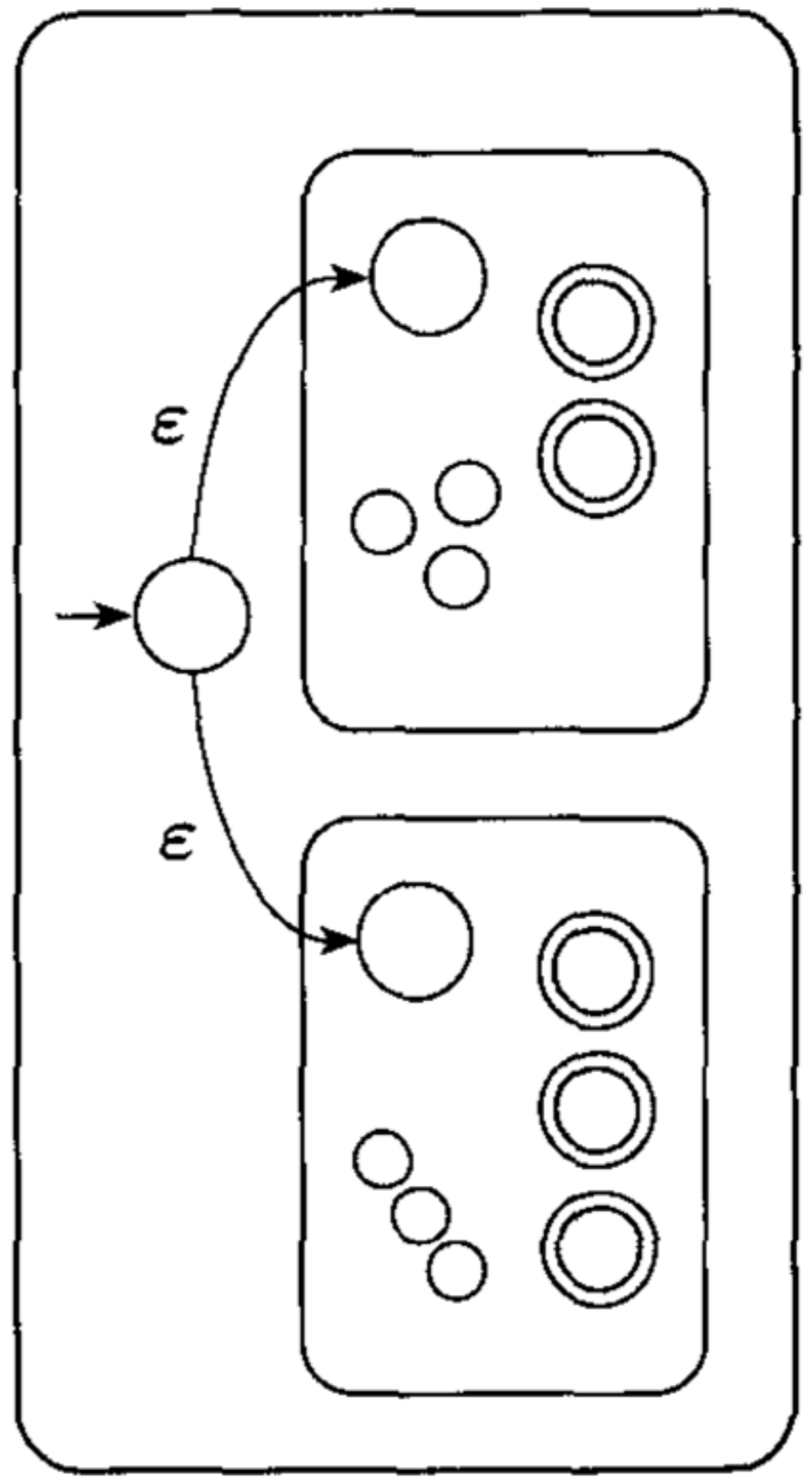
$N_1$

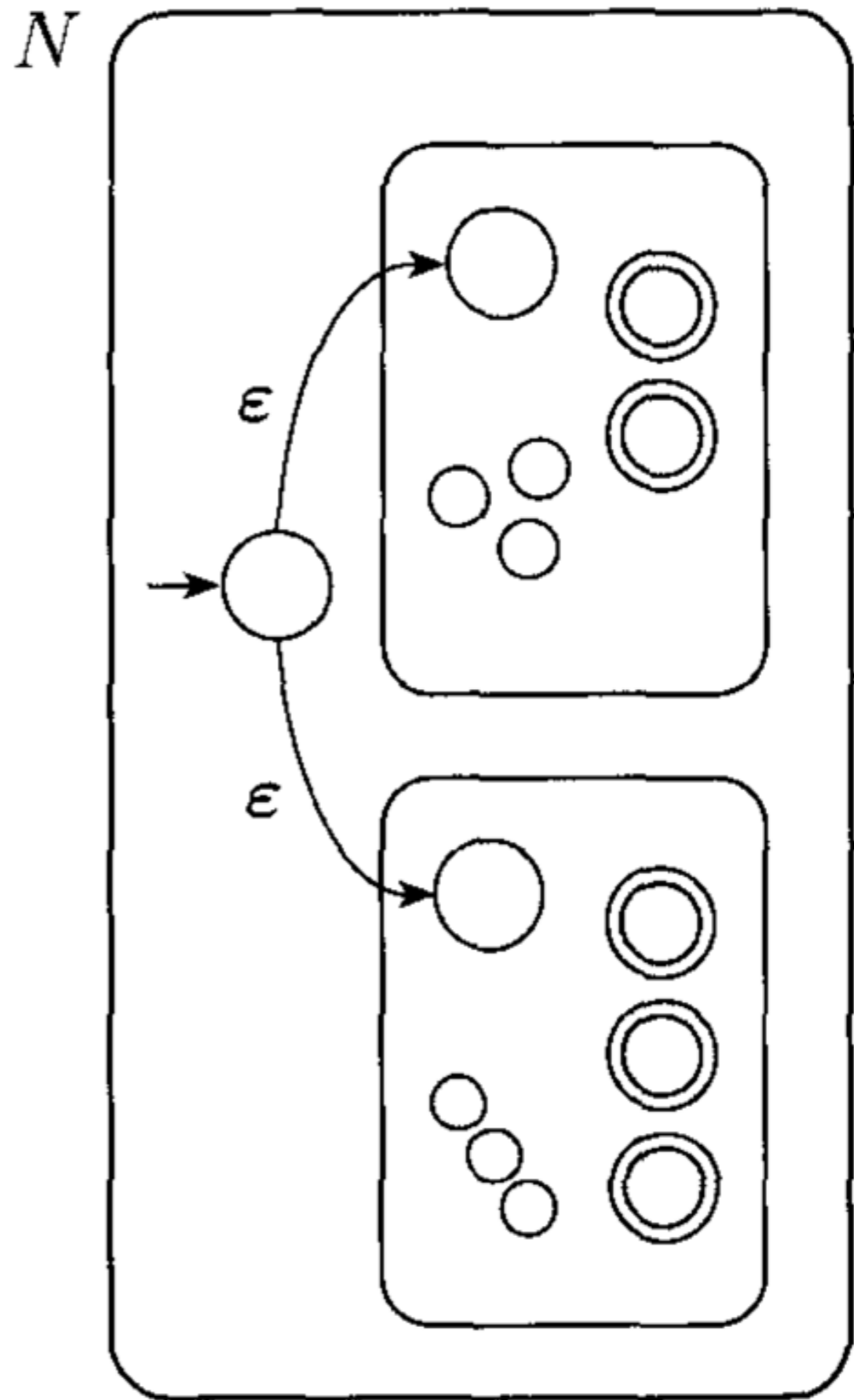
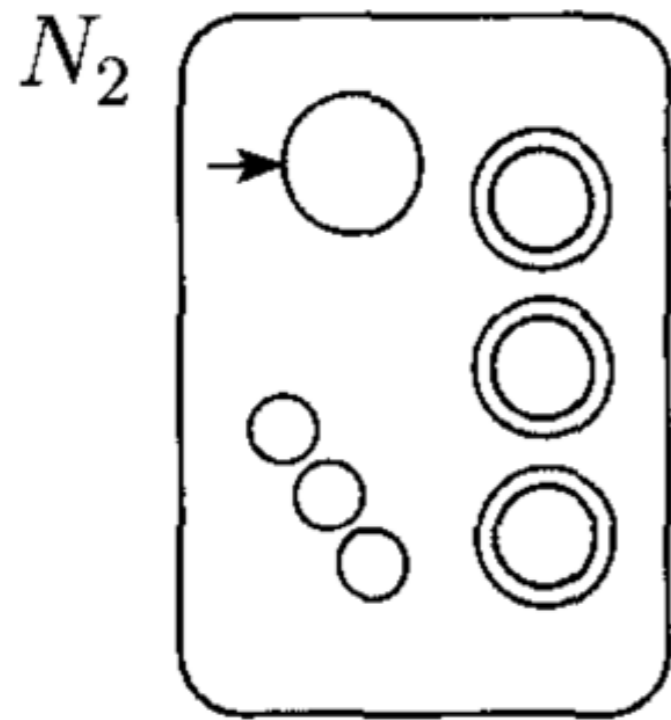
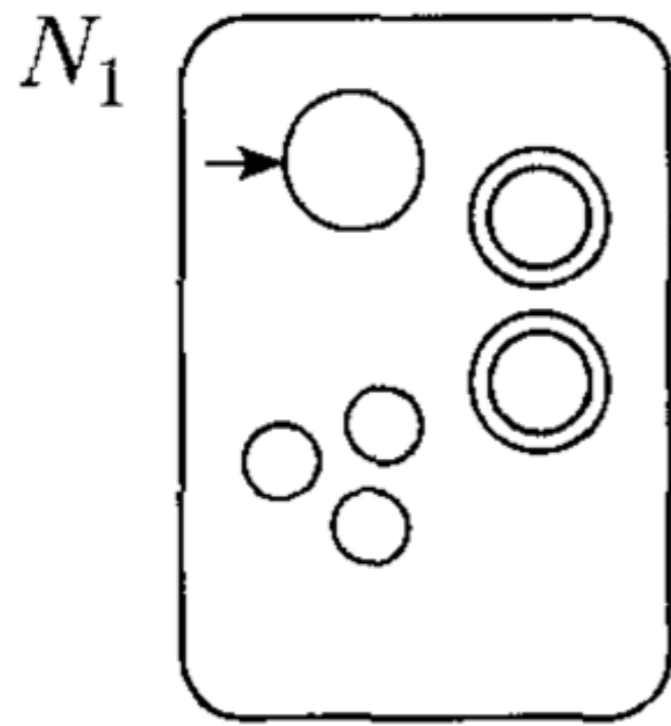


$N_2$



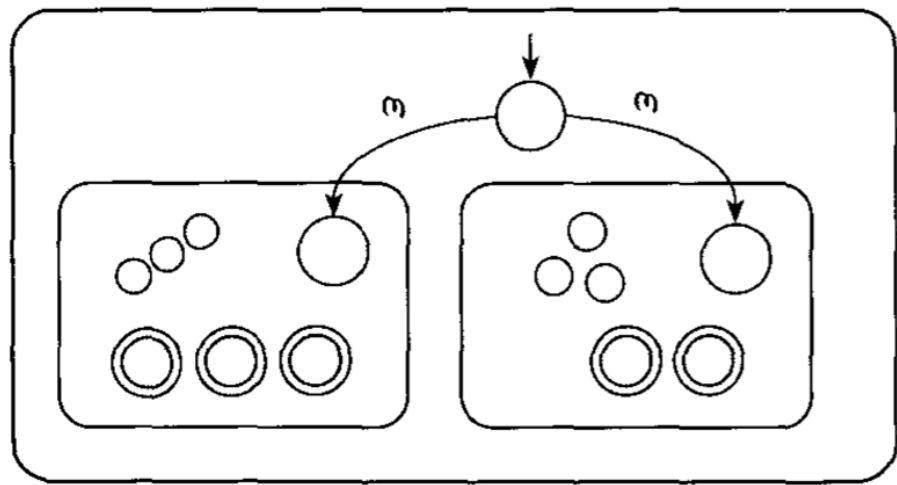
$N$



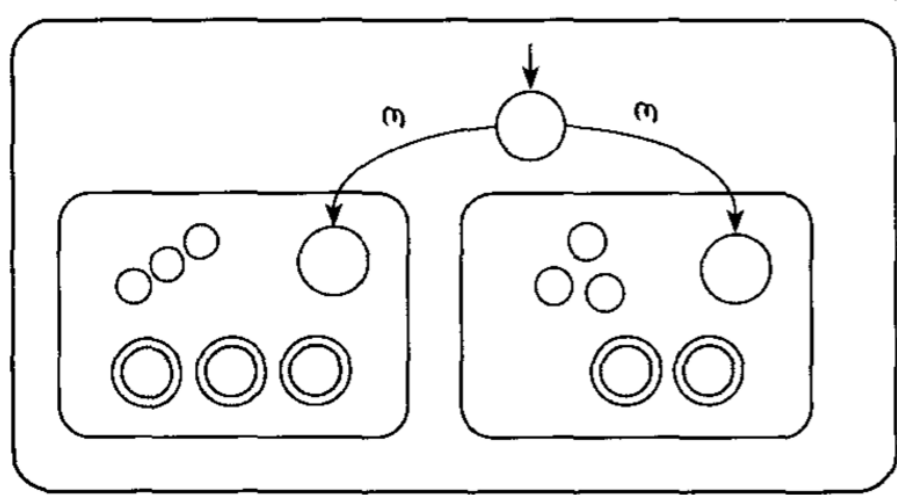


**THEOREM 1.45** .....

The class of regular languages is closed under the union operation.

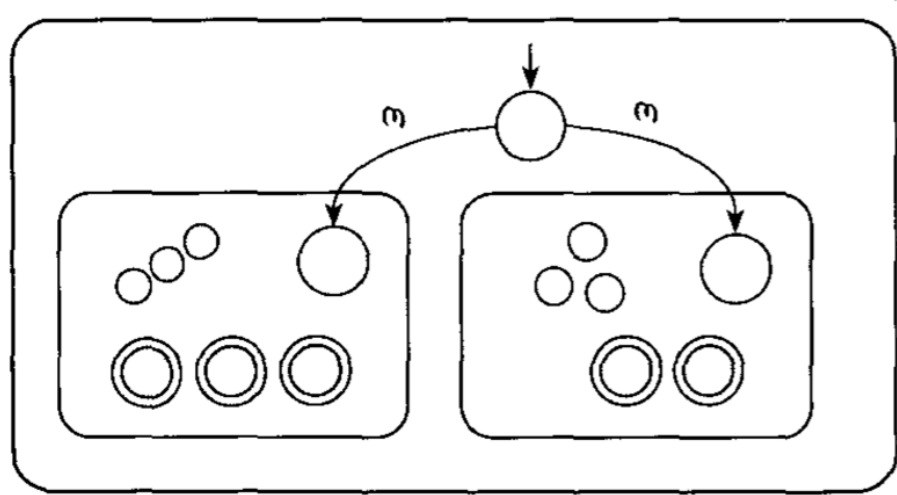


Kleene's  
theorem



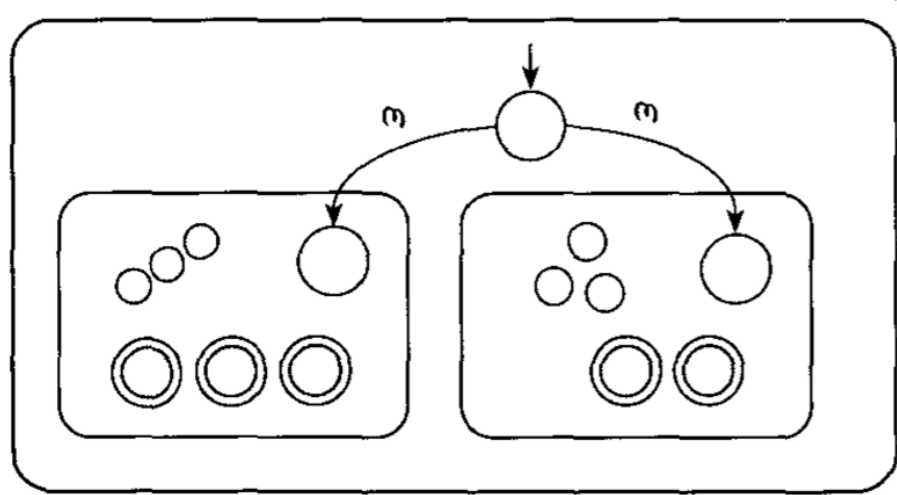
# Kleene's theorem

- Let  $N_A = (Q_A, \Sigma, \delta_A, q_{0A}, F_A)$  be a NFA accepting  $L_A$  and  $N_B = (Q_B, \Sigma, \delta_B, q_{0B}, F_B)$  be a NFA accepting  $L_B$  ( $Q_A \cap Q_B = \emptyset$ ).



# Kleene's theorem

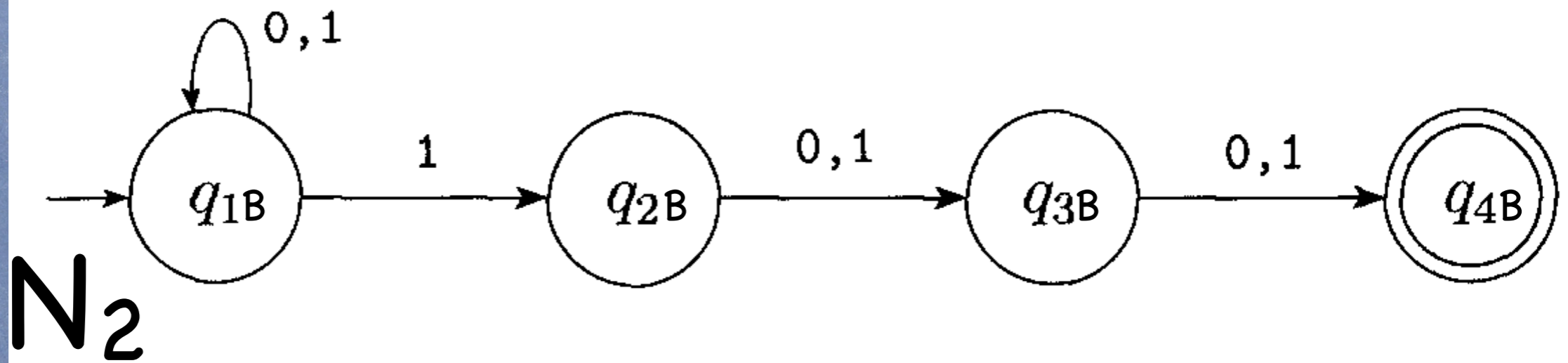
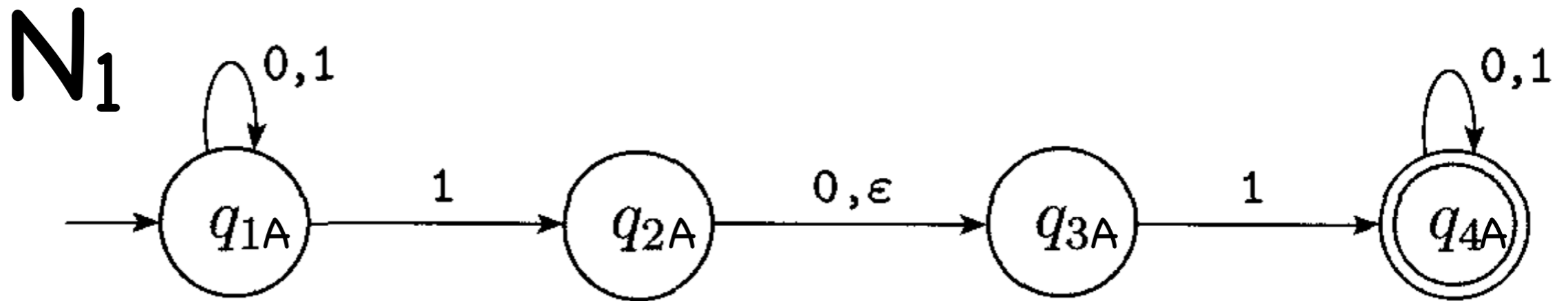
- Let  $N_A = (Q_A, \Sigma, \delta_A, q_{0A}, F_A)$  be a NFA accepting  $L_A$  and  $N_B = (Q_B, \Sigma, \delta_B, q_{0B}, F_B)$  be a NFA accepting  $L_B$  ( $Q_A \cap Q_B = \emptyset$ ).
- Consider  $N_U = (\{q_0\} \cup Q_A \cup Q_B, \Sigma, \delta_U, q_0, F_U)$  where
  - $\delta_U(q_0, \epsilon) = \{q_{0A}, q_{0B}\}$ ,  $\delta_U(q_0, a) = \emptyset$  for all  $a \neq \epsilon$ ,
  - $\delta_U(q, a) = \delta_X(q, a)$  for all  $q \in Q_X$ ,  $X \in \{A, B\}$ , and all  $a$ ,
  - $F_U = F_A \cup F_B$ .



# Kleene's theorem

- Let  $N_A = (Q_A, \Sigma, \delta_A, q_{0A}, F_A)$  be a NFA accepting  $L_A$  and  $N_B = (Q_B, \Sigma, \delta_B, q_{0B}, F_B)$  be a NFA accepting  $L_B$  ( $Q_A \cap Q_B = \emptyset$ ).
- Consider  $N_U = (\{q_0\} \cup Q_A \cup Q_B, \Sigma, \delta_U, q_0, F_U)$  where
  - $\delta_U(q_0, \epsilon) = \{q_{0A}, q_{0B}\}$ ,  $\delta_U(q_0, a) = \emptyset$  for all  $a \neq \epsilon$ ,
  - $\delta_U(q, a) = \delta_X(q, a)$  for all  $q \in Q_X$ ,  $X \in \{A, B\}$ , and all  $a$ ,
  - $F_U = F_A \cup F_B$ .
- $L_U = L_A \cup L_B$ .

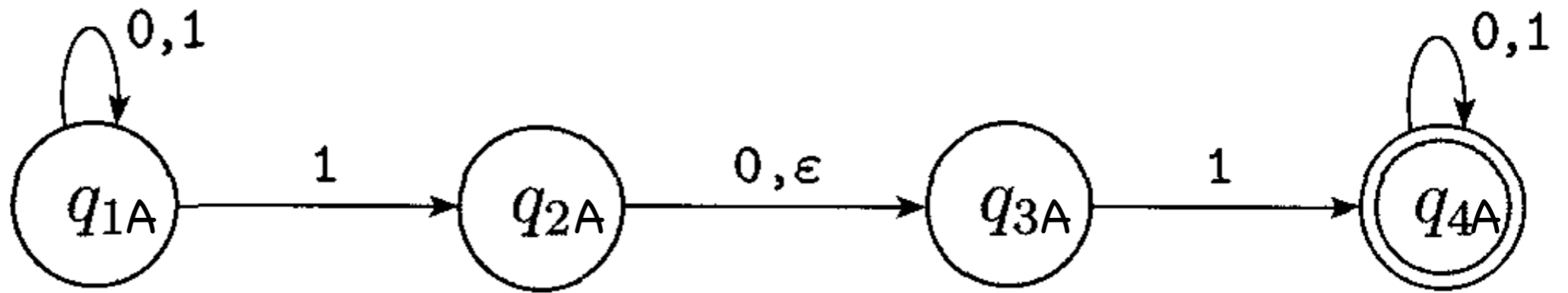
# Example



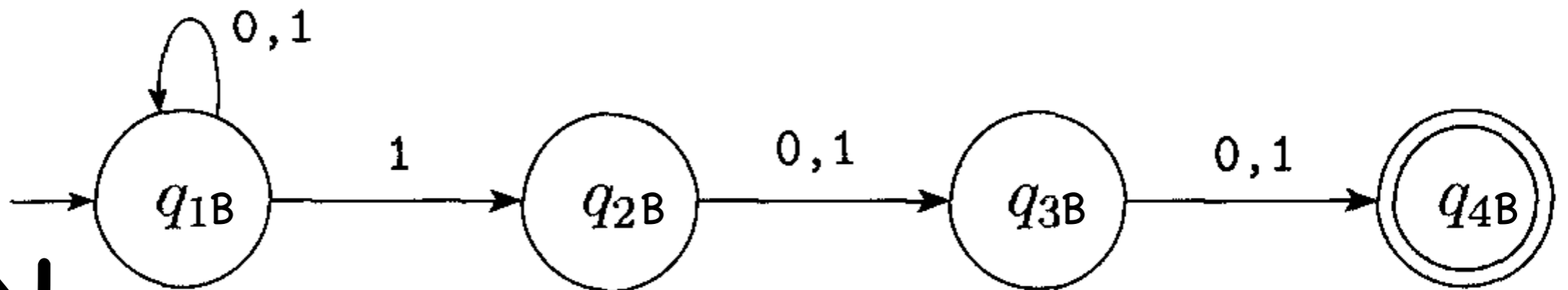


# Example

$N_1$

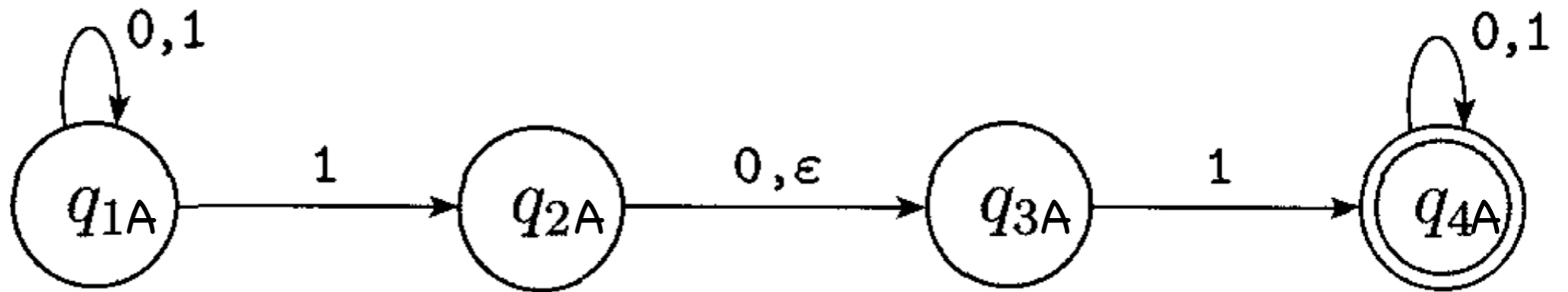


$N_2$

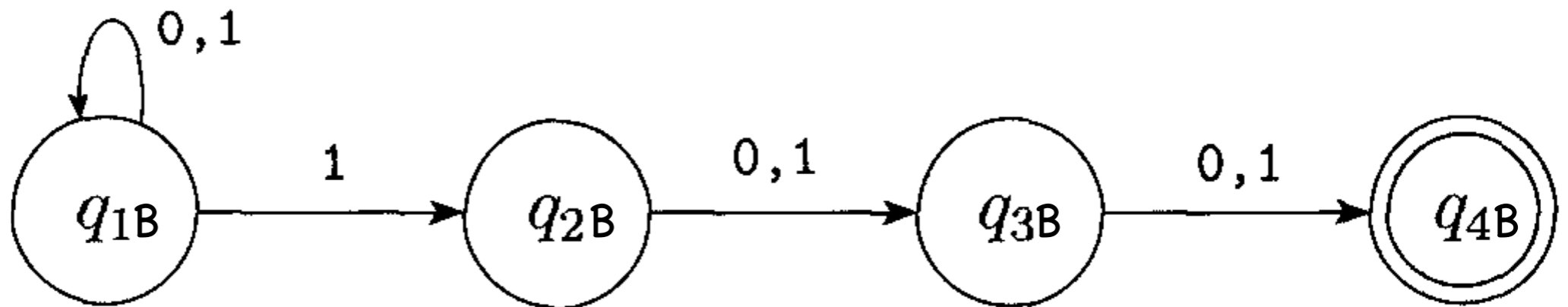


# Example

$N_1$

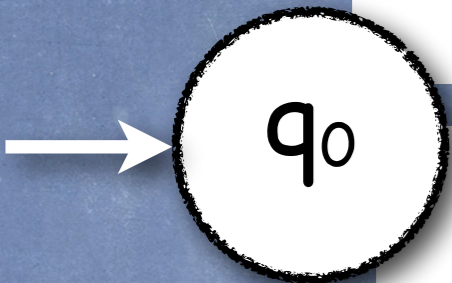
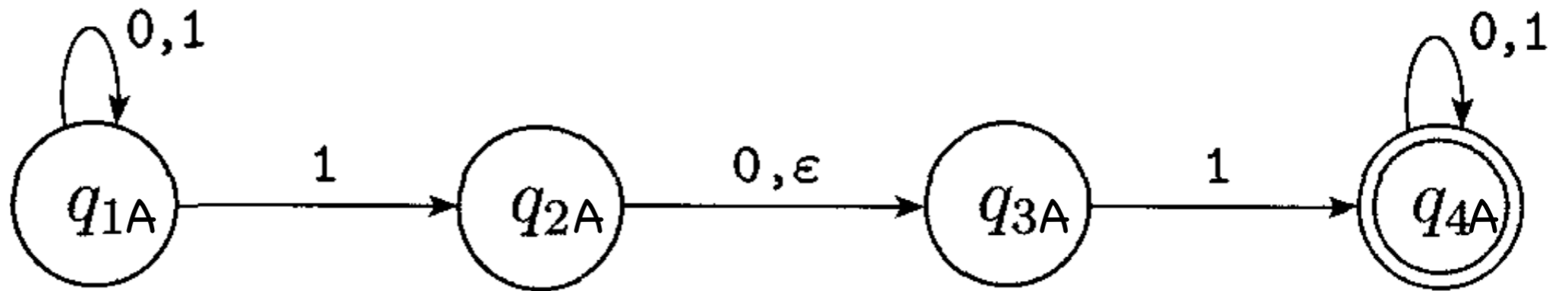


$N_2$

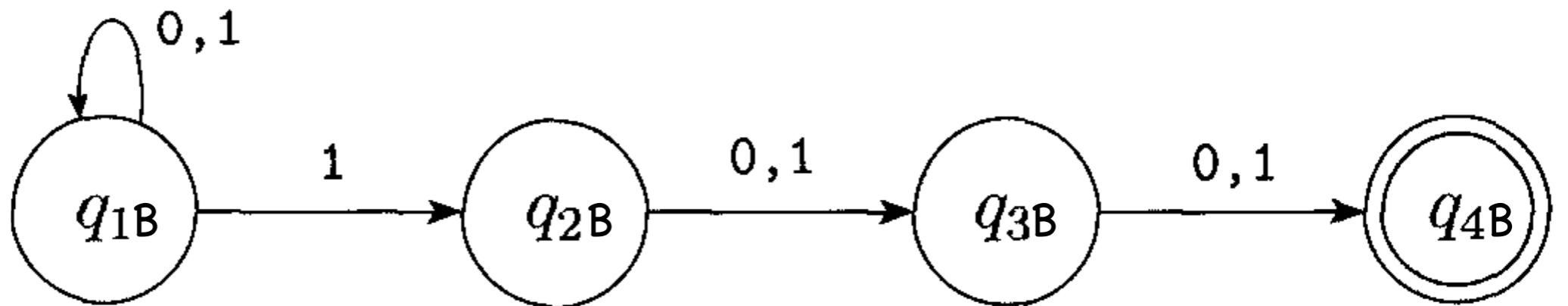


# Example

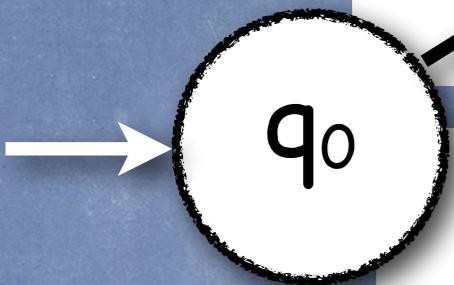
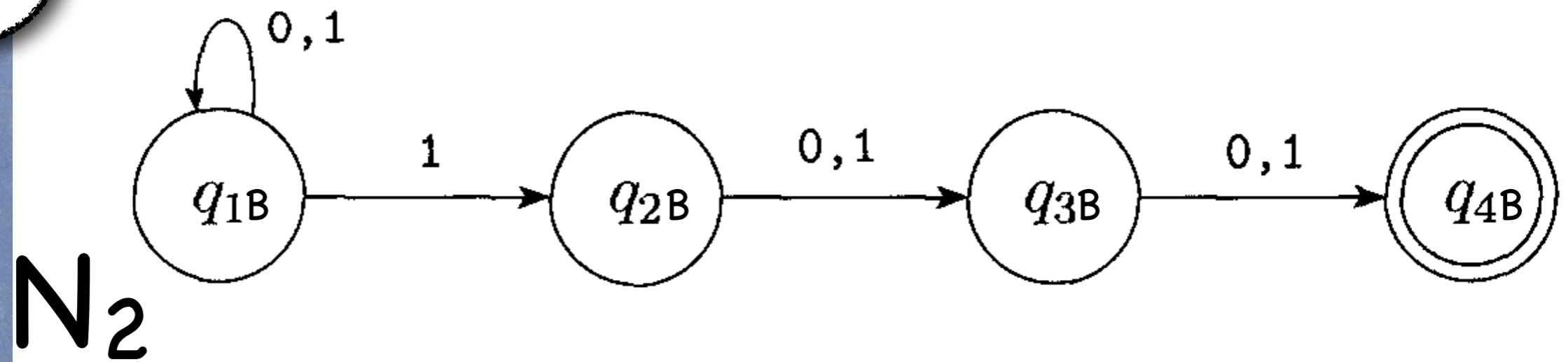
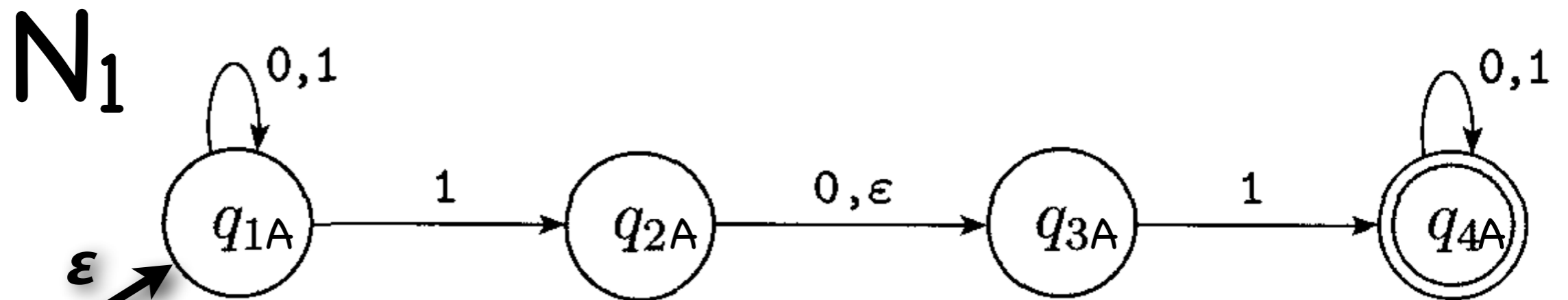
$N_1$



$N_2$



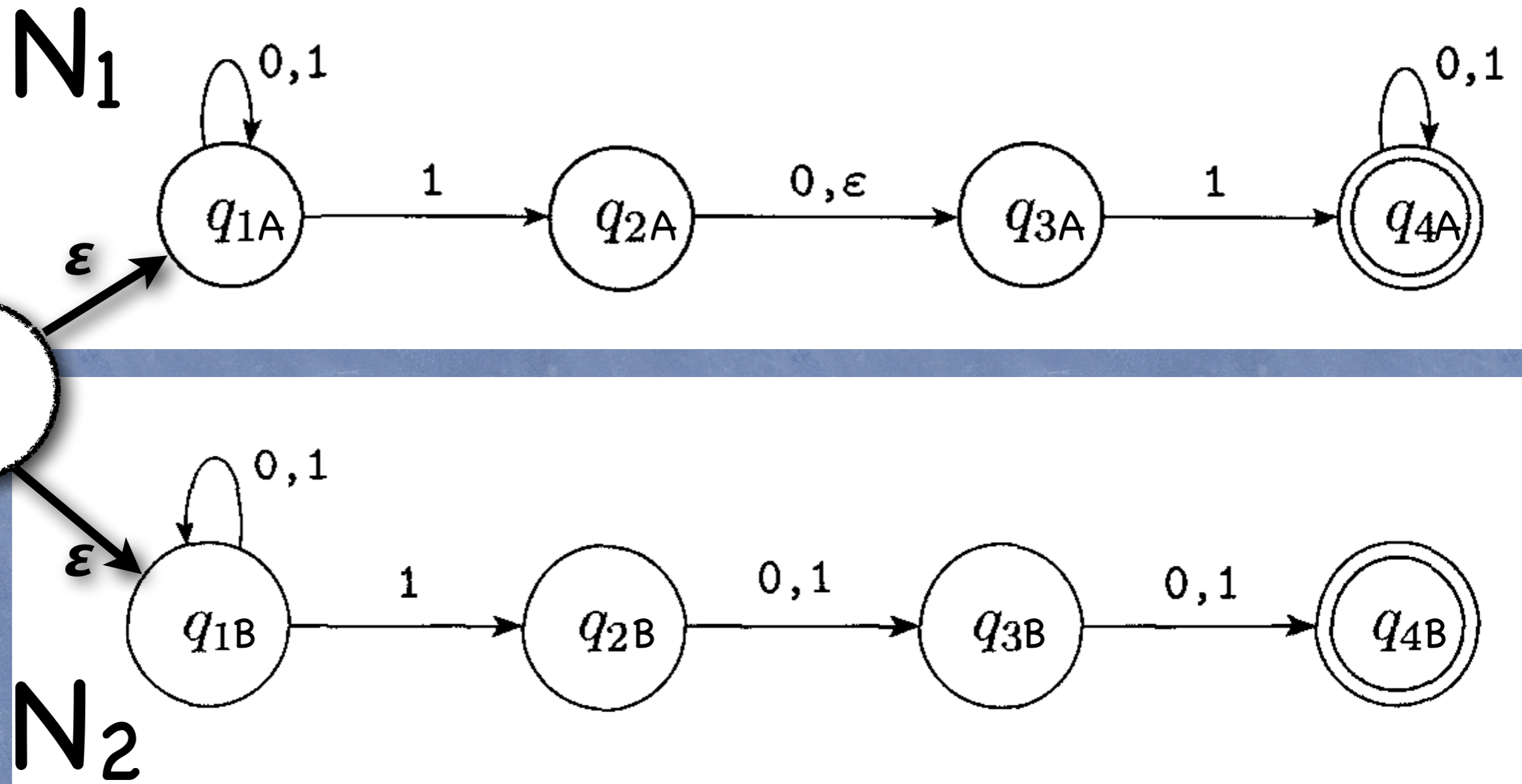
# Example



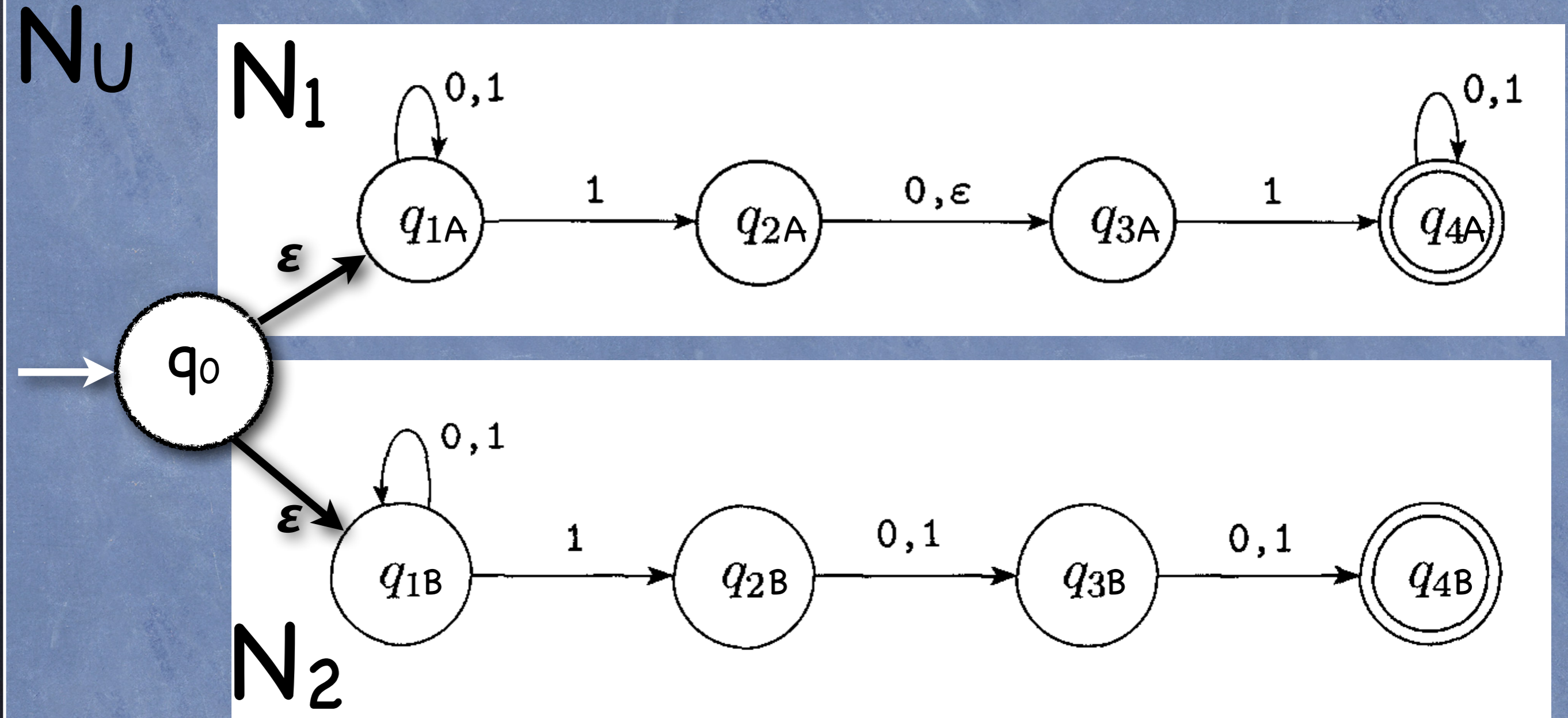
$\epsilon$

$q_0$

# Example



# Example



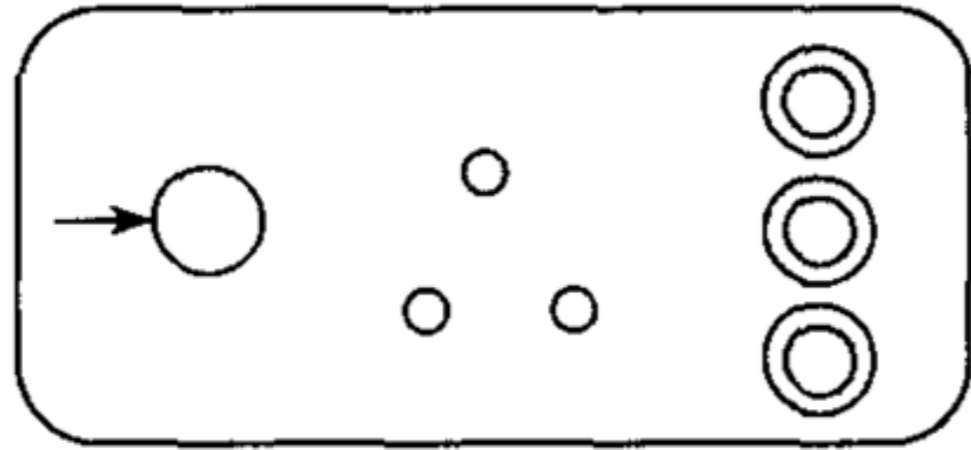
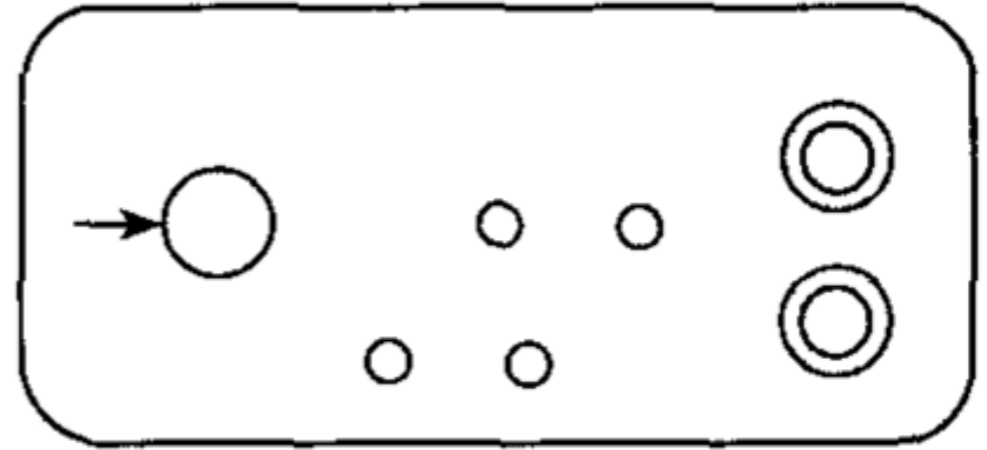
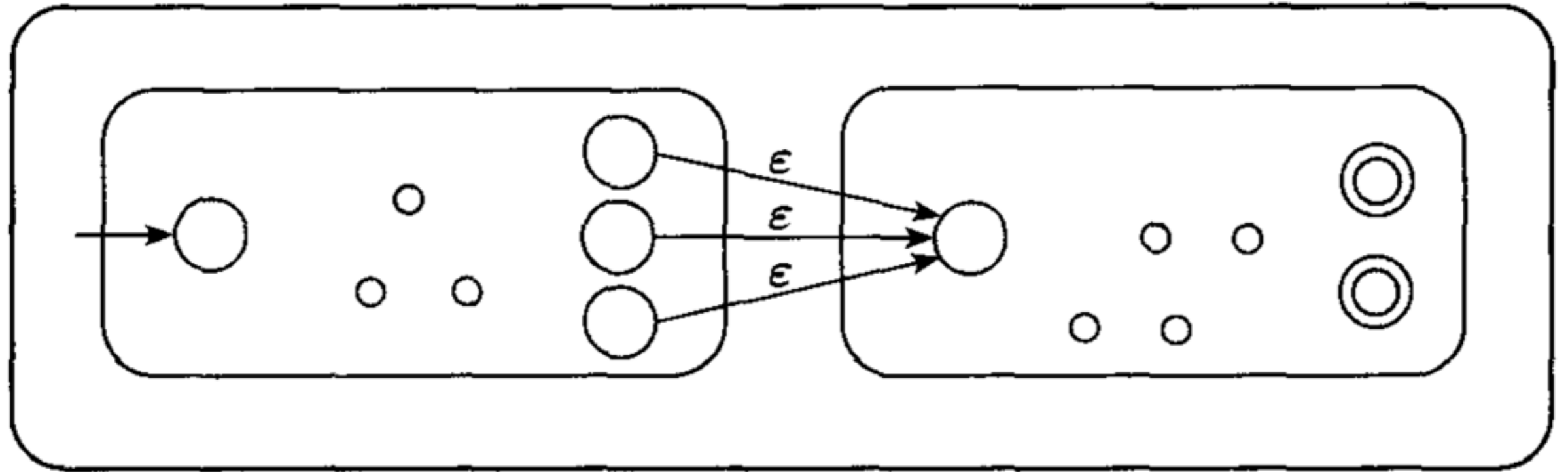
# Regular Operations : Kleene's theorem

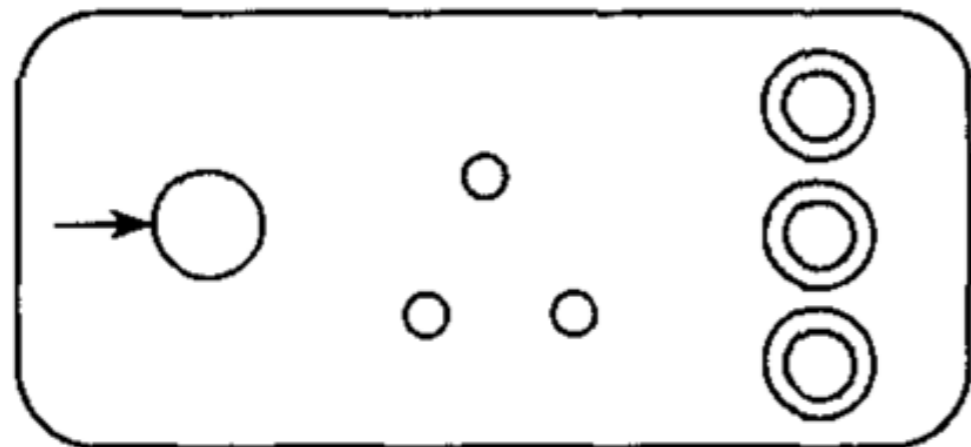
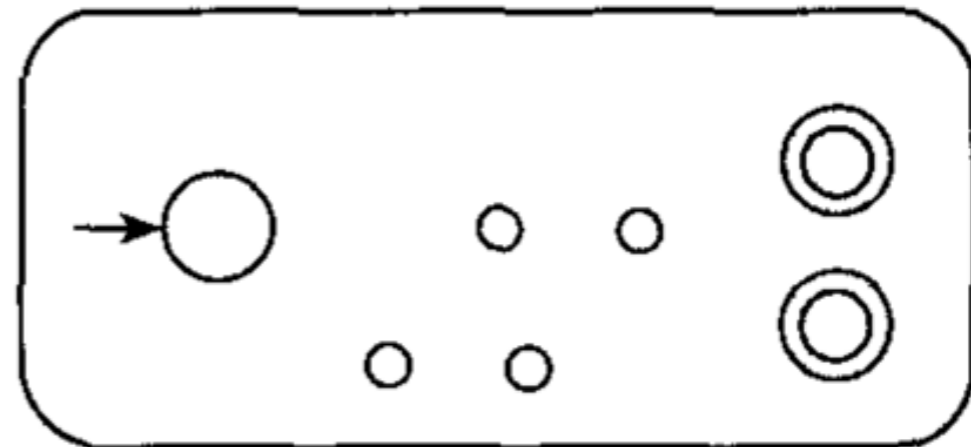
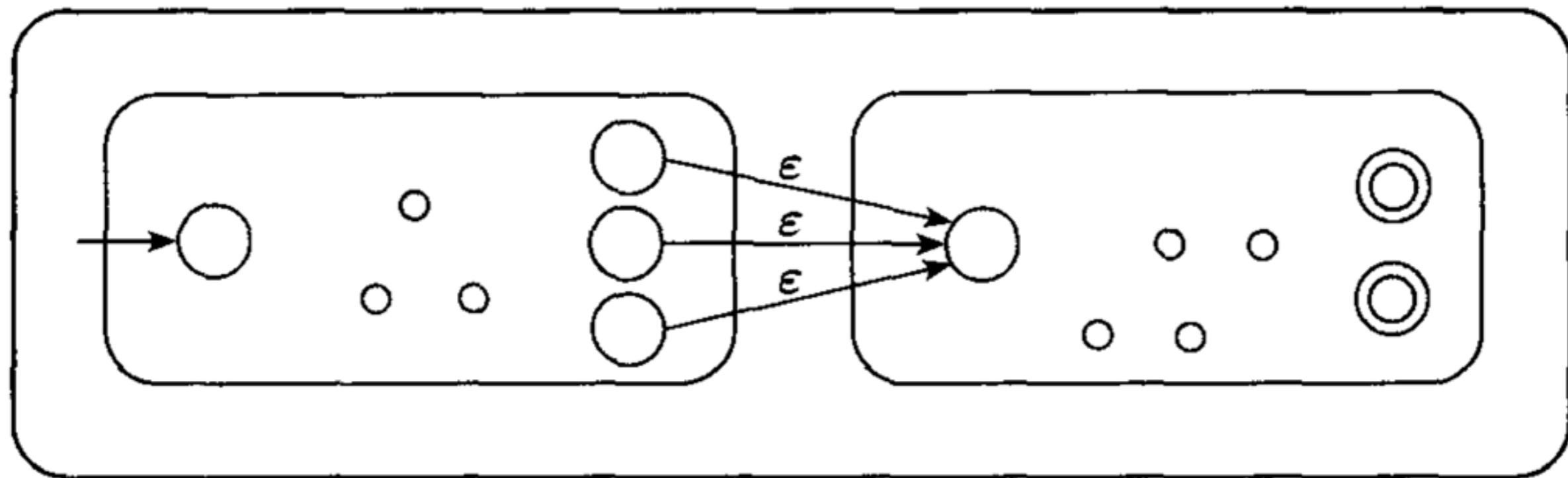
# Regular Operations : Kleene's theorem

**THEOREM 1.47** .....

The class of regular languages is closed under the concatenation operation.

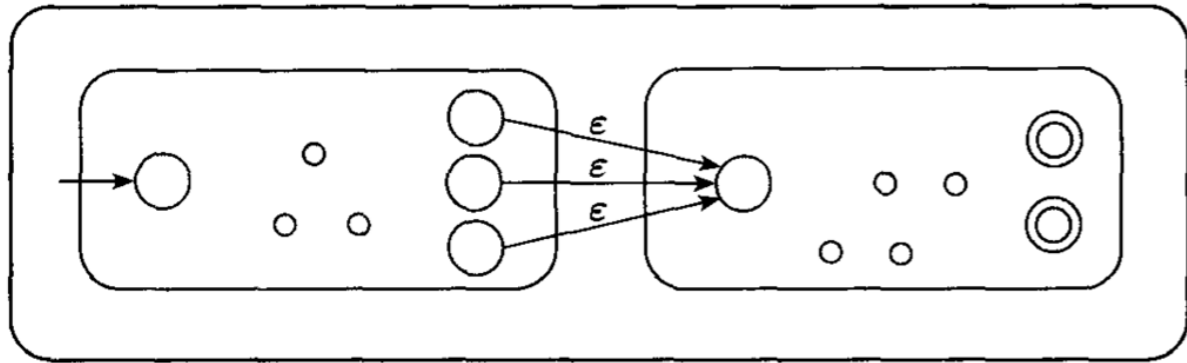


$N_1$  $N_2$  $N$ 

$N_1$  $N_2$  $N$ **THEOREM 1.47**

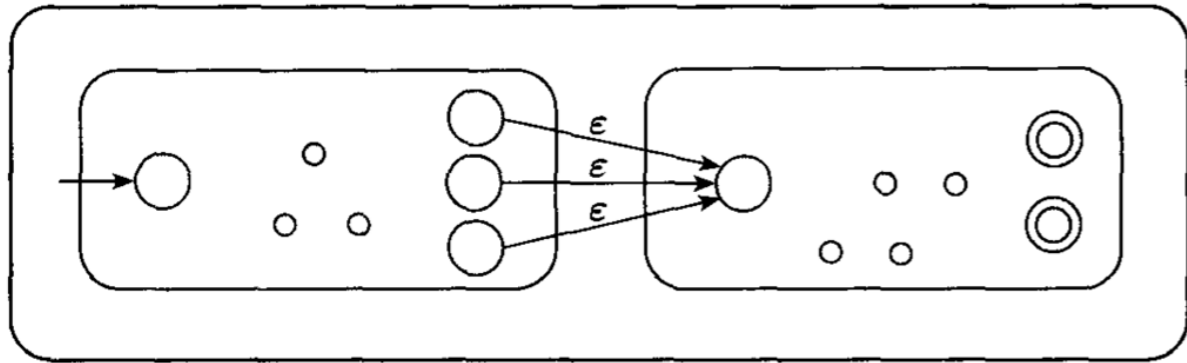
The class of regular languages is closed under the concatenation operation.

$N$



# Kleene's theorem

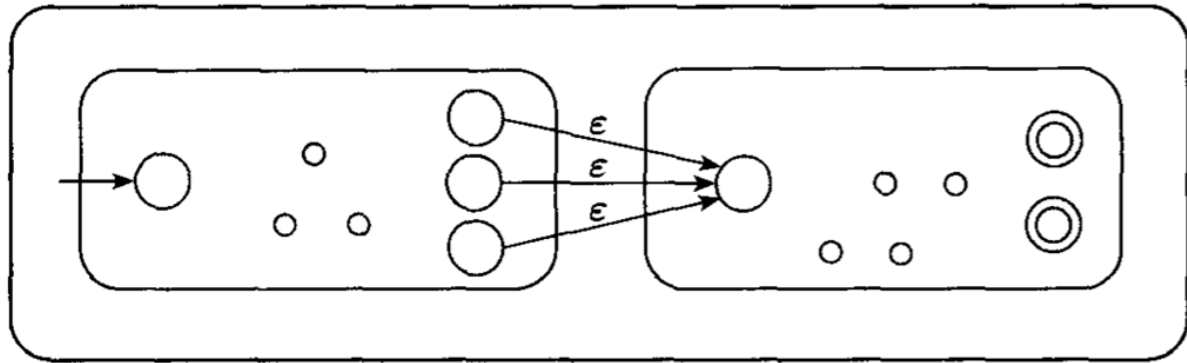
$N$



# Kleene's theorem

- Let  $N_A = (Q_A, \Sigma, \delta_A, q_{0A}, F_A)$  be a NFA accepting  $L_A$  and  $N_B = (Q_B, \Sigma, \delta_B, q_{0B}, F_B)$  be a NFA accepting  $L_B$  ( $Q_A \cap Q_B = \emptyset$ ).

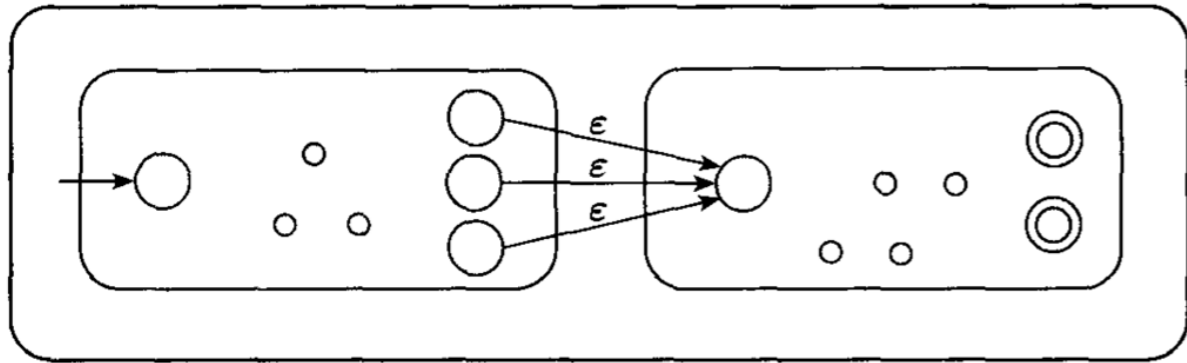
N



# Kleene's theorem

- Let  $N_A = (Q_A, \Sigma, \delta_A, q_{0A}, F_A)$  be a NFA accepting  $L_A$  and  $N_B = (Q_B, \Sigma, \delta_B, q_{0B}, F_B)$  be a NFA accepting  $L_B$  ( $Q_A \cap Q_B = \emptyset$ ).
- Consider  $N_C = (Q_A \cup Q_B, \Sigma, \delta_C, q_{0A}, F_B)$  where
  - $\delta_C(q, a) = \delta_B(q, a)$  for all  $q \in Q_B$ , all  $a$ ,
  - $\delta_C(q, a) = \delta_A(q, a)$  for all  $q \in Q_A$ , all  $a \neq \epsilon$ ,
  - $\delta_C(q, \epsilon) = \delta_A(q, \epsilon)$  for all  $q \in Q_A \setminus F_A$ ,
  - $\delta_C(q, \epsilon) = \delta_A(q, \epsilon) \cup \{q_{0B}\}$  for all  $q \in F_A$ .

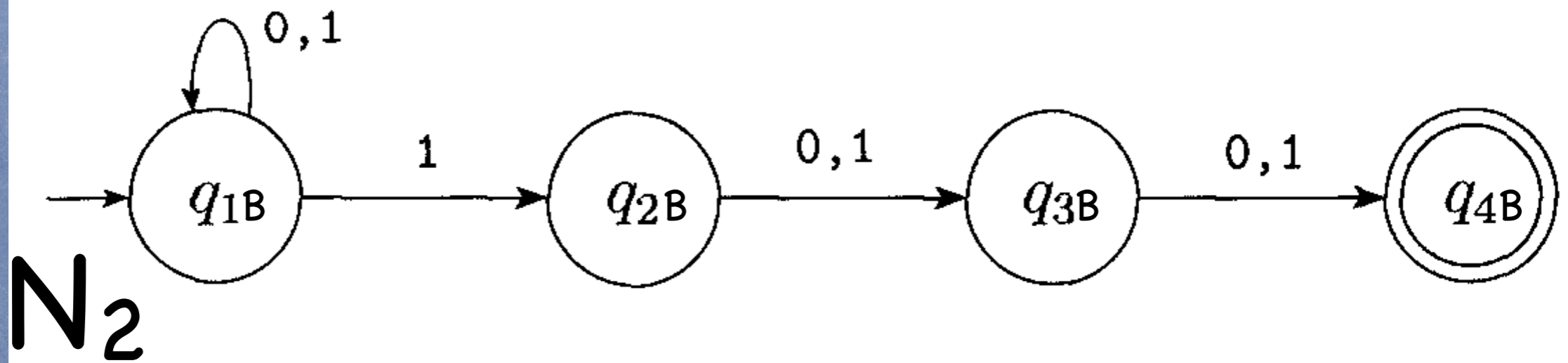
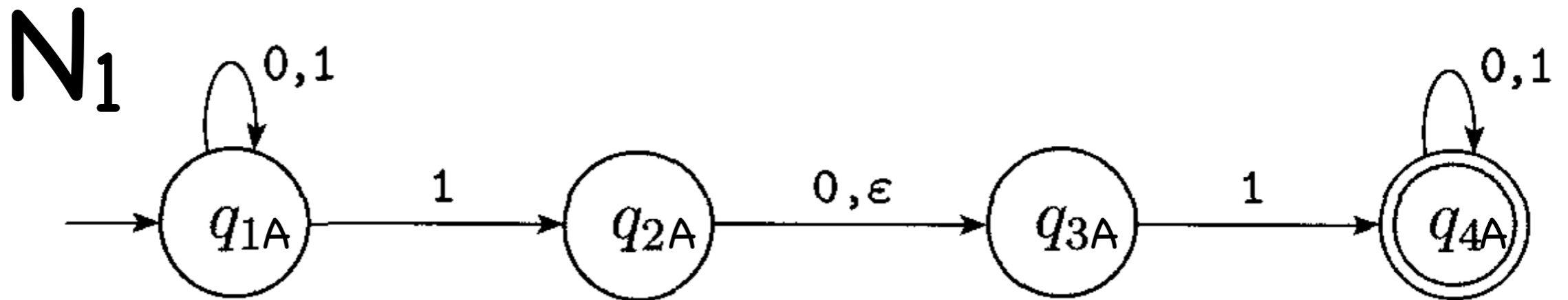
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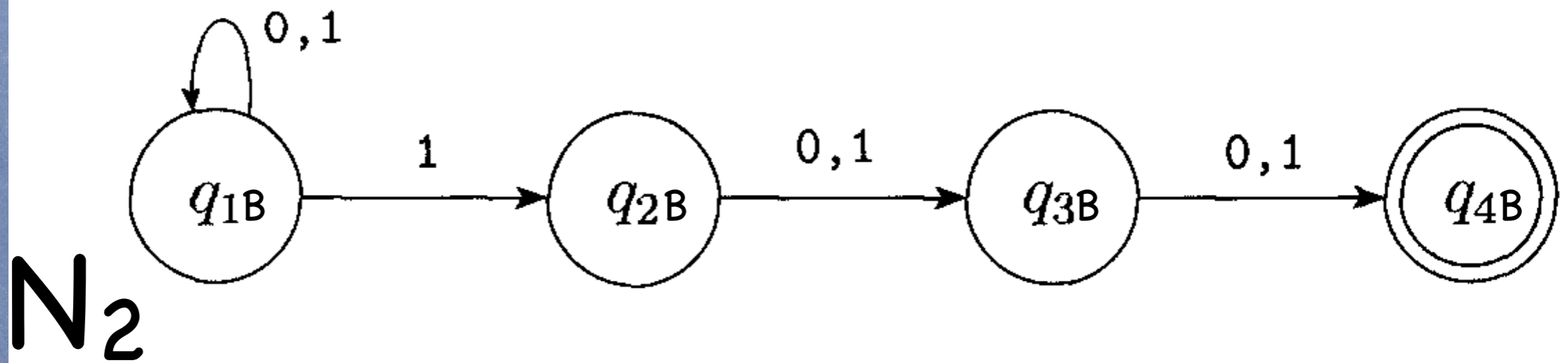
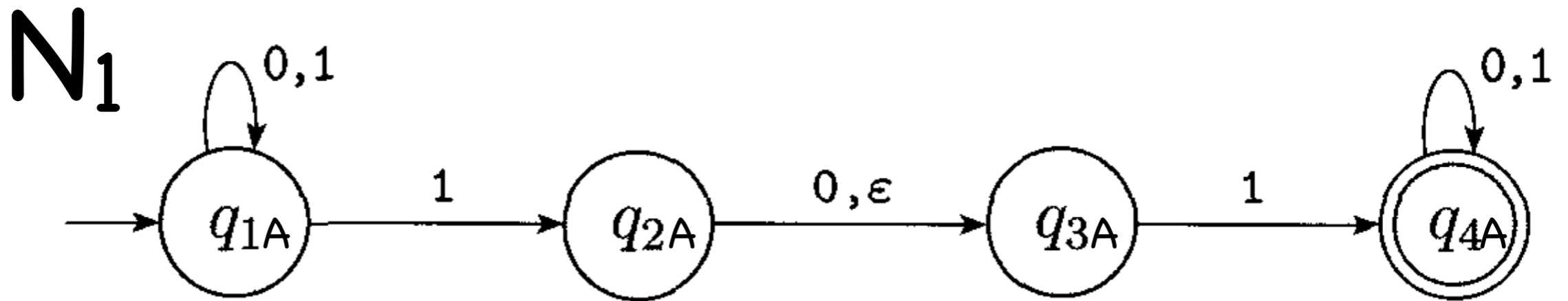
# Kleene's theorem

- Let  $N_A = (Q_A, \Sigma, \delta_A, q_{0A}, F_A)$  be a NFA accepting  $L_A$  and  $N_B = (Q_B, \Sigma, \delta_B, q_{0B}, F_B)$  be a NFA accepting  $L_B$  ( $Q_A \cap Q_B = \emptyset$ ).
- Consider  $N_C = (Q_A \cup Q_B, \Sigma, \delta_C, q_{0A}, F_B)$  where
  - $\delta_C(q, a) = \delta_B(q, a)$  for all  $q \in Q_B$ , all  $a$ ,
  - $\delta_C(q, a) = \delta_A(q, a)$  for all  $q \in Q_A$ , all  $a \neq \epsilon$ ,
  - $\delta_C(q, \epsilon) = \delta_A(q, \epsilon)$  for all  $q \in Q_A \setminus F_A$ ,
  - $\delta_C(q, \epsilon) = \delta_A(q, \epsilon) \cup \{q_{0B}\}$  for all  $q \in F_A$ .
- $L_C = L_A \circ L_B$ .

# Example

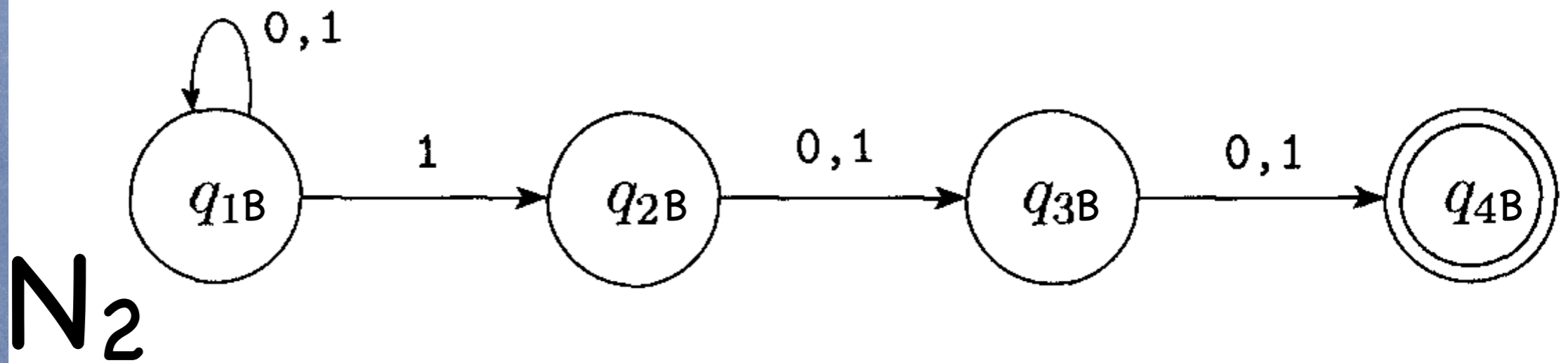
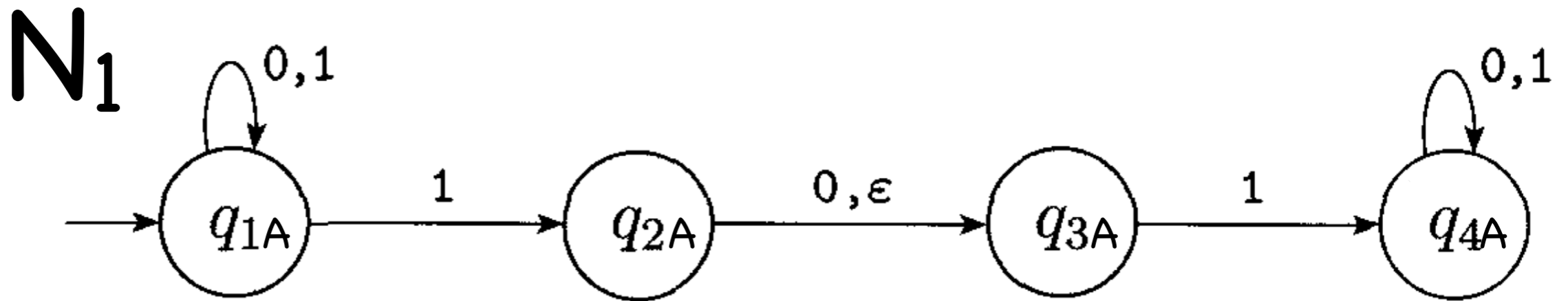


# Example

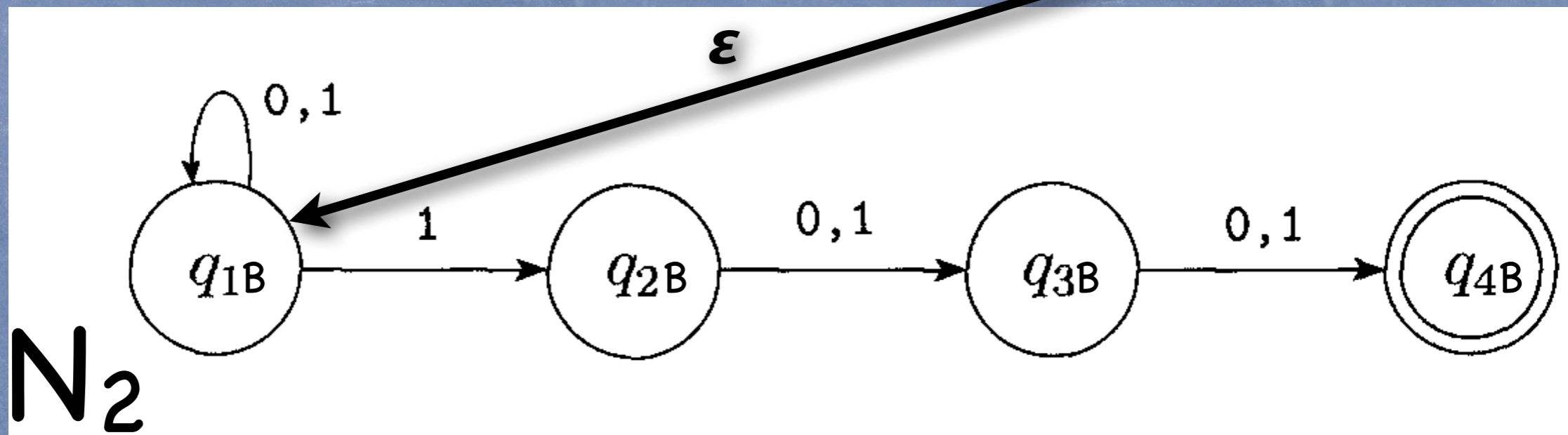
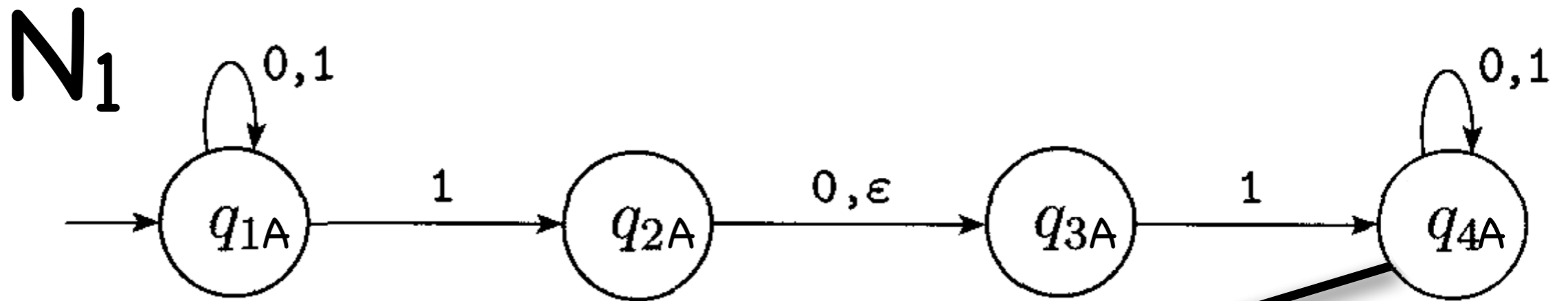




# Example

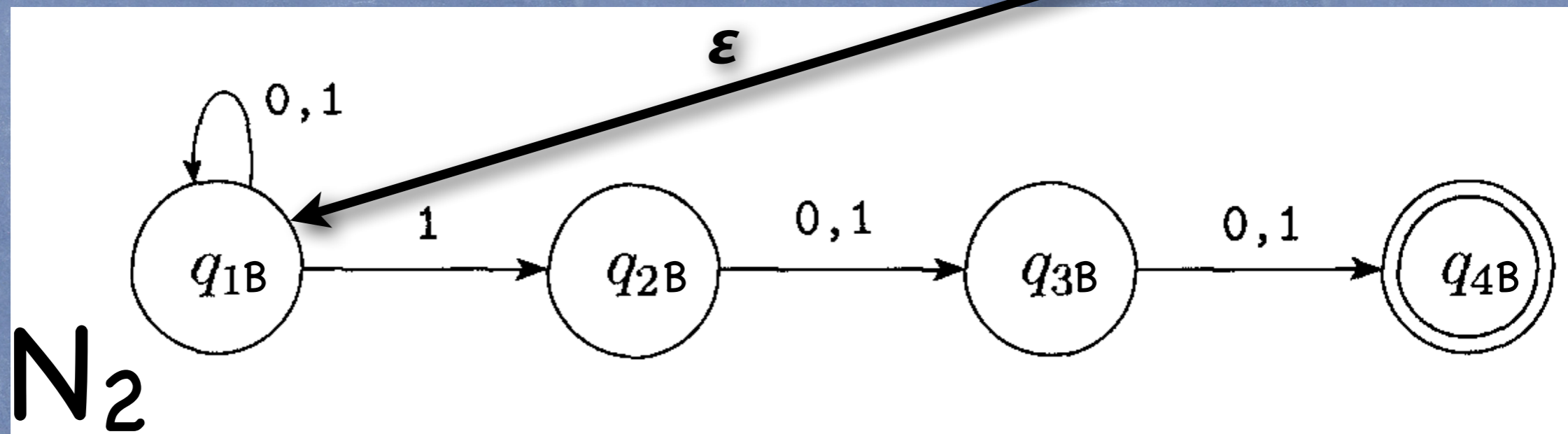
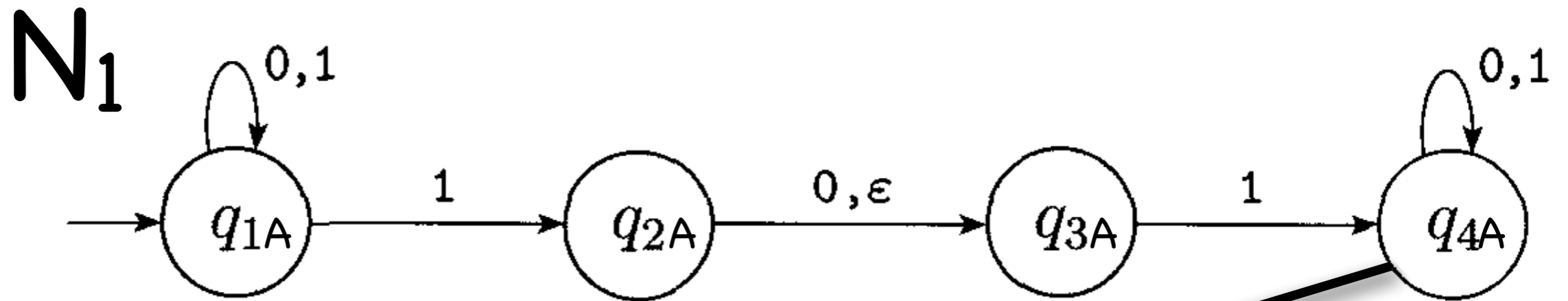


# Example



# Example

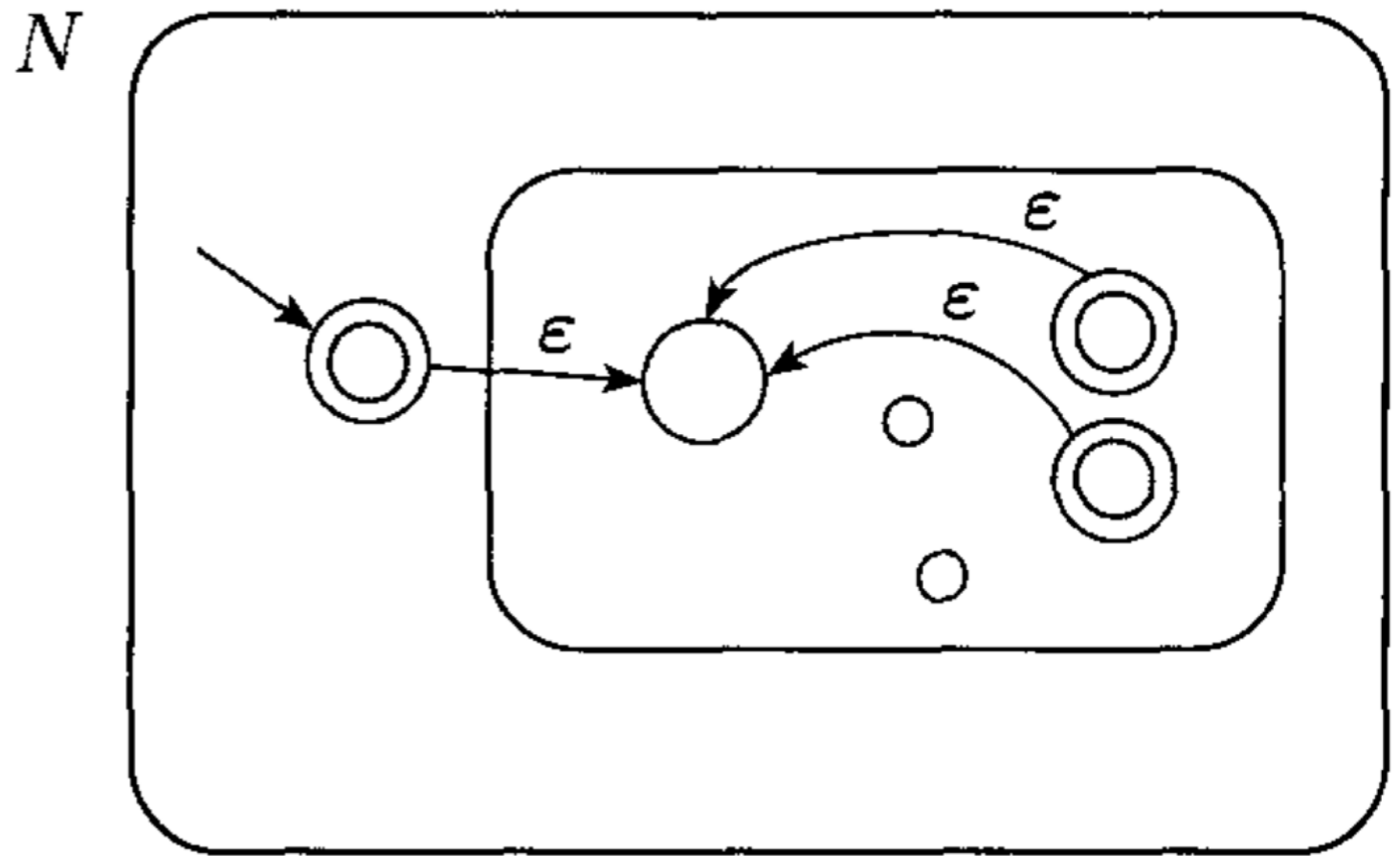
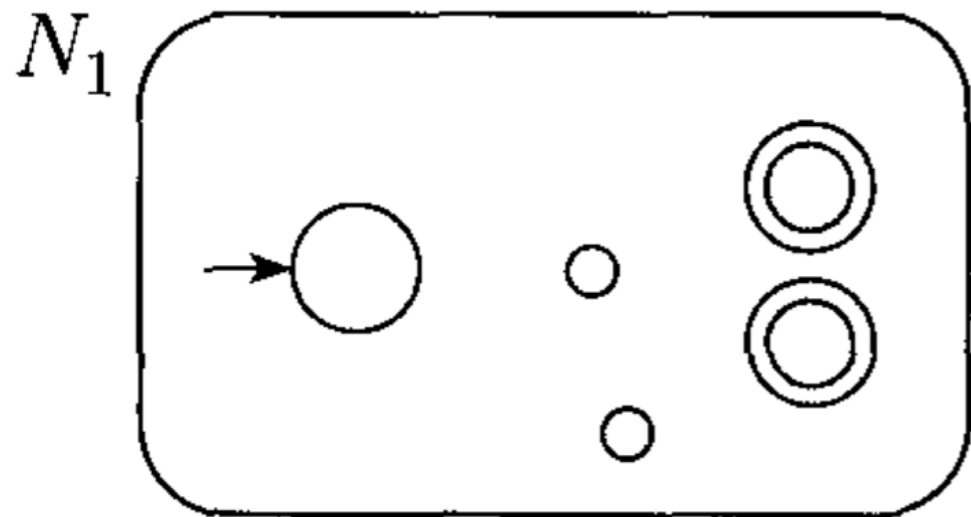
$N_C$



# Regular Operations : Kleene's theorem

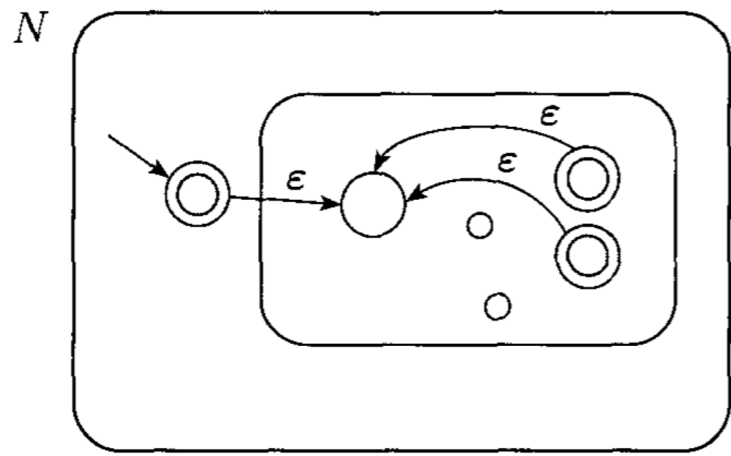
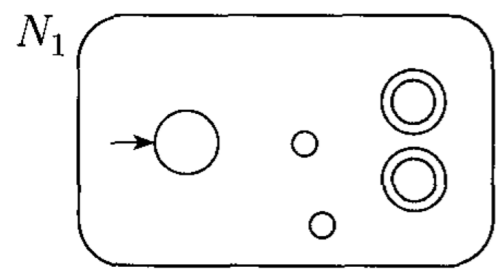
**THEOREM 1.49** .....

The class of regular languages is closed under the star operation.

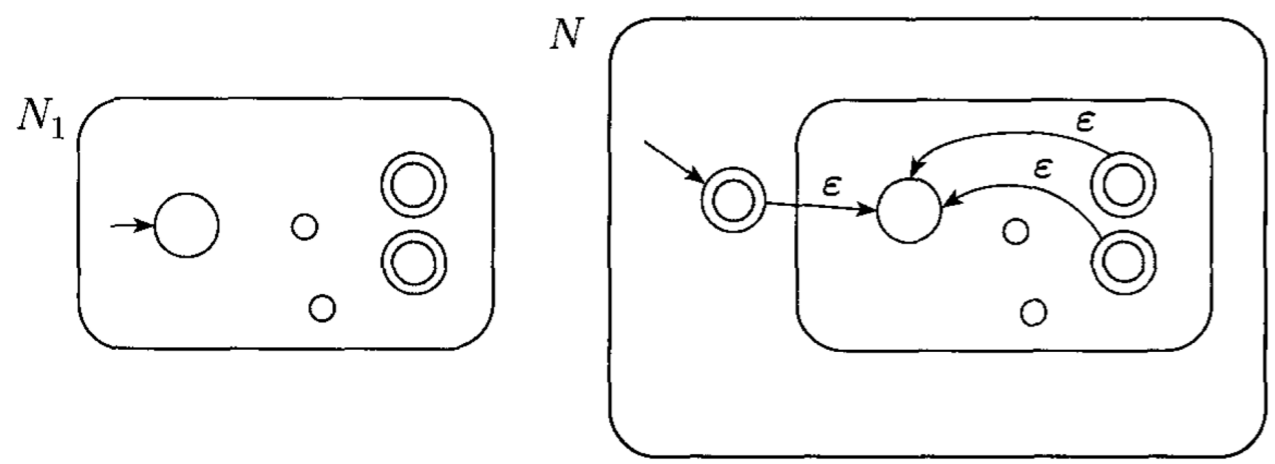


**THEOREM 1.49** .....

The class of regular languages is closed under the star operation.

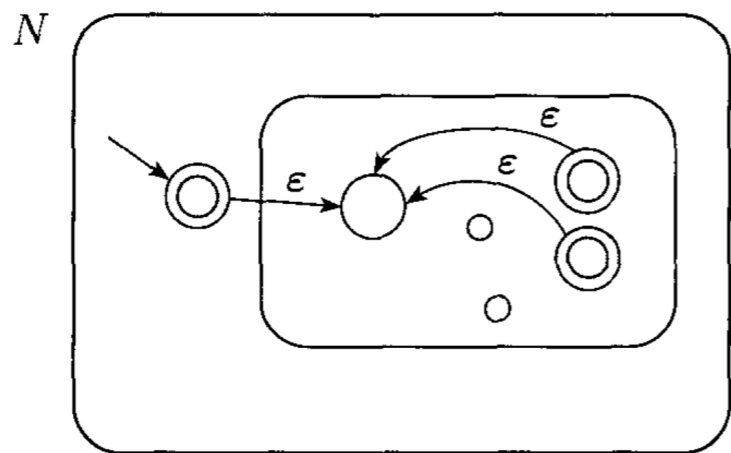
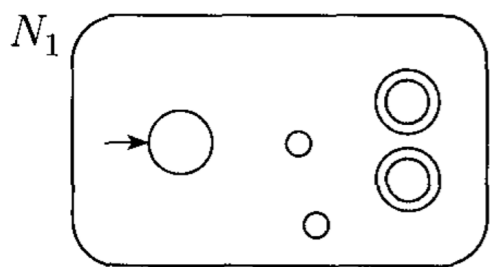


# Kleene's theorem



# Kleene's theorem

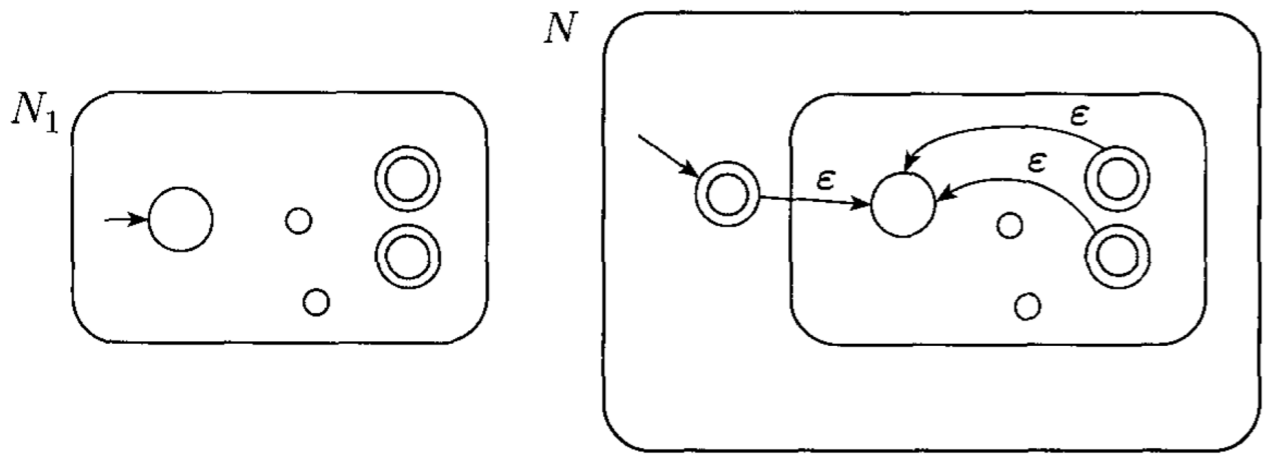
- Let  $N_A = (Q_A, \Sigma, \delta_A, q_{0A}, F_A)$  be a NFA accepting  $L_A$ .



# Kleene's theorem

- Let  $N_A = (Q_A, \Sigma, \delta_A, q_{0A}, F_A)$  be a NFA accepting  $L_A$ .
- Consider  $N_S = (Q_A \cup \{q_0\}, \Sigma, \delta_S, q_0, F_A \cup \{q_0\})$  where
  - $\delta_S(q_0, \epsilon) = q_{0A}$ , and  $\delta_S(q_0, a) = \emptyset$  for all  $a \neq \epsilon$ ,
  - $\delta_S(q, a) = \delta_A(q, a)$  for all  $q \in Q_A \setminus F_A$ , all  $a$ ,
  - $\delta_S(q, \epsilon) = \delta_A(q, \epsilon) \cup \{q_{0A}\}$  for all  $q \in F_A$ ,
  - $\delta_S(q, a) = \delta_A(q, a)$  for all  $q \in F_A$ , all  $a \neq \epsilon$ .

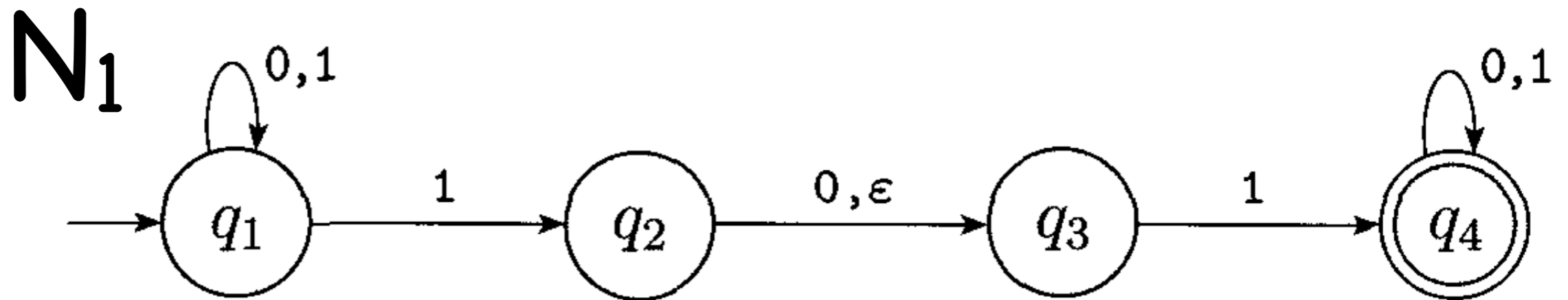




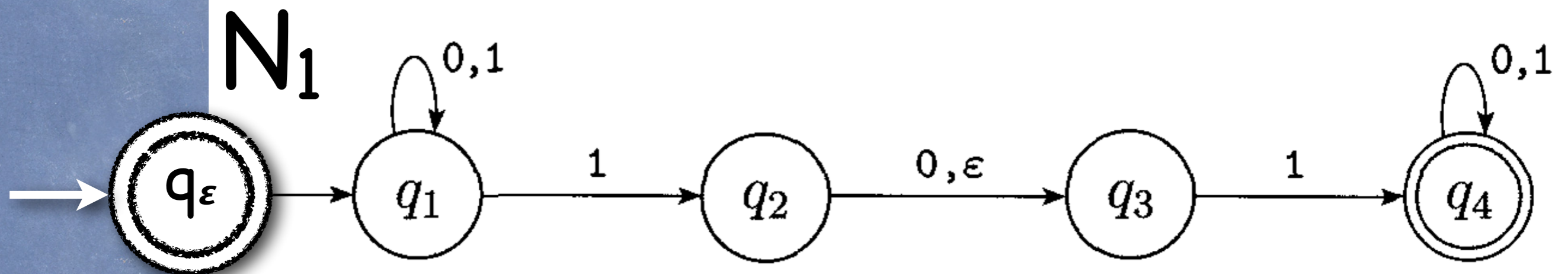
# Kleene's theorem

- Let  $N_A = (Q_A, \Sigma, \delta_A, q_{0A}, F_A)$  be a NFA accepting  $L_A$ .
- Consider  $N_S = (Q_A \cup \{q_0\}, \Sigma, \delta_S, q_0, F_A \cup \{q_0\})$  where
  - $\delta_S(q_0, \epsilon) = q_{0A}$ , and  $\delta_S(q_0, a) = \emptyset$  for all  $a \neq \epsilon$ ,
  - $\delta_S(q, a) = \delta_A(q, a)$  for all  $q \in Q_A \setminus F_A$ , all  $a$ ,
  - $\delta_S(q, \epsilon) = \delta_A(q, \epsilon) \cup \{q_{0A}\}$  for all  $q \in F_A$ ,
  - $\delta_S(q, a) = \delta_A(q, a)$  for all  $q \in F_A$ , all  $a \neq \epsilon$ .
- $L_S = (L_A)^*$ .

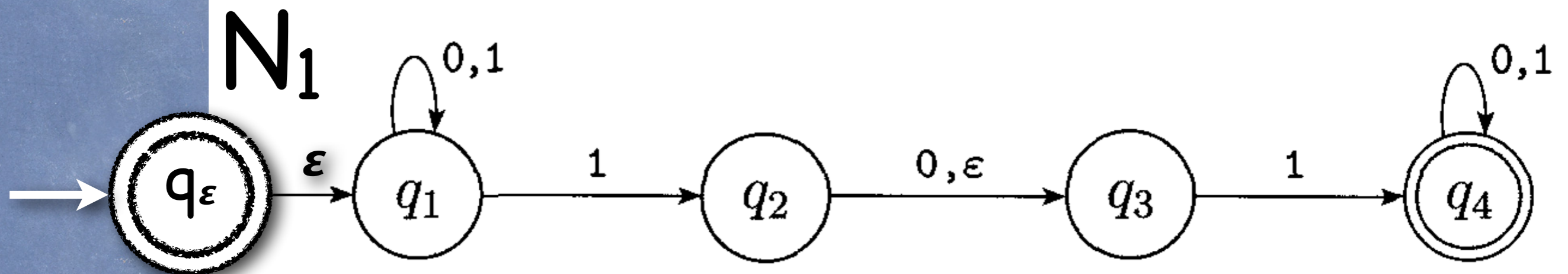
# Example



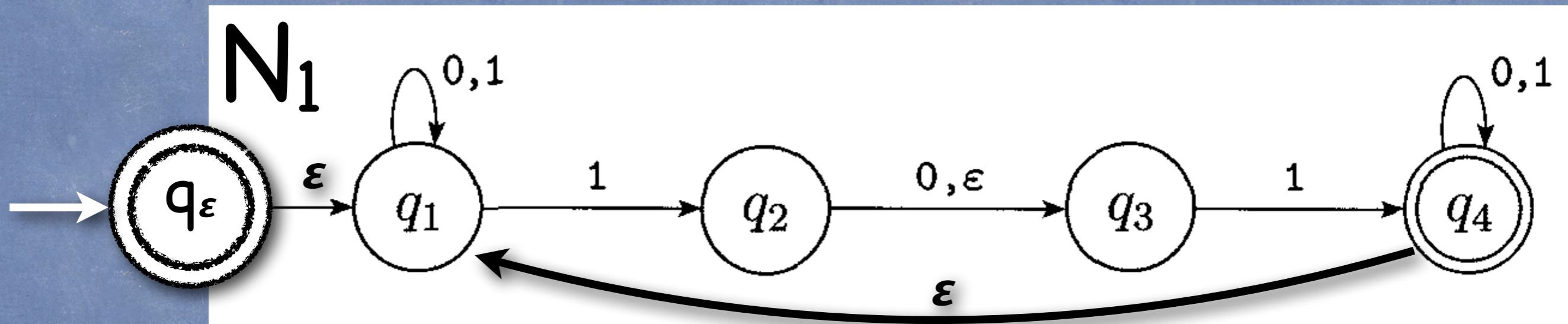
# Example



# Example

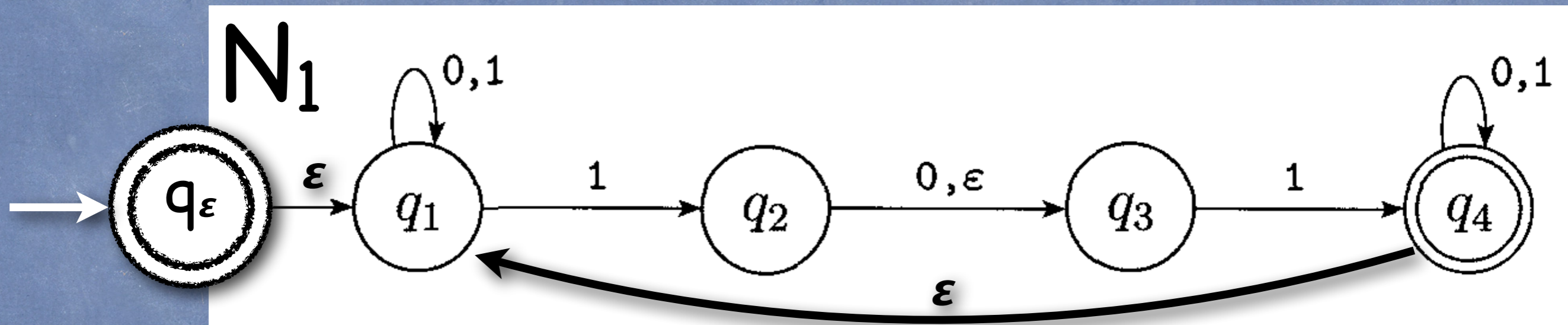


# Example



# Example

$N_S$



COMP-330

# Theory of Computation

Fall 2019 -- Prof. Claude Crépeau

## Lec. 5 : NFA-DFSA equivalence